

FIRST AMENDMENT
TO
COMMUNITY DEVELOPMENT BLOCK GRANT
DISASTER RECOVERY
SUBRECIPIENT AGREEMENT

THIS FIRST AMENDMENT TO the Community Development Block Grant Disaster Recovery Subrecipient Agreement dated December 5, 2014 is made and entered into April 27, 2015 and is effective as of April 27, 2015 (the "First Amendment") by and between the Housing Trust Fund Corporation, operating by and through its division, the Governor's Office of Storm Recovery ("GOSR"), (collectively referred to herein as the "Grantee") and the County of Suffolk, New York ("Subrecipient" or "Suffolk County"), a unit of general local government as defined in 24 CFR 570.3. The foregoing Grantee and Subrecipient shall sometimes be referred to herein individually as a "Party" and collectively as the "Parties."

WHEREAS, Grantee and Subrecipient entered into a Community Development Block Grant Disaster Recovery Subrecipient Agreement on December 5, 2014 (the "Agreement"), the terms of which govern Subrecipient's receipt of funds from the State of New York's Community Development Block Grant-Disaster Recovery ("CDBG-DR") program to provide certain services in support of the State of New York's recovery efforts following Hurricane Sandy and other eligible events in calendar years 2011, 2012, and 2013; and

WHEREAS, pursuant to the Agreement, the Parties desire to enter into this First Amendment to assist Subrecipient with the costs associated with the disaster recovery project described herein; and

WHEREAS, Subrecipient has demonstrated an immediate need for \$49,882, plus the cost of printing, public notices and other associated costs, of CDBG-DR funds in order to implement the project;

NOW THEREFORE, pursuant to and in consideration of the above, and other mutual covenants and obligations herein contained, it is

STIPULATED AND AGREED as follows:

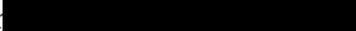
1. The amount of the Grant Funds, currently set at \$0 under the Agreement, is hereby increased by \$50,382, to a total amount of \$50,382.
2. Exhibit A1 to this First Amendment is hereby appended to Exhibit A of the Agreement.
3. Exhibit B1 to this First Amendment is hereby appended to Exhibit B of the Agreement.

IN WITNESS WHEREOF, the parties executed this First Amendment on the day and year first above written.

County of Suffolk

By: 
Name: Dennis M. Cohen
Title: Chief Deputy County Exec.
Date: 4/17/2015

Housing Trust Fund Corporation

By: 
Name: 
Title: Director, Governor's Office of Storm Recovery
Date: 4/27/15

**Suffolk County
Department of Public Works**

By: 
Name: Gilbert Anderson
Title: Commissioner
Date: 4/08/15

EXHIBIT A1
First Amendment Subrecipient Program Description

<p>SUFFOLK COUNTY WATER QUALITY INITIATIVE</p> <p>SCOPE OF SERVICES FOR SEWER IMPLEMENTATION STRATEGY GAP ANALYSIS</p> <p>Services to be provided by Suffolk County (County) to the Governor's Office of Storm Recovery (GOSR)</p>

Purpose

The purpose of the Gap Analysis is to determine what information will need to be obtained in order to prepare an application for the release of CDBG-DR funds and for the use of Clean Water State Revolving Funds (through NYS EFC) for the Suffolk County Water Quality Initiative. The Gap Analysis is a component of the Application Planning Phase.

Task 1- Project Eligibility Review (CDBG-DR)

Compliance with National Objective Requirement

- Undertake an analysis of LMI populations in the proposed project areas to determine the levels of LMI populations.
- Utilize demographic and GIS resources to summarize available information.
- Participate in Working Group meetings in the identification of additional information needed to complete determinations of National Objective.

Relationship to Superstorm Sandy (Storm)

- Assist in identifying what information is presently available regarding the extent and nature of the failure of On-Site Wastewater Systems (OSWS) caused by the Storm within the proposed project areas. Information may include reports, permits, data, GIS mapping outputs and any other relevant materials. Provide an index of all materials the County may have in its possession that could be made available for the application planning phase.
- Participate in Working Group meetings to assist in the identification of what information is needed to establish a clear association between the Storm and OSWS failure. Assist in the development of specific descriptions of the needed information and likely sources.
- Review and provide comments on draft materials that describe the potential gaps of information needed for the task of determining OSWS failure caused by the Storm.

