



**Governor's Office of  
Storm Recovery**

**KATHY HOCHUL**  
Governor

December 15, 2021

New York State Majority Leader Andrea Stewart-Cousins  
Speaker of New York Assembly Carl Heastie  
State Capital Building  
Albany, NY 12247

Dear Majority Leader Stewart-Cousins and Speaker Heastie:

Pursuant to Chapter 3 of the Laws of 2016, please find the Governor's Office of Storm Recovery's (GOSR) September 2021 Quarterly Report, attached. This report covers the period from September 1, 2021 – November 30, 2021 (4Q of 2021), and outlines key programmatic updates pertaining to the NY Rising Housing Recovery, Small Business, and Community Reconstruction programs that are being executed by GOSR. GOSR's programs are continuing to benefit homeowners and businesses, and we have seen the positive impact on the communities in which they reside.

Should you have any questions regarding this update or the programs that GOSR oversees, please do not hesitate to contact me directly at [jane.brogan@stormrecovery.ny.gov](mailto:jane.brogan@stormrecovery.ny.gov), or 212-480-6464. Thank you for your continued interest in our work.

Sincerely,

A black rectangular redaction box covering the signature of Jane Brogan.

Jane Brogan  
Chief Policy & Research Officer  
Governor's Office of Storm Recovery



### Single Family Housing Report: September 1 – November 30, 2021

GOSR operates the NY Rising Housing Recovery Program to address the pressing and varied needs of homeowners throughout the State. The data from 4Q of 2021 demonstrates that GOSR continues to make substantial progress in its efforts to get families back in their homes as quickly as possible while ensuring compliance with HUD requirements and federal resource allocation. Fourth quarter highlights include: a total of 9,530 applicants closed out.

County	1	2	3	4	5
	Active single family housing applicants that have received partial or full payments	Active single family housing applicants that have been closed out	Active single family housing applicants who have been deemed eligible who have not been paid	Active single family housing applicants are pending an eligibility review	Single family housing applicants with no award due to ineligibility due to Federal regulations
Albany	29	26	0	0	15
Broome	32	29	0	0	19
Chenango	6	3	0	0	9
Clinton	3	3	0	0	3
Columbia	6	6	0	0	2
Delaware	32	31	0	0	87
Dutchess	20	17	0	0	4
Essex	17	16	0	0	6
Fulton	0	0	0	0	1
Greene	37	31	0	0	39
Herkimer	15	13	0	0	8
Montgomery	14	12	0	0	10
Nassau	7,252	6,493	0	1	4,389
Oneida	2	1	0	0	2
Orange	67	62	0	0	34
Otsego	11	10	0	0	3
Putnam	2	2	0	0	4
Rensselaer	11	9	0	0	5
Rockland	102	97	0	0	124
Saratoga	20	19	0	0	8
Schenectady	36	34	0	0	11
Schoharie	227	207	0	0	137
Suffolk	2,555	2,277	0	0	1,608
Sullivan	19	16	0	0	10
Tioga	29	27	0	0	29
Ulster	47	45	0	0	36
Warren	1	0	0	0	2
Washington	3	2	0	0	2
Westchester	49	42	0	0	39
<b>Total</b>	<b>10,644</b>	<b>9,530</b>	<b>0</b>	<b>1</b>	<b>6,646</b>

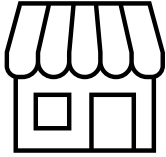
1 - Applicants who have qualified for the program and have received a portion of their award. Upon completion of repairs or reconstruction, NY Rising will assist applicants to achieve final closeout. Upon final closeout, NY Rising awards are processed.

2 - Closed Out - defined as having received funding and successfully completed all construction and submitted required documentation

3 - Homeowners who have been deemed eligible and have not been paid.

4 - Homeowners have not been paid because they are pending environmental, closeout, or other eligibility reviews. GOSR has informed the homeowners of the types of documents they need to provide if the missing items are homeowner dependent, or the types of actions they need to take to remedy environmental items.

5 - Homeowners who have been determined to be ineligible to receive assistance under federal regulations. This total includes applicants with a zero award determination.



**Small Business Report: September 1 – November 30, 2021**

The NY Rising Small Business Program renders grants of \$50,000 or more to help replace essential equipment/inventory, renovate facilities, or provide working capital. The program continues to help small businesses reopen and grow, thus ensuring they retain a vital role in their communities. In total, 1,053 applicants have “closed out” of the program (e.g., successfully completed construction and submission of all documentation).

