



**Governor's Office of
Storm Recovery**

ANDREW M. CUOMO
Governor

December 4, 2017

New York State Majority Leader John Flanagan
Speaker of New York Assembly Carl Heastie
State Capital Building
Albany, NY 12247

Dear Gentlemen:

Pursuant to Chapter 3 of the Laws of 2016, please find the Governor's Office of Storm Recovery's (GOSR) December 2017 Quarterly Report, attached. This report covers the period from September 1, 2017 – November 30, 2017 (4Q of 2017), and outlines key programmatic updates pertaining to the NY Rising Housing Recovery, Small Business and Community Reconstruction programs that are being executed by GOSR. GOSR's programs are continuing to benefit homeowners and businesses, and we have seen the positive impact on the communities in which they reside.

Should you have any questions regarding this update or the programs that GOSR oversees, please do not hesitate to contact me directly at dona.roy@stormrecovery.ny.gov, or [212-480-2022](tel:212-480-2022). Thank you for your continued interest in our work.

Sincerely,

Dona Roy
Chief Operating Officer
Governor's Office of Storm Recovery



Single Family Housing Report: September 1, 2017 – November 31, 2017 GOSR operates the NY Rising Housing Recovery Program to address the pressing and varied needs of homeowners throughout the State. The data from 4Q 2017 demonstrates that GOSR continues to make substantial progress in its efforts to get families back in their homes as quickly as possible while ensuring compliance with HUD requirements and federal resource allocation. Fourth quarter highlights include: (1) 19 more homeowners have qualified for the program and received a portion of their award; and (2) 454 more applicants have “closed out” of the program (e.g., successfully completed construction on their homes and submitted all required documentation).

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	1	2	3	4	5
County	Active single family housing applicants that have received partial or full payments	Active single family housing applicants that have been closed out	Active single family housing applicants who have been deemed eligible who have not been paid	Active single family housing applicants are pending an eligibility review	Single family housing applicants with no award due to ineligibility due to Federal regulations
Albany	33	15	-	1	14
Broome	33	24	-	-	15
Chenango	6	1	-	-	5
Clinton	4	1	-	-	3
Columbia	7	2	-	-	4
Delaware	36	27	-	1	39
Dutchess	24	12	-	-	9
Essex	17	11	-	-	5
Fulton	-	-	-	-	1
Greene	41	22	-	1	30
Herkimer	15	8	-	-	8
Montgomery	16	8	-	-	10
Nassau	8,161	4,177	-	26	3,552
Oneida	2	-	-	-	1
Orange	77	48	-	1	47
Otsego	11	5	-	-	6
Putnam	3	1	-	-	3
Rensselaer	11	7	-	-	8
Rockland	86	45	-	-	73
Saratoga	21	12	-	-	10
Schenectady	36	27	-	-	18
Schoharie	245	149	-	1	142
Suffolk	2,886	1,356	-	13	1,177
Sullivan	22	11	-	-	8
Tioga	29	18	-	1	17
Ulster	53	36	-	-	24
Warren	3	-	-	-	-
Washington	3	2	-	-	1
Westchester	57	30	-	-	26
Total	11,938	6,055	-	45	5,256

1 - Applicants who have qualified for the program and have received a portion of their award. Of the applicants that have received payments, 5,883 have received reimbursement checks, if applicable and at least 50% of their future award, but have not yet closed out of the program as of November 30, 2017. Note that this number is lower than reported in Q3 2017 because more applicants have closed out of the program. Upon completion of repairs or reconstruction, NY Rising will assist applicants to achieve final closeout. Upon final closeout, NY Rising awards are processed.

2 - Closed Out -defined as having received funding and successfully completed all construction and submitted required documentation

3 - Homeowners who have been deemed eligible and have not been paid.

4 - Homeowners have not been paid because they are pending environmental, closeout, or other eligibility reviews. GOSR has informed the homeowners of the types of documents they need to provide if the missing items are homeowner dependent, or the types of actions they need to take to remedy environmental items.

5 - Homeowners who have been determined to be ineligible to receive assistance under federal regulations. This total includes approximately 425 applicants with a zero award determination.



Small Business Report: September 1, 2017 – November 31, 2017

The NY Rising Small Business Program renders grants of \$50,000 or more to help replace essential equipment/ inventory, renovate facilities, or provide working capital. The program continues to help small businesses reopen and grow, thus ensuring they retain a vital role in their communities. Since September 1, 2017, 8 more small businesses have received their first program funds and 15 more applicants have “closed out” of the program (e.g., successfully completed construction and submission of all documentation).

