

**Tier 1 Environmental Review Record
Community Development Block Grant - Disaster Recovery
NY Rising Housing Recovery Program:
Environmental Assessment for 5+ Unit Residential Properties
Herkimer County, NY**

PREPARED FOR:

**NEW YORK STATE HOMES AND COMMUNITY RENEWAL
38 – 40 STATE STREET
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ERR Project Summary	
Responsible Entity:	New York State Homes and Community Renewal
Certifying Officer:	Thomas King, Governor's Office of Storm Recovery
Project Name:	NY Rising Housing Recovery Program
Federal Agency:	U.S. Department of Housing and Urban Development (HUD)
Project Sponsor:	New York State Homes and Community Renewal
Program Name:	New York State Community Development Block Grant - Disaster Recovery
Project Site Address:	Multi-family Residential Sites
Project County:	Herkimer County, New York
Estimated Project Cost:	\$1,760,000.00
Project Sponsor Address:	New York State Housing Trust Fund Corporation 38-40 State Street Albany, NY 12207
Primary Contact's Name:	Thomas King
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Telephone Number:	(518) 486-7512
Project NEPA Classification:	24 CFR 58.36 Environmental Assessment
ENVIRONMENTAL FINDING:	<input checked="" type="checkbox"/> Finding of No Significant Impact - The project will not result in a significant impact on the quality of the human environment. <input type="checkbox"/> Finding of Significant Impact - The project may significantly affect the quality of the human environment.
	<p>The undersigned hereby certifies that New York State Housing Trust Fund Corporation has conducted an environmental review of the project identified above and prepared the attached environmental review record in compliance with all applicable provisions of the National Environmental Policy Act of 1969, as amended, (42 USC sec. 4321 et seq.) and its implementing regulations under 24 CFR Part 58.</p>  <p>_____ NAME: Thomas King Title/Agency: Certifying Officer/Governor's Office of Storm Recovery Date: 18 September 2014</p>

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Acronyms and Abbreviations

ABFE	Advisory Base Flood Elevation
ASD	Acceptable Separation Distance
ASTM	American Society for Testing and Materials
BFE	Base Flood Elevation
BMPs	Best Management Practices
C&D	Construction and Debris
CBRA	Costal Barrier Resources Act
CBRS	Coastal Barrier Resource System
CDBG-DR	Community Development Block Grant for Disaster Recovery
CDC	Centers for Disease Control and Prevention
CEA	Critical Environmental Area
CFR	Code of Federal Regulations
DFIRMs	Digital Flood Insurance Rate Maps
EJ	Environmental Justice
EPA	Environmental Protection Agency
ERR	Environmental Review Record
ESA	Endangered Species Act
FEMA	Federal Emergency Management Agency
FEMA IA	Federal Emergency Management Agency Individual Assistance
FIRM	Flood Insurance Rate Maps
FONSI	Finding of No Significant Impact
GIS	Geographic Information System
HCR	New York State Homes and Community Renewal
HEAP	Home Energy Assistance Program
HTFC	Housing Trust Fund Corporation
HUD	Housing and Urban Development
LMI	Lower Moderate Income Allowance
LWRP	Local Waterfront Revitalization Plan
MS4	Municipal Separate Storm Sewer Systems
NEPA	National Environmental Policy Act
NFIP	National Flood Insurance Program
NHPA	National Historic Preservation Act
NMFS	National Marine Fisheries Service
NOAA	National Oceanographic and Atmospheric Administration
NOIRROF	Notice of Intent to Request Release of Funds
NPS	National Park Service
NRCS	Natural Resources Conservation Service
NWI	National Wetlands Inventory

Acronyms and Abbreviations (continued)

NY	New York
NYCRR	New York Code, Rules and Regulations
NYRCR	NY Rising Community Reconstruction
NYS	New York State
NYSDEC	New York State Department of Environmental Conservation
NYSDOS	New York State Department of State
NYSDOT	New York State Department of Transportation
O&M	Operations and Maintenance
OCR	Office of Community Renewal
OPA	Otherwise Protected Area
OPRHP	Office of Parks, Recreation and Historic Preservation
OSHA	Occupational Safety and Health Administration
PCBs	Polychlorinated Biphenyls
pCi/L	Picocuries per Liter
PEA	Programmatic Environmental Assessment
PINS	Person in Need of Supervision
PM _{2.5}	Particulate Matter
QEP	Qualified Environmental Professional
RAMPP	Risk Assessment, Mapping, and Planning Partners
RE	Responsible Entity
RRP	Repair, Renovation, Painting
SEQR	State Environmental Quality Review Act
SFHA	Special Flood Hazard Area
SHPO	State Historic Preservation Office
SIP	State Implementation Plan
TCLP	Toxicity Characteristic Leaching Procedure
THPO	Tribal Historic Preservation Office
USACE	U.S. Army Corps of Engineers
USDA	U.S. Department of Agriculture
USFWS	U.S. Fish and Wildlife Service
USGS	U.S. Geological Survey
WRAP	Weatherization Referral Assistance Program

