



Governor's Office of Storm Recovery



Andrew M. Cuomo
Governor

Lisa Bova-Hiatt
Executive Director

June 8, 2016

New York State Majority Leader John Flanagan
Speaker of New York Assembly Carl Heastie
State Capital Building
Albany, NY 12247

Dear Gentlemen:

Pursuant to Chapter 413 of the Laws of 2015, as well as Legislative Bills S. 6420-A and A. 9173 of 2016, please find the Governor's Office of Storm Recovery's (GOSR) June 2016 Quarterly Report, attached. This report covers the period from March 1, 2016 – May 31, 2016 (2Q of 2016), and outlines key programmatic updates pertaining to the NY Rising Housing Recovery, Small Business and Community Reconstruction programs that are being executed by GOSR. As shown in the report, significant progress has been made in all three programs, benefitting homeowners and business owners alike, and helping to revitalize the communities in which they reside.

Should you have any questions regarding this update or the programs that GOSR oversees, please do not hesitate to contact me directly at lisa.bovahiatt@stormrecovery.ny.gov, or [212-480-4694](tel:212-480-4694). Thank you for your continued interest in our work.

Sincerely,

Lisa Bova-Hiatt
Executive Director
Governor's Office of Storm Recovery



Single Family Housing Report: March 1, 2016 - May 31, 2016

GOSR operates the NY Rising Housing Recovery Program to address the pressing and varied needs of homeowners throughout the State. The data from 2Q 2016 demonstrates that GOSR has made significant progress in its efforts to get families back in their homes as quickly as possible while ensuring compliance with HUD requirements and federal resource allocation. Second quarter highlights include: (1) 138 more homeowners have qualified for the program and received a portion of their award; (2) 851 more applicants have “closed out” of the program (e.g., successfully completed construction on their homes and submission of all required documentation); and (3) 128 more applicants have completed the eligibility review process and are now eligible to be paid.

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County	1	2	3	4	5
	Active single family housing applicants that have received partial or full payments	Active single family housing applicants that have been closed out	Active single family housing applicants who have been deemed eligible who have not been paid	Active single family housing applicants are pending an eligibility review	Single family housing applicants with no award due to ineligibility due to Federal regulations
Albany	33	8	-	-	11
Broome	33	9	-	-	18
Chenango	6	1	-	-	9
Clinton	4	-	-	-	2
Columbia	6	2	-	1	1
Delaware	35	16	-	4	78
Dutchess	24	9	-	-	-
Essex	15	4	-	1	6
Fulton	-	-	-	-	1
Greene	42	10	-	2	32
Herkimer	16	7	-	-	7
Montgomery	16	5	-	1	7
Nassau	8,056	1,978	-	129	3,403
Oneida	2	-	-	-	2
Orange	75	31	-	1	25
Otsego	11	2	-	-	3
Putnam	3	-	-	-	3
Rensselaer	10	3	-	2	4
Rockland	76	15	-	17	92
Saratoga	21	6	-	-	7
Schenectady	34	12	-	1	12
Schoharie	234	69	-	14	114
Suffolk	2,805	714	-	51	1,252
Sullivan	22	6	-	1	6
Tioga	29	8	-	1	28
Ulster	51	18	-	4	25
Warren	3	-	-	-	-
Washington	3	12	-	-	2
Westchester	57	-	-	1	30
2nd Quarterly Report	11,722	2,945	-	231	5,180

1 - Applicants who have qualified for the program and have received a portion of their award. Of the applicants that have received payments, approximately 9,500 have received reimbursement checks, if applicable and at least 50% of their future award. Upon completion of repairs or reconstruction, NY Rising will assist applicants to achieve final closeout. Upon final closeout, NY Rising awards are processed.

2 - Closed Out -defined as having received funding and successfully completed all construction and submitted required documentation

3-Homeowners who have been deemed eligible and have not been paid.

4 - Homeowners have not been paid because they are pending environmental, closeout, or other eligibility reviews. GOSR has informed the homeowners of the types of documents they need to provide if the missing items are homeowner dependent, or the types of actions they need to take to remedy environmental items.

5 - Homeowners who have been determined to be ineligible to receive assistance under federal regulations.



