Governor's Office of Storm Recovery

NEW YORK STATE

Andrew M. Cuomo
Governor

Lisa Bova-Hiatt
Executive Director

February 26, 2016

New York State Majority Leader John Flanagan
State Capitol Building
Room 330
Albany, New York 11247

Speaker of New York Assembly Carl Heastie
Legislative Office Building
Room 932
Albany, New York 12248

Dear Gentlemen:

Pursuant to Chapter 413 of the Laws of 2015, as well as Legislative Bills S. 6420-A and A. 9173 of 2016, attached please find the Governor's Office of Storm Recovery (GOSR) quarterly report. As you will see, the report outlines key programmatic updates pertaining to the NY Rising Housing Recovery, Small Business and Community Reconstruction programs being executed by GOSR.

Should you have any questions regarding this update or the programs we oversee, please do not hesitate to contact me directly at lisa.bovahiatt@stormrecovery.ny.gov, or 212-480-4694. Thank you for your continued interest in our work.

Sincerely,

Lisa Bova-Hiatt
Executive Director
Governor's Office of Storm Recovery

Fourth Quarter 2015 Single Family Housing Report

GOSR operates the **NY Rising Housing Recovery Program** to address the pressing and varied needs of homeowners throughout the State. With thousands of housing damaged or destroyed as a result of Superstorm Sandy, the State–from the very early stages– made fundamental decisions to speed up the distribution of funds, empower individuals in the recovery process, and provide opportunities to pursue optional resiliency measures. GOSR recognizes the extreme importance of balancing two competing priorities: the first, to get families back in their homes as quickly as possible, and the second, to ensure compliance with HUD requirements while providing proper oversight of precious federal resources.

	1	2	3	4	5
County	**Active single family housing applicants that have received partial or full payments**	**Active single family housing applicants that have been closed out**	**Active single family housing applicants who have been deemed eligible who have not been paid**	**Active single family housing applicants are pending an eligibility review**	**Single family housing applicants with no award due to ineligibility due to Federal regulations**
Albany	33	4	0	-	10
Broome	32	1	0	1	18
Chenango	6	1	0	-	9
Clinton	4	-	0	-	2
Columbia	7	1	0	-	1
Delaware	33	13	0	6	81
Dutchess	24	8	0	-	-
Essex	15	2	0	1	7
Fulton	-	-	0	-	1
Greene	41	7	0	3	32
Herkimer	16	5	0	-	7
Montgomery	14	2	0	3	7
Nassau	7,967	1,365	0	209	3,414
Oneida	2	-	0	-	2
Orange	76	25	0	1	24
Otsego	11	1	0	-	3
Putnam	3	-	0	-	3
Rensselaer	11	1	0	1	4
Rockland	74	12	0	19	94
Saratoga	20	4	0	-	8
Schenectady	34	8	0	1	12
Schoharie	232	47	0	20	110
Suffolk	2,764	559	0	84	1,252
Sullivan	23	3	0	-	5
Tioga	29	4	0	2	27
Ulster	49	12	0	7	26
Warren	3	-	0	-	-
Washington	3	-	0	-	2
Westchester	58	9	0	1	28
Grand Total	**11,584**	**2,094**	**0**	**359**	**5,189**

1 - *Applicants who have qualified for the program and have received a portion of their award. Of the applicants that have received payments, 9,490 have received reimbursement checks, if applicable and at least 50% of their future award. Upon completion of repairs or reconstruction, NY Rising will assist applicants to achieve final closeout. Upon final closeout, NY Rising awards are processed.*
2 - *Closed Out -defined as having received funding and successfully completed all construction and submitted required documentation*
3*-Homeowers who have been deemed eligible and have not been paid.*
4 - *Homeowners have not been paid because they are pending environmental, closeout, or other eligibility reviews. GOSR has informed the homeowners of the types of documents they need to provide if the missing items are homeowner dependent, or the types of actions they need to take to remedy environmental items.*
5 – *Homeowners who have been determined to be ineligible to receive assistance under federal regulations.*

Fourth Quarter 2015 Small Business Report

The NY Rising Small Business Program renders grants of $50,000 or more to help replace essential equipment/ inventory, renovate facilities, or provide working capital.