1.0 NY Rising Housing Recovery Program: Environmental Assessment for 5+ Unit Residential Properties in Herkimer County, NY

1.1 Background

In 2011, Herkimer County experienced driving winds and rain from Hurricane Irene and Tropical Storm Lee. The ninth named storm and first hurricane of the 2011 Atlantic hurricane season, Irene originated east of the Lesser Antilles in the Caribbean Sea as a well-defined Atlantic tropical wave. Having formed a closed center of circulation, on August 20, 2011 the system was designated as Tropical Storm Irene. Growing in intensity, Tropical Storm Irene made landfall in the island of St. Croix the same day, then a second landfall in Puerto Rico early on August 21, 2011. While crossing Puerto Rico, Irene strengthened into a Category 1 hurricane. Shortly before making four landfalls in the Bahamas, Irene peaked as a 120 mph Category 3 hurricane. Hurricane Irene lessened in intensity as it crossed the Bahamas and struck North Carolina as a Category 1 Hurricane on August 27, 2011. With Hurricane Irene's path projected to strike much of the East Coast, states of emergency and hurricane warnings were declared for several states, including New York State (NYS). Governor Andrew Cuomo declared a state of emergency on August 26. Shelters were prepared, and hundreds of thousands of people across NYS were evacuated from their homes.

Rain associated with Hurricane Irene began in Herkimer County on the afternoon of August 27, 2011, with flooding beginning the next morning. On August 28, 2011, Hurricane Irene made landfall in NYS with tropical storm force winds and causing disastrous flash flooding, especially in the eastern part of Upstate New York (NY). There were approximately 2,500 reported power outages in Herkimer County from Hurricane Irene. Several roads were also closed including Route 51, from Cedarville Road to Spinnerville Gulf Road, and Route 20 in West Winfield.

In total for the United States, Hurricane Irene caused widespread destruction, 49 confirmed fatalities, and according to Federal Emergency Management Agency (FEMA) estimates, nearly \$15.6 billion in the United States alone, making it the seventh costliest hurricane in United States history.

Tropical Storm Lee was the twelfth named storm of the 2011 hurricane season. It began as a disturbance in the Gulf of Mexico on September 1, 2011. The disturbance was officially named Tropical Storm Lee on September 2, 2011. Large in size, but slow in movement, Tropical Storm Lee caused heavy rainfall throughout the eastern United States. The storm also produced at least 30 confirmed tornadoes throughout the country, and the winds from the storm helped to spread wildfires in areas like Texas that did not experience the heavy rainfalls. Tropical Storm Lee, which hit Herkimer County a mere 10 days after Hurricane Irene, caused significant flooding and damage in Herkimer County. It is estimated that Tropical Storm Lee caused \$1.6 billion in damage in the United States.

1.2 Community Development Block Grant-Disaster Recovery

Although Herkimer County was not affected by Superstorm Sandy, this storm was the catalyst for the allocation of disaster relief funds under the Community Development Block Grant-Disaster Recovery (CDBG-DR). These funds are being used to assist not only counties that were

devastated by Superstorm Sandy, but also counties like Herkimer County that were severely impacted by Hurricane Irene and Tropical Storm Lee in 2011.

Superstorm Sandy, one of the largest Atlantic hurricanes to reach the United States on record, resulted in great devastation along the NYS coast on October 29, 2012. Flooding and high winds along the coast along with overland flooding damaged communities throughout the state. Fourteen counties in the state were declared federal disaster areas. This destruction came in the wake of Hurricane Irene and Tropical Storm Lee, both of which had also caused severe damage in the state only one year earlier, and was, therefore, particularly devastating to an economy just recovering from the financial crisis.

Following damage assessments performed by FEMA, President Obama also signed into law the “Disaster Relief Appropriations Act, 2013” (Public Law 113-2, approved January 29, 2013), which included \$16 billion in funding for necessary expenses related to disaster relief, long-term recovery, restoration of infrastructure and housing, as well as economic revitalization in the most impacted and distressed areas resulting from recent storm events.

The U.S. Department of Housing and Urban Development (HUD) is responsible for administration of the CDBG-DR program pursuant to the Disaster Relief Appropriations Act of 2013. The CDBG-DR program is designed to address the needs of NYS communities devastated by Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee. This funding was disbursed in two phases. On Tuesday, March 5, 2013, HUD published Federal Register Notice 5696-N-01, which established the requirements and processes for \$1.7 billion in Federal CDBG-DR aid appropriated by the United States Congress and allocated to NYS for disaster relief. On Monday, November 18, 2013, HUD published Federal Register Notice 5696-N-06, which established the requirements and processes for \$2.097 billion in Federal CDBG-DR aid to NYS.