County	1	2	3	4	5
County	Active applicants: Received payment (partial or full)	Active applicants: Pending eligibility review	Active, Eligible Applicants: Funds Pending Additional Clearance(s)	Active applicants: Have closed out with Program	All applicants not due payment because of no unmet need/ ineligible due to Federal requirements
Albany	4	0	0	4	3
Broome	32	0	0	20	13
Chemung	2	0	0	2	0
Chenango	1	1	0	1	1
Clinton	1	0	0	1	0
Columbia	3	0	0	1	0
Delaware	6	0	0	4	4
Dutchess	3	1	0	1	1
Essex	5	0	0	1	1
Fulton	0	0	0	0	1
Greene	17	1	2	10	10
Herkimer	1	0	0	0	0
Montgomery	3	0	0	2	1
Nassau	538	3	10	260	222
Oneida	4	1	0	2	0
Orange	43	0	0	18	14
Otsego	5	0	0	3	2
Putnam	2	0	0	2	0
Rensselaer	12	0	0	6	4
Rockland	13	0	0	8	10
Saratoga	2	0	0	0	0
Schenectady	1	0	0	0	0
Schoharie	29	1	3	15	12
Suffolk	257	2	6	110	76
Sullivan	2	0	0	0	1
Tioga	20	0	0	11	5
Ulster	12	0	0	12	9
Warren	2	0	0	2	2
Westchester	25	1	0	10	9
Total	1,045	11	21	506	401

1 – Small businesses that have been confirmed as eligible and have received funds (partial or whole award).

2 – Small businesses which are currently in review with GOSR for determination of their eligibility status and their corresponding award amount.

3 – Businesses which have been approved as eligible but have not been disbursed funds because they are pending environmental documentation, future construction clearance, or other miscellaneous documentation.

4 – Eligible Small Businesses that have received all their awarded funding, successfully completed all construction, and submitted all required documentation. Also, includes ineligible/inactive businesses that have submitted all required documentation and have been verified as ineligible for funds.

5 – Businesses that have been deemed ineligible due to a Program policy or due to failure to submit application by the submission deadline.



Community Reconstruction

Community Reconstruction Report: August 31, 2017-November 30,

2017 The NY Rising Community Reconstruction (NYRCR) Program is a first-of-its kind participatory program. It has grown to assist 124 storm-damaged communities, empowers communities to identify local needs and assets, and helps to develop projects through an intensive planning and implementation process. Following two rounds of planning, GOSR entered into local partnership agreements with subrecipients to define the scope of projects and procure engineering and design consultants in compliance with federal regulations. As of the middle of Q4 2017, the NYRCR Program has 303 active projects, with 2 projects closed out, 41 projects in construction, 37 non-capital projects in implementation, and 223 projects approved and in design.

County	Design (Projects with Approved Pre-Applications)	Construction (Projects with 100% Design Complete and Further)	Non-Capital Projects in Implementation (Projects with Approved Pre-Applications)	Closeout (Projects which have Completed Closeout)	Total
Bronx	2	-	-	-	2
Kings	18 ¹	1	5	-	24
New York	8	-	7 ²	-	15
Queens	24	1 ³	8	-	33
Richmond	4	1 ⁴	5 ⁴	-	10
Westchester	3	-	1	-	4
Nassau	47	3	3	-	53
Suffolk	25	4	-	2	31
Broome	7	8	2	-	17
Delaware	9	-	2	-	11
Essex	4	-	-	-	4
Greene	2	2	-	-	4
Montgomery	4	3	-	-	7
Orange	8	-	-	-	8
Rockland	4	-	-	-	4
Saratoga	3	-	1	-	4
Schenectady	1	2	-	-	3
Schoharie	13	4	3	-	20
Tioga	12	1	-	-	13
Ulster	25	11	-	-	36
Total	223	41	37⁵	2	303⁶

¹ Kings and Queens Counties

² New York, Queens, and Kings Counties

³ Nassau and Queens Counties

⁴ Richmond and Kings Counties

⁵ Decrease in projects from previous quarter due to external factors including feasibility, environmental review, cost, eligibility or compliance.

⁶ Figures accurate as of 11/17/2017