Task 2- Engineering

- Provide a presentation to the Working Group of the four preliminary engineering reports prepared by Suffolk County for the proposed project areas. Describe the reports in terms of purpose, scope and completeness. Describe the differences between the reports in content and approach, if applicable.
- Assist in the identification of any data gaps or inconsistencies in the preliminary engineering reports developed by Suffolk County to ensure a quality and consistency

among all of the areas. Assist in the review of generalizations and assumptions that are not supported by citations or references and identify where such references should be further examined in the Application Planning Phase.

- Assist in the evaluation of any changes to the project areas based on the results of Task 1 (CDBG-DR Eligibility/LMI) and determine what changes, if any, this would necessitate to the preliminary engineering reports.

Task 3- Financial

- Assist in the identification of a method of financial analysis that can be used in the Application Planning Phase to create a clear, consistent means of analyzing capital and long-term costs of the projects. Assist in the identification of cost items including collection and conveyance systems, lateral/service connections, OSWS abandonments, and other costs.
- Assist in the identification of a method of projection of on-going costs to property owners and to the County for the operation of the wastewater collection, conveyance and treatment systems. Assist in the review of the structure of these costs as they relate to property taxes.
- Assist in the identification of consistent, accurate and reliable cost estimates including soft costs and contingencies.

Task 4- Project Alternatives

- Assist in identification of a range of project alternatives that should be studied in the Application Planning Phase, e.g., alternate technologies, project configurations.
- Assist in the identification of information/data needed to conduct project cost- benefit analysis.

Task 5- Risk Analysis

- Assist in the identification of methods to conduct a comprehensive risk analysis.
- Provide assistance in the identification of an approach and methodology necessary to conduct an assessment of the project's impact including how the project addresses risks, gaps in critical information necessary to quantify risk, and vulnerabilities in the region.
- Review and provide comments on the proposed risk-reduction methodology based on each of the project alternatives that will be used in the planning phase.

Task 6- Legal Requirements

- Help to identify legal and regulatory considerations that must be addressed in the Application Planning Phase, e.g., district formation, inter-municipal agreements, real estate acquisitions and easements, service connections.

Task 7: Environmental Review Requirements

- Search of environmental review documents- Be available to guide GOSR consultants and staff in the search for documents and records necessary for or related to any environmental reviews conducted in relation to the scope of this project
- ~~Search of other documents~~- ~~Be available to guide GOSR consultants and staff in the~~ search for documents that can be potentially relevant to the environmental review process for each project area. This may include, but is not limited to, community plans, feasibility studies, infrastructure plans, capital improvement plans, urban renewal plans, community visioning initiatives, and any other similar type documents.
- Identification of data gaps- Assist in the identification of gaps in data, studies, information, etc. that will be necessary to complete the environmental review process for each project area.

Task 8: Citizen Participation

- Provide description of public outreach conducted to date for each project area.

Task 9: Proposed Implementation Strategy Gap Analysis

- Assist in the consolidation of information concerning data gaps.

Task 10: Costs

- Incur costs in connection with public notices, printing, and other related project matters.

EXHIBIT B1

First Amendment Budget

Suffolk County Sewer Implementation Strategy Project Budget

	%	Annual Salary	Annual Fringe	Quarterly Salary	Quarterly Fringe	Subtotals		Quarter One	Quarter Two	Total
						Labor	Fringe			
Planning										
Planning Director										
Chief Engineer (Sanitation)										
Principal Civil Engineer										
Comm of Public Works										
Public Works Spec Projects Sup										
Dir of Environmental Quality										
Prin Publ Health Engineer										
Prin Publ Health Engineer										
Cartographer (Planning)										
Principal Planner										
Geographic Info Systems Tech II										
Chief Planner										
Environmental Projects Coordinator										
Assistant Budget Director										
Chief Deputy County Attorney Planner										
Subtotal Planning Cost										
Administrative										

Principal Accountant	
Asst Dep County Executive	
Costs associated with printing, public notices, and related matters	
Subtotal Administrative Cost	
Total Project Cost	\$50,382