The Disaster Relief Appropriations Act requires grantees to submit an action plan to the HUD Secretary “detailing the proposed use of all funds, including criteria for eligibility and how the use of these funds will address long-term recovery and restoration of infrastructure and housing and economic revitalization in the most impacted and distressed areas.”

As required by the CDBG-DR program, NYS submitted to the HUD Secretary the *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery* (Action Plan) (issued April 25, 2013; amended August 13, 2013, August 16, 2013; August 19, 2013, November 15, 2013, December 18, 2013; May 27, 2014). The Action Plan is supplemented by the *NY Rising Housing Recovery Program Homeowner Guidebook* (Guidebook) (revised December 12, 2013). The Action Plan describes the proposed use of HUD CDBG-DR funding to meet the unmet housing, economic development, community planning, and infrastructure needs of impacted communities. In the Action Plan, NYS identified more than \$6 billion in damage to residential structures in the areas most impacted by Superstorm Sandy and other recent eligible disaster events, such as Hurricane Irene and Tropical Storm Lee.

The Action Plan is being used as a guide by NYS Homes and Community Renewal (HCR), through its Office of Community Renewal (OCR) and the Housing Trust Fund Corporation (HTFC), for the distribution of CDBG-DR funding. The Action Plan helps to define how NYS will effectively use any available funding to recover and rebuild, and just as importantly, to

stimulate economic growth in every affected community through a community-driven planning process.

The plan is based on six key principles:

1. *Building back better and smarter* – As New Yorkers repair the significant damage wrought by Superstorm Sandy, the State will use the opportunity not to replace damaged buildings with the same structures, but to invest in additional mitigation to prevent similar damage from recurring.
2. *State-led, community-driven recovery* – The State is collaborating closely with local governments and other organizations to ensure a coordinated and holistic response, while looking to individual communities to develop forward-looking local recovery plans that meet their specific needs.
3. *Recovery from Irene and Lee* – The recovery efforts will also extend to those communities still recovering from Hurricane Irene and Tropical Storm Lee.
4. *Leveraging private dollars* – The State will undertake programs that will help unlock capital markets and increase the amount of low-interest financing of key projects by reducing the risk for private sector lenders.
5. *Spending accountability and transparency* – To make certain that funds are spent appropriately and responsibly, the State will put rigorous spending accountability systems in place and employ an independent auditing firm.
6. *Urgency in action* – The recovery is a long-term endeavor but people need help today, so the projects and programs have been shaped to achieve the fastest delivery and best support possible.

To meet these objectives, NYS has established a number of individual programs to provide assistance for housing, economic development, resilience and retrofit, community planning and redevelopment, and public infrastructure. The NY Rising Housing Recovery Program is designed to provide assistance for repairing damaged properties, reconstructing damaged homes, mitigating damaged properties for future resilience and reimbursing homeowners who have already begun repairing or have completed reparations on their homes.

Housing programs from the Action Plan include:

- Repair
- Reconstruction
- Resiliency
- Reimbursement
- Renter Assistance

HCR is acting as the Responsible Entity (RE) under 24 CFR Part 58—Environmental Review Procedures for Entities Assuming HUD Environmental Responsibilities. As the RE, HCR will complete environmental reviews for all NY Rising Programs in compliance with the National Environmental Policy Act (NEPA) and HUD environmental review standards. For the purpose of environmental reviews and in compliance with HUD rules, HCR will conduct Tier 1 Programmatic Environmental Assessments (PEAs) for the NY Rising Programs on a county-by-county basis as follows:

- 1-4 unit residential properties
- 5+ unit residential properties
- Buyouts and acquisitions¹
- Bulkhead repairs or reconstruction for residential properties

This Tier 1 PEA evaluates the potential environmental impacts of the NY Rising Housing Recovery Program for 5+ Unit Residential Properties in Herkimer County (also referred to as the “proposed action”). For purposes of this Tier 1 PEA, 5+ unit residential properties are properties that are comprised of five or more dwelling units and may include rental apartments, cooperatives, and condominiums.

As further discussed in Section 1.7 “Program Description,” below, the proposed action would fund the following resiliency measures:

- Elevation of housing structures to the required ABFE plus freeboard as required by each community’s floodplain ordinance;
- Flood-proofing housing structures as required by each community’s floodplain ordinance;
- Elevation or resiliency measures for electrical systems and components;
- Securing of fuel tanks;
- Use of flood resistant building materials below base flood elevation (retrofits to be limited in scope to be cost-effective);
- Installation of flood vents;
- Installation of backflow valves; and
- Installation of roof strapping.

