



Governor's Office of Storm Recovery

ANDREW M. CUOMO
Governor

December 15, 2018


New York State Majority Leader John Flanagan
Speaker of New York Assembly Carl Heastie
State Capital Building
Albany, NY 12247

Dear Gentlemen:

Pursuant to Chapter 3 of the Laws of 2016, please find the Governor's Office of Storm Recovery's (GOSR) November 2018 Quarterly Report, attached. This report covers the period from September 1, 2018 – November 30, 2018 (4Q of 2018), and outlines key programmatic updates pertaining to the NY Rising Housing Recovery, Small Business, and Community Reconstruction programs that are being executed by GOSR. GOSR's programs are continuing to benefit homeowners and businesses, and we have seen the positive impact on the communities in which they reside.

Should you have any questions regarding this update or the programs that GOSR oversees, please do not hesitate to contact me directly at jane.brogan@stormrecovery.ny.gov, or 212-480-6464. Thank you for your continued interest in our work.

Sincerely,


Jane Brogan
Chief Policy & Research Officer
Governor's Office of Storm Recovery



Single Family Housing Report: September 1, 2018 – November 30, 2018

GOSR operates the NY Rising Housing Recovery Program to address the pressing and varied needs of homeowners throughout the State. The data from 4Q of 2018 demonstrates that GOSR continues to make substantial progress in its efforts to get families back in their homes as quickly as possible while ensuring compliance with HUD requirements and federal resource allocation. Fourth quarter notes: (1) to more accurately reflected the “Active” single family universe of applicants, we have excluded those applicants who may have been issued a payment but are no longer

participating in the program and therefore not considered active. These applicants are now reflected in column 5 below. This reconciliation has reduced our total active universe that we expect to receive assistance to 11,062, and increased those with no award to 6,220; (2) 117 more applicants have “closed out” of the program (e.g., successfully completed construction on their homes and submitted all required documentation).

| County | 1 Active single family housing applicants that have received partial or full payments | 2 Active single family housing applicants that have been closed out | 3 Active single family housing applicants who have been deemed eligible who have not been paid | 4 Active single family housing applicants are pending an eligibility review | 5 Single family housing applicants with no award due to ineligibility due to Federal regulations |
|--------------|--|--|---|--|---|
| Albany | 32 | 22 | - | - | 12 |
| Broome | 31 | 27 | - | - | 20 |
| Chenango | 6 | 3 | - | - | 9 |
| Clinton | 4 | 1 | - | - | 2 |
| Columbia | 7 | 3 | - | - | 1 |
| Delaware | 29 | 26 | - | - | 90 |
| Dutchess | 21 | 14 | - | - | 3 |
| Essex | 16 | 13 | - | - | 7 |
| Fulton | - | - | - | - | 1 |
| Greene | 39 | 26 | - | - | 37 |
| Herkimer | 15 | 10 | - | - | 8 |
| Montgomery | 15 | 11 | - | - | 9 |
| Nassau | 7,550 | 4,926 | - | 6 | 4,090 |
| Oneida | 2 | - | - | - | 2 |
| Orange | 75 | 56 | - | - | 26 |
| Otsego | 11 | 7 | - | - | 3 |
| Putnam | 2 | 1 | - | - | 4 |
| Rensselaer | 11 | 8 | - | - | 5 |
| Rockland | 99 | 55 | - | 5 | 120 |
| Saratoga | 21 | 14 | - | - | 7 |
| Schenectady | 35 | 31 | - | - | 12 |
| Schoharie | 241 | 180 | - | - | 123 |
| Suffolk | 2,640 | 1,605 | - | 3 | 1,523 |
| Sullivan | 21 | 14 | - | - | 8 |
| Tioga | 30 | 20 | - | - | 28 |
| Ulster | 49 | 40 | - | - | 34 |
| Warren | 3 | - | - | - | - |
| Washington | 3 | 2 | - | - | 2 |
| Westchester | 54 | 35 | - | - | 34 |
| Total | 11,062 | 7,150 | - | 14 | 6,220 |

- 1 - Applicants who have qualified for the program and have received a portion of their award. Of the applicants that have received payments, 3,912 have received reimbursement checks, if applicable and at least 50% of their future award, but have not yet closed out of the program. Note that this number is lower than reported in Q3 2018 because those applicants with a zero award and those who withdrew after receiving some assistance were removed. Upon completion of repairs or reconstruction, NY Rising will assist applicants to achieve final closeout. Upon final closeout, NY Rising awards are processed.
- 2 - Closed Out - defined as having received funding and successfully completed all construction and submitted required documentation
- 3 - Homeowners who have been deemed eligible and have not been paid.
- 4 - Homeowners have not been paid because they are pending environmental, closeout, or other eligibility reviews. GOSR has informed the homeowners of the types of documents they need to provide if the missing items are homeowner dependent, or the types of actions they need to take to remedy environmental items.
- 5 - Homeowners who have been determined to be ineligible to receive assistance under federal regulations. This total includes approximately 428 applicants with a zero award determination.



