



**Governor's Office of
Storm Recovery**

ANDREW M. CUOMO
Governor

LISA BOVA-HIATT
Executive Director

December 1, 2016


Speaker of New York Assembly Carl Heastie
State Capital Building
Albany, NY 12247

Dear Speaker Heastie:

Pursuant to Chapter 3 of the Laws of 2016, please find the Governor's Office of Storm Recovery's (GOSR) December 2016 Quarterly Report, attached. This report covers the period from September 1, 2016 – November 30, 2016 (4Q of 2016), and outlines key programmatic updates pertaining to the NY Rising Housing Recovery, Small Business and Community Reconstruction programs that are being executed by GOSR. GOSR's programs are continuing to benefit homeowners and businesses, and we have seen the positive impact on the communities in which they reside.

Should you have any questions regarding this update or the programs that GOSR oversees, please do not hesitate to contact me directly at lisa.bovahiatt@stormrecovery.ny.gov, or [212-480-4694](tel:212-480-4694). Thank you for your continued interest in our work.

Sincerely,


Lisa Bova-Hiatt
Executive Director
Governor's Office of Storm Recovery

Housing



Single Family Housing Report: September 1, 2016 - November 30, 2016

GOSR operates the NY Rising Housing Recovery Program to address the pressing and varied needs of homeowners throughout the State. The data from 4Q 2016 demonstrates that GOSR continues to make substantial progress in its efforts to get families back in their homes as quickly as possible while ensuring compliance with HUD requirements and federal resource allocation. Fourth quarter highlights include: (1)

81 more homeowners have qualified for the program and received a portion of their award; and (2) 502 more applicants have “closed out” of the program (e.g., successfully completed construction on their homes and submitted all required documentation).

	1	2	3	4	5
County	Active single family housing applicants that have received partial or full payments	Active single family housing applicants that have been closed out	Active single family housing applicants who have been deemed eligible who have not been paid	Active single family housing applicants are pending an eligibility review	Single family housing applicants with no award due to ineligibility due to Federal regulations
Albany	33	12	-	-	11
Broome	33	14	-	-	18
Chenango	6	1	-	-	9
Clinton	4	1	-	-	2
Columbia	6	2	-	1	1
Delaware	35	20	-	4	80
Dutchess	24	10	-	-	-
Essex	16	6	-	1	6
Fulton	-	-	-	-	1
Greene	42	14	-	2	32
Herkimer	15	8	-	-	8
Montgomery	16	6	-	1	7
Nassau	8,123	2,893	-	74	3,434
Oneida	2	-	-	-	2
Orange	76	37	-	2	23
Otsego	11	3	-	-	3
Putnam	3	-	-	-	3
Rensselaer	11	4	-	-	5
Rockland	86	21	-	13	91
Saratoga	21	10	-	-	7
Schenectady	35	15	-	1	11
Schoharie	241	100	-	7	116
Suffolk	2,852	914	-	41	1,261
Sullivan	22	8	-	-	7
Tioga	28	14	-	3	27
Ulster	52	24	-	1	30
Warren	2	-	-	-	1
Washington	3	-	-	-	2
Westchester	57	16	-	2	29
4th Quarterly Report	11,855	4,153	-	153	5,227

1 - Applicants who have qualified for the program and have received a portion of their award. Of the applicants that have received payments, approximately 7,702 have received reimbursement checks, if applicable and at least 50% of their future award. Upon completion of repairs or reconstruction, NY Rising will assist applicants to achieve final closeout. Upon final closeout, NY Rising awards are processed.

2 - Closed Out -defined as having received funding and successfully completed all construction and submitted required documentation

3-Homeowners who have been deemed eligible and have not been paid.

4 - Homeowners have not been paid because they are pending environmental, closeout, or other eligibility reviews. GOSR has informed the homeowners of the types of documents they need to provide if the missing items are homeowner dependent, or the types of actions they need to take to remedy environmental items.

5 – Homeowners who have been determined to be ineligible to receive assistance under federal regulations. This total includes approximately 400 applicants with a zero award determination.



Small Business Report: September 1, 2016 - November 30, 2016

The NY Rising Small Business Program renders grants of \$50,000 or more to help replace essential equipment/ inventory, renovate facilities, or provide working capital. The program continues to help small businesses reopen and grow, thus ensuring they retain a vital role in their communities. Since September 1, 2016, 86 more small businesses have received their first program funds, 30 small businesses are now eligible to receive funds, and 61 more applicants have “closed out” of the program (e.g., successfully completed construction and submission of all documentation).

