

Grantee: New York

Grant: B-13-DS-36-0001

October 1, 2014 thru December 31, 2014 Performance



Grant Number:

B-13-DS-36-0001

Obligation Date:**Award Date:****Grantee Name:**

New York

Contract End Date:**Review by HUD:**

Reviewed and Approved

Grant Award Amount:

\$4,416,882,000.00

Grant Status:

Active

QPR Contact:

No QPR Contact Found

LOCCS Authorized Amount:

\$1,443,000,000.00

Estimated PI/RL Funds:

\$200,000,000.00

Total Budget:

\$4,616,882,000.00

Disasters:**Declaration Number**

No Disasters Found

Narratives**Disaster Damage:**

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy caused unprecedented damage to the State of New York, exposing the risks coastal and river communities face in future storm events. 157,165 housing units were damaged, 300,000 businesses impacted, and 43,499 public infrastructure repairs and mitigation projects are needed due these three events. The total cost to repair damages is an estimated \$15,045,010,000, and an estimated \$5,424,920,000 is not covered by other federal programs or private insurance. Additionally, an estimated \$2,562,010,000 in mitigation costs is needed to protect vulnerable areas from future storm events and ensure that public systems like power, clean water, roads and transportation are operational faster than what was experienced in 2012. This does not account for infrastructure needs not currently funded by federal programs. This figure is likely to be higher once more communities assess their needed resiliency projects. Superstorm Sandy left hundreds of thousands of households without power, sewage leaked into waterways, protected coastal barriers were washed ashore from storm surge, and businesses were shuttered for weeks due to closed roads and subways. The CDBG-DR Program will address mitigation needs in coordination with FEMA's HMGP Program, EPA grants and other sources of funding. In total, there is an estimated \$7,986,950,000 in eligible unmet needs to repair and mitigate damaged housing units, businesses and infrastructure, based on the HUD methodology. The State estimates at least an additional \$7,755,252,000 that will be needed for recovery-related infrastructure projects. The State estimates that this additional \$7.7 billion in unmet needs may not be eligible for CDBG-DR funding, but have been identified nonetheless by State agencies as an unmet recovery-related need. The State continues to assess these unmet needs for CDBG -DR eligibility. Therefore, the unmet need is likely above \$15,742,000,000. This excludes the housing and business needs of New York City.

Recovery Needs:

This section details the programs that are being implemented by the Governors Office of Storm Recovery (GOSR). Programs and budgets have been developed based on the unmet needs analysis for housing, economic development and infrastructure conducted by the State. The State recognizes that there still will be additional unmet needs that are not fully addressed with its CDBG-DR allocation. Overall, the CDBG-DR allocations are largely reflective of the estimated unmet needs. Between APA6 and APA8, the State estimates that unmet needs in the Housing and Economic Development sectors fell by 43 percent and 11 percent, respectively. Before the third allocation of funds is accounted for, the reduction in unmet needs for the Infrastructure sector falls by approximately 3percent, after the third allocation of funds is accounted for the remaining unmet needs of this sector will fall by 19 percent. Despite that, the Infrastructure sector still accounts for over half of the unmet needs using the base HUD allocation methodology. As such, the third allocation was focused on the Infrastructure Program (including both the NYRCR program and RBD). With the third allocation, the proposed budget allocates approximately 49 percent of CDBGDR funds to this sector. Housing programs account for approximately 36 percent of the remaining unmet need in the State. As such, the budget for Housing Programs allocates approximately 46 percent of funds to this area. In absolute terms, Economic Development has the smallest remaining unmet needs. This is reflected in the use of fund where approximately 5 percent of funds are allocated to these Programs. Unmet needs and program implementation will continue to be assessed as Programs continue to be implemented. The State remains committed to both homeowners and renters and is working diligently in both Programs to address the needs of the community as they recover. While the State continues to have significant outstanding unmet needs, its current resources are allocated to address the priorities of communities in repairing and hardening storm damaged residential units, creating additional affordable housing, restarting businesses and rebuilding critical infrastructure throughout the state. The State will continue to make adjustments as needed in further APAs, to ensure that, to the extent feasible, unmet needs of these communities are addressed.



Unmet Needs

The States unmet needs data represent the estimated gap between identified disaster recovery, rebuilding and mitigation costs and total funding already allocated through current CDBG-DR commitments and other funding sources for which New York State has been able to access (e.g. FEMA, insurance proceeds, NY Rising Program interventions, etc.).

The States unmet needs assessment is based on HUD's CDBG-DR Allocation Methodology as published in the October 24, 2014, Federal Register Notice FR-5696-N-11 (HUD Methodology). In addition, the State analyzed a number of different data sources relevant to each program area to identify what it determines to be the full remaining unmet need to repair and rebuild homes, businesses, and infrastructure in the most impacted communities throughout New York State (NYS Methodology). This assessment also outlines program data to identify how the States actions have already addressed unmet need to date through allocations of CDBG-DR funds.

The States

Recovery Needs:

approximately \$17.80 billion in outstanding housing, business, and infrastructure repair and recovery related mitigation needs not currently funded by federal programs. The State will continue to analyze and update its unmet needs as additional information is made available on damages as well as resources made available for rebuilding and recovery.

Housing

With an estimated \$50 billion in damages, Hurricane Irene, Tropical Storm Lee, and Superstorm Sandy are, collectively, the second costliest storm in American history. Over 90,000 occupied housing units were damaged outside of New York City, including 80,878 owner-occupied units and 16,943 renters. The majority of these units, approximately 70%, sustained major to severe damage. Housing unmet needs is reflective of the estimated cost of damage and estimated mitigation needs for occupied units, minus funding received or anticipated from FEMA, SBA, and private insurance to repair damage. The States unmet needs are further detailed in its Action Plan. The most recent analysis of unmet needs also included detailed programmatic data to indicate how the unmet need has changed as a result of its CDBG-DR allocations. The remaining estimated unmet need for housing is approximately \$2.02 billion.

Owner occupied and Rental Units

The cost to repair or replace damaged homes located outside of New York City, including mitigation needs, is estimated to be \$7.20 billion. Subtracting out the estimated FEMA grants, SBA loans, and insurance proceeds, the cost of estimated unmet need is \$3.97 billion. When funds allocated by the NY Rising Housing Programs are accounted for, an estimated \$2.02 billion in unmet need remains.

The States efforts to assist storm affected homeowners have focused on operating a Housing Recovery Program to facilitate home repairs, rehabilitation, mitigation, and elevation for the owners of single family homes. Additional programs are available for the owners of multi-family rental properties and for individual owners of coops and condos, as well as owners' associations. The NY Rising Buyout and Acquisition Program was also established for homeowners whose homes were substantially damaged or destroyed during Hurricane Irene, Tropical Storm Lee, and/or Superstorm Sandy.

As of December 2014, the homeowner program has 16,299 active applications. The Interim Mortgage Assistance (IMA) program has 861 active cases. Programs for individual owners of coops and condos as well as owners associations received 100 condo and coop association applications, 482 condo and coop unit owner applications, and 499 condo and co-op common building elements applications. In addition, as of December 2014, there are 1,493 active cases in the Buyout and Acquisition Program. Over 800 offers have been made, with almost 500 closings completed.

The State is encouraging homeowners to take part in Optional Elevation and Mitigation measures, making a substantial and unprecedented investment in its homes and coastal communities. It is projected that 1,675 single family homeowners will opt to elevate their homes. As of December 2014, 931 have requested to add other mitigation measures such as the elevating of electrical systems, securing of fuel tanks, using flood resistant building materials, and installing flood vents, backflow valves and roof strapping.

Rental Housing

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Recovery Needs:

Program repairs damaged properties and provide essential and affordable housing resources to New Yorkers in need. As of December 2014, the Rental Properties Program has 908 active cases and has disbursed \$474,430 to 19 property owners. In total, the State has allocated \$225 million to this program.

HUD Assisted Properties

The Unmet Needs Assessment within the States initial Action Plan noted that HUD had initially identified two Public Housing Authorities (PHAs) on Long Island, Long Beach and Freeport Housing Authorities. The State then initiated significant outreach mechanisms, including surveys and multiple meetings with other PHAs, to identify additional needs. That process found that Hempstead Housing Authority also had suffered significant damage. New York State has consulted and is continuing to consult with each of the three housing authorities to determine the extent of their unmet needs. As the PHAs move forward with their recovery, the State will move into a coordinating role between the PHAs and their federal partners. Leading this coordination will allow the State to work hand-in-hand with the PHAs and ensure that they are on the path to full recovery. In addition, as the State continues to assess needs throughout the recovery process, the State will continue to meet with additional PHAs as needs arise and are identified.

For the multifamily assisted housing stock, the State of New York Homes and Community Renewal surveyed properties in its assisted housing portfolio to identify damage and uncovered losses. The State found high levels of insurance coverage. It determined that immediate needs had been met, and referred owners to FEMA where appropriate. HCR helped coordinate between owners and tenants to identify replacement housing. The State continues to assess the resiliency needs of these properties. If needs are identified, they can be addressed through the Rental Properties Program or the Multifamily and Affordable Housing Program. The State also sought input on the recovery needs of affordable housing developers at an industry



roundtable held during the development of the MultiFamily and Affordable Housing Program.

Very low income households, the homeless population, and individuals with physical, cognitive, and mental disabilities are particularly vulnerable after a disaster because of the limited availability of temporary housing options to meet particular needs coupled with inflated housing prices where housing supply is significantly reduced. Long term recovery must include an assessment of needs beyond housing, including providing permanent care providers, access to public transportation, Americans with Disabilities Act (ADA) accessibility, and in home medical care. Within storm impacted areas, there were an estimated 150 transitional housing and homeless initiatives as well as 100 emergency shelters. This resulted in many vulnerable populations being evacuated or living without electricity or heat for weeks. The New York State Homeless Housing and Assistance Program (HHAP), operated by New York State Homes and Community Renewal, stated a need for mitigation measures, including a need for back-up generators, revamping electrical and heating systems, and upgrading electronic storage systems to preserve client and program data. New York State received an allocation of \$235M of Hurricane Sandy Supplemental Social Services Block Grant (SSBG) funds.

Recovery Needs:

ately \$81 million. The USDA and the State are continuing to work with governmental entities and property owners to further the Program and spread information to other potentially interested entities. Initial outreach at the outset of the Program identified unmet needs well in excess of the subsequently applied for funds. As such, the gap in funding of \$15.61 million represents a very conservative figure for unmet repair needs associated with watershed repair. Given further time and effort, the State expects to identify significantly larger sources of unmet repair need.

Rebuild By Design

As noted in the October 16, 2014 Federal Register Notice, HUD allocated a portion of the funds for each awarded Rebuild by Design (RBD) project. The Notice requires grantees to identify any potential gap or shortfall in the RBD funding and provide a strategy and description of funds anticipated to be generated or secured in leveraging the CDBG-DR allocation for RBD project completion as well as any additional CDBG-DR funds the grantee anticipates dedicating to the RBD project. Based on the estimated budgets provided in the RBD plans, the State identified a total preliminary funding gap of \$52.36 million for the Slow Streams project in Nassau County and \$13.1 million for the Tottenville Pilot Project in Staten Island. The State is currently undergoing a two pronged approach to review and fill these gaps. First, the State is analyzing the budgets provided by the RBD teams and calculating any additional planning and program delivery required to fully execute the projects and meet the requirements set out by HUD. The planning and scoping through the environmental review process will help shape the needs of the project not outlined in the current plan. The State understands that the gap could range from \$66 million to \$104 million. The State includes the \$66 million dollar gap in its broader estimate of remaining infrastructure needs. Once a firm cost for the project is clear, the State will begin to execute the strategy to leverage funds to fill the gap left in the budget. As the State moves through the leveraging process, the State will reassess each project as needed to identify areas where funding is secured and where funding gaps still remain. The State will work together with stakeholders and federal partners to ensure the strategies in place lead successful implementation of the projects.

New York Rising Community Reconstruction and Infrastructure Summary

Hurricane Irene, Tropical Storm Lee, and Superstorm Sandy caused unprecedented damage to New York State, exposing the risks coastal and river communities face in future storm events. Discounting the HUD construction cost multiplier, estimated unmet needs using HUD allocation methodology is \$5.68 billion. If the high construction cost multiplier is factored in, unmet needs are estimated at \$6.85 billion, an increase that reflects the likelihood that reconstruction costs will be higher in New York State than elsewhere in the country. However, these figures do not account for infrastructure needs not currently funded by federal programs. This figure is likely to continue to rise as the State identifies more needs and as more communities assess their needed resiliency projects. For example, Round I of the NYRCR Program Planning Committees developed over \$883 million in priority projects (Proposed Projects) proposed for CDBG-DR funding. CDBG-DR funds

Recovery Needs:

ng from Hurricane Sandy, including social, health and mental health services for individuals, and for repair, renovation and rebuilding of facilities of at risk of homeless and homeless as well as health care facilities, mental hygiene facilities, child care facilities and other social services facilities. Based on the needs identified through the SSBG solicitation process and state priority projects, additional unmet need for social and health services in Hurricane Sandy impacted areas has not been identified at this time. The State will continue to reassess the needs of these populations.

In April of 2013, more than 1,000 displaced New York households were living in emergency housing through FEMA's Temporary Shelter Assistance (TSA), while many more were living with family and friends or paying for rental units while waiting for their homes to be repaired. Since that time, the State, through New York State Homes and Community Renewal, administered the Disaster Housing Assistance Program (DHAP-Sandy), a FEMA and HUD Program that transitioned households from emergency housing into interim housing. The Program allowed eligible families displaced from their pre-disaster home and in need of interim housing to receive rental assistance for up to 12 months. Between April and October of 2013, FEMA referred 304 families to HUD for DHAP-Sandy, and HUD in turn transmitted the data on those families to the State. The State's role included Program briefings for all clients, assistance to families to identify interim housing, operating a DHAP-Sandy call center, and processing monthly payments to landlords for the DHAP portion of the rent. The program sunset December 31, 2014 and has zero active clients as of January 1, 2015. All households participating in DHAP were required to work with the State's Disaster Case Management Program (DCM) to develop a long-term housing plan.

Economic Development

Hurricane Irene, Tropical Storm Lee, and Superstorm Sandy had widespread impacts on businesses throughout the Eastern Seaboard, affecting an area that produces 10 percent of America's economic output. While damage to property and contents was concentrated along coasts and river communities, the effects of the storms caused business disruption for tens of thousands of small businesses throughout the State. Many businesses that did not incur physical damage but were closed as a result of loss of power or damaged roads did not receive assistance.

The States analysis of the unmet economic development recovery needs provides an estimated dollar figure for unmet business needs using the following available data, SBA business loan information from December of 2014, an assessment of storm-related



business damage and economic impact, using Dun and Bradstreet business data from 2012, FEMA Superstorm Sandy flood inundation maps and census data. The State also employs data sources to attempt to present the longer term economic impact of the storms, particularly Superstorm Sandy, and to put the States unmet business needs in the context of how the economy reacted to the storms and their aftermath. As of December 2014, SBA received 5,132 loan applications for New York businesses outside of New York City, and 3,568 of these businesses (70 percent of all applicants) were denied a loan. The resulting calculation of unmet needs for these businesses is \$41.6 million.

Recovery Needs:

that didn't apply for assistance for a variety of reasons (credit, income, interest rates, etc.). The final adjusted unmet need for these businesses is estimated at \$711.31 million. Additionally, the analysis factors in mitigation costs for substantially impacted businesses. Mitigation costs are estimated to be 30 percent of the damage costs. The estimated mitigation needs for businesses with major to severe damage is \$114.8 million, including businesses that incurred physical damage from the storms and businesses negatively impacted by the storms in need of mitigation assistance. When combined, the unmet business needs is \$826.1 million.

The unmet needs for economic development also include an assessment of interrupted business operations. The goal is to account for businesses that may not have applied for an SBA loan but have unmet needs due to business interruption and lack of infrastructure to support ongoing operations. This issue was particularly acute after Superstorm Sandy, where power outages were widespread and lasted for weeks. For purposes of this analysis, lost profit due to interrupted business operations is used as a proxy for estimating unmet business needs beyond repair. To estimate lost profit, the analysis assumes these businesses were closed for two weeks, impacting an estimated 77,902 small businesses and resulting in a loss of an estimated \$197.6 million. Approximately 75 percent of this loss occurred within Nassau and Suffolk counties.

The Small Business Recovery Program was launched in the Spring of 2013. As of December 2014, over 3,000 businesses submitted applications to the Program and the Program has awarded 696 businesses a total of \$25.5 million and has disbursed \$19.2 million to 665 businesses. In total, the State has allocated \$216.5 million of CDBG-DR funds to economic development. The Small Business Grant and Loans Program accounts for \$183.5 million of this. The remaining funds are for the Business Mentoring Program and for Tourism and Marketing. After CDBG-DR allocations, the remaining unmet need in Small Business is estimated at \$624 million.

