



**Governor's Office of
Storm Recovery**

ANDREW M. CUOMO
Governor

December 15, 2020

New York State Majority Leader Andrea Stewart-Cousins
Speaker of New York Assembly Carl Heastie
State Capital Building
Albany, NY 12247

Dear Majority Leader Stewart-Cousins and Speaker Heastie:

Pursuant to Chapter 3 of the Laws of 2016, please find the Governor's Office of Storm Recovery's (GOSR) September 2020 Quarterly Report, attached. This report covers the period from September 1, 2020 – November 30, 2020 (4Q of 2020), and outlines key programmatic updates pertaining to the NY Rising Housing Recovery, Small Business, and Community Reconstruction programs that are being executed by GOSR. GOSR's programs are continuing to benefit homeowners and businesses, and we have seen the positive impact on the communities in which they reside.

Should you have any questions regarding this update or the programs that GOSR oversees, please do not hesitate to contact me directly at jane.brogan@stormrecovery.ny.gov, or 212-480-6464. Thank you for your continued interest in our work.

Sincerely,

A black rectangular redaction box covering the signature of Jane Brogan.

Jane Brogan .
Chief Policy & Research Officer
Governor's Office of Storm Recovery



Single Family Housing Report: September 1– November 30, 2020

GOSR operates the NY Rising Housing Recovery Program to address the pressing and varied needs of homeowners throughout the State. The data from 4Q of 2020 demonstrates that GOSR continues to make substantial progress in its efforts to get families back in their homes as quickly as possible while ensuring compliance with HUD requirements and federal resource allocation. Third quarter highlights include: 9,125 applicants closed out and 5 applicants are pending an eligibility review.

County	1	2	3	4	5
	Active single family housing applicants that have received partial or full payments	Active single family housing applicants that have been closed out	Active single family housing applicants who have been deemed eligible who have not been paid	Active single family housing applicants are pending an eligibility review	Single family housing applicants with no award due to ineligibility due to Federal regulations
Albany	32	26	0	0	12
Broome	33	30	0	0	18
Chenango	6	3	0	0	9
Clinton	3	1	0	0	3
Columbia	7	6	0	0	1
Delaware	28	27	0	0	91
Dutchess	21	17	0	0	3
Essex	17	15	0	0	6
Fulton	0	0	0	0	1
Greene	38	30	0	0	38
Herkimer	15	12	0	0	8
Montgomery	14	12	0	0	10
Nassau	7,460	6,211	0	2	4,181
Oneida	1	1	0	0	3
Orange	75	62	0	0	26
Otsego	11	9	0	0	3
Putnam	3	2	0	0	3
Rensselaer	11	9	0	0	5
Rockland	105	93	0	0	121
Saratoga	20	18	0	0	8
Schenectady	36	34	0	0	11
Schoharie	235	204	0	0	129
Suffolk	2,621	2,173	0	3	1,542
Sullivan	20	17	0	0	9
Tioga	30	27	0	0	28
Ulster	48	43	0	0	35
Warren	3	0	0	0	0
Washington	3	2	0	0	2
Westchester	53	41	0	0	35
Total	10,949	9,125	0	5	6,341

- 1 - Applicants who have qualified for the program and have received a portion of their award. Upon completion of repairs or reconstruction, NY Rising will assist applicants to achieve final closeout. Upon final closeout, NY Rising awards are processed.
- 2 - Closed Out - defined as having received funding and successfully completed all construction and submitted required documentation
- 3 - Homeowners who have been deemed eligible and have not been paid.
- 4 - Homeowners have not been paid because they are pending environmental, closeout, or other eligibility reviews. GOSR has informed the homeowners of the types of documents they need to provide if the missing items are homeowner dependent, or the types of actions they need to take to remedy environmental items.
- 5 - Homeowners who have been determined to be ineligible to receive assistance under federal regulations. This total includes applicants with a zero award determination.



