



**Governor's Office of
Storm Recovery**

ANDREW M. CUOMO
Governor

September 13, 2019


New York State Majority Leader Andrea Stewart-Cousins
Speaker of New York Assembly Carl Heastie
State Capital Building
Albany, NY 12247

Dear Majority Leader Stewart-Cousins and Speaker Heastie:

Pursuant to Chapter 3 of the Laws of 2016, please find the Governor's Office of Storm Recovery's (GOSR) May 2019 Quarterly Report, attached. This report covers the period from June 1, 2019 – August 31, 2019 (3Q of 2019), and outlines key programmatic updates pertaining to the NY Rising Housing Recovery, Small Business, and Community Reconstruction programs that are being executed by GOSR. GOSR's programs are continuing to benefit homeowners and businesses, and we have seen the positive impact on the communities in which they reside.

Should you have any questions regarding this update or the programs that GOSR oversees, please do not hesitate to contact me directly at jane.brogan@stormrecovery.ny.gov, or 212-480-6464. Thank you for your continued interest in our work.

Sincerely,


Jane Brogan
Chief Policy & Research Officer
Governor's Office of Storm Recovery



Single Family Housing Report: June 1, 2019 – August 31, 2019

GOSR operates the NY Rising Housing Recovery Program to address the pressing and varied needs of homeowners throughout the State. The data from 3Q of 2019 demonstrates that GOSR continues to make substantial progress in its efforts to get families back in their homes as quickly as possible while ensuring compliance with HUD requirements and federal resource allocation. Second quarter highlights include: (1) 19 more homeowner has qualified for the program and received a portion of their award; and (2) 763 more applicants have “closed out” of the program (e.g. successfully completed construction on their homes and submitted all required documentation).

County	1	2	3	4	5
	Active single family housing applicants that have received partial or full payments	Active single family housing applicants that have been closed out	Active single family housing applicants who have been deemed eligible who have not been paid	Active single family housing applicants are pending an eligibility review	Single family housing applicants with no award due to ineligibility due to Federal regulations
Albany	32	26	-	0	12
Broome	33	26	-	1	18
Chenango	6	3	-	0	9
Clinton	4	1	-	0	2
Columbia	7	5	-	0	1
Delaware	28	26	-	0	91
Dutchess	20	16	-	0	4
Essex	16	15	-	0	7
Fulton	0	0	-	0	1
Greene	39	28	-	0	37
Herkimer	15	11	-	0	8
Montgomery	15	12	-	0	9
Nassau	7569	5544	-	3	4072
Oneida	2	0	-	0	2
Orange	74	60	-	0	27
Otsego	11	8	-	0	3
Putnam	2	1	-	0	4
Rensselaer	11	9	-	0	5
Rockland	104	74	-	0	122
Saratoga	20	16	-	0	8
Schenectady	35	33	-	0	12
Schoharie	241	196	-	0	123
Suffolk	2638	1907	-	4	1525
Sullivan	21	15	-	0	8
Tioga	30	24	-	0	28
Ulster	49	41	-	0	34
Warren	3	0	-	0	0
Washington	3	2	-	0	2
Westchester	55	38	-	0	33
Total	11,083	8,137	-	8	6,207

- 1 - Applicants who have qualified for the program and have received a portion of their award. Of the applicants that have received payments, 3,690 have received reimbursement checks, if applicable and at least 50% of their future award, but have not yet closed out of the program. Note that this number is lower than reported in Q1 2019 because more applicants have closed out of the program. Upon completion of repairs or reconstruction, NY Rising will assist applicants to achieve final closeout. Upon final closeout, NY Rising awards are processed.
- 2 - Closed Out - defined as having received funding and successfully completed all construction and submitted required documentation
- 3 - Homeowners who have been deemed eligible and have not been paid.
- 4 - Homeowners have not been paid because they are pending environmental, closeout, or other eligibility reviews. GOSR has informed the homeowners of the types of documents they need to provide if the missing items are homeowner dependent, or the types of actions they need to take to remedy environmental items.
- 5 - Homeowners who have been determined to be ineligible to receive assistance under federal regulations. This total includes applicants with a zero award determination.