Infrastructure

The States infrastructure unmet needs are significantly higher than the unmet needs assessment defined by the HUD allocation methodology. HUDs calculation of unmet needs only accounts for projects already identified and budgeted for from the FEMA Public Assistance (FEMA PA) Program and other federal Sandy related match programs. Moreover, the number of infrastructure projects will continually increase as more physical needs assessments are completed. The State continues to develop projects that address storm recovery related mitigation unmet needs, increasing resiliency in storm impacted areas. The State also continues to assess large scale infrastructure and recovery related mitigation project costs. These projects may not yet have an identified financial resource to address them. Using the HUD allocation methodology, infrastructure unmet need is estimated at \$3.04 billion. However, the States estimate of true unmet need, estimates a figure of \$13.99 billion. This number is estimated to rise as new infrastructure unmet needs are identified and outreach, repair, reconstruction, and resilience efforts continue.

In most cases, the infrastructure programs have match requirements that can be paid for with CDBG-DR funds. However, the State accounts for the funding gap. State agencies and other stakeholders reported needs.

Recovery Needs:

to repair damaged transportation systems, energy infrastructure, water treatment facilities, community buildings, and other critical repairs. It also does not take full account of hazard mitigation projects related to damaged infrastructure needed to protect recovery related investments against future hazards. The States estimate of unmet needs accounts for data collected from State agencies about the needs beyond the match requirements. To quantify the broader estimate of the States unmet needs, the State engaged in extensive consultations with various stakeholders throughout the impacted disaster areas. The estimated remaining unmet needs are derived from these consultations as well as funding gaps in existing programs identified by GOSR staff involved in assessing reconstruction and resilience efforts. In addition to the data sources outlined above, the States broader estimate of unmet needs includes, inter alia, currently unfunded elements or projects in the following programs and areas:

FEMA Public Assistance

The total unmet need associated with the FEMA PA Program is estimated at \$2.58 billion. In this case, unmet needs are defined as the gap between the sum of FEMA estimated damage minus the funds already obligated with an additional estimate for hazard mitigation.

Transportation FTA

FTA received \$10.9 billion to repair areas impacted by Superstorm Sandy and has allocated \$5.65 billion to assist in rebuilding public transit systems. Similar to the other federal programs, there is a local match requirement for this program. It is assumed to be 10 percent. Based on information as of December 2014, total allocated FTA Emergency Relief (FTA ER) funds amounted to \$3.79 billion. This is equivalent to a local share (and unmet need) of \$379.5 million.

The FTA also made awards through their competitive grant program. Thus, the State includes the remaining repair, resiliency, and mitigation needs of the MTA and PANYNJ remaining after those awards. For the MTA, an analysis of the broader unmet needs of the MTA indicates that documented repair and resiliency needs exceed \$9 billion dollars. After deducting funding from the FTA (both through the FTA ER Program and the FTA ER competition grant program) the remaining unmet need is in excess of \$4 billion.

The requirements of the November 18, 2013 and October 24, 2014 Federal Register Notices call for the State to ensure that a portion of its allocation is used to address resiliency and local cost share requirements for damage to the MTA in New York City and demonstrate that such resiliency needs and local cost share has otherwise been met. After conducting outreach and consultations with the MTA and PANYNJ, the State obtained letters from each Authority indicating the MTA and PANYNJ compliance with cost share requirements for the Public Assistance Program. As such, the State determined that their resiliency needs are currently being otherwise met. The State continues to work with the MTA and PANYNJ regarding the FTA competitive



grant program so additional assistance for these Authorities is secured. At this time, the State is also working with the MTA and PANYNJ to ensure match funding needed for FTA projects are secured. If FTA approved awards do not fund all required projects resulting in an unmet need, the State will continue to work with federal, State, and city partners to ensure the recovery of the region's transportation assets.

Recovery Needs:

will continue to work with federal, State, and city partners to ensure the recovery of the region's transportation assets.

Transportation FHWA

The highways are excluded from FEMA PA Program because they are under the authority of the FHWA. As a result, FHWA is responsible for funding the repair of these highways. The FHWA Emergency Relief Program also requires a local match for all projects. This Program's match requirement is 20 percent. As of December 2014, the eligible match amounted to \$59.4 million. However, the FHWA Program currently identifies over \$657 million in emergency and permanent damage arising from the storms. As such, the unmet need is likely to increase.

Energy Systems

Superstorm Sandy caused widespread damage to the publicly operated utility systems and revealed the vulnerability of the electric grid. Electricity is a necessary and critical component of community recovery, the State as a result decided to assist eligible public utilities address repair, recovery, and resilience projects that are needed to restore power to storm impacted areas and are eligible to receive FEMA funds. This includes the Long Island Power Authority (LIPA) which provides power to at least 800,000 households on Long Island. Superstorm Sandy left tens of thousands of those customers without power for weeks and followed on the heels of Hurricane Irene which left similar power outages. All 12 of LIPAs substations on the South Shore of Long Island sustained some degree of flood damage following Sandy.

The State, through GOSR, will provide \$80 million to assist LIPA address FEMA matching requirements for restoration related costs. These will be applied to both the Hurricane Irene and Sandy \$1.4 billion Public Assistance awards. The match provided will be used to address post storm restoration activities to repair substations and electronic distribution systems. The State does not currently recognize any additional unmet energy systems needs beyond what is already budgeted.

Wastewater Systems

Water and wastewater treatment facilities were also significantly damaged, resulting in many communities without proper sewerage systems or clean water. Damage included loss of electrical systems and damage to pumping facilities and treatment plants due to saltwater and storm surge. Millions of gallons of untreated sewage were released into public waters after treatment facilities became inoperable. The situation is particularly acute in Long Island. Suffolk County recently released an executive summary of its Comprehensive Water Resources Management Plan Report. The State identified \$383 million for the Suffolk County Coastal Resiliency and Water Quality Improvement Initiative which proposes to extend sewers in Suffolk County in four areas, advanced by the county. The State allocated up to \$300 million in CDBG-DR funding and \$83 million to be financed through low interest loans from the Clean Water Revolving Fund administered by the New York State Environmental Facilities Corporation (EFC) and the DEC. The Suffolk County Coastal Resiliency and Water Quality Improvement Initiative is a major step forward in addressing unmet need for sewage systems and improvements to public health and water quality. The Bergen Point Wastewater Treatment Plant serves the largest sewer district in Suffolk

Recovery Needs:

County. During Superstorm Sandy, the plant's final effluent pump station (FEPS) was stressed and was at a risk of failure due to the high flow volumes. The Bergen Point FEPS has been allocated \$14,510,000 for pump station replacements and installation of a new pump for redundancy through the Storm Mitigation Loan Program (SMLP) administered by the EFC through its Clean Water State Revolving Fund (CWSRF). GOSR is providing \$3,175,000 in CDBG-DR funding for the match portion of the Bergen Point FEPS project. Although the county's Bergen Point Wastewater Treatment Plant was not damaged, there was extensive flooding in the service area of Bergen Point, damaging four pumping stations, numerous homes, and causing discharges from septic tanks and cesspools to enter residential areas. The State continues the process to identify its unmet needs in the wastewater area. For this analysis, no additional unmet needs are currently identified beyond what is already funded and budgeted.

Another critical water quality concern on Long Island is the lack of an ocean outfall pipe at Bay Park Sewage Treatment Plant. The State has requested FEMA PA funding for an ocean outfall pipe (total cost approximately \$546 million) and a midstage level of nitrogen treatment of 8 milligrams per liter at the Nassau County's Bay Park plant. FEMA has already allocated approximately \$810 million in funding to help repair and mitigate the plant but that award does not cover the outfall pipe. GOSR will match 10 percent of the FEMA assistance and currently does not identify any additional unmet needs for this project beyond what has already been funded. As such, in addition to HUD allocation methodology figure, the State estimates at least a \$546 million unmet need associated with the ocean outfall pipe.

United States Army Corps of Engineers (USACE)

In its allocation methodology, HUD also includes USACE projects for Sandy Infrastructure Resilience Coordination. These projects require large local matches, however, for the purposes of the allocation methodology only \$250,000 of CDBG-DR funds can be applied to the match for each project and are counted towards unmet need for infrastructure. Based on the projects listed as of December 2014, there is a need for \$2.5 million in CDBG-DR funds to be applied to the local match. However, the overall estimated project cost for these projects has increased from \$523 million to over \$660 million, requiring a local match of \$226 million. Beyond those estimates, there are additional projects that are currently authorized, unsolicited, or ongoing.

In total, there are 29 projects that have a total project cost of over \$4.98 billion, requiring an overall local match of \$226 million. This is much larger than the CDBG-DR qualified match defined above. The State includes this larger match figure in its estimate of broader unmet needs beyond those identified by HUD allocation methodology.

USDA Emergency Watershed Repair Program

GOSR, on behalf of the State, was one of a number of applicants for the USDA Emergency Watershed Repair Program in efforts to



help communities address watershed impairments that could pose imminent threats to lives and property. The USDA received over 179 applications totaling \$96.61 million in requests for funding of the Program. Of the 179 applications, 11 were selected for tentative funding, amounting to approximately

Recovery Needs:

There has only been \$557 million identified for unmet needs, leaving a gap of over \$320 million, a figure included in the State's broader assessment of infrastructure unmet needs. In addition to the priority projects proposed, NYRCR Planning Committees selected 275 additional unfunded projects (Featured Projects), estimated to cost roughly \$1.6 billion. As of now, no funding sources have been identified for these projects. Many of these additional infrastructure projects may not be eligible for CDBG-DR funding, but have been identified nonetheless by State agencies as an unmet recovery related need. Using both the HUD allocation methodology and the State's additional data sources highlights that, despite the progress made to date, there remains large unmet needs arising from the storms. This is true even when the proposed CDBG-DR allocations to New York State are accounted for. The largest unmet need remains in the infrastructure sector, which is \$3 billion when using HUD allocation methodology and almost \$14 billion when all identified unmet needs in this sector are accounted for.

Mitigation Needs

Much of the damage and interruption of basic services like power and clean water caused by Hurricane Irene, Tropical Storm Lee, and Superstorm Sandy could have been avoided with mitigation measures. These measures include elevating electrical systems, shoring structures, coastal restoration, relocations of repetitive flood loss properties, and flood control. The true cost of mitigation is still unknown, but HUD estimates that mitigation costs will be roughly equivalent to 30 percent of damage costs for homes, businesses, and infrastructure with major to severe damage. These costs are reflected in the unmet needs figures.

Overall	This Report Period	To Date
Total Projected Budget from All Sources	N/A	\$2,145,500,000.00
Total Budget	\$86,323,020.20	\$2,145,500,000.00
Total Obligated	\$132,553,556.72	\$999,384,456.69
Total Funds Drawdown	\$137,667,110.63	\$999,227,170.72
Program Funds Drawdown	\$137,667,110.63	\$999,227,170.72
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$138,789,376.13	\$950,129,715.64
Match Contributed	\$0.00	\$0.00

Progress Toward Required Numeric Targets

Requirement	Required	To Date
Overall Benefit Percentage (Projected)		39.55%
Overall Benefit Percentage (Actual)		41.45%
Minimum Non-Federal Match	\$0.00	\$0.00
Limit on Public Services	\$662,532,300.00	\$10,333,278.71
Limit on Admin/Planning	\$883,376,400.00	\$59,473,813.27
Limit on State Admin	\$220,844,100.00	\$30,516,410.72

Progress Toward Activity Type Targets

Progress Toward National Objective Targets



Overall Progress Narrative:

The State continued recovery efforts across all programs throughout the 4th quarter of 2014. The beginning of the quarter was focused on the second anniversary of Superstorm Sandy. To mark the second anniversary, all programs reached programmatic milestones, and the State published the Second Anniversary Report (http://stormrecovery.ny.gov/sites/default/files/uploads/gosr_report_letter_full_high.pdf) which highlights the State's recovery accomplishments, as well as outlined the next steps in the recovery efforts.

In addition, to the anniversary, the State also received the third allocation from HUD through the October 16, 2014 Federal Register notice (FR-5696-N-11). Throughout the 4th quarter, the agency worked diligently updating the unmet needs and drafting Action Plan Amendment No. 8 which the State will post for public comment in the first quarter of 2015. FR-5695-N-11, also introduced the winning proposals from the Rebuild by Design (RBD) as well as the allocations for RBD funding. The State kicked off the planning phases for both the Living Breakwaters: Tottenville Pilot and the Living with the Bay: Slow Streams projects.

In December, the State welcomed HUD for the twice a year Technical Assistance Visit. The State utilized this Technical Assistance Visit, to have HUD and cover agency wide topics such as financial management, subrecipient management, Davis Bacon, records management and compliance and monitoring.

The state continues to increase staff as it ramps up in program implementation. At the end of the fourth quarter the Governor's Office of Storm Recovery (GOSR) totaled 118 employees working all throughout the state, and hiring will continue to meet the needs of the recovery programs.

The State's initial Action Plan, approved in April 2013, focused primarily on addressing the immediate housing and business assistance needs in the communities affected by recent storms and assisting county and local governments to cover both their emergency expenses and the matching funds necessary to repair and mitigate key infrastructure projects. The State also worked with storm-damaged communities to begin comprehensive community-based planning. The State has currently amended the action plan six times since initial approval.

Action Plan Amendment One, a substantial amendment, allocated up to \$50 million to aid local governments in continuing to provide basic services to their residents in light of the decreased revenue as a result of lost property tax revenue and property abandonment. The State is still finalizing the details of this program and will work with HUD prior to any final programmatic decisions.

Action Plan Amendment Two allowed for the State to set aside \$5 million dollars to cover the 25% non-Federal match requirement of the FEMA HMGP program. Funds for this purpose will be provided through the Fuel NY Program, which was enacted by law and offers assistance to small businesses in implementing the capacity for back-up power in future emergencies and severe weather events.

Action Plan Amendment Three, a non-substantial amendment clarified many programmatic items in the following programs: Housing, Buyout, Small Business, Coastal Fishing, Seasonal Tourism, Business Assistance Program and Tourism Promotion Marketing programs.

Action Plan Amendment Four, a substantial amendment, introduced the Interim Mortgage Assistance Program (IMA) and allocated up to \$80 million dollars to assist displaced families who are struggling to pay the mortgage on the still damaged home which they are rehabilitating while paying for interim housing costs.

Action Plan Amendment Five, a substantial amendment, allocated \$2.7 million dollars to facilitate coordination among local research centers engaged in Sandy-related work and storm resilience through an inter-disciplinary research and planning effort, conducted through a consortium of New York State higher education institutions can add tremendous value to the state's recovery efforts and improve resilience. The consortium serves as a statewide anchor for policymakers, experts and emergency responders, providing comprehensive analysis to inform critical decisions before, during and after extreme weather events. The consortium will function as an institution for knowledge development of storm-hazards risk management; provide expertise to aid agencies in providing and quantifying resilience in ecosystem and infrastructure design, operation, and investment; and develop platforms for transforming predictions into adaptive measures.

Action Plan Amendment Six, a substantial amendment, guided the distribution of the entire \$3,810,960,000 of CDBG-DR funding to meet the remaining unmet housing, economic development, community planning, and infrastructure needs of impacted communities. Action Plan Amendment six added funding to existing programs underway. It also prioritizes repairs to, and mitigation of critical infrastructure, and the implementation of community-driven plans that will improve resilience and drive economic growth.

Action Plan Six included an adjusted unmet needs analysis that accounts for the remaining needs to

repair and replace damaged housing, economic development and infrastructure; a Comprehensive Risk Analysis, which provides a methodology for analyzing large-scale infrastructure projects, in accordance with HUD Notice; clarifications and budget adjustments of the New York Rising Housing Programs; adjustment to the budget for the Economic Development Program; increases the budget for the implementation of the New York Rising Community Reconstruction Program (NYRCR); and increases the budget for projects under the State's Infrastructure Program. Action Plan Amendment Seven updated the State's Certifications as required by the November 18, 2013, Federal Register. It also made modification to the Economic Development and Infrastructure programs to include planning as an additional eligible activity.

The APA adds planning as an eligible activity for the Business Mentoring program which provides technical assistance support to small businesses as part of the Economic Development portfolio.

The APA adds planning as an eligible activity for the Infrastructure programs to cover activities required in infrastructure projects including but not limited to individual project plans, environmental assessments, strategies and action programs to implement plans, and evaluation of the progress of projects in meeting goals and objectives. The State continues to make progress across all program lines and ensure that it is serving the individuals, small businesses and communities impacted by Sandy, Irene and Lee.

Detailed 4th quarter programmatic updates can be found in the appropriate program narratives in this QPR.

