

FIRST AMENDMENT
TO
CONTRACT FOR SERVICES

THIS FIRST AMENDMENT to the Contract for Services dated June 19, 2014, is made and entered into May 5, 2015 (the "First Amendment") between Capital Access, having an office located at 325 Chestnut Street, Suite 917, Philadelphia, Pennsylvania 19106 ("Contractor"), and the HOUSING TRUST FUND CORPORATION, having its principal office at 38-40 State Street, Albany, New York 12207 ("HTFC").

WITNESSETH:

WHEREAS, Contractor was a successful bidder pursuant to a request for proposals issued by HTFC on April 4, 2014 (the "RFP"); and

WHEREAS, HTFC and Contractor entered into a Contract for Services (the "Agreement") on June 19, 2014, pursuant to which Contractor provides certain services in support of HTFC's administration of the State of New York's Community Development Block Grant-Disaster Recovery ("CDBG-DR") program; and

WHEREAS, HTFC's Governor's Office of Storm Recovery ("GOSR") is specifically tasked with administering the State of New York's CDBG-DR program and therefore oversees and administers this particular Agreement; and

WHEREAS, HTFC and Contractor's Total Fee in the Contract for Services dated June 19, 2014 totaled \$4,500,000.00; and

WHEREAS, GOSR wishes to obtain additional services from Contractor that are within the scope of services sought under the RFP and provided by Contractor under the Agreement; and

WHEREAS, GOSR seeks such additional services in the amount of \$1,645,000.00, thereby increasing Exhibit B's "Fee Schedule" to a total amount of \$6,145,000.00; and

WHEREAS, HTFC/GOSR and Contractor desire to amend the Agreement by means of this First Amendment in order to increase the Total Fee to allow Contractor to perform additional services;

NOW, THEREFORE, pursuant to and in consideration of the above, and other mutual covenants and obligations herein contained, it is

STIPULATED AND AGREED as follows:

1. The second sentence of Section 2(a) is hereby deleted and replaced with the following:
“Contractor agrees that in no event will HTFC pay the Contractor more than \$6,145,000.00 (“Total Fee”) for the Services under all Task Orders under this Agreement.”
2. Exhibit B to the Agreement, entitled “Fee Schedule,” is hereby supplemented with the following Exhibit B1, entitled “New York State GOSR Acquisition for NYC Program Manager, Task Order #3 Budget”:

EXHIBIT B1

New York State GOSR Acquisition for NYC Program Manager
Task Order #3 Budget

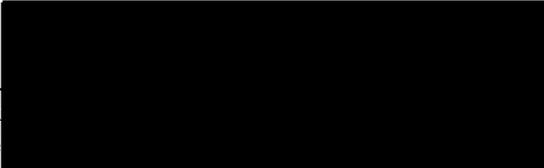
CAPITAL ACCESS, INC.		GOSR NY Rising Acquisition for Redevelopment for NYC		6 month		Mar-15		Apr-15		May-15		Jun-15		Jul-15		Aug-15		Sep-15		6 Mo. Production & March Coverage	
Capital Access Staff	Quantity	Rate	Total	Business Days	Total Days	Hours	Fee														
Program & Contract Management																					
Program & Contract Director	1																				
Finance & Contract Compliance	1																				
Total Program & Contract Management:																					
Field Operations & Case Management																					
Field Operations Director	1																				
Field Operations Manager	1																				
Production Systems Integration & IG Manager	1																				
SmartSheet & IT Systems Support	1																				
CMS File Upload & Registration Abstract Prep	1																				
On-Site KIA, Inquiry Calls, Production Support, QC on Remote Applicant Assist	1																				
Home-Bound Applicant Case Manager	1																				
Case Management Lead	1																				
Case Management Specialist	1																				
Case Management Specialist	1																				
Quality Control Manager	1																				
Quality Control & Intell/Grants Data Migration	1																				
Quality Control & Intell/Grants Data Migration	1																				
Escrow Coordination/MSAT Manager	1																				
Total Field Operations & Case Management:																					
Sub-Total Capital Access:																					
TDA Eligibility, DOB & VOB Staff																					
Program & Contract Director	1																				
Eligibility & URA Team Operations Manager	1																				
Remote Eligibility & DOB Reviewer 1	1																				
Remote Eligibility & DOB Reviewers 2	1																				
Eligib, DOB/VOB, AFWA and QC (VOB/DOB Approval)	1																				
Sub-Total TDA Eligibility, DOB & VOB Staff:																					
TOTAL CAPITAL ACCESS & TDA FEE :																					

3. All other terms and conditions, including appendices, attachments, exhibits, riders and Letter Agreements to the Agreement are hereby continued in full force and effect as though set forth herein.

IN WITNESS WHEREOF, the parties executed this First Amendment on the day and year first above written.

Capital Access, Inc.

By: _____
Name: _____
Title: _____
Date: _____



May 4, 2015

Housing Trust Fund Corporation

By: _____
Name: James Rubin
Title: Director, Governor's Office of Storm
Recovery
Date: *5/8/15*