County	1	2	3	4	5
County	Active applicants: Received payment (partial or full)	Active applicants: Pending eligibility review	Active, Eligible Applicants: Funds Pending Additional Clearance(s)	Active applicants: Have closed out with Program	All applicants not due payment because of no unmet need/ ineligible due to Federal requirements
Albany	4	0	0	4	1
Broome	33	0	0	33	13
Chemung	2	0	0	2	0
Chenango	1	0	0	1	2
Clinton	1	0	0	1	0
Columbia	3	0	0	3	0
Delaware	6	0	0	5	4
Dutchess	2	0	0	1	2
Essex	5	0	0	5	1
Fulton	0	0	0	0	1
Greene	17	0	0	17	11
Herkimer	1	0	0	1	0
Montgomery	3	0	0	3	1
Nassau	541	0	0	519	232
Oneida	4	0	0	4	0
Orange	41	0	0	41	15
Otsego	5	0	0	5	1
Putnam	2	0	0	2	0
Rensselaer	12	0	0	12	4
Rockland	13	0	0	12	11
Saratoga	2	0	0	2	0
Schenectady	1	0	0	1	0
Schoharie	32	0	0	30	12
Suffolk	263	0	0	259	77
Sullivan	2	0	0	2	1
Tioga	19	0	0	19	5
Ulster	12	0	0	12	9
Warren	2	0	0	2	1
Westchester	24	0	0	24	12
<b>Total</b>	<b>1053</b>	<b>0</b>	<b>0</b>	<b>1022</b>	<b>416</b>

1 – Small businesses that have been confirmed as eligible and have received funds (partial or whole award).

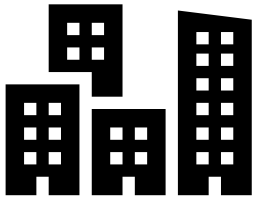
2 – Small businesses which are currently in review with GOSR for determination of their eligibility status and their corresponding award amount.

3 – Businesses which have been approved as eligible but have not been disbursed funds because they are pending environmental documentation, future construction clearance, or other miscellaneous documentation.

4 – Eligible Small Businesses that have received all their awarded funding, successfully completed all construction, and submitted all required documentation.

5 – Businesses that have been deemed ineligible due to a Program policy or due to failure to submit application by the submission deadline.

Figures accurate as of 11/15/2021



**Community Reconstruction Report: September 1 – November 30, 2021**

The NY Rising Community Reconstruction (NYRCR) Program is a first-of-its kind participatory program. It has grown to assist 124 storm-damaged communities, empowers communities to identify local needs and assets, and helps to develop projects through an intensive planning and implementation process. Following two rounds of planning, GOSR entered into local partnership agreements with subrecipients to define the scope of projects and procure engineering and design consultants in compliance with federal regulations. As of Q4 2021, the NYRCR Program has 297 active projects, with 165 projects closed out, 128 projects in construction, and 4 projects approved and in design.<sup>1</sup>

County	Design (Projects with Approved Pre-Applications)	Construction (Projects with 100% Design Complete and Further)	Non-Capital Projects in Implementation (Projects with Approved Pre-Applications)	Closeout (Projects which have Completed Closeout)	Grand Total
Bronx	0	2	0	0	2
Broome	0	1	0	14	15
Delaware	0	5	0	2	7
Essex	0	3	0	2	5
Greene	0	1	0	2	3
Kings	1	18	0	10	29
Kings, Queens & Bronx	0	0	0	2	2
Kings, Queens & New York	1	0	0	1	2
Montgomery	0	1	0	6	7
Nassau	1	43	0	24	67
New York	1	5	0	7	12
Orange	0	4	0	5	9
Queens	1	10	0	9	20
Queens & Kings	0	1	0	0	1
Richmond	1	1	0	4	6
Richmond & Kings	0	1	0	0	1
Rockland	0	2	0	1	3
Saratoga	0	1	0	3	4
Schenectady	0	1	0	2	3
Schoharie	0	5	0	14	19
Suffolk	0	11	0	18	29
Tioga	0	3	0	12	15
Ulster	1	6	0	25	31
Westchester	1	3	0	2	5
<b>Grand Total</b>	<b>4</b>	<b>128</b>	<b>0</b>	<b>165</b>	<b>297</b>

<sup>1</sup> Figures accurate as of 11/5/2021