Project Summary

Project #, Project Title	This Report Period	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
R1-Admin, H. Administration R1	\$0.00	\$18,209,039.89	\$18,209,039.89
R1-Buyout, B. Buyout and Acquisition Rnd. 1	\$199,140.81	\$213,036,557.23	\$213,036,557.23
R1-ComRecon, G. Community Reconstruction Rnd. 1	\$0.00	\$5,000,000.00	\$5,000,000.00
R1-Housing, A. Recreate NY Smart Home Repair &	\$86,756.06	\$304,598,611.40	\$304,598,611.40
R1-INFRA, Local Government and Critical Infrastructure	\$0.00	\$119,819.80	\$119,819.80
R1-Match, F. Non-Federal Share Match Program	\$0.00	\$49,724,119.69	\$49,724,119.69
R1-SmBusiness, D. Small Business Program Rnd. 1	\$0.00	\$26,853,303.39	\$26,853,303.39
R1-TMC, E. Tourism Marketing Campaign	\$0.00	\$22,458,548.60	\$22,458,548.60
R2-Admin, H. Administration R 2	\$6,581,200.93	\$31,790,960.11	\$12,307,370.83
R2-Buyout, B. Buyout and Acquisition Rnd. 2	\$34,100,184.62	\$126,216,558.72	\$52,277,745.14
R2-ComRecon, G. Community Reconstruction Rnd. 2	\$6,533,664.41	\$20,000,000.00	\$19,911,253.68
R2-Housing, A. Recreate NY Smart Home Repair &	\$56,577,767.66	\$327,401,388.60	\$233,843,105.55
R2-IMA, I. IMA Rnd. 2	\$4,627,595.61	\$20,000,000.00	\$11,184,336.08
R2-INFRA, Local Government and Critical Infrastructure	\$11,838,831.18	\$35,240,180.20	\$11,931,434.09
R2-Match, F. Non-Federal Share Match Program Rnd. 2	\$5,659,942.83	\$181,809,460.97	\$5,659,942.83
R2-Rental, Rental Properties Program R2	\$2,439,120.24	\$30,000,000.00	\$3,089,076.24
R2-SmBusiness, D. Small Business Program Rnd 2	\$9,022,906.28	\$23,000,000.00	\$9,022,906.28
R2-TMC, Tourism Marketing Campaign R2	\$0.00	\$7,541,451.40	\$0.00
R3-Admin, Administration R3	\$0.00	\$0.00	\$0.00
R3-Buyout, Buyout and Acquisition R3	\$0.00	\$0.00	\$0.00
R3-ComRecon, Community Reconstruction R3	\$0.00	\$0.00	\$0.00
R3-Housing, Recreate NY Smart Home Repair &	\$0.00	\$0.00	\$0.00
R3-IMA, IMA R3	\$0.00	\$0.00	\$0.00



R3-INFRA, Local Government and Critical Infrastructure	\$0.00	\$0.00	\$0.00
R3-Match, Non-Federal Share Match Program R3	\$0.00	\$0.00	\$0.00
R3-RBD, Rebuild By Design R3	\$0.00	\$0.00	\$0.00
R3-Rental, Rental Properties Program R3	\$0.00	\$0.00	\$0.00
R3-SmBusiness, Small Business Program R3	\$0.00	\$0.00	\$0.00
R3-TMC, Tourism Marketing Campaign R3	\$0.00	\$0.00	\$0.00



Activities

Project # / Title: R1-Admin / H. Administration R1

Grantee Activity Number: R1-Admin
Activity Title: General Administration R1

Activity Category:

Administration

Project Number:

R1-Admin

Projected Start Date:

05/16/2013

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

H. Administration R1

Projected End Date:

05/14/2015

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall

Total Projected Budget from All Sources

Oct 1 thru Dec 31, 2014

N/A

To Date

\$18,209,039.89

Total Budget

\$0.00

\$18,209,039.89

Total Obligated

\$0.00

\$18,209,039.89

Total Funds Drawdown

\$0.00

\$18,209,039.89

Program Funds Drawdown

\$0.00

\$18,209,039.89

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$0.00

\$18,209,039.89

New York State

\$0.00

\$18,209,039.89

Match Contributed

\$0.00

\$0.00

Activity Description:

State and local administration of the CDBG-DR funds.

Location Description:

Administrative activities being undertaken throughout the areas impacted by Hurricanes Sandy, Irene and Tropical Storm Lee.

Activity Progress Narrative:

The Governor's Office of Storm Recovery (GOSR) of the Housing Trust Fund Corporation has continued to build and support the necessary staff resources to administer the CDBG-DR program for New York State. GOSR maintains a staff, office space, and intake center facilities in New York City (Lower Manhattan and Staten Island), Albany, and multiple locations throughout Long Island, including Farmingdale and Melville to carry out its community development activities. GOSR's Activities include general management, oversight, monitoring, and coordination of



planning activities and programs. During Q4 2014, funds were provided for legal, integrity monitoring, and other advisory services related to the design and implementation of the housing programs and small business programs. Additionally, GOSR expenditures included payments for payroll, taxes and insurance, travel, monitoring and other related costs. GOSR also covers the costs of facilities, office supplies, and equipment through administrative funds. To date, GOSR has hired approximately 118 staff involved in programmatic and operational work. GOSR continues to focus on building its capacity around the implementation of the housing and small business programs, as well as in other key areas such as monitoring and compliance, legal and finance.

The budget for R1 has been exhausted and this activity will be marked closed/completed. The corresponding R2 activity funds will be used for any further expenditure.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R1-Buyout / B. Buyout and Acquisition Rnd. 1

Grantee Activity Number: R1-BOH-LMI

Activity Title: Buyout R1 - LMI

Activity Category:

Acquisition - buyout of residential properties

Project Number:

R1-Buyout

Projected Start Date:

05/16/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

B. Buyout and Acquisition Rnd. 1

Projected End Date:

05/14/2015

Completed Activity Actual End Date:

Responsible Organization:

New York State



Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$74,781,775.28
Total Budget	\$0.00	\$74,781,775.28
Total Obligated	\$0.00	\$74,781,775.28
Total Funds Drawdown	\$0.00	\$74,781,775.28
Program Funds Drawdown	\$0.00	\$74,781,775.28
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$74,781,775.28
New York State	\$0.00	\$74,781,775.28
Match Contributed	\$0.00	\$0.00

Activity Description:

Voluntary buyout/acquisition of properties in high hazard areas.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee with particular emphasis on Nassau, Suffolk, and parts of Staten Island.

Activity Progress Narrative:

In Q4 2014, the State completed the acquisition of 24 parcels, bringing the total cumulative amount to 114 buyout parcels in this Round 1 Low and Moderate Income benefit activity. Acquired parcels are located in the most impacted counties; Richmond, Suffolk, and Nassau. The 24 properties acquired in Q4 2014 contain 24 housing units; 24 owner occupied households and 0 renter households.

The budget for this Round 1 activity has been exhausted and this activity will be marked closed/completed. The corresponding R2 activity funds will be used in the future.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	24	114/141
# of Parcels acquired voluntarily	24	114/141

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	24	117/126
# of Singlefamily Units	24	117/126

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	14	10	24	73/79	44/51	117/144	100.00
# Owner Households	14	10	24	73/79	41/48	114/141	100.00
# Renter Households	0	0	0	0/0	3/3	3/3	100.00



Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: R1-BOH-UN

Activity Title: Buyout R1 - UN

Activity Category:

Acquisition - buyout of residential properties

Project Number:

R1-Buyout

Projected Start Date:

05/16/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

B. Buyout and Acquisition Rnd. 1

Projected End Date:

05/14/2015

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$138,254,781.95
Total Budget	\$0.00	\$138,254,781.95
Total Obligated	\$0.00	\$138,254,781.95
Total Funds Drawdown	\$199,140.81	\$138,254,781.95
Program Funds Drawdown	\$199,140.81	\$138,254,781.95
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$199,140.81	\$138,254,781.95
New York State	\$199,140.81	\$138,254,781.95
Match Contributed	\$0.00	\$0.00

Activity Description:

Voluntary buyout of single-family properties.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee particularly in areas of Nassau, Suffolk Counties and Staten Island.

Activity Progress Narrative:

In Q4 2014, the State completed the acquisition of 52 parcels, bringing the total cumulative amount to 238 buyouts parcels in this Round 1 Urgent need activity. Acquired parcels are located in the most impacted counties; Richmond, Suffolk, and Nassau. The 52 properties acquired in Q4 2014 contain 52 housing units; 52 owner occupied households and 0 renter households. The budget for this Round 1 activity has been exhausted and this activity will be marked closed/completed. The corresponding R2 activity will be used in the future.

Accomplishments Performance Measures

**This Report Period
Total**

**Cumulative Actual Total / Expected
Total**



# of Properties	52	238/265
# of Parcels acquired voluntarily	52	238/265

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	52	244/256
# of Singlefamily Units	52	244/256

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	0	0	52	0/0	0/0	244/271	0.00
# Owner Households	0	0	52	0/0	0/0	238/265	0.00
# Renter Households	0	0	0	0/0	0/0	6/6	0.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R1-Housing / A. Recreate NY Smart Home Repair &

Grantee Activity Number: R1-HSG-LMI
Activity Title: Recreate NY Smart Home Repair R1 - LMI

Activity Category:
 Rehabilitation/reconstruction of residential structures

Project Number:
 R1-Housing

Projected Start Date:
 05/16/2013

Benefit Type:
 Direct (HouseHold)

National Objective:
 Low/Mod

Activity Status:
 Under Way

Project Title:
 A. Recreate NY Smart Home Repair & Reconstruction

Projected End Date:
 05/14/2015

Completed Activity Actual End Date:

Responsible Organization:
 New York State



Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$143,658,615.92
Total Budget	\$0.00	\$143,658,615.92
Total Obligated	\$0.00	\$143,658,615.92
Total Funds Drawdown	\$15,226.01	\$143,658,615.92
Program Funds Drawdown	\$15,226.01	\$143,658,615.92
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$15,226.01	\$143,658,615.92
New York State	\$15,226.01	\$143,658,615.92
Match Contributed	\$0.00	\$0.00

Activity Description:

Rehabilitaiton or reconstruction of owner-occupied single-family housing.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee, with the majority located in Nassau and Suffolk Counties.

Activity Progress Narrative:

This narrative is reflective of the following activities: Round 1 Housing (UN and LMI) and Round 2 Housing (UN and LMI). During Q4 2014, the state's Homeowner Program continued to issue both Reimbursement and initial Repair payments to applicants who are owner-occupants of single-family homes. The Program also continued to conduct Final Site Visits for such applicants who have completed construction, and began to close out complete files, and to issue final payments. Thirteen (13) files were completely closed out, and final payments issued, during the quarter. In addition the Program continued to allow single-family housing applicants to opt in to optional resiliency measures including home elevation, mitigation measures, and bulkhead repair/replacement, and to issue design funds for these measures. As of the end of Q4, nearly 1,500 applicants had opted in for one or more resiliency measures and had received design funds.

During Q4 2014, the GOSR Co-op and Condos program continued to accept applications, and developed the program infrastructure needed to issue the first payments. The first award was issued on November 26th for \$14,179. As of December 31st, there were 28 active applications submitted by co-ops and condo applications seeking assistance for damages to their common elements, 279 applications from individuals with damages to their unit, and 367 applications submitted by unit owners who did not experience direct damage, but who are applying to support the eligibility of the co-op or condo association. Of the applications from individuals, 229 self-reported as LMI. 50 of those applications have been verified as LMI thus far.

In recognition of the fact that LMI applicants are less likely to receive private insurance benefits, the Program allows them a higher Award Cap than non-LMI (Unmet Needs) applicants. The cap is set at \$300,000 for Unmet Needs applicants and set at \$350,000 for LMI applicants.

Major program delivery expenses in Q4 included ongoing case management, damage and environmental assessment and clearance inspections, and final site visit inspections, performed by the Program's case management and construction management vendors. During the latter part of Q4, the Program undertook an online survey of applicants who are owner-occupants of single-family homes who have been issued repair award payments, in order to assess applicant progress with construction and to identify barriers to project completion. The Program will be developing strategies to help applicants overcome barriers in the coming quarter. Program activity for Q4 2014 is summarized as follows.

In Q4, the Program issued no final payments from Round 1 to LMI applicants. The number of LMI applicants issued final payment from Round 1 since the Program's inception is 177.

In Q4, the Program issued final payments from Round 1 to 2 UN applicants. The number of UN applicants issued final payment from Round 1 since the Program's inception is 289.

In Q4, the Program issued final payments from Round 2 to 2 LMI applicants. The number of LMI applicants issued final payment from Round 2 since the Program's inception is 164.

In Q4, the Program issued final payments from Round 2 to 9 UN applicants. The number of UN applicants issued final payment from Round 2 since the program's inception is 304.

The above statistics do not fully reflect day to day Program activity, since they do not include initial or interim payments to applicants. During Q4, 2,270 applicants, 903 of them LMI and 1375 of them UN, received a payment.



The budget for this Round 1 activity has been exhausted and this activity will be marked closed/completed. The corresponding Round 2 activity funds will be used in the future.

Accomplishments Performance Measures

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Properties	0		177/177	
# of Substantially Rehabilitated	0		6/6	
# of Elevated Structures	0		3/3	

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Housing Units	0		177/177	
# of Singlefamily Units	0		177/177	

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	0	0	0	96/96	81/81	177/177	100.00
# Owner Households	0	0	0	96/96	81/81	177/177	100.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Grantee Activity Number:	R1-HSG-UN
Activity Title:	Recreate NY Smart Home Repair R1 - UN

Activity Category:
 Rehabilitation/reconstruction of residential structures

Project Number:
 R1-Housing

Projected Start Date:
 05/16/2013

Benefit Type:
 Direct (HouseHold)

National Objective:
 Urgent Need

Activity Status:
 Under Way

Project Title:
 A. Recreate NY Smart Home Repair & Reconstruction

Projected End Date:
 05/14/2015

Completed Activity Actual End Date:

Responsible Organization:
 New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$160,939,995.48
Total Budget	\$0.00	\$160,939,995.48
Total Obligated	\$0.00	\$160,939,995.48
Total Funds Drawdown	\$71,530.05	\$160,939,995.48
Program Funds Drawdown	\$71,530.05	\$160,939,995.48
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$71,530.05	\$160,939,995.48
New York State	\$71,530.05	\$160,939,995.48
Match Contributed	\$0.00	\$0.00

Activity Description:

Repair and reconstruciton of single-family owner-occupied homes. Performance targets for this Obligation Round 2 activity reflect an estimate of accomplishments that will be completed during the time that Obligation Round 2 is active. Funds included in this Obligation Round 2 activity are expected to contribute to accomplishments that will be reported in the future during the time that future obligation rounds are active.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee, with the priority areas being Nassau and Suffolk Counties.

Activity Progress Narrative:

This narrative is reflective of the following activities: Round 1 Housing (UN and LMI) and Round 2 Housing (UN and LMI). During Q4 2014, the state's Homeowner Program continued to issue both Reimbursement and initial Repair payments to applicants who are owner-occupants of single-family homes. The Program also continued to conduct Final Site Visits for such applicants who have completed construction, and began to close out complete files, and to issue final payments. Thirteen (13) files were completely closed out, and final payments issued, during the quarter. In addition the Program continued to allow single-family housing applicants to opt in to optional resiliency measures including home elevation, mitigation measures, and bulkhead repair/replacement, and to issue design funds for these measures. As of the end of Q4, nearly 1,500 applicants had opted in for one or more resiliency



measures and had received design funds.

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Major program delivery expenses in Q4 included ongoing case management, damage and environmental assessment and clearance inspections, and final site visit inspections, performed by the Program's case management and construction management vendors. During the latter part of Q4, the Program undertook an online survey of applicants who are owner-occupants of single-family homes who have been issued repair award payments, in order to assess applicant progress with construction and to identify barriers to project completion. The Program will be developing strategies to help applicants overcome barriers in the coming quarter. Program activity for Q4 2014 is summarized as follows.

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In Q4, the Program issued final payments from Round 2 to 9 UN applicants. The number of UN applicants issued final payment from Round 2 since the program's inception is 304.

The above statistics do not fully reflect day to day Program activity, since they do not include initial or interim payments to applicants. During Q4, 2,270 applicants, 903 of them LMI and 1375 of them UN, received a payment.

The budget for this Round 1 activity has been exhausted and this activity will be marked closed/completed. The corresponding R2 activity funds will be used in the future.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	2	289/287
# of Substantially Rehabilitated	0	8/8
# of Elevated Structures	0	8/8

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	2	291/289
# of Singlefamily Units	2	291/289

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	0	0	2	0/0	0/2	291/289	0.00
# Owner Households	0	0	2	0/0	0/0	289/287	0.00
# Renter Households	0	0	0	0/0	0/2	2/2	0.00

Activity Locations

No Activity Locations found.



Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R1-INFRA / Local Government and Critical Infrastructure

Grantee Activity Number: R1-INFRA-Moses-UN

Activity Title: Robert Moses State Park R1 - UN

Activity Category:

Rehabilitation/reconstruction of a public improvement

Activity Status:

Under Way

Project Number:

R1-INFRA

Project Title:

Local Government and Critical Infrastructure Program

Projected Start Date:

05/14/2013

Projected End Date:

05/16/2015

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

New York State - by and through OGS and OPRHP

Overall

	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$119,819.80
Total Budget	\$0.00	\$119,819.80
Total Obligated	\$0.00	\$119,819.80
Total Funds Drawdown	\$0.00	\$119,819.80
Program Funds Drawdown	\$0.00	\$119,819.80
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$119,819.80
Match Contributed	\$0.00	\$0.00

Activity Description:

To date, two projects have been undertaken by the State of New York to restore the over 1.2 million cubic yards of sand Superstorm Sandy took from Robert Moses State Park's beaches and dunes. The first project, which is the subject of this reimbursement, is the Robert Moses State Park Emergency Beach Renourishment Project, which was completed in May 2013. The second project, known as the Captree State Boat Channel Dredging and Beach Stabilization Project, builds on the prior project to provide longer term stabilization of the Park infrastructure and



adjoining beach.

When the state initially chose performance metrics for Robert Moses it chose the number of linear miles. However as the project has progressed the state has determined that reporting the number of cubic yards is a more accurate measure of performance.

