



Governor's Office of Storm Recovery



Andrew M. Cuomo
Governor

Lisa Bova-Hiatt
Executive Director

September 5, 2017


New York State Majority Leader John Flanagan
Speaker of New York Assembly Carl Heastie
State Capital Building
Albany, NY 12247

Dear Gentlemen:

Pursuant to Chapter 3 of the Laws of 2016, please find the Governor's Office of Storm Recovery's (GOSR) September 2017 Quarterly Report, attached. This report covers the period from June 1, 2017 – August 31, 2017 (3Q of 2017), and outlines key programmatic updates pertaining to the NY Rising Housing Recovery, Small Business and Community Reconstruction programs that are being executed by GOSR. GOSR's programs are continuing to benefit homeowners and businesses, and we have seen the positive impact on the communities in which they reside.

Should you have any questions regarding this update or the programs that GOSR oversees, please do not hesitate to contact me directly at lisa.bovahiatt@stormrecovery.ny.gov, or [212-480-4694](tel:212-480-4694). Thank you for your continued interest in our work.

Sincerely,


Lisa Bova-Hiatt
Executive Director
Governor's Office of Storm Recovery



Single Family Housing Report: June 1, 2017 – August 31, 2017

GOSR operates the NY Rising Housing Recovery Program to address the pressing and varied needs of homeowners throughout the State. The data from 3Q 2017 demonstrates that GOSR continues to make substantial progress in its efforts to get families back in their homes as quickly as possible while ensuring compliance with HUD requirements and federal resource allocation. Third quarter highlights include: (1) 17 more homeowners have qualified for the program and received a portion of their award; and (2) 435 more applicants have “closed out” of the program (e.g., successfully completed construction on their homes and submitted all required documentation).

County	1	2	3	4	5
County	Active single family housing applicants that have received partial or full payments	Active single family housing applicants that have been closed out	Active single family housing applicants who have been deemed eligible who have not been paid	Active single family housing applicants are pending an eligibility review	Single family housing applicants with no award due to ineligibility due to Federal regulations
Albany	33	14	-	-	11
Broome	33	23	-	-	18
Chenango	6	1	-	-	9
Clinton	4	1	-	-	2
Columbia	6	2	-	1	1
Delaware	35	26	-	2	82
Dutchess	24	11	-	-	-
Essex	17	10	-	-	6
Fulton	-	-	-	-	1
Greene	42	21	-	1	33
Herkimer	15	8	-	-	8
Montgomery	16	8	-	-	8
Nassau	8,154	3,871	-	37	3,444
Oneida	2	-	-	-	2
Orange	77	44	-	1	23
Otsego	11	3	-	-	3
Putnam	3	1	-	-	3
Rensselaer	11	6	-	-	5
Rockland	86	35	-	-	104
Saratoga	21	12	-	-	7
Schenectady	36	23	-	-	11
Schoharie	244	136	-	3	117
Suffolk	2,877	1,260	-	22	1,259
Sullivan	22	9	-	-	7
Tioga	29	16	-	2	27
Ulster	53	34	-	-	30
Warren	3	-	-	-	-
Washington	3	2	-	-	2
Westchester	56	24	-	1	31
Total	11,919	5,601	-	70	5,254

1 - Applicants who have qualified for the program and have received a portion of their award. Of the applicants that have received payments, 6,318 have received reimbursement checks, if applicable and at least 50% of their future award, but have not yet closed out of the program as of August 31, 2017. Note that this number is lower than reported in Q2 2017 because more applicants have closed out of the program. Upon completion of repairs or reconstruction, NY Rising will assist applicants to achieve final closeout. Upon final closeout, NY Rising awards are processed.

2 - Closed Out -defined as having received funding and successfully completed all construction and submitted required documentation

3 - Homeowners who have been deemed eligible and have not been paid.

4 - Homeowners have not been paid because they are pending environmental, closeout, or other eligibility reviews. GOSR has informed the homeowners of the types of documents they need to provide if the missing items are homeowner dependent, or the types of actions they need to take to remedy environmental items.

5 - Homeowners who have been determined to be ineligible to receive assistance under federal regulations. This total includes approximately 400 applicants with a zero award determination.