Small Business Report: September 1– November 30, 2020

The NY Rising Small Business Program renders grants of \$50,000 or more to help replace essential equipment/ inventory, renovate facilities, or provide working capital. The program continues to help small businesses reopen and grow, thus ensuring they retain a vital role in their communities. In total, 878 applicants have “closed out” of the program (e.g., successfully completed construction and submission of all documentation).

County	1	2	3	4	5
County	Active applicants: Received payment (partial or full)	Active applicants: Pending eligibility review	Active, Eligible Applicants: Funds Pending Additional Clearance(s)	Active applicants: Have closed out with Program	All applicants not due payment because of no unmet need/ ineligible due to Federal requirements
Albany	4	0	0	3	1
Broome	33	0	0	33	13
Chemung	2	0	0	2	0
Chenango	1	0	0	1	2
Clinton	1	0	0	1	0
Columbia	3	0	0	3	0
Delaware	6	0	0	4	4
Dutchess	2	0	0	2	2
Essex	5	0	0	5	1
Fulton	-	0	0	-	1
Greene	17	0	0	16	11
Herkimer	1	0	0	1	0
Montgomery	3	0	0	3	1
Nassau	544	2	0	487	229
Oneida	4	0	0	4	0
Orange	41	0	0	42	14
Otsego	5	0	0	4	1
Putnam	2	0	0	2	0
Rensselaer	12	0	0	12	4
Rockland	14	0	0	11	10
Saratoga	2	0	0	2	0
Schenectady	1	0	0	1	0
Schoharie	32	1	0	29	12
Suffolk	262	2	0	236	77
Sullivan	2	0	0	2	1
Tioga	19	0	0	19	5
Ulster	12	0	0	12	9
Warren	2	0	0	2	1
Westchester	24	0	0	22	12
Total	1,056	5	0	961	411

1 – Small businesses that have been confirmed as eligible and have received funds (partial or whole award).

2 – Small businesses which are currently in review with GOSR for determination of their eligibility status and their corresponding award amount.

3 – Businesses which have been approved as eligible but have not been disbursed funds because they are pending environmental documentation, future construction clearance, or other miscellaneous documentation.

4 – Eligible Small Businesses that have received all their awarded funding, successfully completed all construction, and submitted all required documentation.

5 – Businesses that have been deemed ineligible due to a Program policy or due to failure to submit application by the submission deadline.



Community Reconstruction Report: September 1– November 30, 2020.

The NY Rising Community Reconstruction (NYRCR) Program is a first-of-its kind participatory program. It has grown to assist 124 storm-damaged communities, empowers communities to identify local needs and assets, and helps to develop projects through an intensive planning and implementation process. Following two rounds of planning, GOSR entered into local partnership agreements with subrecipients to define the scope of projects and procure engineering and design consultants in compliance with federal regulations. As of Q4 2020, the NYRCR Program has 303 active projects, with 123 projects closed out, 115 projects in

construction, and 65 projects approved and in design.¹

County	Design (Projects with Approved Pre-Applications)	Construction (Projects with 100% Design Complete and Further)	Non-Capital Projects in Implementation (Projects with Approved Pre-Applications)	Closeout (Projects which have Completed Closeout)	Grand Total
Bronx	0	2	0	0	2
Broome	1	2	0	13	16
Delaware	4	2	0	2	8
Essex	2	2	0	1	5
Greene	1	0	0	2	3
Kings	3	19	0	7	29
Kings, Queens & Bronx	1	0	0	1	2
Kings, Queens & New York	1	0	0	1	2
Montgomery	0	2	0	5	7
Nassau	29	23	0	16	68
New York	1	5	0	6	12
Orange	2	5	0	2	9
Queens	4	10	0	7	21
Queens & Kings	0	1	0	0	1
Richmond	1	1	0	4	6
Richmond & Kings	0	1	0	0	1
Rockland	2	2	0	0	4
Saratoga	0	1	0	3	4
Schenectady	0	1	0	2	3
Schoharie	1	8	0	10	19
Suffolk	3	15	0	11	29
Tioga	3	2	0	10	15
Ulster	3	11	0	18	32
Westchester	3	0	0	2	5
Grand Total	65	115	0	123	303

¹ Figures accurate as of 11/17/2020