



**Governor's Office of
Storm Recovery**

ANDREW M. CUOMO
Governor

September 15 2020

New York State Majority Leader Andrea Stewart-Cousins
Speaker of New York Assembly Carl Heastie
State Capital Building
Albany, NY 12247

Dear Majority Leader Stewart-Cousins and Speaker Heastie:

Pursuant to Chapter 3 of the Laws of 2016, please find the Governor's Office of Storm Recovery's (GOSR) September 2020 Quarterly Report, attached. This report covers the period from June 1, 2020 – August 31, 2020 (3Q of 2020), and outlines key programmatic updates pertaining to the NY Rising Housing Recovery, Small Business, and Community Reconstruction programs that are being executed by GOSR. GOSR's programs are continuing to benefit homeowners and businesses, and we have seen the positive impact on the communities in which they reside.

Should you have any questions regarding this update or the programs that GOSR oversees, please do not hesitate to contact me directly at jane.brogan@stormrecovery.ny.gov, or 212-480-6464. Thank you for your continued interest in our work.

Sincerely,

A black rectangular redaction box covering the signature of Jane Brogan.

Jane Brogan
Chief Policy & Research Officer
Governor's Office of Storm Recovery

Housing



Single Family Housing Report: June 1, 2020 – August 31, 2020

GOSR operates the NY Rising Housing Recovery Program to address the pressing and varied needs of homeowners throughout the State. The data from 3Q of 2020 demonstrates that GOSR continues to make substantial progress in its efforts to get families back in their homes as quickly as possible while ensuring compliance with HUD requirements and federal resource allocation. Third quarter highlights include: 8,931 applicants closed out and 5 applicants are pending an eligibility review.

| County | 1 | 2 | 3 | 4 | 5 |
|--------------|---|---|--|---|--|
| | Active single family housing applicants that have received partial or full payments | Active single family housing applicants that have been closed out | Active single family housing applicants who have been deemed eligible who have not been paid | Active single family housing applicants are pending an eligibility review | Single family housing applicants with no award due to ineligibility due to Federal regulations |
| Albany | 32 | 26 | 0 | 0 | 12 |
| Broome | 34 | 31 | 0 | 0 | 17 |
| Chenango | 6 | 3 | 0 | 0 | 9 |
| Clinton | 4 | 1 | 0 | 0 | 2 |
| Columbia | 7 | 6 | 0 | 0 | 1 |
| Delaware | 29 | 26 | 0 | 0 | 90 |
| Dutchess | 21 | 17 | 0 | 0 | 3 |
| Essex | 17 | 15 | 0 | 0 | 6 |
| Fulton | 0 | 0 | 0 | 0 | 1 |
| Greene | 39 | 31 | 0 | 0 | 37 |
| Herkimer | 15 | 12 | 0 | 0 | 8 |
| Montgomery | 15 | 12 | 0 | 0 | 9 |
| Nassau | 7,529 | 6,062 | 0 | 2 | 4,112 |
| Oneida | 1 | 1 | 0 | 0 | 3 |
| Orange | 75 | 62 | 0 | 0 | 26 |
| Otsego | 11 | 9 | 0 | 0 | 3 |
| Putnam | 3 | 2 | 0 | 0 | 3 |
| Rensselaer | 11 | 9 | 0 | 0 | 5 |
| Rockland | 104 | 94 | 0 | 0 | 122 |
| Saratoga | 20 | 18 | 0 | 0 | 8 |
| Schenectady | 36 | 34 | 0 | 0 | 11 |
| Schoharie | 235 | 201 | 0 | 0 | 129 |
| Suffolk | 2,626 | 2,131 | 0 | 3 | 1,537 |
| Sullivan | 20 | 16 | 0 | 0 | 9 |
| Tioga | 30 | 27 | 0 | 0 | 28 |
| Ulster | 48 | 43 | 0 | 0 | 35 |
| Warren | 3 | 0 | 0 | 0 | 0 |
| Washington | 3 | 2 | 0 | 0 | 2 |
| Westchester | 54 | 40 | 0 | 0 | 34 |
| Total | 11,028 | 8,931 | 0 | 5 | 6,262 |

- 1 - Applicants who have qualified for the program and have received a portion of their award. Upon completion of repairs or reconstruction, NY Rising will assist applicants to achieve final closeout. Upon final closeout, NY Rising awards are processed.
- 2 - Closed Out - defined as having received funding and successfully completed all construction and submitted required documentation
- 3 - Homeowners who have been deemed eligible and have not been paid.
- 4 - Homeowners have not been paid because they are pending environmental, closeout, or other eligibility reviews. GOSR has informed the homeowners of the types of documents they need to provide if the missing items are homeowner dependent, or the types of actions they need to take to remedy environmental items.
- 5 - Homeowners who have been determined to be ineligible to receive assistance under federal regulations. This total includes applicants with a zero award determination.