Location Description:

The Park is an 875 acre State park located on the southern coast of Long Island on the western end of the barrier island known as Fire Island. The Park extends from Democrat Point on the west to the Fire Island National Seashore on the east, and is bounded by the Atlantic Ocean on the south side and Great South Bay and Fire Island Inlet on its north side.

Activity Progress Narrative:

The budget for this Round 1 activity has been exhausted and this activity will be marked closed/completed. The corresponding R2 activity funds will be used in the future.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R1-SmBusiness / D. Small Business Program Rnd. 1

Grantee Activity Number: R1-SBJ-LMI

Activity Title: Small Business Jobs R1 - LMI

Activity Category:

Econ. development or recovery activity that creates/retains

Activity Status:

Under Way

Project Number:

R1-SmBusiness

Project Title:

D. Small Business Program Rnd. 1

Projected Start Date:

Projected End Date:



05/16/2013

05/14/2015

Benefit Type:

Direct (Person)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$16,797,782.93
Total Budget	\$0.00	\$16,797,782.93
Total Obligated	\$0.00	\$10,635,548.05
Total Funds Drawdown	\$0.00	\$16,797,782.93
Program Funds Drawdown	\$0.00	\$16,797,782.93
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$10,635,548.05
Match Contributed	\$0.00	\$0.00

Activity Description:

Grant and/or loan assistance to eligible businesses to cover working capital, machinery and equipment, furniture and fixtures, renovation and reconstruction activities. Not-for profits will be eligible to receive the same, except for working capital.

Location Description:

Hurricane Sandy: Nassau, Orange, Putnam, Rockland, Suffolk, Sullivan, Ulster, and Westchester counties.
Hurricane Irene: Albany, Clinton, Columbia, Delaware, Dutchess, Essex, Greene, Herkimer, Montgomery, Nassau, Orange, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, and Westchester.
Tropical Storm Lee: Broome, Chemung, Chenango, Delaware, Fulton, Herkimer, Oneida, Orange, Otsego, Schenectady, Schoharie, Tioga, and Ulster.

Activity Progress Narrative:

The budget for R1 has been exhausted and this activity will be marked closed/completed. The corresponding R2 activity funds will be used for any further expenditure.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of buildings (non-residential)	0	171/171
# of Businesses	0	136/136



Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/0	0
# of Permanent Jobs Retained	0	0	0	1166/1166	193/193	1491/1491	91.15

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Persons	0	0	0	1166/1166	193/193	1527/1491	89.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number: R1-SBJ-UN

Activity Title: Small Business Jobs R1 - UN

Activity Category:

Econ. development or recovery activity that creates/retains

Project Number:

R1-SmBusiness

Projected Start Date:

05/14/2013

Benefit Type:

Direct (Person)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

D. Small Business Program Rnd. 1

Projected End Date:

05/16/2015

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$9,269,568.08
Total Budget	\$0.00	\$9,269,568.08
Total Obligated	\$0.00	\$9,970,871.29
Total Funds Drawdown	\$0.00	\$9,269,568.08
Program Funds Drawdown	\$0.00	\$9,269,568.08
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$100,000.00	\$9,970,871.29
New York State	\$100,000.00	\$9,970,871.29
Match Contributed	\$0.00	\$0.00

Activity Description:

Grant and/or loan assistance to eligible businesses to cover working capital, machinery and equipment, furniture and fixtures, renovation and reconstruction activities. Not-for profits will be eligible to receive the same, except for working capital.

Location Description:

Hurricane Sandy: Nassau, Orange, Putnam, Rockland, Suffolk, Sullivan, Ulster, and Westchester counties.

Hurricane Irene: Albany, Clinton, Columbia, Delaware, Dutchess, Essex, Greene, Herkimer, Montgomery, Nassau, Orange, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, and Westchester.

Tropical Storm Lee: Broome, Chemung, Chenango, Delaware, Fulton, Herkimer, Oneida, Orange, Otsego, Schenectady, Schoharie, Tioga, and Ulster.

Activity Progress Narrative:

The budget for R1 has been exhausted and this activity will be marked closed/completed. The corresponding R2 activity funds will be used for any further expenditure. In the quarter, an adjustment was made to reported expenditures in order to reconcile inception to date expenditures and drawdown.



Accomplishments Performance Measures

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of buildings (non-residential)	0		20/20	
# of Businesses	0		19/19	

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/0	0
# of Permanent Jobs Retained	0	0	0	8/8	10/9	71/71	25.35

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Persons	0	0	0	8/8	10/9	71/71	25.35

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Grantee Activity Number: R1-SBR-UN

Activity Title: Small Business Repair R1 - UN

Activity Category:

Rehabilitation/reconstruction of other non-residential

Project Number:

R1-SmBusiness

Projected Start Date:

10/29/2012

Benefit Type:

Direct (Person)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

D. Small Business Program Rnd. 1

Projected End Date:

06/26/2015

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$385,490.49
Total Budget	\$0.00	\$385,490.49
Total Obligated	\$0.00	\$3,810,542.11
Total Funds Drawdown	\$0.00	\$385,490.49
Program Funds Drawdown	\$0.00	\$385,490.49
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$3,810,542.11
New York State	\$0.00	\$3,810,542.11
Match Contributed	\$0.00	\$0.00

Activity Description:

Grant and/or loan assistance to eligible businesses to cover working capital, machinery and equipment, furniture and fixtures, renovation and reconstruction activities. Not-for profits will be eligible to receive the same, except for working capital.

Location Description:

Hurricane Sandy: Nassau, Orange, Putnam, Rockland, Suffolk, Sullivan, Ulster, and Westchester counties.

Hurricane Irene: Albany, Clinton, Columbia, Delaware, Dutchess, Essex, Greene, Herkimer, Montgomery, Nassau, Orange, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, and Westchester.

Tropical Storm Lee: Broome, Chemung, Chenango, Delaware, Fulton, Herkimer, Oneida, Orange, Otsego, Schenectady, Schoharie, Tioga, and Ulster.

Activity Progress Narrative:

The budget for this Round 1 activity has been exhausted and this activity will be marked closed/completed.



Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R1-TMC / E. Tourism Marketing Campaign

Grantee Activity Number: R1-TMC-LongBeach

Activity Title: Long Beach Tourism Marketing Campaign R1

Activity Category:

Tourism (Waiver Only)

Project Number:

R1-TMC

Projected Start Date:

05/16/2013

Benefit Type:

Area ()

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

E. Tourism Marketing Campaign

Projected End Date:

05/14/2015

Completed Activity Actual End Date:

Responsible Organization:

City of Long Beach New York

Overall

Total Projected Budget from All Sources

Oct 1 thru Dec 31, 2014

N/A

To Date

\$500,000.00

Total Budget

\$0.00

\$500,000.00

Total Obligated

\$0.00

\$500,000.00

Total Funds Drawdown

\$0.00

\$500,000.00

Program Funds Drawdown

\$0.00

\$500,000.00



Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$500,000.00
City of Long Beach New York	\$0.00	\$500,000.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Marketing and Advertising for seasonal tourism in Long Beach.

Location Description:

City of Long Beach New York

Activity Progress Narrative:

All activities are complete for Long Island tourism. The Long Island tourism program provided assistance to the city of Long Beach to promote and revitalize the region as a viable tourist destination following the effects of Superstorm Sandy to the industry and businesses affiliated with the tourism industry, one of the region's critical industries. All funds have been expended in this category and the program will be closed out.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Posted Advertisements for	0	15/119
# of Distributed Materials	0	645421/15000
# of events held	0	3/50

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Grantee Activity Number:	R1-TMC-State
Activity Title:	State Tourism Marketing Campaign R1

Activity Category:

Tourism (Waiver Only)

Project Number:

R1-TMC

Projected Start Date:

05/16/2013

Benefit Type:

Area ()

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

E. Tourism Marketing Campaign

Projected End Date:

05/14/2015

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$21,958,548.60
Total Budget	\$0.00	\$21,958,548.60
Total Obligated	\$0.00	\$21,958,548.60
Total Funds Drawdown	\$0.00	\$21,958,548.60
Program Funds Drawdown	\$0.00	\$21,958,548.60
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$21,958,548.60
New York State	\$0.00	\$21,958,548.60
Match Contributed	\$0.00	\$0.00

Activity Description:

Marketing and advertising for seasonal tourism industry impacted by the storms.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee.

Activity Progress Narrative:

To date, the State has run over 108,000 postings across New York State and the United States in an effort to attract traveling tourists and to revitalize the tourism industry following the devastating impacts of Superstorm Sandy to the businesses and employees dependent on the revenues generated by local and national tourism. The budget for R1 has been exhausted and this activity will be marked closed/completed. The corresponding R2 activity funds will be used for any further expenditure.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Posted Advertisements for	0	81150/81150



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R2-Admin / H. Administration R 2

Grantee Activity Number:	R2-Admin
Activity Title:	General Administration R2

Activity Category:

Administration

Project Number:

R2-Admin

Projected Start Date:

01/13/2014

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

H. Administration R 2

Projected End Date:

01/12/2016

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall

	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$31,790,960.11
Total Budget	\$0.00	\$31,790,960.11
Total Obligated	\$6,581,200.93	\$12,307,370.83
Total Funds Drawdown	\$6,581,200.93	\$12,307,370.83
Program Funds Drawdown	\$6,581,200.93	\$12,307,370.83



Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$6,261,005.07	\$11,987,174.97
New York State	\$6,261,005.07	\$11,987,174.97
Match Contributed	\$0.00	\$0.00

Activity Description:

Administration for the disaster recovery activities .

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee.

Activity Progress Narrative:

The Governor’s Office of Storm Recovery (GOSR) of the Housing Trust Fund Corporation has continued to build and support the necessary staff resources to administer the CDBG-DR program for New York State. GOSR maintains a staff, office space, and intake center facilities in New York City (Lower Manhattan and Staten Island), Albany, and multiple locations throughout Long Island, including Farmingdale and Melville to carry out its community development activities. GOSR’s Activities include general management, oversight, monitoring, and coordination of planning activities and programs. During Q4 2014, funds were provided for legal, integrity monitoring, and other advisory services related to the design and implementation of the housing programs and small business programs. Additionally, GOSR expenditures included payments for payroll, taxes and insurance, travel, monitoring and other related costs. GOSR also covers the costs of facilities, office supplies, and equipment through administrative funds. To date, GOSR has hired approximately 118 staff involved in programmatic and operational work. GOSR continues to focus on building its capacity around the implementation of the housing and small business programs, as well as in other key areas such as monitoring and compliance, legal and finance.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Activity Supporting Documents



Project # / Title: R2-Buyout / B. Buyout and Acquisition Rnd. 2**Grantee Activity Number: R2-BOH-AQU-LMI****Activity Title: Acquisition for Redevelopment - R2 - LMI****Activity Category:**

Acquisition - buyout of residential properties

Project Number:

R2-Buyout

Projected Start Date:

01/13/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

B. Buyout and Acquisition Rnd. 2

Projected End Date:

01/12/2016

Completed Activity Actual End Date:**Responsible Organization:**

New York State

Overall**Total Projected Budget from All Sources****Oct 1 thru Dec 31, 2014**

N/A

To Date

\$37,931,146.16

Total Budget

\$0.00

\$37,931,146.16

Total Obligated

\$13,558,799.52

\$20,802,925.91

Total Funds Drawdown

\$8,700,450.83

\$14,182,878.55

Program Funds Drawdown

\$8,700,450.83

\$14,182,878.55

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$5,906,267.96

\$12,583,248.54

New York State

\$5,906,267.96

\$12,583,248.54

Match Contributed

\$0.00

\$0.00

Activity Description:

Substantially damaged residential properties will be acquired by the State for redevelopment in the future in a resilient manner to protect future occupants of this property. Redevelopment will be undertaken in consultation with local officials to ensure that the properties best serve the future goals of the communities in which they are located. In some cases, the properties will remain undeveloped and be transformed into parks or other non-residential uses, while in most cases they will be redeveloped in a resilient manner.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee with particular emphasis on Nassau, Suffolk Counties and parts of Staten Island.

Activity Progress Narrative:

In Q4 2014, the State completed the acquisition of 49 parcels, bringing the cumulative amount to 101 parcels in

this Round 2 Low and Moderate Income benefit activity. With an amended total LMI Round 2 budget of \$49,999,346.46, the State projects the acquisition of a total of 125 parcels. Acquired parcels are located in the most impacted counties; Richmond, Suffolk, and Nassau. The 49 properties acquired in Q4 2014 contain 51 housing units; 49 owner occupied households and 2 renter households.

The projections for this activity were adjusted to reflect the updated budgets. The program may be moving to an area benefit model after this quarter (Q4 2014). Funds drawdown was less than funds expended because certain acquisition closing transactions, for which funds had been drawn, did not occur until after the end of the quarter.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	49	101/72
# of Parcels acquired voluntarily	49	101/72

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	51	103/74
# of Singlefamily Units	51	103/74

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	30	21	51	63/47	40/27	103/74	100.00
# Owner Households	29	20	49	62/47	39/25	101/72	100.00
# Renter Households	1	1	2	1/0	1/2	2/2	100.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Grantee Activity Number:	R2-BOH-AQU-UN
Activity Title:	Acquisition for Redevelopment - R2 -UN

Activity Category:

Acquisition - buyout of residential properties

Project Number:

R2-Buyout

Projected Start Date:

01/13/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

B. Buyout and Acquisition Rnd. 2

Projected End Date:

01/12/2016

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$58,477,133.20
Total Budget	\$0.00	\$58,477,133.20
Total Obligated	\$16,423,470.21	\$31,474,819.23
Total Funds Drawdown	\$9,864,713.18	\$20,318,230.24
Program Funds Drawdown	\$9,864,713.18	\$20,318,230.24
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$7,343,168.56	\$18,843,748.50
New York State	\$7,343,168.56	\$18,843,748.50
Match Contributed	\$0.00	\$0.00

Activity Description:

Substantially damaged residential properties will be acquired by the State for redevelopment in the future in a resilient manner to protect future occupants of this property. Redevelopment will be undertaken in consultation with local officials to ensure that the properties best serve the future goals of the communities in which they are located. In some cases, the properties will remain undeveloped and be transformed into parks or other non-residential uses, while in most cases they will be redeveloped in a resilient manner.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee with particular emphasis on Nassau, Suffolk counties and parts of Staten Island.

Activity Progress Narrative:

In Q4 2014, the State completed acquisition of 109 parcels, bringing the cumulative amount to 210 parcels in the Round 2 Urgent Need activity. With an amended total Urgent Need Round 2 budget of \$76,217,212.26, the State projects the acquisition of a total of 210 parcels. Acquired parcels are located in the most impacted counties; Richmond, Suffolk, and Nassau. The 109 properties acquired in Q4 2014 contain 109 housing units; 109 owner occupied households and 0 renter households. The projections for this activity were adjusted to reflect the updated budgets. The program may be moving to an area benefit model after this quarter (Q4 2014). Funds drawdown was less than funds expended because certain acquisition closing transactions, for which funds had been drawn, did not occur until after the end of the quarter.



Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	109	210/105
# of Parcels acquired voluntarily	109	210/105

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	109	218/110
# of Singlefamily Units	109	218/110

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	0	0	109	0/2	0/3	218/110	0.00
# Owner Households	0	0	109	0/0	0/0	210/105	0.00
# Renter Households	0	0	0	0/2	0/3	8/5	0.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-BOH-FPR-UN
Activity Title:	Buyout for Flood Plain Restoration - R2-UN

Activity Category:

Acquisition - general

Project Number:

R2-Buyout

Projected Start Date:

05/14/2014

Benefit Type:

Area ()

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

B. Buyout and Acquisition Rnd. 2

Projected End Date:

05/14/2016

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$69,969,410.74
Total Budget	\$0.00	\$69,969,410.74
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$15,535,020.61	\$17,776,636.35
Program Funds Drawdown	\$15,535,020.61	\$17,776,636.35
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$10,901,733.45	\$10,901,733.45
New York State	\$10,901,733.45	\$10,901,733.45
Match Contributed	\$0.00	\$0.00

Activity Description:

Certain highest risk areas in the floodplains, determined to be among the most susceptible to future disasters and that therefore present a greater risk to people and property, will be identified by the State and its local partners for "Buyouts for Floodplain Restoration". In accordance with the HUD Notices governing the use of these funds, these properties will be maintained in perpetuity as coastal buffer zones.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee with particular emphasis on Nassau, Suffolk Counties and parts of Staten Island.

Activity Progress Narrative:

This new activity was created in Quarter 1 2015. Drawdown and expenditures reported for this activity relate to prior period drawdowns that were reclassified in the first quarter of 2015. Accordingly, the quarterly progress narratives and performance metrics related to this expenditure are described in activities R2-BOH-AQU-UN and R2-BOH-AQU-LMI. Inception to date performance metrics for this activity will be provided in the upcoming March 31, 2015 Quarter Performance Report.



Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	0	0/156

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	0	0/99
# of Singlefamily Units	0	0/99

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-ComRecon / G. Community Reconstruction Rnd. 2

Grantee Activity Number: R2-CR-Plan

Activity Title: Community Reconstruction Planning R2

Activity Category:

Planning

Project Number:

R2-ComRecon

Projected Start Date:

01/13/2014

Benefit Type:

Area ()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

G. Community Reconstruction Rnd. 2

Projected End Date:

01/12/2016

Completed Activity Actual End Date:

Responsible Organization:

New York State



Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$34,500,000.00
Total Budget	\$0.00	\$34,500,000.00
Total Obligated	\$6,533,664.41	\$19,911,253.68
Total Funds Drawdown	\$6,533,664.41	\$19,911,253.68
Program Funds Drawdown	\$6,533,664.41	\$19,911,253.68
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$6,499,173.90	\$19,876,763.17
New York State	\$6,499,173.90	\$19,876,763.17
Match Contributed	\$0.00	\$0.00

Activity Description:

The State will contract with 9 planning/consulting firms to provide planning assistance to communities in the affected areas in their efforts to develop long-term recovery and resiliency plans which will lead to future CDBG-DR projects.

Location Description:

New York State will be undertaking planning activities in and with communities located in the impacted areas. Individuals and groups of communities are awarded in the three general areas: Long Island, New York City, and Upstate. All communities are located in Counties eligible for DR assistance through Sandy, Irene or Lee disaster declarations.

Activity Progress Narrative:

The NY Rising Community Reconstruction (NYRCR) Program is a participatory planning and recovery program to empower the State's most impacted communities with the technical expertise needed to develop implementable reconstruction plans to build physically, socially, and economically resilient and sustainable communities.

The State allotted between \$3 million and \$25 million each in CDBG-DR funding to 119 communities to implement eligible projects identified in NYRCR Community Reconstruction Plans. Sixty-one NYRCR Planning Committees, each comprising one or more of the 119 communities, were assembled. Forty-five of these Planning Committees began their work in the summer of 2013 and completed the planning process in April 2014. The remaining 16 Planning Committees entered into the NYRCR Program in the spring of 2014 and completed their work in December 2014. Planning Committees are composed of local residents, business owners, and civic leaders. The Planning Committees were supported by staff from the Governor's Office of Storm Recovery (GOSR) and consultants from planning firms that specialize in engineering, flood mitigation solutions, green infrastructure, and more. The planning process ensured that Committees engaged in a survey of storm damage, asset inventory, consideration of community needs and opportunities, development of recovery and resiliency strategies, and proposal of projects and actions which advance those strategies.

The NYRCR Program sets a new standard for community participation in recovery and resiliency planning. More than 650 New Yorkers represent their communities by serving on Planning Committees. To date, over 600 Planning Committee Meetings have been held. All meetings were open to the public. An additional 240-plus Public Engagement Events have attracted thousands of community members, who provided feedback on the NYRCR planning process and proposals. The NYRCR Program's outreach has included communities that are traditionally underrepresented, such as immigrant populations and students. All planning materials are posted on the NYRCR Program's website, providing several ways for community members and the public to submit feedback on materials in progress.

During Q4 2014, over \$4.1 million was paid to planning firms and over \$2.3 million was paid to the firm assisting with the implementation of projects proposed in NYRCR Community Reconstruction Plans. GOSR has entered into 47 subrecipient agreements with local governments and a State Agency who are overseeing the implementation of projects from each Planning Committee. Eighty-one projects so far have been selected for implementation and are currently being developed in detail through an internal application process. Additional projects from each community are being evaluated for their efficacy, eligibility for funding, and feasibility.

Accomplishments Performance Measures

This Report Period
Total

Cumulative Actual Total / Expected
Total



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R2-Housing / A. Recreate NY Smart Home Repair &

Grantee Activity Number: R2-HSG-LMI

Activity Title: Recreate NY Smart Home Repair R2 - LMI

Activity Category:

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

R2-Housing

Project Title:

A. Recreate NY Smart Home Repair & Reconstruction

Projected Start Date:

01/13/2014

Projected End Date:

01/12/2016

Benefit Type:

Direct (Household)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

New York State

Overall

Total Projected Budget from All Sources

Oct 1 thru Dec 31, 2014

N/A

To Date

\$185,241,384.08

Total Budget

(\$56,658,615.92)

\$185,241,384.08

Total Obligated

\$24,563,354.79

\$118,963,252.53

Total Funds Drawdown

\$24,617,123.86

\$118,963,252.53



Program Funds Drawdown	\$24,617,123.86	\$118,963,252.53
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$30,440,894.65	\$101,425,350.00
New York State	\$30,440,894.65	\$101,425,350.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Rehabilitation or reconstruction of owner-occupied single-family housing. Performance targets for this Obligation Round 2 activity reflect an estimate of accomplishments that will be completed during the time that Obligation Round 2 is active. Funds included in this Obligation Round 2 activity are expected to contribute to accomplishments that will be reported in the future during the time that future obligation rounds are active.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropic Storm Lee with the majority located in Nassau and Suffolk Counties.

Activity Progress Narrative:

This narrative is reflective of the following activities: Round 1 Housing (UN and LMI) and Round 2 Housing (UN and LMI). During Q4 2014, the state's Homeowner Program continued to issue both Reimbursement and initial Repair payments to applicants who are owner-occupants of single-family homes. The Program also continued to conduct Final Site Visits for such applicants who have completed construction, and began to close out complete files, and to issue final payments. Thirteen (13) files were completely closed out, and final payments issued, during the quarter. In addition the Program continued to allow single-family housing applicants to opt in to optional resiliency measures including home elevation, mitigation measures, and bulkhead repair/replacement, and to issue design funds for these measures. As of the end of Q4, nearly 1,500 applicants had opted in for one or more resiliency measures and had received design funds.

During Q4 2014, the GOSR Co-op and Condos program continued to accept applications, and developed the program infrastructure needed to issue the first payments. The first award was issued on November 26th for \$14,179. As of December 31st, there were 28 active applications submitted by co-ops and condo applications seeking assistance for damages to their common elements, 279 applications from individuals with damages to their unit, and 367 applications submitted by unit owners who did not experience direct damage, but who are applying to support the eligibility of the co-op or condo association. Of the applications from individuals, 229 self-reported as LMI. 50 of those applications have been verified as LMI thus far.

In recognition of the fact that LMI applicants are less likely to receive private insurance benefits, the Program allows them a higher Award Cap than non-LMI (Unmet Needs) applicants. The cap is set at \$300,000 for Unmet Needs applicants and set at \$350,000 for LMI applicants.

Major program delivery expenses in Q4 included ongoing case management, damage and environmental assessment and clearance inspections, and final site visit inspections, performed by the Program's case management and construction management vendors. During the latter part of Q4, the Program undertook an online survey of applicants who are owner-occupants of single-family homes who have been issued repair award payments, in order to assess applicant progress with construction and to identify barriers to project completion. The Program will be developing strategies to help applicants overcome barriers in the coming quarter. Program activity for Q4 2014 is summarized as follows.

In Q4, the Program issued no final payments from Round 1 to LMI applicants. The number of LMI applicants issued final payment from Round 1 since the Program's inception is 177.

In Q4, the Program issued final payments from Round 1 to 2 UN applicants. The number of UN applicants issued final payment from Round 1 since the Program's inception is 289.

In Q4, the Program issued final payments from Round 2 to 2 LMI applicants. The number of LMI applicants issued final payment from Round 2 since the Program's inception is 164.

In Q4, the Program issued final payments from Round 2 to 9 UN applicants. The number of UN applicants issued final payment from Round 2 since the program's inception is 304.

The above statistics do not fully reflect day to day Program activity, since they do not include initial or interim payments to applicants. During Q4, 2,270 applicants, 903 of them LMI and 1375 of them UN, received a payment.

For this Round 2 LMI activity: Inception to Date expenditures are less than Inception to date funds drawdown because a number of check payments were held and checks related to funds drawn on December 30, 2014, were printed after December 31, 2014.



Accomplishments Performance Measures

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Properties	2		164/3773	
# of Substantially Rehabilitated	0		25/905	
# of Elevated Structures	0		25/755	

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Housing Units	2		164/3810	
# of Singlefamily Units	2		164/3810	

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	0	2	2	69/1146	95/2654	164/3810	100.00
# Owner Households	0	2	2	69/1132	95/2641	164/3773	100.00
# Renter Households	0	0	0	0/14	0/13	0/37	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-HSG-UN
Activity Title:	Recreate NY Smart Home Repair R2 - UN

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R2-Housing

Projected Start Date:

01/13/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

A. Recreate NY Smart Home Repair & Reconstruction

Projected End Date:

01/12/2016

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$228,969,465.49
Total Budget	(\$73,939,995.48)	\$228,969,465.49
Total Obligated	\$31,893,119.83	\$114,879,853.02
Total Funds Drawdown	\$31,960,643.80	\$114,879,853.02
Program Funds Drawdown	\$31,960,643.80	\$114,879,853.02
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$38,955,734.87	\$95,116,896.83
New York State	\$38,955,734.87	\$95,116,896.83
Match Contributed	\$0.00	\$0.00

Activity Description:

Rehabilitation or reconstruction of owner-occupied single-family housing. Performance targets for this Obligation Round 2 activity reflect an estimate of accomplishments that will be completed during the time that Obligation Round 2 is active. Funds included in this Obligation Round 2 activity are expected to contribute to accomplishments that will be reported in the future during the time that future obligation rounds are active.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropic Storm Lee with the majority located in Nassau and Suffolk Counties.

Activity Progress Narrative:

This narrative is reflective of the following activities: Round 1 Housing (UN and LMI) and Round 2 Housing (UN and LMI). During Q4 2014, the state's Homeowner Program continued to issue both Reimbursement and initial Repair payments to applicants who are owner-occupants of single-family homes. The Program also continued to conduct Final Site Visits for such applicants who have completed construction, and began to close out complete files, and to issue final payments. Thirteen (13) files were completely closed out, and final payments issued, during the quarter. In addition the Program continued to allow single-family housing applicants to opt in to optional resiliency measures including home elevation, mitigation measures, and bulkhead repair/replacement, and to issue design funds for these measures. As of the end of Q4, nearly 1,500 applicants had opted in for one or more resiliency measures and had received design funds.



During Q4 2014, the GOSR Co-op and Condos program continued to accept applications, and developed the program infrastructure needed to issue the first payments. The first award was issued on November 26th for \$14,179. As of December 31st, there were 28 active applications submitted by co-ops and condo applications seeking assistance for damages to their common elements, 279 applications from individuals with damages to their unit, and 367 applications submitted by unit owners who did not experience direct damage, but who are applying to support the eligibility of the co-op or condo association. Of the applications from individuals, 229 self-reported as LMI. 50 of those applications have been verified as LMI thus far. In recognition of the fact that LMI applicants are less likely to receive private insurance benefits, the Program allows them a higher Award Cap than non-LMI (Unmet Needs) applicants. The cap is set at \$300,000 for Unmet Needs applicants and set at \$350,000 for LMI applicants.

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In Q4, the Program issued no final payments from Round 1 to LMI applicants. The number of LMI applicants issued final payment from Round 1 since the Program's inception is 177.

In Q4, the Program issued final payments from Round 1 to 2 UN applicants. The number of UN applicants issued final payment from Round 1 since the Program's inception is 289.

In Q4, the Program issued final payments from Round 2 to 2 LMI applicants. The number of LMI applicants issued final payment from Round 2 since the Program's inception is 164.

In Q4, the Program issued final payments from Round 2 to 9 UN applicants. The number of UN applicants issued final payment from Round 2 since the program's inception is 304.

The above statistics do not fully reflect day to day Program activity, since they do not include initial or interim payments to applicants. During Q4, 2,270 applicants, 903 of them LMI and 1375 of them UN, received a payment.

For this Round 2 UN activity: Inception to Date expenditures are less than Inception to date funds drawdown because a number of check payments were held and checks related to funds drawn on December 30, 2014, were printed after December 31, 2014.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	9	304/4279
# of Substantially Rehabilitated	1	74/1455
# of Elevated Structures	1	90/1198

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	9	304/4323
# of Singlefamily Units	9	304/4323

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	0	0	9	0/21	0/23	304/4323	0.00
# Owner Households	0	0	9	0/0	0/0	304/4279	0.00
# Renter Households	0	0	0	0/21	0/23	0/44	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R2-IMA / I. IMA Rnd. 2

Grantee Activity Number: R2-IMA-LMI

Activity Title: Recreate NY IMA Program R2 - LMI

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R2-IMA

Projected Start Date:

01/13/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

I. IMA Rnd. 2

Projected End Date:

01/12/2016

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall

Total Projected Budget from All Sources

Oct 1 thru Dec 31, 2014

N/A

To Date

\$4,111,407.72

Total Budget

\$0.00

\$4,111,407.72

Total Obligated

\$1,122,442.63

\$2,762,702.51

Total Funds Drawdown

\$1,319,426.64

\$2,762,702.51

Program Funds Drawdown

\$1,319,426.64

\$2,762,702.51

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$1,317,504.89

\$2,760,780.76

New York State

\$1,317,504.89

\$2,760,780.76

Match Contributed

\$0.00

\$0.00

Activity Description:

Assistance to displaced homeowners to help them pay the lesser of their monthly mortgage or rental payment.

Location Description:



Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee with the majority located in Nassau and Suffolk Counties.

Activity Progress Narrative:

This narrative outlines progress for both R2-IMA-UN and R2-IMA-LMI. This reporting quarter, GOSR is reporting on final payments and total payments disbursed since program inception to the end of the 4th quarter of 2014. The details of beneficiaries are outlined below.

From program inception to the end of the fourth quarter, the Interim Mortgage Assistance (IMA) Program issued reoccurring monthly mortgage payments to 601 applicants, 154 of which are Low-to-Moderate Income (LMI) and 447 are Urgent Need (UN). The total amount disbursement in the IMA Program from inception to the end of the 4th quarter 2014 is \$10,240,725.03. During Q4 2014, GOSR continued to disburse elevation funds to applicants, many of whom received assistance from the IMA program while they were displaced during the elevation of their home. The IMA Program provided these applicants with the financial assistance they needed to support the additional housing costs incurred as a result of not being able to live in their home during elevation. From program inception to the end of the 4th quarter, the IMA program provided assistance to 300 applicants who are required to elevate their homes, 75 of which are LMI and 225 of which are UN. In addition, the IMA program provided assistance to 60 applicants who voluntarily opted to elevate their homes, 16 of which are LMI and 43 of which are UN. During Q4 2014, the Condo/Co-op and Rental Properties Programs started disbursing funds. During this time, the IMA program received applications from some Condo/Co-op and owner-occupied Rental Properties owners. The IMA program provided mortgage assistance to 3 Condo/Co-op, 2 of which are LMI and 1 of which are UN. In addition, the IMA Program provided mortgage assistance to 1 UN owner-occupied Rental Property owner and is continuing to accept application from other owner-occupied Rental Property applicants. The IMA program has also provided assistance to 21 applicants who are pursuing an offer or who have accepted an offer from the Buyout/Acquisition Programs, 3 of which are LMI and 18 of which are UN. Some IMA participants completed construction of their storm damaged homes and were able to return to their homes. These IMA participants received their final IMA payment. From program inception to the end of Q4 2014, the IMA Program issued final payment to 58 applicants, 10 of whom are LMI and 48 of whom are UN.

As IMA participants approach the IMA program cap, which is 20 months or \$60,000 of assistance, the IMA customer representatives and the construction technical advisors work closely with IMA applicants, evaluating the status of their repair or reconstruction applications, with the goal of overcoming barriers preventing them from completing construction and returning home in a timely manner.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	4	10/120

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	5	-1	4	5/38	5/82	10/120	100.00
# Owner Households	5	-1	4	5/38	5/82	10/120	100.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	R2-IMA-UN
Activity Title:	Recreate NY IMA Program R2 - UN

Activity Category:
Rehabilitation/reconstruction of residential structures

Activity Status:
Under Way

Project Number:
R2-IMA

Project Title:
I. IMA Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$13,187,123.63
Total Budget	\$0.00	\$13,187,123.63
Total Obligated	\$2,759,417.90	\$8,421,633.57
Total Funds Drawdown	\$3,308,168.97	\$8,421,633.57
Program Funds Drawdown	\$3,308,168.97	\$8,421,633.57
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$3,304,325.48	\$8,417,790.08
New York State	\$3,304,325.48	\$8,417,790.08
Match Contributed	\$0.00	\$0.00

Activity Description:

Assistance to displaced homeowners to help them pay the lesser of their monthly mortgage or rental payment costs.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee with the majority located in Nassau and Suffolk Counties.

Activity Progress Narrative:

This narrative outlines progress for both R2-IMA-UN and R2-IMA-LMI. This reporting quarter, GOSR is reporting on final payments and total payments disbursed since program inception to the end of the 4th quarter of 2014. The details of beneficiaries are outlined below.