Small Business Report: June 1, 2019 – August 31, 2019

The NY Rising Small Business Program renders grants of \$50,000 or more to help replace essential equipment/ inventory, renovate facilities, or provide working capital. The program continues to help small businesses reopen and grow, thus ensuring they retain a vital role in their communities. Since Feb 28, 2019, no more small business has received their first program funds. In total, 757 applicants have “closed out” of the program (e.g., successfully completed construction and submission of all documentation).

County	1 Active applicants: Received payment (partial or full)	2 Active applicants: Pending eligibility review	3 Active, Eligible Applicants: Funds Pending Additional Clearance(s)	4 Active applicants: Have closed out with Program	5 All applicants not due payment because of no unmet need/ ineligible due to Federal requirements
Albany	4	0	0	3	1
Broome	33	1	0	29	13
Chemung	2	0	0	2	0
Chenango	1	0	0	1	2
Clinton	1	0	0	1	0
Columbia	3	0	0	2	0
Delaware	6	1	0	3	4
Dutchess	2	0	0	1	2
Essex	5	0	0	2	1
Fulton	0	0	0	0	1
Greene	17	0	0	12	11
Herkimer	1	0	0	1	0
Montgomery	3	0	0	3	1
Nassau	542	22	2	375	229
Oneida	4	0	0	3	0
Orange	42	0	0	34	14
Otsego	5	0	0	3	1
Putnam	2	0	0	2	0
Rensselaer	12	0	0	9	4
Rockland	14	0	0	8	10
Saratoga	2	0	0	1	0
Schenectady	1	0	0	1	0
Schoharie	32	3	0	20	12
Suffolk	262	1	0	190	78
Sullivan	2	0	0	2	1
Tioga	19	0	0	18	5
Ulster	12	0	0	11	9
Warren	2	0	0	2	1
Westchester	24	0	0	18	12
Total	1,055	28	2	757	412

- 1 – Small businesses that have been confirmed as eligible and have received funds (partial or whole award).
- 2 – Small businesses which are currently in review with GOSR for determination of their eligibility status and their corresponding award amount.
- 3 – Businesses which have been approved as eligible but have not been disbursed funds because they are pending environmental documentation, future construction clearance, or other miscellaneous documentation.
- 4 – Eligible Small Businesses that have received all their awarded funding, successfully completed all construction, and submitted all required documentation.
- 5 – Businesses that have been deemed ineligible due to a Program policy or due to failure to submit application by the submission deadline.



Community Reconstruction

Community Reconstruction Report: June 1, 2019 – August 31, 2019. The NY Rising Community Reconstruction (NYRCR) Program is a first-of-its kind participatory program. It has grown to assist 124 storm-damaged communities, empowers communities to identify local needs and assets, and helps to develop projects through an intensive planning and implementation process. Following two rounds of planning, GOSR entered into local partnership agreements with subrecipients to define the scope of projects and procure engineering and design consultants in compliance with federal regulations. As of the middle of Q3 2019, the NYRCR Program has 308 active projects, with 41 projects closed out, 72 projects in construction, 15 non-capital projects in implementation, and 180 projects approved and in design.

County	Design (Projects with Approved Pre-Applications)	Construction (Projects with 100% Design Complete and Further)	Non-Capital Projects in Implementation (Projects with Approved Pre-Applications)	Closeout (Projects which have Completed Closeout)	Total
Bronx	3				3
Broome	5	8	1	3	17
Delaware	6	1		1	8
Essex	5				5
Greene	1	3			4
Kings	21 ¹	1	3	4	29
Montgomery	3	4			7
Nassau	49 ³	9	3	5	66
New York	8 ²		4 ²	3	15
Orange	7	1		1	9
Queens	15	3	1	6	25
Richmond	2	1 ⁴		5 ⁴	8
Rockland	3	1			4
Saratoga	1	1		2	4
Schenectady	1	2			3
Schoharie	8	7	2	2	19
Suffolk	19	8		2	29
Tioga	6	6		1	13
Ulster	13	16		5	34
Westchester	4		1	1	6
Total	180	72	15	41	308

¹ Kings, Queens, Bronx, New York Counties

² New York, Queens, and Kings Counties

³ Nassau and Queens Counties

⁴ Richmond and Kings Counties

⁵ Figures accurate as of 2/8/2019