Small Business Report: June 1, 2020 – August 31, 2020

The NY Rising Small Business Program renders grants of \$50,000 or more to help replace essential equipment/ inventory, renovate facilities, or provide working capital. The program continues to help small businesses reopen and grow, thus ensuring they retain a vital role in their communities. In total, 878 applicants have “closed out” of the program (e.g., successfully completed construction and submission of all documentation).

| County | 1 | 2 | 3 | 4 | 5 |
|--------------|---|---|---|---|---|
| County | Active applicants: Received payment (partial or full) | Active applicants: Pending eligibility review | Active, Eligible Applicants: Funds Pending Additional Clearance(s) | Active applicants: Have closed out with Program | All applicants not due payment because of no unmet need/ ineligible due to Federal requirements |
| Albany | 4 | 0 | 0 | 3 | 1 |
| Broome | 33 | 0 | 0 | 31 | 13 |
| Chemung | 2 | 0 | 0 | 2 | 0 |
| Chenango | 1 | 0 | 0 | 1 | 2 |
| Clinton | 1 | 0 | 0 | 1 | 0 |
| Columbia | 3 | 0 | 0 | 2 | 0 |
| Delaware | 6 | 0 | 0 | 4 | 4 |
| Dutchess | 2 | 0 | 0 | 1 | 2 |
| Essex | 5 | 0 | 0 | 4 | 1 |
| Fulton | 0 | 0 | 0 | 0 | 1 |
| Greene | 17 | 0 | 0 | 16 | 11 |
| Herkimer | 1 | 0 | 0 | 1 | 0 |
| Montgomery | 3 | 0 | 0 | 3 | 1 |
| Nassau | 546 | 7 | 1 | 437 | 227 |
| Oneida | 4 | 0 | 0 | 4 | 0 |
| Orange | 42 | 0 | 0 | 40 | 14 |
| Otsego | 5 | 0 | 0 | 3 | 1 |
| Putnam | 2 | 0 | 0 | 2 | 0 |
| Rensselaer | 12 | 0 | 0 | 11 | 4 |
| Rockland | 14 | 0 | 0 | 10 | 10 |
| Saratoga | 2 | 0 | 0 | 1 | 0 |
| Schenectady | 1 | 0 | 0 | 1 | 0 |
| Schoharie | 32 | 1 | 0 | 25 | 12 |
| Suffolk | 263 | 3 | 0 | 221 | 77 |
| Sullivan | 2 | 0 | 0 | 2 | 1 |
| Tioga | 19 | 0 | 0 | 18 | 5 |
| Ulster | 12 | 0 | 0 | 12 | 9 |
| Warren | 2 | 0 | 0 | 2 | 1 |
| Westchester | 24 | 1 | 0 | 20 | 12 |
| Total | 1060 | 12 | 1 | 878 | 409 |

1 – Small businesses that have been confirmed as eligible and have received funds (partial or whole award).

2 – Small businesses which are currently in review with GOSR for determination of their eligibility status and their corresponding award amount.

3 – Businesses which have been approved as eligible but have not been disbursed funds because they are pending environmental documentation, future construction clearance, or other miscellaneous documentation.

4 – Eligible Small Businesses that have received all their awarded funding, successfully completed all construction, and submitted all required documentation.

5 – Businesses that have been deemed ineligible due to a Program policy or due to failure to submit application by the submission deadline.



Community Reconstruction Report: June 1, 2020 – August 31, 2020.

The NY Rising Community Reconstruction (NYRCR) Program is a first-of-its kind participatory program. It has grown to assist 124 storm-damaged communities, empowers communities to identify local needs and assets, and helps to develop projects through an intensive planning and implementation process. Following two rounds of planning, GOSR entered into local partnership agreements with subrecipients to define the scope of projects and procure engineering and design consultants in compliance with federal regulations. As of Q3 2020, the NYRCR Program has 302 active projects, with 105 projects closed out, 108 projects in

construction, and 89 projects approved and in design.¹

| County | Design (Projects with Approved Pre-Applications) | Construction (Projects with 100% Design Complete and Further) | Non-Capital Projects in Implementation (Projects with Approved Pre-Applications) | Closeout (Projects which have Completed Closeout) | Grand Total |
|--------------------------|--|---|--|---|-------------|
| Bronx | 0 | 2 | 0 | 0 | 2 |
| Broome | 1 | 6 | 0 | 9 | 16 |
| Delaware | 5 | 1 | 0 | 2 | 8 |
| Essex | 2 | 2 | 0 | 1 | 5 |
| Greene | 1 | | 0 | 2 | 3 |
| Kings | 7 | 15 | 0 | 7 | 29 |
| Kings, Queens & Bronx | 1 | 0 | 0 | 1 | 2 |
| Kings, Queens & New York | 1 | 0 | 0 | 1 | 2 |
| Montgomery | 1 | 2 | 0 | 4 | 7 |
| Nassau | 39 | 16 | 0 | 13 | 68 |
| New York | 1 | 5 | 0 | 6 | 12 |
| Orange | 2 | 6 | 0 | 1 | 9 |
| Queens | 4 | 10 | 0 | 7 | 21 |
| Queens & Kings | 1 | 0 | 0 | | 1 |
| Richmond | 1 | 1 | 0 | 4 | 6 |
| Richmond & Kings | 0 | 1 | 0 | 0 | 1 |
| Rockland | 2 | 1 | 0 | 0 | 3 |
| Saratoga | 0 | 1 | 0 | 3 | 4 |
| Schenectady | 0 | 1 | 0 | 2 | 3 |
| Schoharie | 1 | 11 | 0 | 7 | 19 |
| Suffolk | 8 | 12 | 0 | 9 | 29 |
| Tioga | 4 | 4 | 0 | 7 | 15 |
| Ulster | 4 | 11 | 0 | 17 | 32 |
| Westchester | 3 | 0 | 0 | 2 | 5 |
| Grand Total | 89 | 108 | 0 | 105 | 302 |

¹ Figures accurate as of 8/3/2020