From program inception to the end of the fourth quarter, the Interim Mortgage Assistance (IMA) Program issued reoccurring monthly mortgage payments to 601 applicants, 154 of which are Low-to-Moderate Income (LMI) and 447 are Urgent Need (UN). The total amount disbursement in the IMA Program from inception to the end of the 4th quarter 2014 is \$10,240,725.03. During Q4 2014, GOSR continued to disburse elevation funds to applicants, many of whom received assistance from the IMA program while they were displaced during the elevation of their home. The IMA Program provided these applicants with the financial assistance they needed to support the additional housing costs incurred as a result of not being able to live in their home during elevation. From program inception to the end of the 4th quarter, the IMA program provided assistance to 300 applicants who are required to elevate their homes, 75 of



which are LMI and 225 of which are UN. In addition, the IMA program provided assistance to 60 applicants who voluntarily opted to elevate their homes, 16 of which are LMI and 43 of which are UN. During Q4 2014, the Condo/Co-op and Rental Properties Programs started disbursing funds. During this time, the IMA program received applications from some Condo/Co-op and owner-occupied Rental Properties owners. The IMA program provided mortgage assistance to 3 Condo/Co-op, 2 of which are LMI and 1 of which are UN. In addition, the IMA Program provided mortgage assistance to 1 UN owner-occupied Rental Property owner and is continuing to accept application from other owner-occupied Rental Property applicants. The IMA program has also provided assistance to 21 applicants who are pursuing an offer or who have accepted an offer from the Buyout/Acquisition Programs, 3 of which are LMI and 18 of which are UN. Some IMA participants completed construction of their storm damaged homes and were able to return to their homes. These IMA participants received their final IMA payment. From program inception to the end of Q4 2014, the IMA Program issued final payment to 58 applicants, 10 of whom are LMI and 48 of whom are UN. As IMA participants approach the IMA program cap, which is 20 months or \$60,000 of assistance, the IMA customer representatives and the construction technical advisors work closely with IMA applicants, evaluating the status of their repair or reconstruction applications, with the goal of overcoming barriers preventing them from completing construction and returning home in a timely manner.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	24	48/387

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	0	0	24	0/0	0/0	48/387	0.00
# Owner Households	0	0	24	0/0	0/0	48/387	0.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-INFRA / Local Government and Critical Infrastructure

Grantee Activity Number: R2-INFRA-Clemente - LMI
Activity Title: Roberto Clemente State Park R2 - LMI

Activity Category: **Activity Status:**



Rehabilitation/reconstruction of public facilities

Under Way

Project Number:

R2-INFRA

Project Title:

Local Government and Critical Infrastructure Program

Projected Start Date:

05/16/2013

Projected End Date:

05/14/2015

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$1,160,000.00
Total Budget	\$0.00	\$1,160,000.00
Total Obligated	\$16,575.20	\$109,178.11
Total Funds Drawdown	\$16,575.20	\$109,178.11
Program Funds Drawdown	\$16,575.20	\$109,178.11
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$16,575.20	\$109,178.11
New York State	\$16,575.20	\$109,178.11
Match Contributed	\$0.00	\$0.00

Activity Description:

Project management, including staff, development of design criteria for proposed improvements, and design implementation.

Location Description:

Roberto Clemente State Park, a 25-acre urban park serving 1.3 million visitors annually, is located in the Morris Heights neighborhood of the Bronx with 3,700 linear feet of waterfront along the Harlem River in Bronx County, New York. Owned and operated by the State of New York Office of Parks, Recreation and Historic Preservation (OPRHP/Parks), this Bronx County park was directly impacted by Superstorm Sandy.

Activity Progress Narrative:

The Roberto Clemente State Park is located in the Bronx NY. It was directly impacted by Superstorm Sandy. This project will not only repair and rebuild structures that were directly impacted on park property but through the reconstruction and use of natural resiliency measures will better protect a multifamily housing unit that is directly adjacent to the park and critical transportation infrastructure used across the region. Funds will also be used to increase and provide greater recreational opportunities for these residents at the park. Planning for the project has been initiated. Expenditures during the quarter were tied to project delivery and the development and review of materials that will be part of the projects construction phase which is expected to take place in February of 2015 when the pre-construction activity will begin. Full construction at the site is expected to be initiated during the late spring or summer of 2015. The project is expected to meet the national objective of benefits to persons of low to moderate income.



Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Public Facilities	0	0/1

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-INFRA-Moses-UN
Activity Title:	Robert Moses State Park R2 - UN

Activity Category:

Rehabilitation/reconstruction of a public improvement

Activity Status:

Under Way

Project Number:

R2-INFRA

Project Title:

Local Government and Critical Infrastructure Program

Projected Start Date:

01/13/2014

Projected End Date:

01/12/2016

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

New York State - by and through OGS and OPRHP

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$12,380,180.20
Total Budget	\$20,380,180.20	\$12,380,180.20
Total Obligated	\$10,946,026.00	\$10,946,026.00
Total Funds Drawdown	\$10,946,026.00	\$10,946,026.00
Program Funds Drawdown	\$10,946,026.00	\$10,946,026.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$10,946,026.00	\$10,946,026.00
New York State - by and through OGS and OPRHP	\$10,946,026.00	\$10,946,026.00
Match Contributed	\$0.00	\$0.00

Activity Description:

To date, two projects have been undertaken by the State of New York to restore the over 1.2 million cubic yards of sand Superstorm Sandy took from Robert Moses State Park’s beaches and dunes. The first project, which is the subject of this reimbursement, is the Robert Moses State Park Emergency Beach Renourishment Project, which was completed in May 2013. The second project, known as the Captree State Boat Channel Dredging and Beach Stabilization Project, builds on the prior Project to provide longer term stabilization of the Park infrastructure and adjoining beach. When the state initially chose performance metrics for Robert Moses it chose the number of linear miles. However as the project has progressed the state has determined that reporting the number of cubic yards is a more accurate measure of performance.

Location Description:

The Park is an 875 acre State park located on the southern coast of Long Island on the western end of the barrier island known as Fire Island. The Park extends from Democrat Point on the west to the Fire Island National Seashore on the east, and is bounded by the Atlantic Ocean on the south side and Great South Bay and Fire Island Inlet on its north side.

Activity Progress Narrative:

This activity is tied to the work shown in Infra ç Rob Moses R1. Robert Moses State Park suffered direct storm related damage as a result of Sandy. Located along the Atlantic Ocean on Long Island, the state park provides recreational value to over 31m visitors annually, who primarily come from New York and Long Island communities.



The park also through its miles of beaches and other natural features provides a critical first line of natural defense that protects critical infrastructure and Communities in the area. Sandy significantly impacted the parks natural feature removing 1.2 million cubic yards of sand from the beaches, significantly jeopardizing roads and communities in the area. This project restored a portion of the beach area that was damaged by replenishing sand that was moved and lost during Sandy. This project not only provides recreational activity to New York and Long Island resident but its safeguards the road that is the only way on to the barrier island. During the quarter funds were drawn to reimburse prior State expenditures for the emergency restoration work, with a small fraction of the total funds used for project delivery.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear miles of Public	0	0/7

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Grantee Activity Number:	R2-INFRA-Plan
Activity Title:	Local Govt & Critical Infrastructure Planning

Activity Category:

Planning

Activity Status:

Under Way

Project Number:

R2-INFRA

Project Title:

Local Government and Critical Infrastructure Program

Projected Start Date:

01/13/2014

Projected End Date:

01/12/2016

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

N/A

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$6,000,000.00
Total Budget	\$10,000,000.00	\$6,000,000.00
Total Obligated	\$774,965.56	\$774,965.56
Total Funds Drawdown	\$876,229.98	\$876,229.98
Program Funds Drawdown	\$876,229.98	\$876,229.98
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$854,296.31	\$854,296.31
New York State	\$854,296.31	\$854,296.31
Match Contributed	\$0.00	\$0.00

Activity Description:

Planning activities related to the identification and development of specific infrastructure projects to be funded through New York State's CDBG Disaster Recovery funds. Planning activities include the gathering of information about critical infrastructure needs, assessment of potential projects, program design, scope development, development of program management policies, processes and procedures, environmental review, and efforts to evaluate New York State's progress in implementing these projects. The planning activities relate to specific programs and projects which may be implemented by subrecipients, but the planning is being carried out by New York State. The planning could be considered a program delivery cost, but in this case, it is being classified as a program planning cost. Entitlement program regulations allow charging such costs under 24 CFR 570.205. States may adopt this approach from the Entitlement program.

Location Description:

Planning for work that will be done in New York State in impacted counties by Hurricane Irene, Tropical Storm Lee, and SuperStorm Sandy.

Activity Progress Narrative:

During the quarter, GOSR utilized its infrastructure sub-contractors to assist with the planning and development of projects to be funded through Local Government and Critical Infrastructure program. Sub-contractors provided legal, environmental compliance; internal financial audit and CDBG-DR grant eligibility services that will be used to support these projects. Considerable planning is under-development for wastewater and sewer projects in impacted areas with a focus on projects in Nassau and Suffolk county. During 2015 it is anticipated that over 15



differing projects may result from this activity using both CDBG-DR funds and EPA SRF funding that is being matched with HUD dollars.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	R2-INFRA-Rise
Activity Title:	Resiliency Institute Planning R2

Activity Category:

Planning

Activity Status:

Under Way

Project Number:

R2-INFRA

Project Title:

Local Government and Critical Infrastructure Program

Projected Start Date:

01/13/2014

Projected End Date:

01/12/2016

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

N/A

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$2,700,000.00
Total Budget	\$0.00	\$2,700,000.00
Total Obligated	\$258,550.39	\$258,550.39
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
New York State	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The New York State Resiliency Institute for Storms & Emergencies (RISE) is an “applied think tank” led by New York University and Stony Brook University. RISE facilitates coordination among local research centers engaged in Sandy-related work and storm resilience through an inter-disciplinary research and planning effort, conducted through a consortium of New York State higher education institutions. The consortium functions as an institution for knowledge development of storm-hazards risk management; provides expertise to state agencies engaged in promoting recovery and resilience; and develops platforms for transforming predictions into adaptive measures.

Location Description:

Research activities will take place primarily on the campuses of the Stony Brook University in Stony Brook, Long Island, New York and the New York University/Polytechnic Institute in Brooklyn, New York. Additional research activities take place in facilities of partner organizations including Columbia University in New York, New York and Cornell University in Ithaca, New York. Research focuses on areas of New York State impacted by coastal and riverine flooding caused by Sandy, Irene and Lee and other extreme weather events.

Activity Progress Narrative:

During the quarter, RISE advanced research projects focusing on climate change and sea level rise, vulnerability of critical facilities and systems, and planning for rapid response capability. RISE submitted a report summarizing interim results covering lessons learned from Sandy, progress on developing models and tools for resilience planning, and decision support provided to GOSR. It also prepared its first annual report for public release. Among its projects, RISE developed an inventory of vulnerable critical facilities and metrics for measuring risk



reduction; created a database of projects proposed by local Community Reconstruction Program planning committees; and made recommendations for improving the resiliency components of county hazard mitigation plans.

RISE also advised GOSR on climate risk to the Bay Park Sewage Treatment Plant and critical components of the Suffolk County Water Quality Initiative. RISE agreed to participate in the development of wastewater treatment technologies and business incubation projects related to the water quality initiative. This work builds on its research into the impact of major coastal storms on the health of coastal ecosystems and the trajectory of recovery of seagrasses and fisheries in the Great South Bay.

RISE also pursued additional funding, submitting a proposal to the National Oceanographic and Atmospheric Agency (NOAA) to support creation of a Urban NorthEast Climate Risk Center. A collaboration among researchers at MIT, Princeton University and NYU, the center would share learnings on climate adaptation and mitigation planning, identify data needs, assess climate risk facing Northeast cities in the northeast, and educate the public on risk mitigation strategies.

Funds drawdown in the quarter was incorrectly identified as related to NYS RISE. In the first quarter of 2015 a drawdown adjustment will be made to correctly classify the expenditure as related to Infrastructure Planning where they have been budgeted.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Plans or Planning Products	0	1/22

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-Match / F. Non-Federal Share Match Program Rnd. 2

Grantee Activity Number: R2-Match-DR-LMI
Activity Title: Debris Removal R2 - LMI

Activity Category: Debris removal
Activity Status: Under Way

Project Number:
Project Title:



R2-Match

F. Non-Federal Share Match Program Rnd. 2

Projected Start Date:

Projected End Date:

01/13/2014

01/12/2016

Benefit Type:

Completed Activity Actual End Date:

Area ()

National Objective:

Responsible Organization:

Low/Mod

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$2,000,000.00
Total Budget	\$9,000,000.00	\$2,000,000.00
Total Obligated	\$164,340.54	\$164,340.54
Total Funds Drawdown	\$164,340.54	\$164,340.54
Program Funds Drawdown	\$164,340.54	\$164,340.54
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$161,097.49	\$161,097.49
New York State	\$161,097.49	\$161,097.49
Match Contributed	\$0.00	\$0.00

Activity Description:

These activities provide the non-federal share payment to address the immediate post storm debris removal needs undertaken by public entities that are eligible for FEMA Public Assistance Grants in the PA Program. These activities were undertaken in low to moderate income census blocks or benefit persons of low to moderate income. Each activity has a Project worksheet(s) which supports the draw. This includes the entity, location, type and quantity of debris in addition to location of where work was performed.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy resulted in storm related debris in Presidentially declared counties across New York. Specific geographic locations of the debris are determined and documented in FEMA Public Assistance Category A - Debris Removal project worksheets.

Activity Progress Narrative:

This activity corresponds with and has the same actions as Debris Removal LMI actions in Round 1. Round 2 provides additional funds for Debris Removal LMI activities that occurred after Hurricane Irene, Tropical Storm Lee or Superstorm Sandy.

Debris Removal is one of the first actions that communities must engage in after a storm has passed and some of these activities occur in low to moderate income (LMI) areas. During the quarter, payment activity corresponds only to program administration activities that needed to be undertaken to support development of the local match payments for eligible PA entities in New York. A considerable expense for the match program involves obtaining HUD required documentation from FEMA public assistance applicants who are located in eligible counties spread



across New York. These entities maintain information HUD requires to support a match payment that is not always uploaded into FEMA's data warehouse. After the documentation is obtained, analysts review and determine on a line by line basis what activities are eligible for match payments using CDBG-DR funds (r2 match planning). After that overall review is complete a GIS analysis of the pw location is undertaken to determine to the national objective and eligible activity including determining if Debris Removal occurred in LMI areas. In total the State of New York anticipates making total FEMA matching payments that will support community recovery that may exceed \$500m.

During the Q4 2014, the state continued to analyze and review FEMA PA project worksheets to determine their eligibility for non-federal share, "local match" program for debris removal activities. The program provides the local match for storm impacted units of local government in New York who are eligible for federally funded disaster recovery programs from FEMA Public Assistance Program. In total over 800 applicants could be eligible for the program with over 1600 specific project worksheets requiring a non-federal share payment. The program did not make any payments during the quarter but as noted continued to review project worksheets. The program while referencing HUD's non-federal share category documents activity through one of following three eligibility categories that were agreed to with HUD; Debris Removal, Public Facilities or Public Services. The program meets both urgent need, UN, National objective, and benefits to persons of low to moderate income, LMI, National Objective for each of these activities and determines the allocation of funds to National Objective based on the latitude and longitude of the work location. Details on the non-federal share program for Q4 2014 are summarized below along with a description of activity within this activity type.

During the quarter expenditures were made for planning, data collection and analytical needs related to pw's that are tied to Debris Removal work that was conducted in LMI census tract areas.

GOSR utilizes a procured team of infrastructure and local government sub-contractors to assist with the administration of this program. During the quarter, sub-contractors provided legal, labor and environmental compliance, internal financial audit and CDBG-DR grant eligibility services to support future match payments. Over 96% of the costs in this quarter were allocated to CDBG-DR eligibility determinations. In the next quarter it is anticipated that additional match expenditure activity will occur based on work performed this quarter.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Businesses	0	0/31

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number: R2-Match-DR-UN
Activity Title: Debris Removal R2 - UN

Activity Category:

Debris removal

Activity Status:

Under Way

Project Number:

R2-Match

Project Title:

F. Non-Federal Share Match Program Rnd. 2

Projected Start Date:

01/13/2014

Projected End Date:

01/12/2016

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$6,000,000.00
Total Budget	\$23,000,000.00	\$6,000,000.00
Total Obligated	\$1,256,862.57	\$1,256,862.57
Total Funds Drawdown	\$1,256,862.57	\$1,256,862.57
Program Funds Drawdown	\$1,256,862.57	\$1,256,862.57
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$1,244,701.12	\$1,244,701.12
New York State	\$1,244,701.12	\$1,244,701.12
Match Contributed	\$0.00	\$0.00

Activity Description:

These activities provide the non-federal share payment to address the immediate post storm debris removal needs undertaken by public entities that are eligible for FEMA Public Assistance Grants in the PA Program. These activities were undertaken in low to moderate income census blocks or benefit persons of low to moderate income. Each activity has a Project worksheet(s) which supports the draw. This includes the entity, location, type and quantity of debris in addition to location of where work was performed.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy resulted in storm related debris in Presidentially declared counties across New York. Specific geographic locations of the debris are determined and documented in FEMA Public Assistance Category A - Debris Removal project worksheets.