The above resiliency measures would reduce, but not eliminate, potential flood risks to the repaired or reconstructed structures during future storm events. However, the New York Rising Housing Recovery Program also includes a Buyout program for areas of greatest risk. Actions that remove structures from the floodplain would avoid damage from future storm events. However, avoidance is not always the most economical or practical solution. As such, mitigation and resiliency measures are considered as part of the proposed action. Resiliency measures, such as those identified above, reduce the risk of property damage or destruction during severe storm events, but the potential for future damage cannot be eliminated.

1.3 Proposed Action Location

The geographic scope for the NY Rising Housing Recovery Program for 5+ Unit Residential Properties described herein is the jurisdictional area of Herkimer County, covering approximately 933,120 acres, with approximately 83,790 acres in floodplains. Construction activities are expected to take place on multi-family residential properties throughout the communities in Herkimer County, especially those most affected by Hurricane Irene and Tropical Storm Lee. Property owners (referred to as the “Applicant” throughout this Tier 1 PEA)

¹ The Tier 1 PEAs for Buyouts and Acquisitions will be completed separately for the counties within New York City: Bronx, Kings, New York, Queens, and Richmond Counties.

of any location within the county that sustained damage from Hurricane Irene and/or Tropical Storm Lee are able to apply for the program.

The number of residents potentially impacted by these storms is approximately 64,519 people, distributed across the county's thirteen towns and five villages (see Table 1: Herkimer County Demographics by Most Populated Municipalities).

The number of potential structures impacted is subject to updates and refinements as more detailed information becomes available. The actual property addresses will not be verified until applications for assistance are reviewed and determined eligible for the program.

Table 1
Herkimer County Most Impacted Communities

Source: U.S. Census Bureau (census.gov) 2010 Census; 2008-2012 American Community Survey 5-Year Estimates (Poverty and Units in Structure)

	Herkimer County	Town of German Flatts	Town of Herkimer	Town of Frankfort	City of Little Falls	Town of Schuylers
Population	64,519	13,258	10,175	7,636	4,946	3,420
Average Household Size	2.40	2.37	2.22	2.48	2.20	2.32
Elderly 65+	16.9%	16.1%	20.1%	16.2%	18.2%	19.1%
Below Poverty	14.6%	15.3%	20.0%	13.0%	19.6%	8.3%
Race						
White non-Hispanic	95.6%	94.8%	91.8%	96.4%	95.8%	96.8%
Black non-Hispanic	1.0%	1.1%	3.1%	0.7%	0.6%	0.4%
Hispanic	1.6%	2.0%	2.7%	1.3%	1.4%	1.4%
Asian non-Hispanic	0.5%	0.5%	0.9%	0.4%	0.5%	0.6%
American Indian & Alaska Native non-Hispanic	0.2%	0.3%	0.2%	0.1%	0.1%	0.1%
Native Hawaiian & Pacific Islander non-Hispanic	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Other	0.1%	0.0%	0.1%	0.0%	0.0%	0.1%
Housing						
Total	33,381	5,915	4,606	3,266	2,665	1,590
Occupied	26,324	5,534	4,148	3,078	2,192	1,469
Owner-Occupied	18,761	3,581	2,347	2,350	1,181	1,216
Renter-Occupied	7,563	1,953	1,801	728	1,011	253
Vacant	7,057	381	458	188	473	121
5+ Unit Homes	6.9%	10.7%	15.1%	5.6%	12.7%	3.2%

1.4 Current Conditions and Trends

Herkimer County is located in central NYS, northwest of Albany, and east of Syracuse. Adjacent NYS counties are Saint Lawrence County to the north, Lewis County and Oneida County to the west, Otsego County to the south, and Hamilton County, Fulton County, and Montgomery County to the east. The northern part of the county is in the Adirondack Park. The Mohawk River flows across the south part of the county. Herkimer County is the longest county in NYS and has the sixth largest land area.

According to the U.S. Census Bureau, the county has a total area of 1,458 square miles, of which 1,411 square miles is land and 47 square miles is water. Farming and agricultural land is located primarily in southern and central Herkimer County. The New York Agricultural Statistics Service estimates that 14% of the land (136,600 acres) is used in farming. Dairy farming is the dominant agricultural activity in Herkimer County. The 1997 Census of Agriculture reported a total of 583 farms of which 46%, or 267 farms, involved dairy cattle and milk production. Northern Herkimer County is dominated by Adirondack Park, which consists of 555,690 acres. The majority of the land in Adirondack Park is forested.