Small Business Report: March 1, 2016 - May 31, 2016

The NY Rising Small Business Program renders grants of \$50,000 or more to help replace essential equipment/ inventory, renovate facilities, or provide working capital. The program continues to help small businesses reopen and grow, thus ensuring they retain a vital role in their communities. Since March 2016, 41 more small businesses have received their first program funds, 61 small businesses are now eligible to receive funds, and 125 more applicants have “closed out” of the program (e.g., successfully completed construction and submission of all documentation).

	1	2	3	4	5
County	Active applicants: Received payment (partial or full)	Active applicants: Pending eligibility review	Active, Eligible Applicants: Funds Pending Additional Clearance(s)	Active applicants: Have closed out with Program	All applicants not due payment because of no unmet need/ ineligible due to Federal requirements
Albany	3	-	1	1	3
Broome	29	-	3	7	14
Chemung	2	-	-	-	-
Chenango	-	-	-	1	2
Clinton	1	-	-	-	-
Columbia	1	2	-	-	-
Delaware	3	2	1	4	4
Dutchess	-	1	1	-	1
Essex	4	1	-	-	1
Fulton	-	-	-	-	1
Greene	9	6	3	4	8
Herkimer	1	-	-	-	-
Montgomery	3	-	-	1	1
Nassau	483	27	31	78	235
Oneida	3	-	-	-	1
Orange	33	7	3	3	13
Otsego	5	-	-	1	2
Putnam	2	-	-	-	-
Rensselaer	10	2	-	4	4
Rockland	12	1	2	1	9
Saratoga	2	-	-	-	-
Schenectady	1	-	-	-	-
Schoharie	26	3	3	10	14
Suffolk	218	35	21	16	76
Sullivan	1	1	-	-	1
Tioga	17	-	2	4	5
Ulster	9	2	2	2	8
Warren	2	-	-	-	1
Westchester	18	6	1	-	10
2nd Quarterly Report	898	96	74	137	414

1 – Small businesses that have been confirmed as eligible and have received funds (partial or whole award).

2 – Small businesses pending approval (as eligible for funds) who are currently in review with GOSR for determination of their eligibility status and their corresponding award amount.

3 – Businesses approved as eligible but have not been paid because they are pending environmental documentation, future construction clearance, or other miscellaneous documentation.

4 – Eligible Small Businesses that have received all their awarded funding, successfully completed all construction, and submitted all required documentation. Ineligible/inactive businesses that have submitted all required documentation and have been verified as not eligible for funds.

5 – Businesses that have been deemed ineligible due to a Program policy or due to failure to submit application by the submission deadline. There are a total of 1,062 eligible applicants who have not closed out of the small business program.



Community Reconstruction Report: March 1, 2016 - May 31, 2016

The NY Rising Community Reconstruction (NYRCR) Program a first-of-its kind participatory program that has grown to assist 124 storm-damaged communities, empowers communities to identify local needs and assets, and develop projects through an intensive planning and implementation process. Following two rounds of

planning, GOSR entered into local partnership agreements to work with subrecipients to define the scope of projects and procurement engineering and design consultants in compliance with federal regulations. In 1Q of 2016, a total of 168 NYRCR projects were in the design, construction, or implementation phases. In 2Q of 2016, the number of NYRCR projects increased to 227, an increase of 35%.

County	Design	Construction	Non-Capital Projects in Implementation	Total
Bronx	2			2
Kings	10 ¹		7	17
New York	8		6	14
Queens	21 ²		10	31
Richmond	4		5 ³	9
Westchester	2		1	3
Nassau	33		4	37
Suffolk	24			24
Broome	9	2*	1	12
Delaware	5			5
Essex	3			3
Greene	3			3
Montgomery	3	2*		5
Orange	8			8
Rockland	5			5
Saratoga	2		1	3
Schenectady	2	1*		3
Schoharie	11			11
Tioga	5			5
Ulster	25	2*		27
2nd Quarterly Report	185	7	35	227

* Includes capital projects 100% designed and ready to go to bid

The following calculations include a project that will be implemented in at least two New York State counties:

- ¹ Kings and Queens Counties
- ² Nassau and Queens Counties
- ³ Richmond and Kings Counties