	1	2	3	4	5
County	**Active applicants: Received payment (partial or full)**	**Active applicants: Pending eligibility review**	**Active, Eligible Applicants: Pending environmental review**	**Active applicants: Have closed out with Program**	**All applicants not due payment because of no unmet need/ ineligible due to Federal requirements**
	N	**N**	**N**	**N**	**N**
Albany	3	1	-	-	3
Broome	28	1	2	-	15
Chemung	2	-	-	-	-
Chenango	1	-	-	-	1
Clinton	1	-	-	-	-
Columbia	1	2	-	-	-
Delaware	4	1	1	-	4
Dutchess	-	2	1	-	-
Essex	4	1	-	-	1
Fulton	-	-	-	-	1
Greene	9	7	2	-	8
Herkimer	1	-	-	-	-
Montgomery	2	1	-	-	1
Nassau	463	55	31	12	225
Oneida	3	-	-	-	1
Orange	25	15	4	-	12
Otsego	4	1	-	-	2
Putnam	2	-	-	-	-
Rensselaer	10	2	-	-	4
Rockland	9	4	2	-	9
Saratoga	1	-	1	-	-
Schenectady	1	-	-	-	-
Schoharie	22	9	3	-	12
Suffolk	212	44	8	-	75
Sullivan	1	1	-	-	1
Tioga	17	-	2	-	5
Ulster	7	7	-	-	7
Warren	2	-	-	-	1
Westchester	22	3	-	-	10
Total	**857**	**157**	**57**	**12**	**398**

1 - *Small businesses that have been confirmed as eligible and have received funds (partial or whole award).*
2 - *Small businesses pending approval (as eligible for funds) who are currently in review with GOSR for determination of their eligibility status and their corresponding award amount.*
3 - *Businesses approved as eligible but have not been paid because they are pending environmental documentation*
4 - *Small Businesses that have received all their awarded funding, successfully completed all construction, and submitted all required documentation.*
5 - *Businesses that have been deemed ineligible due to a Program policy or due to failure to submit application by deadline.*
There are a total of 1,059 applicants who have not closed out of the small business program.

Fourth Quarter 2015 NY Rising Community Reconstruction Program Report

In April 2013, Governor Cuomo announced the NY Rising Community Reconstruction (NYRCR) Program– a first-of-its kind participatory program that has grown to assist 124 storm-damaged communities. The more than $700 million program empowers communities to identify local needs and assets, and develop projects through an intensive planning and implementation process.

Following two rounds of planning (the second culminating in March of 2015), the NYRCR Program is now working with local partners to implement recovery and resiliency projects proposed in the final plans. The projects proposed in Community Reconstruction plans were conceptual and did not incorporate project design or engineering. After the planning process concluded, GOSR designed and stood-up a capital projects program to implement proposed projects. The program design includes implementing projects through agreements with local governments, where possible, to build local capacity and to continue the Program's local, grassroots orientation. After entering into local partnership agreements, GOSR worked with subrecipients to define the scope of projects and procurement engineering and design consultants in compliance with federal regulations. Engineering, environmental review and design is now underway on many community reconstruction projects, the first of which will soon go into construction.

County	Design	Construction	Non-Capital Projects in Implementation	Total
Bronx	2			2
Kings	5		8	13
New York	2		6	8
Queens	10	1*	8	19
Richmond	4		4	8
Westchester	2			2
Nassau	26			26
Suffolk	17			17
Broome	9	2*		11
Delaware	4			4
Essex	3			3
Greene	3			3
Montgomery	2	2*		4
Orange	6			6
Rockland	4			4
Saratoga	2			2
Schenectady	2			2
Schoharie	10			10
Tioga	5			5
Ulster	19			19
Total	**137**	**5**	**26**	**168**

Table 1: Community Reconstruction Projects in Design or Construction Phase by County
**Capital projects 100% designed and ready to go to bid*