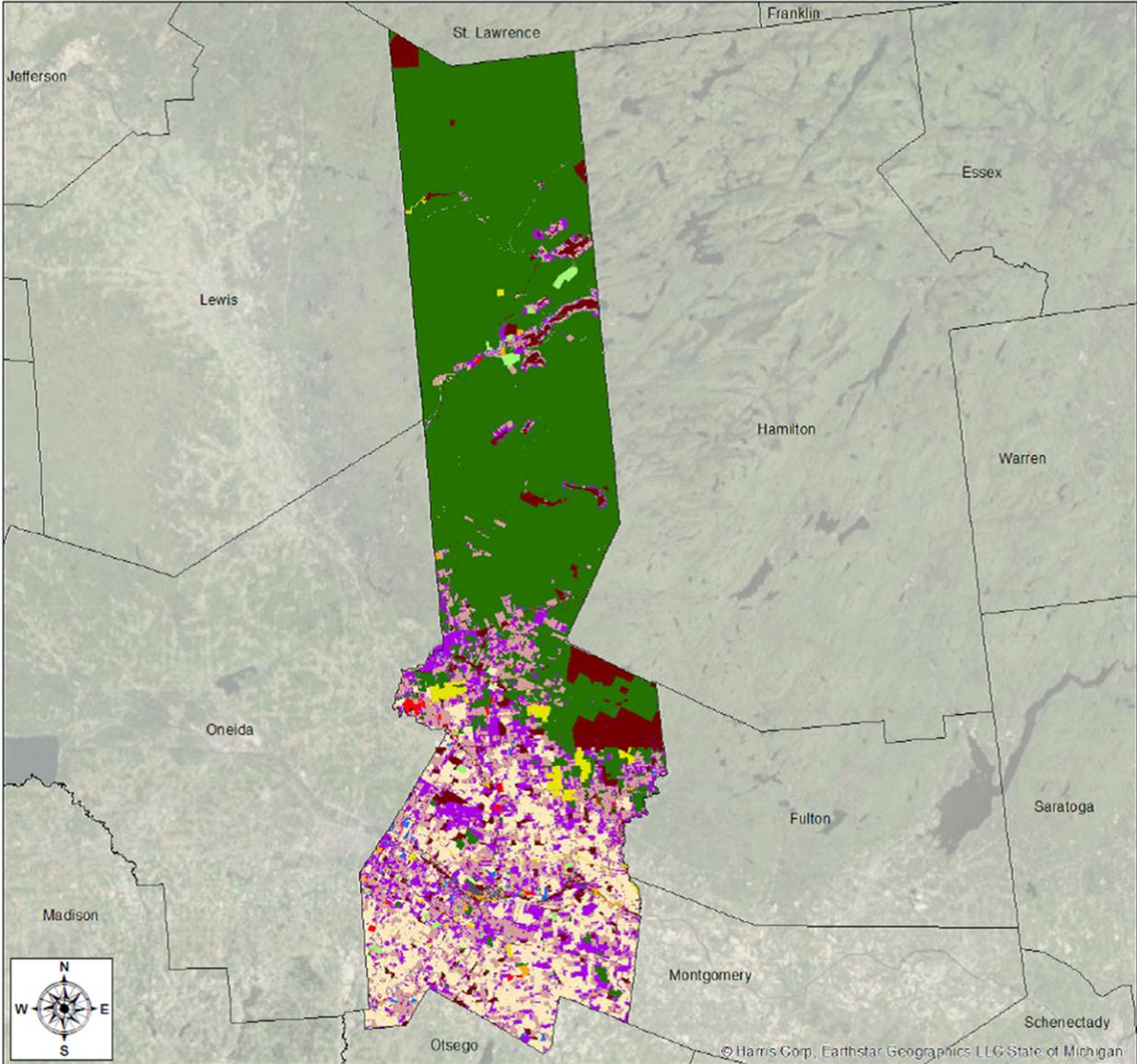
According to the 2010 U.S. Census, the population of Herkimer County was 64,519. Based on the 2012 Census, the current population is estimated at 64,508. Approximately 95.6% of the population identifies as White non-Hispanic, 1.0% of the population identifies as Black or African-American non-Hispanic, 1.6% identify as Hispanic, 0.5% identifies as Asian non-Hispanic, 0.2% of the population identifies as American Indian or Alaska Native, and 0.1% identifies as “other” (see Table 1: Herkimer County Demographics by Most Populated Municipalities). In Herkimer County, 16.9% of the population is the age of 65 or older.

The population of Herkimer County is distributed among one city, nineteen towns, and ten villages. The majority of the county’s population resides in the towns, villages and city that surround the Mohawk River, referred to as the Mohawk Valley Corridor. Approximately half of the county population is located in the towns of German Flatts and Frankfort, and the Village of Herkimer. The county seat is the Village of Herkimer, which is home to the county’s only community college.

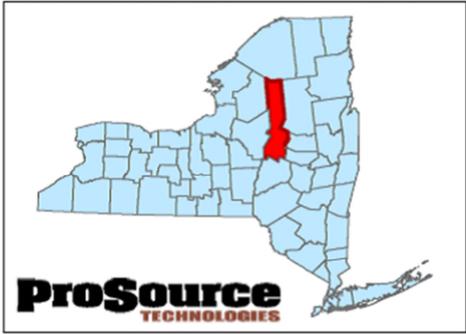
As of 2010, Herkimer County’s housing stock was approximately 56.2% owner-occupied, 22.6% renter-occupied, and 21.1% vacant. According to the 2008-2012 American Community Survey 5-Year Estimates, the median value of owner-occupied housing units was \$92,300. Approximately 69.7% of all housing in Herkimer County is single-family housing. The median household income of Herkimer County was \$44,288. Approximately 14.6% of the population has an income below the poverty line in Herkimer County.