Activity Progress Narrative:

This activity corresponds with and has the same actions as Debris Removal UN actions in Round 1. Round 2 provides additional funds for Debris Removal UN actions for Hurricane Irene, Tropical Storm Lee or Superstorm Sandy. Debris Removal is one of the first actions that communities must engage in after a storm has passed. During the quarter, payment activity corresponded only to program administration activities that needed to be undertaken to support development of the local match payments for eligible PA entities in New York. A considerable expense for the match program involves obtaining HUD required documentation from FEMA public assistance applicants who are located in eligible counties spread across New York. These entities maintain information HUD requires to



support a match payment that is not always uploaded into FEMA’s data warehouse. After documentation is obtained, analysts review and determine on a line by line basis what activities are eligible for match payments using CDBG-DR funds (r2 match planning). After the overall review is complete, a GIS analysis of the pw location is undertaken to determine to the national objective and eligible activity including determining where the Debris Removal occurred. In total the State of New York anticipates making total FEMA matching payments that will support community recovery that may exceed \$500m. During the quarter expenditures were made for planning, data collection and analytical needs related to pw’s that are tied to Debris Removal work that was done and classified as an urgent need. GOSR utilizes a procured team of infrastructure and local government sub-contractors to assist with the administration of this program. During the quarter, sub-contractors provided legal, labor and environmental compliance, internal financial audit and CDBG-DR grant eligibility services to support future match payments. Over 96% of the costs in this quarter were allocated to cdbg-dg eligibility determinations. In the next quarter it is anticipated that additional match expenditure activity will occur based on work performed this quarter.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Businesses	0	0/94

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Grantee Activity Number:	R2-Match-LIPA-LMI
Activity Title:	Long Island Power Auth R2 - LMI

Activity Category:

Rehabilitation/reconstruction of public facilities

Project Number:

R2-Match

Projected Start Date:

01/13/2014

Benefit Type:

Area ()

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

F. Non-Federal Share Match Program Rnd. 2

Projected End Date:

01/12/2016

Completed Activity Actual End Date:

Responsible Organization:

Long Island Power Authority

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$0.00
Total Budget	\$20,000,000.00	\$0.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
Long Island Power Authority	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The State will provide the non-federal share or Local match related to FEMA grant funds for eligible storms including Superstorm Sandy and Hurricane Irene for the benefit of low and moderate income persons. Funded activities include the immediate repairs to the transmission and distribution system in Nassau and Suffolk counties on Long Island, and the Rockaway Peninsula in Queens. Labor, equipment, and material costs associated with the restoration of power to LIPA's customer base are included. The costs include both force account activities and those associated with the emergency procurement of outside utility companies and electrical contractors to aid in the effort, according to traditional mutual assistance protocols.

Location Description:

This project will fund activities in Nassau, Suffolk and Rockaway Peninsula in Queens which comprise the LIPA energy grid.

Activity Progress Narrative:

This activity has just been created in the system and there have not been any draws or expenditures made against this activity. The Long Island Power Authority, a state of New York Authority and public energy provider is the primary provider of electricity to Long Island residents. LIPA's utility system suffered direct and catastrophic storm related damage and was determined by FEMA to eligible to receive FEMA Public Assistance funding. Due to the geographic extent of the damage LIPA will meet a national objectives of Urgent need and benefits to persons of low to moderate income, LMI for each of these activities and determines the work based on the latitude and longitude of the work location.



In 2014, the State of New York, FEMA and LIPA agreed to a capped settlement with the FEMA PA program that will provide LIPA with restoration and mitigation funds to repair the system that total \$1.43b dollars. The State of New York committed to provide up to 10% local match for this project which would be up to \$143m. GOSR is working to analyze eligibility for the project and working with HUD to provide required elements needed to meet the covered project criteria. It is expected that in the next quarter GOSR will begin to match payments on the LIPA project.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/1

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Grantee Activity Number:	R2-Match-LIPA-UN
Activity Title:	Long Island Power Auth R2 - UN

Activity Category: Rehabilitation/reconstruction of public facilities	Activity Status: Under Way
Project Number: R2-Match	Project Title: F. Non-Federal Share Match Program Rnd. 2
Projected Start Date: 01/13/2014	Projected End Date: 01/12/2016
Benefit Type: Area ()	Completed Activity Actual End Date:
National Objective: Urgent Need	Responsible Organization: Long Island Power Authority

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$80,000,000.00
Total Budget	\$50,000,000.00	\$80,000,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
Long Island Power Authority	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The State will provide the non-federal share or Local match related to FEMA grant funds for eligible storms including Superstorm Sandy and Hurricane Irene. Funded activities include the immediate repairs to the transmission and distribution system in Nassau and Suffolk counties on Long Island, and the Rockaway Peninsula in Queens. Labor, equipment, and material costs associated with the restoration of power to LIPA's customer base are included. The costs include both force account activities and those associated with the emergency procurement of outside utility companies and electrical contractors to aid in the effort, according to traditional mutual assistance protocols.

Location Description:

This project will fund activities in Nassau, Suffolk and Rockaway Peninsula in Queens which comprise the LIPA energy grid.

Activity Progress Narrative:

This activity has just been created in the system and there have not been any draws or expenditures made against this activity. The Long Island Power Authority, a state of New York Authority and public energy provider is the primary provider of electricity to Long Island residents. LIPA's utility system suffered direct and catastrophic storm related damage and was determined by FEMA to eligible to receive FEMA Public Assistance funding. Due to the geographic extent of the damage LIPA will meet a national objectives of Urgent need and benefits to persons of low to moderate income, LMI for each of these activities and determines the work based on the latitude and longitude of the work location.



In 2014, the State of New York, FEMA and LIPA agreed to a capped settlement with the FEMA PA program that will provide LIPA with restoration and mitigation funds to repair the system that total \$1.43b dollars. The State of New York committed to provide up to 10% local match for this project which would be up to \$143m. GOSR is working to analyze eligibility for the project and working with HUD to provide required elements needed to meet the covered project criteria. It is expected that in the next quarter GOSR will begin to match payments on the LIPA project.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/1

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Grantee Activity Number:	R2-Match-PF-LMI
Activity Title:	Public Facilities R2 - LMI

Activity Category:
Rehabilitation/reconstruction of public facilities

Project Number:
R2-Match

Projected Start Date:
01/13/2014

Benefit Type:
Area ()

National Objective:
Low/Mod

Activity Status:
Under Way

Project Title:
F. Non-Federal Share Match Program Rnd. 2

Projected End Date:
01/12/2016

Completed Activity Actual End Date:

Responsible Organization:
New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$4,000,000.00
Total Budget	\$9,000,000.00	\$4,000,000.00
Total Obligated	\$135,701.83	\$135,701.83
Total Funds Drawdown	\$135,701.83	\$135,701.83
Program Funds Drawdown	\$135,701.83	\$135,701.83
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$132,458.78	\$132,458.78
New York State	\$132,458.78	\$132,458.78
Match Contributed	\$0.00	\$0.00

Activity Description:

Activities in this program, address the non-federal share payment for public facility repair and rebuilding needs through FEMA's Public Assistance (PA) Program in Categories C-G in addition to other Federal infrastructure related recovery programs. These activities are for public facilities that benefit persons of low to moderate income. Information on specific activities is maintained in program files. The State devised a mechanism to report on detailed activity on non-federal share match payments made for this Public Facilities.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy damaged and destroyed a wide range of public buildings, facilities and structures in Presidentially declared counties across New York. The specific geographic location of each impacted public facility is geocoded and recorded in the project files.

Activity Progress Narrative:

This activity corresponds with and has the same actions as Public Infra Facilities LMI actions in Round 1. Round 2 provides additional funds to support Public Facility repair and rebuilding in LMI areas for Hurricane Irene, Tropical Storm Lee or Superstorm Sandy. After an event, public facilities are impacted and need to be repaired and or rebuilt. Some of these facilities are located in low to moderate income areas. During the quarter, payment activity corresponded only to program administration activities that needed to be undertaken to support development of the local match payments for eligible PA entities in New York. A considerable expense for the match program involves obtaining HUD required



documentation from FEMA public assistance applicants who are located in eligible counties spread across New York. These entities maintain information HUD requires to support a match payment that is not always uploaded into FEMA's data warehouse. After documentation is obtained, analysts review and determine on a line by line basis what activities are eligible for match payments using CDBG-DR funds (r2 match planning). After the overall review is complete, a GIS analysis of the pw location is undertaken to determine to the national objective including determining where the public facility was located. In total the State of New York anticipates making total FEMA matching payments that will support community recovery that may exceed \$500m.

During the quarter expenditures were made for planning, data collection and analytical needs related to pw's that were classified as being public facilities that are located in LMI census track areas.

GOSR utilizes a procured team of infrastructure and local government sub-contractors to assist with the administration of this program. During the quarter, sub-contractors provided legal, labor and environmental compliance, internal financial audit and CDBG-DR grant eligibility services to support future match payments. Over 96% of the costs in this quarter were allocated to cdbg-dg eligibility determinations. In the next quarter it is anticipated that additional match expenditure activity will occur based on work performed this quarter.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/26

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-Match-PF-UN
Activity Title:	Public Facilities R2 - UN

Activity Category:
Rehabilitation/reconstruction of public facilities

Activity Status:
Under Way

Project Number:
R2-Match

Project Title:
F. Non-Federal Share Match Program Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area ()

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$7,000,000.00
Total Budget	\$12,000,000.00	\$7,000,000.00
Total Obligated	\$647,142.64	\$647,142.64
Total Funds Drawdown	\$647,142.64	\$647,142.64
Program Funds Drawdown	\$647,142.64	\$647,142.64
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$633,359.66	\$633,359.66
New York State	\$633,359.66	\$633,359.66
Match Contributed	\$0.00	\$0.00

Activity Description:

Activities in this program, address the non-federal share payment for public facility repair and rebuilding needs through FEMA's Public Assistance (PA) Program in Categories C-G in addition to other Federal infrastructure related recovery programs. These activities are for public facilities that benefit persons of low to moderate income. Information on specific activities is maintained in program files. The State devised a mechanism to report on detailed activity on non-federal share match payments made for these Public Facilities.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy damaged and destroyed a wide range of public buildings, facilities and structures in Presidentially declared counties across New York. The specific geographic location of each impacted public facility is geocoded and recorded in the project files.

Activity Progress Narrative:

This activity corresponds with and has the same actions as Public Infra Facilities UN actions in Round 1. R2 provides additional funds to support Public Facility repair and rebuilding resulting from Hurricane Irene, Tropical Storm Lee or Superstorm Sandy that was classified as urgent need. After an event, public facilities are impacted and need to be repaired and or rebuilt. Some of these facilities are not located in low to moderate income areas and need to be classified as urgent need so that community recovery can be achieved. During the quarter, payment activity corresponded only program administration activities that needed to be undertaken to support development of the local match payments for eligible PA entities in New York. A



considerable expense for the match program involves obtaining HUD required documentation from FEMA public assistance applicants who are located in eligible counties spread across New York. These entities maintain information HUD requires to support a match payment that is not always uploaded into FEMA’s data warehouse. After documentation is obtained, analysts review and determine on a line by line basis what activities are eligible for match payments using CDBG-DR funds (r2 match planning). After the overall review is complete, a GIS analysis of the pw location is undertaken to determine to the national objective including determining where the public facility was located. In total the State of New York anticipates making total FEMA matching payments that will support community recovery that may exceed \$500m. During the quarter expenditures were made for planning, data collection and analytical needs related to pw’s that are expected to be classified as Public Facilities work that was done under due to an urgent need. GOSR utilizes a procured team of infrastructure and local government sub-contractors to assist with the administration of this program. During the quarter, sub-contractors provided legal, labor and environmental compliance, internal financial audit and CDBG-DR grant eligibility services to support future match payments. Over 96% of the costs in this quarter were allocated to cdbg-dg eligibility determinations. In the next quarter it is anticipated that additional match expenditure activity will occur based on work performed this quarter.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/27

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-Match-Plan
Activity Title:	Non-Federal Match Planning R2

Activity Category:

Planning

Project Number:

R2-Match

Projected Start Date:

01/13/2014

Benefit Type:

Area ()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

F. Non-Federal Share Match Program Rnd. 2

Projected End Date:

01/12/2016

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$7,000,000.00
Total Budget	\$20,000,000.00	\$7,000,000.00
Total Obligated	\$3,058,922.77	\$3,058,922.77
Total Funds Drawdown	\$3,058,922.77	\$3,058,922.77
Program Funds Drawdown	\$3,058,922.77	\$3,058,922.77
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$3,012,943.19	\$3,012,943.19
New York State	\$3,012,943.19	\$3,012,943.19
Match Contributed	\$0.00	\$0.00

Activity Description:

Planning activities related to the development of New York State’s program through which CDBG funds will be used to provide the non-Federal match payment required by FEMA, Federal DOT, and other Federal Agency’s grants to New York State. Planning activities include the gathering of information about needs, program design, scope development, development of program management policies, processes and procedures, environmental review, and efforts to evaluate New York State’s progress in implementing this program. The planning activities relate to specific programs and projects which may be implemented by subrecipients, but the planning is being carried out by New York State. The planning could be considered a program delivery cost, but in this case, it is being classified as a program planning cost. Entitlement program regulations allow charging such costs under 24 CFR 570.205. States may adopt this approach from the Entitlement program.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy damaged and destroyed a wide range of public buildings, facilities and structures in Presidentially declared counties across New York. The specific geographic location of each impacted public facility is geocoded and recorded in the project files.

Activity Progress Narrative:

This activity corresponds with planning activities that need to be undertaken to support development of the local match payments for eligible public entities in New York. In total the State of New York anticipates making match FEMA matching payments to support community recovery that may exceed \$500m. During the quarter slightly more roughly \$3m was used for preliminary planning, data collection and analytical needs (\$3,008,548) with a total



of \$5.5m dollars in total being allocated to support matching payments.
GOSR utilizes a procured team of infrastructure and local government sub-contractors to assist with the administration of this program. During this quarter sub-contractors provided legal, labor and environmental compliance, internal financial audit and CDBG-DR grant eligibility services to potential future recipients of match payments.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number: R2-Match-PS-LMI
Activity Title: Public Services R2 - LMI

Activity Category:

Public services

Project Number:

R2-Match

Projected Start Date:

01/13/2014

Benefit Type:

Area ()

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

F. Non-Federal Share Match Program Rnd. 2

Projected End Date:

01/12/2016

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$1,500,000.00
Total Budget	\$4,000,000.00	\$1,500,000.00
Total Obligated	\$25,696.34	\$25,696.34
Total Funds Drawdown	\$25,696.34	\$25,696.34
Program Funds Drawdown	\$25,696.34	\$25,696.34
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$25,290.96	\$25,290.96
New York State	\$25,290.96	\$25,290.96
Match Contributed	\$0.00	\$0.00

Activity Description:

Activities in this program, address specific post disaster needs that public entities had to perform to protect the public and or provide services and the beneficiaries were of low to moderate income. Program funds pay the non-Federal Share match payment for activities captured in FEMA's Public Assistance (PA) Program as Category B work in project worksheets. Activities may also include public services provided by the State through the FEMA Transitional Sheltering Assistance Program "TSA". These activities would have taken place within the 1st 90 days after the event. All activities have a Project worksheet(s) or supporting documentation in the file.

The State devised a mechanism to report on detailed activity on non-federal share match payments made for this Public Service.

The FEMA PA program provides disaster recovery funds to repair and rebuild directly damaged public infrastructure to units of local government (UGLG). CDBG-DR Match payments are provided to these UGLG for multiple projects. The State consolidated these multiple units of local government to a count of these entities because individual projects are expected to exceed 20,000 for 1500 UGLS. Although the State consolidated reporting (of Public Facilities/Properties), it is providing other data that shows the identity of each UGLG and the specific FEMA PA project associated with the CDBG-DR funds committed to that project as "local match". The State determined the HUD national objective LMI, from the latitude – longitude of the FEMA project work location overlaid onto HUD census block shape files. This information was then used to identify the portion of the work that benefited LMI populations.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy resulted in the need for the State to provide public



services to assist residents who live in Presidentially declared counties across New York. The geographic location of each public service is provided in the project file.

Activity Progress Narrative:

This activity corresponds with and has the same actions as Public Services LMI actions in Round 1. Round 2 provides additional funds to support Public service activities in LMI areas for Hurricane Irene, Tropical Storm Lee or Superstorm Sandy. After an event, public facilities are impacted and need to be repaired and or rebuilt and certain public services need to be provided to assist with recovery or ensure public safety. Some of these activities are located in low to moderate income areas. During the quarter, payment activity corresponded only to program administration activities that needed to be undertaken to support development of the local match payments for eligible PA entities in New York. A considerable expense for the match program involves obtaining HUD required documentation from FEMA public assistance applicants who are located in eligible counties spread across New York. These entities maintain information HUD requires to support a match payment that is not always uploaded into FEMA’s data warehouse. After documentation is obtained, analysts review and determine on a line by line basis what activities are eligible for match payments using CDBG-DR funds (r2 match planning). After the overall review is complete, a GIS analysis of the pw location is undertaken to determine to the national objective including determining where the public service activity was located. In total the State of New York anticipates making total FEMA matching payments that will support community recovery that may exceed \$500m. During the quarter expenditures were made for planning, data collection and analytical needs related to pw’s that were classified as providing a public service with work that was located in LMI census track areas. GOSR utilizes a procured team of infrastructure and local government sub-contractors to assist with the administration of this program. During the quarter, sub-contractors provided legal, labor and environmental compliance, internal financial audit and CDBG-DR grant eligibility services to support future match payments. Over 96% of the costs in this quarter were allocated to cdbg-dg eligibility determinations. In the next quarter it is anticipated that additional match expenditure activity will occur based on work performed this quarter.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/39

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Grantee Activity Number:	R2-Match-PS-UN
Activity Title:	Public Services R2 - UN

Activity Category:

Public services

Project Number:

R2-Match

Projected Start Date:

01/13/2014

Benefit Type:

Area ()

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

F. Non-Federal Share Match Program Rnd. 2

Projected End Date:

01/12/2016

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$4,500,000.00
Total Budget	\$11,000,000.00	\$4,500,000.00
Total Obligated	\$371,276.14	\$371,276.14
Total Funds Drawdown	\$371,276.14	\$371,276.14
Program Funds Drawdown	\$371,276.14	\$371,276.14
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$363,573.89	\$363,573.89
New York State	\$363,573.89	\$363,573.89
Match Contributed	\$0.00	\$0.00

Activity Description:

Activities in this program, address specific post disaster needs that public entities had to perform to protect the public and or provide services and the beneficiaries were of low to moderate income. Program funds pay the non-Federal Share match payment for activities captured in FEMA's Public Assistance (PA) Program as Category B work in project worksheets. Activities may also include public services provided by the State through the FEMA Transitional Sheltering Assistance Program "TSA". These activities would have taken place within the 1st 90 days after the event. All activities have a Project worksheet(s) or supporting documentation in the file.