Small Business Report: September 1, 2018 – November 30, 2018

The NY Rising Small Business Program renders grants of \$50,000 or more to help replace essential equipment/ inventory, renovate facilities, or provide working capital. The program continues to help small businesses reopen and grow, thus ensuring they retain a vital role in their communities. Fourth quarter notes: 1) in previous reports, the “Active applicants who have closed out with program” included those applicants who were administratively closed despite having a zero award. To more accurately reflect the active universe of applicants who have received assistance, these have been removed from this column. These applicants have been reported in Column 5 and remain represented there. The

total number of active applicants who have closed out with program is 313; 2) 1 more small business has received its payment of first program funds.

| County | 1 | 2 | 3 | 4 | 5 |
|--------------|---|---|---|---|---|
| County | Active applicants: Received payment (partial or full) | Active applicants: Pending eligibility review | Active, Eligible Applicants: Funds Pending Additional Clearance(s) | Active applicants: Have closed out with Program | All applicants not due payment because of no unmet need/ ineligible due to Federal requirements |
| Albany | 4 | - | - | 1 | 1 |
| Broome | 33 | - | - | 12 | 13 |
| Chemung | 2 | - | - | 2 | - |
| Chenango | 1 | - | - | - | 2 |
| Clinton | 1 | - | - | 1 | - |
| Columbia | 3 | - | - | 1 | - |
| Delaware | 6 | - | - | 1 | 4 |
| Dutchess | 3 | - | - | - | 1 |
| Essex | 5 | - | - | - | 1 |
| Fulton | - | - | - | - | 1 |
| Greene | 17 | 1 | 1 | 3 | 9 |
| Herkimer | 1 | - | - | 1 | - |
| Montgomery | 3 | - | - | 1 | 1 |
| Nassau | 551 | - | 2 | 159 | 220 |
| Oneida | 4 | - | - | 3 | - |
| Orange | 42 | - | - | 7 | 14 |
| Otsego | 5 | - | - | 1 | 1 |
| Putnam | 2 | - | - | 2 | - |
| Rensselaer | 12 | - | - | 3 | 4 |
| Rockland | 14 | - | - | 2 | 10 |
| Saratoga | 2 | - | - | - | - |
| Schenectady | 1 | - | - | - | - |
| Schoharie | 32 | - | - | 5 | 12 |
| Suffolk | 264 | - | - | 83 | 75 |
| Sullivan | 2 | - | - | 2 | 1 |
| Tioga | 19 | - | - | 9 | 5 |
| Ulster | 12 | - | - | 4 | 9 |
| Warren | 2 | - | - | 1 | 1 |
| Westchester | 25 | - | - | 9 | 11 |
| Total | 1,068 | 1 | 3 | 313 | 396 |

1 – Small businesses that have been confirmed as eligible and have received funds (partial or whole award).

2 – Small businesses which are currently in review with GOSR for determination of their eligibility status and their corresponding award amount.

3 – Businesses which have been approved as eligible but have not been disbursed funds because they are pending environmental documentation, future construction clearance, or other miscellaneous documentation.

4 – Eligible Small Businesses that have received all their awarded funding, successfully completed all construction, and submitted all required documentation.

5 – Businesses that have been deemed ineligible due to a Program policy or due to failure to submit application by the submission deadline.



Community Reconstruction Report: September 1, 2018 – November 30, 2018

The NY Rising Community Reconstruction (NYRCR) Program is a first-of-its-kind participatory program. It has grown to assist 124 storm-damaged communities, empowers communities to identify local needs and assets, and helps to develop projects through an intensive planning and implementation process. Following two rounds of planning, GOSR entered into local partnership agreements with subrecipients to define the scope of projects and procure engineering and design consultants in compliance with federal regulations. As of the end of Q4 2018, the NYRCR

Program has 315 active projects, with 19 projects closed out, 51 projects in construction, 28 non-capital projects in implementation, and 217 projects approved and in design.

| County | Design (Projects with Approved Pre-Applications) | Construction (Projects with 100% Design Complete and Further) | Non-Capital Projects in Implementation (Projects with Approved Pre-Applications) | Closeout (Projects which have Completed Closeout) | Total |
|--------------|--|---|--|---|------------|
| Bronx | 1 | - | - | - | 1 |
| Broome | 8 | 9 | 1 | 1 | 19 |
| Delaware | 7 | - | - | 1 | 8 |
| Essex | 5 | - | - | - | 5 |
| Greene | 1 | 3 | - | - | 4 |
| Kings | 20 ² | 1 | 4 | 2 | 27 |
| Montgomery | 4 | 3 | - | - | 7 |
| Nassau | 56 ³ | 7 | 3 | 1 | 67 |
| New York | 8 ² | - | 7 ¹ | - | 15 |
| Orange | 8 | 1 | - | - | 9 |
| Queens | 23 | - | 5 | 2 | 30 |
| Richmond | 3 | 1 ⁴ | 4 ⁴ | 1 | 9 |
| Rockland | 4 | - | - | - | 4 |
| Saratoga | 1 | 1 | - | 2 | 4 |
| Schenectady | 1 | 2 | - | - | 3 |
| Schoharie | 11 | 5 | 3 | - | 19 |
| Suffolk | 24 | 5 | - | 2 | 31 |
| Tioga | 9 | 3 | - | 1 | 13 |
| Ulster | 19 | 10 | - | 5 | 34 |
| Westchester | 4 | - | 1 | 1 | 6 |
| Total | 217 | 51 | 28 | 19 | 315 |

1 Kings, Queens, Bronx, New York Counties

2 New York, Queens, and Kings Counties

3 Nassau and Queens Counties

4 Richmond and Kings Counties