



**Governor's Office of
Storm Recovery**

ANDREW M. CUOMO
Governor

September 14, 2018


New York State Majority Leader John Flanagan
Speaker of New York Assembly Carl Heastie
State Capital Building
Albany, NY 12247

Dear Gentlemen:

Pursuant to Chapter 3 of the Laws of 2016, please find the Governor's Office of Storm Recovery's (GOSR) August 2018 Quarterly Report, attached. This report covers the period from June 1, 2018 – August 31, 2018 (3Q of 2018), and outlines key programmatic updates pertaining to the NY Rising Housing Recovery, Small Business, and Community Reconstruction programs that are being executed by GOSR. GOSR's programs are continuing to benefit homeowners and businesses, and we have seen the positive impact on the communities in which they reside.

Should you have any questions regarding this update or the programs that GOSR oversees, please do not hesitate to contact me directly at jane.brogan@stormrecovery.ny.gov, or 212-480-6464. Thank you for your continued interest in our work.

Sincerely,


Jane Brogan
Chief Policy & Research Officer
Governor's Office of Storm Recovery



Single Family Housing Report: June 1, 2018 – August 31, 2018

GOSR operates the NY Rising Housing Recovery Program to address the pressing and varied needs of homeowners throughout the State. The data from 3Q of 2018 demonstrates that GOSR continues to make substantial progress in its efforts to get families back in their homes as quickly as possible while ensuring compliance with HUD requirements and federal resource allocation. Second quarter highlights include: (1) 11 more homeowners have qualified for the program and received a portion of their award; and (2) 280 more applicants have “closed out” of the program (e.g., successfully completed construction on their homes and submitted all required documentation).

County	1	2	3	4	5
County	Active single family housing applicants that have received partial or full payments	Active single family housing applicants that have been closed out	Active single family housing applicants who have been deemed eligible who have not been paid	Active single family housing applicants are pending an eligibility review	Single family housing applicants with no award due to ineligibility due to Federal regulations
Albany	34	22	-	-	10
Broome	33	27	-	-	18
Chenango	6	2	-	-	9
Clinton	4	1	-	-	2
Columbia	7	3	-	-	1
Delaware	35	31	-	-	84
Dutchess	24	14	-	-	-
Essex	17	13	-	-	6
Fulton	-	-	-	-	1
Greene	42	25	-	-	34
Herkimer	15	9	-	-	8
Montgomery	16	11	-	-	8
Nassau	8,168	4,852	-	7	3,465
Oneida	2	-	-	-	2
Orange	77	54	-	-	24
Otsego	11	6	-	-	3
Putnam	3	1	-	-	3
Rensselaer	11	8	-	-	5
Rockland	110	54	-	2	104
Saratoga	21	14	-	-	7
Schenectady	36	31	-	-	11
Schoharie	246	176	-	-	118
Suffolk	2,885	1,571	-	3	1,275
Sullivan	21	14	-	-	8
Tioga	30	20	-	-	28
Ulster	53	39	-	-	30
Warren	3	-	-	-	-
Washington	3	2	-	-	2
Westchester	57	33	-	-	31
Total	11,970	7,033	-	12	5,297

1 - Applicants who have qualified for the program and have received a portion of their award. Of the applicants that have received payments, 4,937 have received reimbursement checks, if applicable and at least 50% of their future award, but have not yet closed out of the program as of August 31, 2018. Note that this number is lower than reported in Q2 2018 because more applicants have closed out of the program. Upon completion of repairs or reconstruction, NY Rising will assist applicants to achieve final closeout. Upon final closeout, NY Rising awards are processed.

2 - Closed Out - defined as having received funding and successfully completed all construction and submitted required documentation

3 - Homeowners who have been deemed eligible and have not been paid.

4 - Homeowners have not been paid because they are pending environmental, closeout, or other eligibility reviews. GOSR has informed the homeowners of the types of documents they need to provide if the missing items are homeowner dependent, or the types of actions they need to take to remedy environmental items.

5 - Homeowners who have been determined to be ineligible to receive assistance under federal regulations. This total includes approximately 444 applicants with a zero award determination.



