



**Governor's Office of
Storm Recovery**

KATHY HOCHUL
Governor

September 15, 2021

New York State Majority Leader Andrea Stewart-Cousins
Speaker of New York Assembly Carl Heastie
State Capital Building
Albany, NY 12247

Dear Majority Leader Stewart-Cousins and Speaker Heastie:

Pursuant to Chapter 3 of the Laws of 2016, please find the Governor's Office of Storm Recovery's (GOSR) September 2021 Quarterly Report, attached. This report covers the period from June 1, 2021 – August 31, 2021 (3Q of 2021), and outlines key programmatic updates pertaining to the NY Rising Housing Recovery, Small Business, and Community Reconstruction programs that are being executed by GOSR. GOSR's programs are continuing to benefit homeowners and businesses, and we have seen the positive impact on the communities in which they reside.

Should you have any questions regarding this update or the programs that GOSR oversees, please do not hesitate to contact me directly at jane.brogan@stormrecovery.ny.gov, or 212-480-6464. Thank you for your continued interest in our work.

Sincerely,

A black rectangular redaction box covering the signature of Jane Brogan.

Jane Brogan
Chief Policy & Research Officer
Governor's Office of Storm Recovery



Single Family Housing Report: June 1, 2021 – August 31, 2021

GOSR operates the NY Rising Housing Recovery Program to address the pressing and varied needs of homeowners throughout the State. The data from 3Q of 2021 demonstrates that GOSR continues to make substantial progress in its efforts to get families back in their homes as quickly as possible while ensuring compliance with HUD requirements and federal resource allocation. Third quarter highlights include: 9,429 applicants closed out.

County	1	2	3	4	5
	Active single family housing applicants that have received partial or full payments	Active single family housing applicants that have been closed out	Active single family housing applicants who have been deemed eligible who have not been paid	Active single family housing applicants are pending an eligibility review	Single family housing applicants with no award due to ineligibility due to Federal regulations
Albany	30	26	0	0	14
Broome	32	29	0	0	19
Chenango	6	3	0	0	9
Clinton	3	2	0	0	3
Columbia	6	6	0	0	2
Delaware	32	31	0	0	87
Dutchess	20	17	0	0	4
Essex	17	16	0	0	6
Fulton	0	0	0	0	1
Greene	37	31	0	0	39
Herkimer	15	13	0	0	8
Montgomery	14	12	0	0	10
Nassau	7,304	6,422	0	1	4,337
Oneida	2	1	0	0	2
Orange	70	62	0	0	31
Otsego	11	9	0	0	3
Putnam	2	2	0	0	4
Rensselaer	11	9	0	0	5
Rockland	103	96	0	0	123
Saratoga	19	18	0	0	9
Schenectady	36	34	0	0	11
Schoharie	229	205	0	0	135
Suffolk	2,564	2,254	0	0	1,599
Sullivan	19	16	0	0	10
Tioga	29	27	0	0	29
Ulster	46	44	0	0	37
Warren	2	0	0	0	1
Washington	3	2	0	0	2
Westchester	50	42	0	0	38
Total	10,712	9,429	0	1	6,578

- 1 - Applicants who have qualified for the program and have received a portion of their award. Upon completion of repairs or reconstruction, NY Rising will assist applicants to achieve final closeout. Upon final closeout, NY Rising awards are processed.
- 2 - Closed Out - defined as having received funding and successfully completed all construction and submitted required documentation
- 3 - Homeowners who have been deemed eligible and have not been paid.
- 4 - Homeowners have not been paid because they are pending environmental, closeout, or other eligibility reviews. GOSR has informed the homeowners of the types of documents they need to provide if the missing items are homeowner dependent, or the types of actions they need to take to remedy environmental items.
- 5 - Homeowners who have been determined to be ineligible to receive assistance under federal regulations. This total includes applicants with a zero award determination.



Small Business Report: June 1, 2021 – August 31, 2021

The NY Rising Small Business Program renders grants of \$50,000 or more to help replace essential equipment/ inventory, renovate facilities, or provide working capital. The program continues to help small businesses reopen and grow, thus ensuring they retain a vital role in their communities. In total, 1,025 applicants have “closed out” of the program (e.g., successfully completed construction and submission of all documentation).