Approximately 10% of the county’s land is used for residential, 14% is used for agriculture, and 16% is vacant. Land that is forested, wild, or set-aside for conservation or parks makes up approximately 50% of Herkimer County. Commercial, industrial, public services, community services, and office space comprise the remaining 10%. In 2010, there were 675 farms and 136,600 farmed acres in Herkimer County, with 46% associated with dairy cattle and milk production (see Figure 1: Herkimer County Land Use Map).

Figure 1. Herkimer County Land Use Map



- Legend**
- Other/ Unlisted
 - Agricultural
 - Residential
 - Vacant Land
 - Commercial
 - Recreation & Entertainment
 - Community Services
 - Industrial
 - Public Services
 - Wild, Forested, Conservation Lands & Public Parks



1.5 Damage Assessments and Need

Herkimer County experienced driving wind and rain from Hurricane Irene and Tropical Storm Lee, flooded waterways and down power-lines throughout the county. Roads were closed and debris threatened bridges. Approximately 509 units within properties of 5 or more units are located within the 100 year floodplain, and 95 units within properties of 5 or more units are located within the 500 year floodplain. As such, these properties are more susceptible to flooding during major storm events and were potentially affected by Hurricane Irene and Tropical Storm Lee, both of which impacted significant portions of Herkimer County (see Figure 2: Herkimer County Floodplain Map and Prospective Applicant Map).

According to 2010 Census data, Herkimer County is home to approximately 64,159 persons. This population is distributed across the most impacted communities (see Table 1: Herkimer County Most Impacted Communities).

To understand the significant damage that Hurricane Irene and Tropical Storm Lee caused to Herkimer County's housing stock and the demand for temporary and permanent housing, field inspections and data from the FEMA Individual Assistance Program (FEMA IA) were analyzed to estimate the volume and severity of damaged buildings. According to FEMA IA estimates from April 2013, approximately 181 owner-occupied properties were damaged or destroyed by Hurricane Irene. Of the 181 owner-occupied homes, FEMA estimated that approximately 3 properties had damage greater than \$15,000 and 178 properties had damage below \$15,000. According to FEMA IA estimates from April 2013, FEMA estimated that approximately 49 properties were damaged by Tropical Storm Lee, all of which had damage below \$15,000.

Based on the FEMA IA data from November 2013, at least 365 residential structures were damaged or destroyed by Hurricane Irene and Tropical Storm Lee in Herkimer County. The reported housing damage in Herkimer County from Hurricane Irene and Tropical Storm Lee accounts for approximately 0.67% and approximately 0.45%, respectively, of reported housing damage in the State of New York. In addition, many thousands of New Yorkers were temporarily displaced from their homes due to power outages or other service disruptions.

In determining the impact on housing during its inspection process, FEMA uses the following definitions:

Moderate damage: Moderate structure damage includes less than six inches of water on the first occupied floor of the dwelling. Other event types, such as fire, may have visible soot and ash that impacts habitability requiring professional cleaning. Access to the dwelling can be considered minor due to conditions such as minor washouts requiring some road fill that prevents routine access to the dwelling. According to FEMA initial assessment data for Herkimer County, no buildings were identified with moderate damage.

Substantial Damage: Substantial structure damage should be noted with water levels greater than six inches and less than four feet on the first occupied floor of the dwelling. Items that can comprise substantial damaged include collapsed chimney, furnace damage, water heater damage, exterior siding damages, roof damages affecting one or both sides of the dwelling, compromised windows, and/or compromised doors. Access to the dwelling can be considered substantial when access may be blocked with down trees or private road is substantially washed out. The initial damage assessments conducted by FEMA in Herkimer

County determined that two apartment buildings sustained substantial damage from Tropical Storm Lee, with flooding between six inches and four feet on the first floor of the building.

Major Damage: Major structure damage is identified when approximately four (4) feet of water, or more, occurred on the first occupied floor of the dwelling. Other items can substantiate major structure damage, such as collapsed foundation walls and/or piers, significant separation in the walls from the dwelling, and/or wind events that have removed/damaged the majority of the roof and/or wall components. Access to the dwelling can be considered major when the only access is by a bridge that has collapsed. Damage assessments conducted in Herkimer County following Hurricane Irene and Tropical Storm Lee determined that no buildings were identified with major damage.

FEMA initial damage assessments also show that eight apartment buildings had basement flooding from Hurricane Irene; two apartment buildings had basement flooding from Tropical Storm Lee. These numbers are only for dwellings identified as an apartment.