The State devised a mechanism to report on detailed activity on non-federal share match payments made for this Public Service.

The FEMA PA program provides disaster recovery funds to repair and rebuild directly damaged public infrastructure to units of local government (UGLG). CDBG-DR Match payments are provided to these UGLG for multiple projects. The State consolidated these multiple units of local government to a count of these entities because individual projects are expected to exceed 20,000 for 1500 UGLS. Although the State consolidated reporting (of Public Facilities/Properties), it is providing other data that shows the identity of each UGLG and the specific FEMA PA project associated with the CDBG-DR funds committed to that project as "local match". The State determined the HUD national objective for UN, from the latitude – longitude of the FEMA project work location overlaid onto HUD census block shape files. This information was then used to identify the portion of the work that benefited UN populations.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy resulted in the need for the State to provide public



services to assist residents who live in Presidentially declared counties across New York. The geographic location of each public service is provided in the project file.

Activity Progress Narrative:

This activity corresponds with and has the same actions as Public Services UN actions in Round 1. R2 provides additional funds to support Public service activities resulting from Hurricane Irene, Tropical Storm Lee or Superstorm Sandy that was classified as an urgent need. After an event, public facilities are impacted and need to be repaired and or rebuilt and certain public services need to be provided to assist with recovery or ensure public safety. Some of these activities are not located in low to moderate income areas and need to be classified as an urgent need. During the quarter, payment activity corresponded only to match planning activities that needed to be undertaken to support development of the local match payments for eligible PA entities in New York. A considerable expense for the match program involves obtaining HUD required documentation from FEMA public assistance applicants who are located in eligible counties spread across New York. These entities maintain information HUD requires to support a match payment that is not always uploaded into FEMA's data warehouse. After documentation is obtained, analysts review and determine on a line by line basis what activities are eligible for match payments using CDBG-DR funds (r2 match planning). After the overall review is complete, a GIS analysis of the pw location is undertaken to determine to the national objective including determining where the public service activity was located. In total the State of New York anticipates making total FEMA matching payments that will support community recovery that may exceed \$500m. During the quarter expenditures were made for planning, data collection and analytical needs related to for pw's that were classified as providing a public service with work that was urgent need in nature. GOSR utilizes a procured team of infrastructure and local government sub-contractors to assist with the administration of this program. During the quarter, sub-contractors provided legal, labor and environmental compliance, internal financial audit and CDBG-DR grant eligibility services to support future match payments. Over 96% of the costs in this quarter were allocated to cdbg-dg eligibility determinations. In the next quarter it is anticipated that additional match expenditure activity will occur based on work performed this quarter.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/115

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Project # / Title: R2-Rental / Rental Properties Program R2

Grantee Activity Number: R2-SRR-LMI

Activity Title: Small Rental Repair R2 - LMI

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R2-Rental

Projected Start Date:

01/13/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Rental Properties Program R2

Projected End Date:

01/12/2016

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$4,448,397.17
Total Budget	\$0.00	\$4,448,397.17
Total Obligated	\$1,019,561.39	\$1,344,539.39
Total Funds Drawdown	\$1,019,561.39	\$1,344,539.39
Program Funds Drawdown	\$1,019,561.39	\$1,344,539.39
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$646,483.58	\$971,461.58
New York State	\$646,483.58	\$971,461.58
Match Contributed	\$0.00	\$0.00

Activity Description:

Rehabilitation or reconstruction of renter occupied housing. Funds included in this Obligation Round 2 activity are expected to contribute to accomplishments that will be reported in the future during the time that future obligation rounds are active.

Location Description:

Areas impacted by Hurricane Sandy and Irene and Tropical Storm Lee with the majority located in Nassau and Suffolk counties.

Activity Progress Narrative:

This Narrative applies to both the State’s Rental narratives (UN and LMI): During Q4 2014, the GOSR Rental Properties Program issued the first set of payments, with the first checks released on October 16. The net award amount (after voids) was 1,985,632 representing 57 applicants. All are either serving LMI tenants, represent a property in need of prospective repair or both. At the outset of Q4 2014, the rental properties program finalized grant agreements and award packages necessary to make these awards, and completed the coding needed to merge the award file into the grant agreements. The rental properties program developed reports to assist the program and the customer representatives in managing the pipeline, identified key fields that the customer rep and duplication of benefits teams need to complete in our system of record in order to ready an application for award,



and provided training materials and conducted training on those fields. The program began to accept clarifications, although there were only 4 such active clarifications at the end of Q4. As of December 31st, there were 894 active applications in the rental properties program of which 162 have now been verified as LMI. Inception to Date expenditures are less than Inception to date funds drawdown because a number of check payments were held.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number:	R2-SRR-UN
Activity Title:	Small Rental Repair R2 - UN

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R2-Rental

Projected Start Date:

01/13/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

Rental Properties Program R2

Projected End Date:

01/12/2016

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$8,620,049.46
Total Budget	\$0.00	\$8,620,049.46
Total Obligated	\$1,419,558.85	\$1,744,536.85
Total Funds Drawdown	\$1,419,558.85	\$1,744,536.85
Program Funds Drawdown	\$1,419,558.85	\$1,744,536.85
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$413,957.97	\$738,935.97
New York State	\$413,957.97	\$738,935.97
Match Contributed	\$0.00	\$0.00

Activity Description:

Rehabilitation or reconstruction of renter occupied housing. Funds included in this Obligation Round 2 activity are expected to contribute to accomplishments that will be reported in the future during the time that future obligation rounds are active.

Location Description:

Areas impacted by Hurricane Sandy and Irene and Tropical Storm Lee with the majority located in Nassau and Suffolk counties.

Activity Progress Narrative:

This Narrative applies to both the State’s Rental narratives (UN and LMI): During Q4 2014, the GOSR Rental Properties Program issued the first set of payments, with the first checks released on October 16. The net award amount (after voids) was 1,985,632 representing 57 applicants. All are either serving LMI tenants, represent a property in need of prospective repair or both. At the outset of Q4 2014, the rental properties program finalized grant agreements and award packages necessary to make these awards, and completed the coding needed to merge the award file into the grant agreements. The rental properties program developed reports to assist the program and the customer representatives in managing the pipeline, identified key fields that the customer rep and duplication of benefits teams need to complete in our system of record in order to ready an application for award, and provided training materials and conducted training on those fields. The program began to accept clarifications, although there were only 4 such active clarifications at the end of Q4. As of December 31st, there were 894 active applications in the rental properties program of which 162 have now been verified as LMI. Inception to Date



expenditures are less than Inception to date funds drawdown because a number of check payments were held.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R2-SmBusiness / D. Small Business Program Rnd 2

Grantee Activity Number: R2-SB-Ment

Activity Title: Small Business Mentoring R2

Activity Category:

Planning

Activity Status:

Under Way

Project Number:

R2-SmBusiness

Project Title:

D. Small Business Program Rnd 2

Projected Start Date:

05/01/2013

Projected End Date:

05/01/2015

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

N/A

Responsible Organization:

New York State

Overall

Total Projected Budget from All Sources

Oct 1 thru Dec 31, 2014

N/A

To Date

\$3,000,000.00

Total Budget

\$0.00

\$3,000,000.00



Total Obligated	\$110,996.12	\$110,996.12
Total Funds Drawdown	\$110,996.12	\$110,996.12
Program Funds Drawdown	\$110,996.12	\$110,996.12
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$110,996.12	\$110,996.12
New York State	\$110,996.12	\$110,996.12
Match Contributed	\$0.00	\$0.00

Activity Description:

The Business Mentor NY Program is a pilot planning program that seeks to assess the impact of providing opportunities for New York State entrepreneurs and small businesses to overcome challenges and foster growth. The plan is being developed through a pilot web-based system that will connect storm impacted small businesses and entrepreneurs with larger, more established mentor businesses. The planning funds will not be used to fund mentors or technical assistance. Rather, the web-based system will provide the platform for facilitating the mentor/mentee connections that will result in the provision pro-bono services and /or technical assistance to storm impacted small businesses. The Empire State Development will provide quarterly reports and a final plan that details the results, outcomes, strengths and weaknesses of the pilot program and provides a suggested path for permanently implementing and maintaining the program.

Location Description:

Disaster impacted counties

Activity Progress Narrative:

In Q4 2014, the Program continued to administer the web-based system for small businesses located in storm-impacted communities to register for pro-bono mentorship and technical assistance services from volunteer mentor businesses. Also in Q4 2014, the Program continued to work to recruit volunteer mentor businesses and initiated outreach to encourage potential mentee businesses located in storm-impacted counties to register in the system. Costs incurred in this quarter are related to the continued development of the web-based technical assistance platform and costs related to recruiting mentor businesses.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Plans or Planning Products	0	0/1

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: R2-SBJ-LMI

Activity Title: Small Business Jobs R2 - LMI

Activity Category:

Econ. development or recovery activity that creates/retains

Project Number:

R2-SmBusiness

Projected Start Date:

05/14/2014

Benefit Type:

Direct (Person)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

D. Small Business Program Rnd 2

Projected End Date:

05/12/2016

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$23,000,000.00
Total Budget	\$16,000,000.00	\$23,000,000.00
Total Obligated	\$7,692,912.19	\$7,692,912.19
Total Funds Drawdown	\$7,692,912.19	\$7,692,912.19
Program Funds Drawdown	\$7,692,912.19	\$7,692,912.19
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$7,692,912.19	\$7,692,912.19
New York State	\$7,692,912.19	\$7,692,912.19
Match Contributed	\$0.00	\$0.00

Activity Description:

Grant and/or loan assistance to eligible businesses to cover working capital, machinery and equipment, furniture and fixtures, renovation and reconstruction activities. Not-for profits will be eligible to receive the same, except for working capital.

Location Description:

Hurricane Sandy: Nassau, Orange, Putnam, Rockland, Suffolk, Sullivan, Ulster, and Westchester counties.

Hurricane Irene: Albany, Clinton, Columbia, Delaware, Dutchess, Essex, Greene, Herkimer, Montgomery, Nassau, Orange, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, and Westchester.

Tropical Storm Lee: Broome, Chemung, Chenango, Delaware, Fulton, Herkimer, Oneida, Orange, Otsego, Schenectady, Schoharie, Tioga, and Ulster.

Activity Progress Narrative:

This narrative covers the following activities: Round 2 Small Business Jobs LMI and UN. During Q4 2014, the program continued to process applications. Costs associated with this activity during this quarter included direct assistance, application intake, application reviews, and program design for businesses that



retain jobs primarily for low to moderate income (LMI) individuals. Case Managers submitted 203 applications to GOSR for review. Programmatic accomplishments achieved in Q4 2014 include 155 businesses LMI businesses received grant award letters and 155 businesses completed all of the required grant conditions and signed a grant agreement with the State. By the end of Q4, a total of 269 businesses had received the full amount of their grant awards, of which 240 meet the national objective of benefitting persons of low to moderate income and 29 meet the national objective of addressing urgent needs. A total of 114 (104 LMI/ 10 UN) businesses that received their full assistance in Q4 2014. The program remains open for new applications. The final determination of whether a business meets the national objective of LMI Jobs or Urgent Need is based on the demographics information provided by the business at the later stages of their application. The awards will assist businesses with meeting storm-related working capital needs, replacing lost inventory and equipment. The businesses included in this category will meet the national objective of retaining low to moderate income jobs. For this quarterly report, the budget for this activity, the projected numbers of businesses assisted, and the projected number of jobs created or retained were increased.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of buildings (non-residential)	152	152/550
# of Businesses	104	104/500

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Retained	832	180	1074	832/4000	180/500	1074/5000	94.23

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Persons	832	180	1192	832/4000	180/500	1192/5000	84.90

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number: R2-SBJ-UN

Activity Title: Small Business Jobs R2 - UN

Activity Category:

Econ. development or recovery activity that creates/retains

Project Number:

R2-SmBusiness

Projected Start Date:

05/14/2014

Benefit Type:

Direct (Person)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

D. Small Business Program Rnd 2

Projected End Date:

05/12/2016

Completed Activity Actual End Date:

Responsible Organization:

New York State

Overall	Oct 1 thru Dec 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$6,000,000.00
Total Budget	\$4,000,000.00	\$6,000,000.00
Total Obligated	\$1,218,997.97	\$1,218,997.97
Total Funds Drawdown	\$1,218,997.97	\$1,218,997.97
Program Funds Drawdown	\$1,218,997.97	\$1,218,997.97
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$1,218,997.97	\$1,218,997.97
New York State	\$1,218,997.97	\$1,218,997.97
Match Contributed	\$0.00	\$0.00

Activity Description:

Grant and/or loan assistance to eligible businesses to cover working capital, machinery and equipment, furniture and fixtures, renovation and reconstruction activities. Not-for profits will be eligible to receive the same, except for working capital.

Location Description:

Hurricane Sandy: Nassau, Orange, Putnam, Rockland, Suffolk, Sullivan, Ulster, and Westchester counties.

Hurricane Irene: Albany, Clinton, Columbia, Delaware, Dutchess, Essex, Greene, Herkimer, Montgomery, Nassau, Orange, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, and Westchester.

Tropical Storm Lee: Broome, Chemung, Chenango, Delaware, Fulton, Herkimer, Oneida, Orange, Otsego, Schenectady, Schoharie, Tioga, and Ulster.

Activity Progress Narrative:

This narrative covers the following activities: Round 2 Small Business Jobs LMI and UN.



During Q4 2014, the program continued to process applications. Costs associated with this activity during this quarter included direct assistance, application intake, application reviews, and program design for businesses that retain jobs primarily for low to moderate income (LMI) individuals. Case Managers submitted 203 applications to GOSR for review. Programmatic accomplishments achieved in Q4 2014 include 155 businesses LMI businesses received grant award letters and 155 businesses completed all of the required grant conditions and signed a grant agreement with the State. By the end of Q4, a total of 269 businesses had received the full amount of their grant awards, of which 240 meet the national objective of benefitting persons of low to moderate income and 29 meet the national objective of addressing urgent needs. A total of 114 (104 LMI/ 10 UN) businesses that received their full assistance in Q4 2014. The program remains open for new applications. The final determination of whether a business meets the national objective of LMI Jobs or Urgent Need is based on the demographics information provided by the business at the later stages of their application. The awards will assist businesses with meeting storm-related working capital needs, replacing lost inventory and equipment. The businesses included in this category will meet the national objective of retaining low to moderate income jobs. For this quarterly report, the budget for this activity, the projected numbers of businesses assisted, and the projected number of jobs created or retained were increased.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of buildings (non-residential)	14	14/150
# of Businesses	10	10/140

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Retained	1	0	4	1/180	0/40	4/300	25.00

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Persons	1	0	4	1/180	0/40	4/300	25.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-TMC / Tourism Marketing Campaign R2

Grantee Activity Number: R2-TMC-State
Activity Title: State Tourism Marketing Campaign R2



Activity Category:

Tourism (Waiver Only)

Project Number:

R2-TMC

Projected Start Date:

05/16/2013

Benefit Type:

Area ()

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

Tourism Marketing Campaign R2

Projected End Date:

05/14/2015

Completed Activity Actual End Date:**Responsible Organization:**

New York State

Overall**Total Projected Budget from All Sources****Oct 1 thru Dec 31, 2014**

N/A

To Date

\$4,541,451.40

Total Budget

\$7,541,451.40

\$4,541,451.40

Total Obligated

\$0.00

\$0.00

Total Funds Drawdown

\$0.00

\$0.00

Program Funds Drawdown

\$0.00

\$0.00

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$0.00

\$0.00

Match Contributed

\$0.00

\$0.00

Activity Description:

Marketing and advertising for seasonal tourism industry impacted by the storms.

Location Description:

Areas impacted by Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee

Activity Progress Narrative:

To date, the State has run over 108,000 postings across New York State and the United States in an effort to attract traveling tourists and to revitalize the tourism industry following the devastating impacts of Superstorm Sandy to the businesses and employees dependent on the revenues generated by local and national tourism. No additional ads ran in Q4 2014.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Posted Advertisements for	0	27033/0

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