Small Business Report: June 1, 2018 – August 31, 2018

The NY Rising Small Business Program renders grants of \$50,000 or more to help replace essential equipment/ inventory, renovate facilities, or provide working capital. The program continues to help small businesses reopen and grow, thus ensuring they retain a vital role in their communities. Since June 1, 2018, 0 more small businesses have received their first program funds and 67 more applicants have “closed out” of the program (e.g., successfully completed construction and submission of all documentation).

	1	2	3	4	5
County	Active applicants: Received payment (partial or full)	Active applicants: Pending eligibility review	Active, Eligible Applicants: Funds Pending Additional Clearance(s)	Active applicants: Have closed out with Program	All applicants not due payment because of no unmet need/ ineligible due to Federal requirements
Albany	4	-	-	3	3
Broome	33	-	-	24	13
Chemung	2	-	-	2	-
Chenango	1	-	-	1	1
Clinton	1	-	-	1	-
Columbia	3	-	-	1	-
Delaware	6	-	-	5	4
Dutchess	3	-	-	1	1
Essex	5	-	-	1	1
Fulton	0	-	-	-	1
Greene	17	1	1	10	9
Herkimer	1	-	-	1	-
Montgomery	3	-	-	2	1
Nassau	551	1	3	298	220
Oneida	4	-	-	3	-
Orange	42	-	-	21	14
Otsego	5	-	-	3	2
Putnam	2	-	-	2	-
Rensselaer	12	-	-	7	4
Rockland	14	-	-	7	10
Saratoga	2	-	-	-	-
Schenectady	1	-	-	-	-
Schoharie	31	-	-	17	12
Suffolk	264	-	-	138	75
Sullivan	2	-	-	2	1
Tioga	19	-	-	14	5
Ulster	12	-	-	13	9
Warren	2	-	-	2	1
Westchester	25	-	-	16	9
Total	1,067	2	4	595	396

1 – Small businesses that have been confirmed as eligible and have received funds (partial or whole award).

2 – Small businesses which are currently in review with GOSR for determination of their eligibility status and their corresponding award amount.

3 – Businesses which have been approved as eligible but have not been disbursed funds because they are pending environmental documentation, future construction clearance, or other miscellaneous documentation.

4 – Eligible Small Businesses that have received all their awarded funding, successfully completed all construction, and submitted all required documentation. Also, includes ineligible/inactive businesses that have submitted all required documentation and have been verified as ineligible for funds.

5 – Businesses that have been deemed ineligible due to a Program policy or due to failure to submit application by the submission deadline.



Community Reconstruction

Community Reconstruction Report: June 1, 2018 – August 31, 2018

The NY Rising Community Reconstruction (NYRCR) Program is a first-of-its kind participatory program. It has grown to assist 124 storm-damaged communities, empowers communities to identify local needs and assets, and helps to develop projects through an intensive planning and implementation process. Following two rounds of planning, GOSR entered into local partnership agreements with subrecipients to define the scope of projects and procure engineering and design consultants in compliance with federal regulations. As of the end of 3Q of 2018, the NYRCR Program has 324 active projects, with 12 projects closed out, 62 projects in construction, 36 non-capital projects in implementation, and 218 projects approved and in design.

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County	Design (Projects with Approved Pre-Applications)	Construction (Projects with 100% Design Complete and Further)	Non-Capital Projects in Implementation (Projects with Approved Pre-Applications)	Closeout (Projects which have Completed Closeout)	Total
Bronx	2	-	-	-	2
Broome	9	8	1	1	19
Delaware	8	1	-	-	9
Essex	4	1	-	-	5
Greene	1	3	-	-	4
Kings	22 ²	1	6	-	29
Montgomery	3	4	-	-	7
Nassau	54	8	3	1	66
New York	7 ²	1	5 ¹	-	13
Orange	8	1	-	-	9
Queens	25	1 ¹	9	1	36
Richmond	4	1 ¹	3 ⁴	1	9
Rockland	4	-	-	-	4
Saratoga	2	1	-	-	4
Schenectady	1	2	-	-	3
Schoharie	12	5	3	-	20
Suffolk	23	6	-	2	31
Tioga	9	4	-	-	13
Ulster	17	14	-	4	35
Westchester	3	-	2	1	6
Total	218	62	32	12	324

¹ Kings, Queens, Bronx, New York Counties

² New York, Queens, and Kings Counties

³ Nassau and Queens Counties

⁴ Richmond and Kings Counties