Using FEMA Verified Loss (FVL) values and scaling factors, an estimated overall cost to reconstruct or rehabilitate destroyed, major, or moderately damaged buildings in Herkimer County is shown for Hurricane Irene in Table 2: Cost Estimates and Number of Owner-Occupied Properties Damaged by Hurricane Irene in Herkimer County, and for Tropical Storm Lee in Table 3: Cost Estimates and Number of Owner-Occupied and Renter-Occupied Properties Damaged by Tropical Storm Lee in Herkimer County. This damage is across owner-occupied and rental properties, and includes single-family and duplex homes, apartments, condos, and other property types.

Table 2

Cost Estimates and Number of Owner-Occupied Properties Damaged by Hurricane Irene in Herkimer County

	RP FVL Less Than \$15,000	RP FVL \$15,000 to \$31,900	RP FVL \$31,900 to \$50,000	RP FVL Greater Than \$50,000	Total
Number of Properties	178	3	0	0	181
<i>* RP FVL = Real Property FEMA Verified Loss</i>					
Source: State of New York Action Plan for Community Development Block Grant Program Disaster Recovery, April 2013					

Table 3

Cost Estimates and Number of Owner-Occupied and Renter-Occupied Properties Damaged by Tropical Storm Lee in Herkimer County

	RP FVL Less Than \$15,000	RP FVL \$15,000 to \$31,900	RP FVL \$31,900 to \$50,000	RP FVL Greater Than \$50,000	Total
Number of Owner-Occupied Properties	49	0	0	0	49
Number of Renter-Occupied Properties	0	0	2	0	2
<i>* RP FVL = Real Property FEMA Verified Loss</i>					
Source: State of New York Action Plan for Community Development Block Grant Program Disaster Recovery, April 2013					

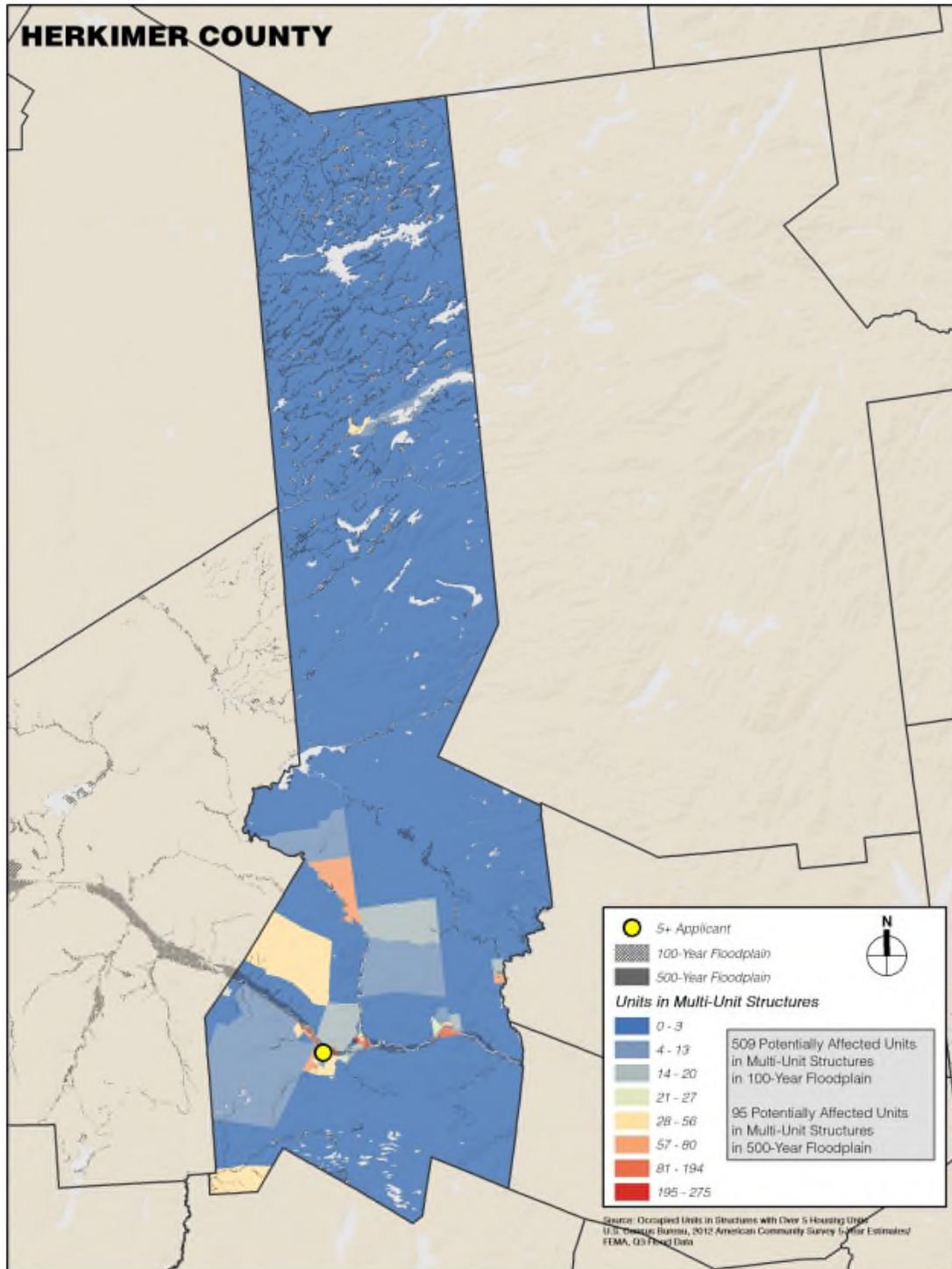
As of early 2014, there have been 102 applications for CDBG-DR assistance through the NY Rising Program in Herkimer County (see Figure 2: Herkimer County Floodplain Map). Of these applications, approximately 8% are for reimbursement for repairs that have been completed, suggesting that 92% of applicants still have ongoing and unfinished repairs to their homes that were damaged by the storms in 2011. The application process is ongoing, and as of August 2014, one application has been identified as a 5+ unit residential building in Herkimer County.

