



Governor's Office of Storm Recovery



Andrew M. Cuomo
Governor

Lisa Bova-Hiatt
Executive Director

March 15, 2018

New York State Majority Leader John Flanagan
Speaker of New York Assembly Carl Heastie
State Capital Building
Albany, NY 12247

Dear Gentlemen:

Pursuant to Chapter 3 of the Laws of 2016, please find the Governor's Office of Storm Recovery's (GOSR) March 2018 Quarterly Report, attached. This report covers the period from December 1, 2017 – February 28, 2018 (1Q of 2018), and outlines key programmatic updates pertaining to the NY Rising Housing Recovery, Small Business and Community Reconstruction programs that are being executed by GOSR. GOSR's programs are continuing to benefit homeowners and businesses, and we have seen the positive impact on the communities in which they reside.

Should you have any questions regarding this update or the programs that GOSR oversees, please do not hesitate to contact me directly at dona.roy@stormrecovery.ny.gov, or [212-480-2022](tel:212-480-2022). Thank you for your continued interest in our work.

Sincerely,

Dona Roy
Chief Operating Officer
Governor's Office of Storm Recovery



Single Family Housing Report: December 1, 2017 – February 28, 2018

GOSR operates the NY Rising Housing Recovery Program to address the pressing and varied needs of homeowners throughout the State. The data from 1Q of 2018 demonstrates that GOSR continues to make substantial progress in its efforts to get families back in their homes as quickly as possible while ensuring compliance with HUD requirements and federal resource allocation. First quarter highlights include: (1) 5

more homeowners have qualified for the program and received a portion of their award; and (2) 449 more applicants have “closed out” of the program (e.g., successfully completed construction on their homes and submitted all required documentation).

	1	2	3	4	5
County	Active single family housing applicants that have received partial or full payments	Active single family housing applicants that have been closed out	Active single family housing applicants who have been deemed eligible who have not been paid	Active single family housing applicants are pending an eligibility review	Single family housing applicants with no award due to ineligibility due to Federal regulations
Albany	34	17	-	-	11
Broome	33	26	-	-	18
Chenango	6	1	-	-	9
Clinton	4	1	-	-	2
Columbia	7	3	-	-	1
Delaware	35	29	-	2	80
Dutchess	24	12	-	-	-
Essex	17	12	-	-	6
Fulton	-	-	-	-	1
Greene	41	23	-	1	32
Herkimer	15	9	-	-	8
Montgomery	16	11	-	-	7
Nassau	8,166	4,489	-	20	3,438
Oneida	2	-	-	-	1
Orange	77	50	-	1	24
Otsego	11	5	-	-	5
Putnam	3	1	-	-	4
Rensselaer	11	7	-	-	5
Rockland	86	48	-	8	93
Saratoga	21	12	-	-	10
Schenectady	36	28	-	-	14
Schoharie	245	164	-	1	112
Suffolk	2,886	1,454	-	7	1,259
Sullivan	21	11	-	-	9
Tioga	30	19	-	-	24
Ulster	53	38	-	-	29
Warren	3	-	-	-	1
Washington	3	2	-	-	1
Westchester	57	32	-	-	41
Total	11,943	6,504	-	40	5,245

1 - Applicants who have qualified for the program and have received a portion of their award. Of the applicants that have received payments, 5,439 have received reimbursement checks, if applicable and at least 50% of their future award, but have not yet closed out of the program as of February 28, 2018. Note that this number is lower than reported in Q1 2018 because more applicants have closed out of the program. Upon completion of repairs or reconstruction, NY Rising will assist applicants to achieve final closeout. Upon final closeout, NY Rising awards are processed.

2 - Closed Out - defined as having received funding and successfully completed all construction and submitted required documentation

3 - Homeowners who have been deemed eligible and have not been paid.

4 - Homeowners have not been paid because they are pending environmental, closeout, or other eligibility reviews. GOSR has informed the homeowners of the types of documents they need to provide if the missing items are homeowner dependent, or the types of actions they need to take to remedy environmental items.

5 - Homeowners who have been determined to be ineligible to receive assistance under federal regulations. This total includes approximately 403 applicants with a zero award determination.