Small Business Report: June 1, 2017 – August 31, 2017

The NY Rising Small Business Program renders grants of \$50,000 or more to help replace essential equipment/ inventory, renovate facilities, or provide working capital. The program continues to help small businesses reopen and grow, thus ensuring they retain a vital role in their communities. Since June 1, 2017, 9 more small businesses have received their first program funds and 32 more applicants have “closed out” of the program (e.g., successfully completed construction and submission of all documentation).

County	1	2	3	4	5
County	Active applicants: Received payment (partial or full)	Active applicants: Pending eligibility review	Active, Eligible Applicants: Funds Pending Additional Clearance(s)	Active applicants: Have closed out with Program	All applicants not due payment because of no unmet need/ ineligible due to Federal requirements
Albany	4	-	-	3	3
Broome	31	-	-	20	13
Chemung	2	-	-	2	-
Chenango	1	-	-	1	1
Clinton	1	-	-	1	-
Columbia	3	-	-	1	-
Delaware	6	-	-	4	4
Dutchess	3	1	-	1	1
Essex	5	-	-	1	1
Fulton	-	-	-	-	1
Greene	17	1	2	10	9
Herkimer	1	-	-	-	-
Montgomery	3	-	-	2	1
Nassau	534	4	14	249	221
Oneida	4	1	-	2	-
Orange	42	-	1	17	14
Otsego	5	-	-	3	2
Putnam	2	-	-	2	-
Rensselaer	12	-	-	6	4
Rockland	13	-	-	6	10
Saratoga	2	-	-	-	-
Schenectady	1	-	-	-	-
Schoharie	29	-	3	15	12
Suffolk	255	2	6	110	79
Sullivan	2	-	-	-	1
Tioga	20	-	-	11	5
Ulster	12	-	-	12	9
Warren	2	-	-	2	1
Westchester	25	1	-	10	9
Total	1,037	10	26	491	401

1 – Small businesses that have been confirmed as eligible and have received funds (partial or whole award).

2 – Small businesses which are currently in review with GOSR for determination of their eligibility status and their corresponding award amount.

3 – Businesses which have been approved as eligible but have not been disbursed funds because they are pending environmental documentation, future construction clearance, or other miscellaneous documentation.

4 – Eligible Small Businesses that have received all their awarded funding, successfully completed all construction, and submitted all required documentation. Also includes ineligible/inactive businesses that have submitted all required documentation and have been verified as ineligible for funds.

5 – Businesses that have been deemed ineligible due to a Program policy or due to failure to submit application by the submission deadline.

There are a total of 577 eligible applicants who have not closed out of the Small Business Program.



Community Reconstruction

Community Reconstruction Report: June 1, 2017 – August 31, 2017

The NY Rising Community Reconstruction (NYRCR) Program is a first-of-its kind participatory program. It has grown to assist 124 storm-damaged communities, empowers communities to identify local needs and assets, and helps to develop projects through an intensive planning and implementation process. Following two rounds of planning, GOSR entered into local partnership agreements with subrecipients to define the scope of projects and procure engineering and design

consultants in compliance with federal regulations. As of Q3 2017, the NYRCR Program has 291 active projects, with 36 projects in construction, 40 non-capital projects in implementation, and 213 projects approved and in design.

County	Design (Projects with Approved Pre-Applications)	Construction (Projects with 100% Design Complete and Further)	Non-Capital Projects in Implementation (Projects with Approved Pre-Applications)	Closeout (Projects which have Completed Closeout)	Total
Bronx	2	-	-	-	2
Kings	16 ^[1]	1	5	-	22
New York	7	-	7	-	14
Queens	23 ^[2]	-	7	-	30
Richmond	4	-	6 ^[3]	-	10
Westchester	2	-	1	-	3
Nassau	45	3	7	-	55
Suffolk	27	3	-	2	32
Broome	8	7	1	-	16
Delaware	8	-	2	-	10
Essex	4	-	-	-	4
Greene	2	2	-	-	4
Montgomery	3	3	-	-	6
Orange	8	-	-	-	8
Rockland	4	-	-	-	4
Saratoga	2	-	1	-	3
Schenectady	1	2	-	-	3
Schoharie	12	4	3	-	19
Tioga	11	1	-	-	12
Ulster	24	10	-	-	34
Total	213	36	40	2	291**

The following calculations include a project that will be implemented in at least two New York State counties:

^[1] Kings and Queens Counties

^[2] Nassau and Queens Counties

^[3] Richmond and Kings Counties

** Figures accurate as of 8/18/2017