	1	2	3	4	5
County	Active applicants: Received payment (partial or full)	Active applicants: Pending eligibility review	Active, Eligible Applicants: Funds Pending Additional Clearance(s)	Active applicants: Have closed out with Program	All applicants not due payment because of no unmet need/ ineligible due to Federal requirements
Albany	4	-	-	2	3
Broome	32	-	1	10	14
Chemung	2	-	-	-	-
Chenango	-	-	-	1	2
Clinton	1	-	-	-	-
Columbia	3	-	-	-	-
Delaware	5	-	1	4	4
Dutchess	1	-	1	1	1
Essex	4	-	1	1	1
Fulton	-	-	-	-	1
Greene	12	-	5	5	9
Herkimer	1	-	-	-	-
Montgomery	3	-	-	1	1
Nassau	522	4	39	130	222
Oneida	3	1	-	-	-
Orange	36	-	6	6	14
Otsego	5	-	-	2	2
Putnam	2	-	-	-	-
Rensselaer	11	-	1	4	4
Rockland	14	-	-	3	10
Saratoga	2	-	-	-	-
Schenectady	1	-	-	-	-
Schoharie	29	-	3	10	14
Suffolk	244	1	18	48	77
Sullivan	2	-	-	-	1
Tioga	19	-	-	5	5
Ulster	12	-	-	4	9
Warren	2	-	-	1	1
Westchester	23	-	3	5	11
4th Quarterly Report	995	6	79	243	406

1 – Small businesses that have been confirmed as eligible and have received funds (partial or whole award).

2 – Small businesses which are currently in review with GOSR for determination of their eligibility status and their corresponding award amount.

3 – Businesses which have been approved as eligible but have not been disbursed funds because they are pending environmental documentation, future construction clearance, or other miscellaneous documentation.

4 – Eligible Small Businesses that have received all their awarded funding, successfully completed all construction, and submitted all required documentation. Also includes ineligible/inactive businesses that have submitted all required documentation and have been verified as ineligible for funds.

5 – Businesses that have been deemed ineligible due to a Program policy or due to failure to submit application by the submission deadline.

There are a total of 1,034 eligible applicants who have not closed out of the Small Business Program.



Community Reconstruction Report: September 1, 2016 - November 30, 2016

The NY Rising Community Reconstruction (NYRCR) Program is a first-of-its kind participatory program. It has grown to assist 124 storm-damaged communities, empowers communities to identify local needs and assets, and helps to develop projects through an intensive planning and implementation process. Following two rounds of planning, GOSR entered into local partnership agreements to work with subrecipients to define the scope of projects and procurement engineering and design consultants in compliance with federal regulations. As we enter Q4, the

total number of projects being undertaken has increased to 287, with 16 projects in construction, 45 non-capital projects in implementation, and 226 projects approved and in design. An example of a project funded through the NYRCR Program is the Residential Technical Assistance Program (RTAPP). A local nonprofit, the Center for New York City Neighborhoods, will provide direct assistance to low/moderate income homeowners throughout New York City. In September 2016, RTAPP began accepting applications through an online tool. It is anticipated that the program will conduct over 1,500 resiliency audits to one-to-four unit residential property owners and multi-family buildings.

County	Design (Projects with Approved Pre-Applications)	Construction (Projects with 100% Design Complete and Further)	Non-Capital Projects in Implementation (Projects with Approved Pre-Applications)	Total
Bronx	2			2
Kings	14 ^[1]		7	21
New York	8		8	16
Queens	22 ^[2]		10	32
Richmond	4		6 ^[3]	10
Westchester	2		2	4
Nassau	51		7	58
Suffolk	29			29
Broome	8	4	1	13
Delaware	8			8
Essex	4			4
Greene	3	1		4
Montgomery	4	3		7
Orange	9			9
Rockland	5			5
Saratoga	2		1	3
Schenectady	2	1		3
Schoharie	14	1	3	18
Tioga	5	1		6
Ulster	30	5		35
4th Quarterly Report	226	16	45	287*

The following calculations include a project that will be implemented in at least two New York State counties:

^[1] Kings and Queens Counties

^[2] Nassau and Queens Counties

^[3] Richmond and Kings Counties

* Figures accurate as of 11/16/16