Small Business Report: December 1, 2017 – February 28, 2018 The NY Rising Small Business Program renders grants of \$50,000 or more to help replace essential equipment/inventory, renovate facilities, or provide working capital. The program continues to help small businesses reopen and grow, thus ensuring they retain a vital role in their communities. Since December 1, 2017, 10 more small businesses have received their first program funds and 3 more applicants have “closed out” of the program (e.g., successfully completed construction and submission of all documentation).

	1	2	3	4	5
County	Active applicants: Received payment (partial or full)	Active applicants: Pending eligibility review	Active, Eligible Applicants: Funds Pending Additional Clearance(s)	Active applicants: Have closed out with Program	All applicants not due payment because of no unmet need/ ineligible due to Federal requirements
Albany	4	0	0	4	3
Broome	33	0	0	20	13
Chemung	2	0	0	2	0
Chenango	1	1	0	1	1
Clinton	1	0	0	1	0
Columbia	3		0	1	0
Delaware	6	0	0	4	4
Dutchess	3	0	0	1	1
Essex	5	0	0	1	1
Fulton	0	0	0	0	1
Greene	17	1	2	10	9
Herkimer	1	0	0	0	0
Montgomery	3	0	0	2	1
Nassau	542	1	8	259	219
Oneida	4	0	0	2	0
Orange	42	0	0	18	14
Otsego	5	0	0	3	2
Putnam	2	0	0	2	0
Rensselaer	12	0	0	6	4
Rockland	14	0	0	7	10
Saratoga	2	0	0	0	0
Schenectady	1	0	0	0	0
Schoharie	31	1	1	15	12
Suffolk	261	1	4	114	75
Sullivan	2	0	0	1	1
Tioga	19	0	0	11	5
Ulster	12	0	0	12	9
Warren	2	0	0	2	1
Westchester	25	1	0	10	9
Total	1,055	6	15	509	395

1 – Small businesses that have been confirmed as eligible and have received funds (partial or whole award).

2 – Small businesses which are currently in review with GOSR for determination of their eligibility status and their corresponding award amount.

3 – Businesses which have been approved as eligible but have not been disbursed funds because they are pending environmental documentation, future construction clearance, or other miscellaneous documentation.

4 – Eligible Small Businesses that have received all their awarded funding, successfully completed all construction, and submitted all required documentation. Also, includes ineligible/inactive businesses that have submitted all required documentation and have been verified as ineligible for funds.

5 – Businesses that have been deemed ineligible due to a Program policy or due to failure to submit application by the submission deadline.



Community Reconstruction

Community Reconstruction Report: December 1, 2017 – February 28, 2018 The NY Rising Community Reconstruction (NYRCR) Program is a first-of-its kind participatory program. It has grown to assist 124 storm-damaged communities, empowers communities to identify local needs and assets, and helps to develop projects through an intensive planning and implementation process. Following two rounds of planning, GOSR entered into local partnership agreements with subrecipients to define the scope of projects and procure engineering and design consultants in compliance with federal regulations. As of the end of 1Q of 2018, the NYRCR Program has 329 active projects, with 3 projects closed out, 46 projects in construction, 37 non-capital projects in implementation, and 243 projects approved and in design.

County	Design (Projects with Approved Pre-Applications)	Construction (Projects with 100% Design Complete and Further)	Non-Capital Projects in Implementation (Projects with Approved Pre-Applications)	Closeout (Projects which have Completed Closeout)	Total
Bronx	2	-	-	-	2
Kings	19 ¹	1	5	-	25
New York	9 ²	-	7 ²	-	16
Queens	25	1 ³	8	-	34
Richmond	4	1 ⁴	5 ⁴	-	10
Westchester	4	-	1	-	5
Nassau	57	4	3	1	65
Suffolk	25	4	-	2	31
Broome	9	9	2	-	20
Delaware	9	-	2	-	11
Essex	6	-	-	-	6
Greene	2	2	-	-	4
Montgomery	4	3	-	-	7
Orange	10	1	-	-	11
Rockland	4	-	-	-	4
Saratoga	2	1	1	-	4
Schenectady	1	2	-	-	3
Schoharie	14	4	3	-	21
Tioga	11	2	-	-	13
Ulster	26	11	-	-	37
Total	243	46	37	3	329⁵

1 Kings, Queens, Bronx, New York Counties
 2 New York, Queens, and Kings Counties
 3 Nassau and Queens Counties
 4 Richmond and Kings Counties
 5 Figures accurate as of 2/23/2018