	1	2	3	4	5
County	Active applicants: Received payment (partial or full)	Active applicants: Pending eligibility review	Active, Eligible Applicants: Funds Pending Additional Clearance(s)	Active applicants: Have closed out with Program	All applicants not due payment because of no unmet need/ ineligible due to Federal requirements
Albany	4	0	0	4	1
Broome	33	0	0	33	13
Chemung	2	0	0	2	0
Chenango	1	0	0	1	2
Clinton	1	0	0	1	0
Columbia	3	0	0	3	0
Delaware	6	0	0	5	4
Dutchess	2	0	0	1	2
Essex	5	0	0	5	1
Fulton	0	0	0	0	1
Greene	17	0	0	17	11
Herkimer	1	0	0	1	0
Montgomery	3	0	0	3	1
Nassau	541	0	0	522	232
Oneida	4	0	0	4	0
Orange	41	0	0	41	15
Otsego	5	0	0	5	1
Putnam	2	0	0	2	0
Rensselaer	12	0	0	12	4
Rockland	13	0	0	12	11
Saratoga	2	0	0	2	0
Schenectady	1	0	0	1	0
Schoharie	32	0	0	30	12
Suffolk	262	0	0	259	78
Sullivan	2	0	0	2	1
Tioga	19	0	0	19	5
Ulster	12	0	0	12	9
Warren	2	0	0	2	1
Westchester	24	0	0	24	12
Total	1,052	0	0	1,025	417

1 – Small businesses that have been confirmed as eligible and have received funds (partial or whole award).

2 – Small businesses which are currently in review with GOSR for determination of their eligibility status and their corresponding award amount.

3 – Businesses which have been approved as eligible but have not been disbursed funds because they are pending environmental documentation, future construction clearance, or other miscellaneous documentation.

4 – Eligible Small Businesses that have received all their awarded funding, successfully completed all construction, and submitted all required documentation.

5 – Businesses that have been deemed ineligible due to a Program policy or due to failure to submit application by the submission deadline.



Community Reconstruction Report: June 1, 2021 – August 31, 2021

The NY Rising Community Reconstruction (NYRCR) Program is a first-of-its kind participatory program. It has grown to assist 124 storm-damaged communities, empowers communities to identify local needs and assets, and helps to develop projects through an intensive planning and implementation process. Following two rounds of planning, GOSR entered into local partnership agreements with subrecipients to define the scope of projects and procure engineering and design consultants in compliance with federal regulations. As of Q3 2021, the NYRCR Program has 301 active projects, with 158 projects closed out, 132 projects in

construction, and 9 projects approved and in design.¹

County	Design (Projects with Approved Pre-Applications)	Construction (Projects with 100% Design Complete and Further)	Non-Capital Projects in Implementation (Projects with Approved Pre-Applications)	Closeout (Projects which have Completed Closeout)	Grand Total
Bronx	0	2	0	0	2
Broome	0	1	0	14	15
Delaware	0	5	0	2	7
Essex	0	3	0	2	5
Greene	0	1	0	2	3
Kings	2	18	0	9	29
Kings, Queens & Bronx	0	0	0	2	2
Kings, Queens & New York	1	0	0	1	2
Montgomery	0	1	0	6	7
Nassau	1	42	0	24	67
New York	1	5	0	6	12
Orange	0	4	0	5	9
Queens	1	11	0	9	21
Queens & Kings	0	1	0	0	1
Richmond	1	1	0	4	6
Richmond & Kings	0	1	0	0	1
Rockland	0	2	0	1	3
Saratoga	0	1	0	3	4
Schenectady	0	1	0	2	3
Schoharie	0	5	0	14	19
Suffolk	0	12	0	17	29
Tioga	0	4	0	11	15
Ulster	1	9	0	22	32
Westchester	1	2	0	2	5
Grand Total	9	132	0	158	299

¹ Figures accurate as of 8/5/2021