

FOURTH AMENDMENT
TO
CONTRACT FOR SERVICES

THIS FOURTH AMENDMENT to the Contract for Services dated December 31, 2014, is made and entered into ^{November 2,} 2017 (the "Fourth Amendment") between TRC Engineers, Inc. having an office located at 1430 Broadway, 10th Floor, New York, NY 10018 ("Contractor"), and the HOUSING TRUST FUND CORPORATION, having its principal office at 38-40 State Street, Albany, New York 12207 ("HTFC").

WITNESSETH:

WHEREAS, Contractor was a successful bidder pursuant to a request for proposals issued by HTFC on November 19, 2014 (the "RFP"); and

WHEREAS, HTFC and Contractor entered into a Contract for Services (the "Agreement") on December 31, 2014, pursuant to which Contractor provides certain services in support of HTFC's administration of the State of New York's Community Development Block Grant-Disaster Recovery ("CDBG-DR") program; and

WHEREAS, HTFC's Governor's Office of Storm Recovery ("GOSR") is specifically tasked with administering the State of New York's CDBG-DR program and therefore oversees and administers this particular Agreement; and

WHEREAS, HTFC and Contractor's Total Fee in the Contract for Services dated December 31, 2014 totaled \$3,000,000.00; and

WHEREAS, HTFC and Contractor entered into a First Amendment to the Agreement on August 26, 2015 which increased the Total Fee to \$4,500,000.00; and

WHEREAS, HTFC and Contractor entered into a Second Amendment to the Agreement on March 8, 2016 which increased the Total Fee to \$5,500,000.00; and

WHEREAS, HTFC and Contractor entered into a Third Amendment to the Agreement on March 23, 2017 which extended the term; and

WHEREAS, GOSR wishes to obtain additional services from Contractor that are within the scope of services sought under the RFP and provided by Contractor under the Agreement; and

WHEREAS, GOSR seeks such additional services in the amount of \$1,250,000.00, thereby increasing Exhibit B's "Fee Schedule" to a total amount of \$6,750,000.00; and

WHEREAS, GOSR seeks to extend the Term of the Agreement to December 31, 2018; and

WHEREAS, HTFC/GOSR and Contractor desire to amend the Agreement by means of this Fourth Amendment in order to increase the Total Fee and to extend the Term to allow Contractor to perform additional services;

NOW, THEREFORE, pursuant to and in consideration of the above, and other mutual covenants and obligations herein contained, it is

STIPULATED AND AGREED as follows:

1. The first sentence of Section 2(a) is hereby deleted and replaced with the following:
“Contractor agrees that in no event will HTFC pay the Contractor more than \$6,750,000.00 (“Total Fee”) for the Services under all Task Orders under this Agreement.”
2. The first sentence of Section 4 is hereby deleted and replaced with the following:
“This Agreement shall commence as of the Effective Date and shall terminate on December 31, 2018.”
3. Section 7(e) of the Agreement is hereby deleted and replaced with the following:
(e) The Contractor shall submit an electronic invoice to GOSR’s invoice management system of record, Elation Systems. The Contractor, and all authorized subcontractors performing work pursuant to this Agreement, shall obtain an Elation Systems account by registering at <https://www.elationsys.com/app/Registration>. Each invoice submitted to Elation Systems must include all applicable supporting documentation, including but not limited to:
 - i. Name and address of the Contractor;
 - ii. Invoice date and number;
 - iii. Task Order number;
 - iv. Description of services, quantity of Services, unit or rate of measure of the items delivered;
 - v. If applicable, shipping number and date of shipment, including the bill of lading number and weight of shipment if shipped on Government bill of lading;
 - vi. Terms of any discount for prompt payment offered;
 - vii. Receipts and expense reports;
 - viii. Subcontractor invoices;
 - ix. Federal and state forms;
 - x. Name and address of official to whom payment is to be sent;
 - xi. Name, title, and phone number of person to notify in the event of defective invoice; and
 - xii. Additional information as reasonably required by GOSR.
4. Section II-A of Appendix III, Section 2, to the Agreement, entitled “Contract Goals,” is hereby deleted and replaced with the following:

A. For services performed by Contractor pursuant to any Task Order executed or amended on the same day or prior to this Third Amendment, the Corporation hereby establishes an overall goal of 20% for Minority and Women-Owned Business Enterprises (“MWBE”) participation, 10% for Minority-Owned Business Enterprises (“MBE”) participation and 10% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs). For services performed by Contractor pursuant to any Task Order executed or amended subsequent to this Third Amendment, the Corporation hereby establishes an overall goal of 30% for Minority and Women-Owned Business Enterprises (“MWBE”) participation, 15% for Minority-Owned Business Enterprises (“MBE”) participation and 15% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs).

5. Section 1(f) is hereby added as follows:

The Contractor shall submit all compliance documentation required by Appendix III attached hereto, or otherwise required by any RFP, Task Order(s), or other attachments to this Contract for Services or any Task Order(s), by electronic submission to GOSR’s Management System of Record, Elation Systems, in such form and with such frequency as set forth in the applicable requirements documents.

6. Section 14(f) (ii) is hereby deleted and replaced with the following:

If to HTFC, to the attention of and at the following address:

HTFC

Daniel Greene

General Counsel

Governor’s Office of Storm Recovery

25 Beaver Street

New York, NY 10004

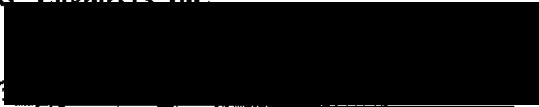
212-480-4644

Daniel.Greene@stormrecovery.ny.gov


7. All other terms and conditions, including appendices, attachments, exhibits, riders and Letter Agreements to the Agreement are hereby continued in full force and effect as though set forth herein.

IN WITNESS WHEREOF, the parties executed this Third Amendment on the day and year first above written.

TRC Engineers, Inc.

By: 
Name: EDWARD G. ADTS
Title: SVP, VICE PRESIDENT
Date: 10/30/17

Housing Trust Fund Corporation

By: 
Name: Daniel Greene
Title: General Counsel,
Governor's Office of Storm Recovery
Date: 11/2/17