NYS recognizes that without federal assistance through the CDBG-DR program, residents of its storm-damaged communities would be unable to rehabilitate their homes to comply with housing construction standards within an acceptable period of time. If housing damages are not addressed until other funding sources become available, the damaged housing stock would remain vulnerable to structural deterioration and weathering. Owners of partially damaged multi-family residential buildings and units, as well as tenants, would make repairs as they are able, which would not necessarily be code-compliant or resilient to future weather extremes. Residents displaced from their damaged housing units would continue to seek shelter from family and friends, through various public service agencies or non-profit organizations, and potentially relocate away from Herkimer County.

Not only would structural conditions of damaged residential buildings continue to deteriorate, but property values would continue to drop, slum and blight conditions would increase, all posing a continuing burden on the county's tax base and services. As allocated to Herkimer County, the CDBG-DR funds available are considered essential to recovering the county's overall vitality. For the Herkimer County NY Rising Recovery Program for 5+ Unit Residential Properties in Herkimer County addressed in this Tier 1 PEA, the CDBG-DR funds will facilitate the repair, rehabilitation and mitigation of multi-family housing.

The purpose of the proposed action is to assist owners and tenants of 5+ unit residential properties in Herkimer County whose properties were damaged or destroyed by Hurricane Irene and Tropical Storm Lee. The program is needed to help provide adequate housing for residents of these units through rehabilitation of damaged structures.

Figure 2. Herkimer County Floodplain Map



1.6 Recovery Measures

In an ongoing effort to provide the best data possible for flood risk management, FEMA launched the Risk Assessment, Mapping, and Planning Partners (RAMPP) collaborative effort. RAMPP will provide comprehensive floodplain mapping, Geographic Information System (GIS), and hazard risk mitigation services for FEMA as part of its activities related to the National Flood Insurance Program (NFIP). The vision for the program is for FEMA to collaborate with State, local, and tribal entities to increase public awareness of floodplain risks and lead to action that reduces risk to life and property. Digital Flood Insurance Rate Maps (DFIRMs) for many of the counties impacted by Hurricane Irene and Tropical Storm Lee in 2011, as well as those impacted by Superstorm Sandy in 2012, have been updated or are in the process of being revised under the RAMPP program. HUD has also issued its newest “best available data” as preliminary work maps, which advise that reconstruction program sites be elevated to one foot above the Base Flood Elevation (BFE) as set forth in the “best available data.”

Also in response to Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, NYS developed the Action Plan to help define how the State will effectively use all available funding to recover and rebuild. Part of the Action Plan describes the need to help affected families to rebuild and return to their homes as soon as possible by addressing unmet housing rehabilitation and repair needs and incorporating mitigation measures in order to reduce impacts of future disasters. Of particular concern is the restoration of a deep and stable market for affordable multi-family housing, in order to provide direct assistance to those underserved populations, including minorities and low income households, as well as special needs individuals and families, who are generally more likely to live in multi-family housing properties. To ensure that the rental market rebounds and affordable housing options persist for those in storm-affected areas, it will be important to provide direct assistance to rental properties in addition to owner-occupied properties.

The action covered by this PEA is to provide assistance for repair, rehabilitation, replacement, storm mitigation, and reimbursement for completed repairs to owners and renters in Herkimer County whose 5+ unit multi-family residential properties were damaged or destroyed by Hurricane Irene and Tropical Storm Lee. The program is needed to help maintain adequate housing for residents of these units through rehabilitation of damaged structures.

There are several County lead initiatives that aim to provide information and guidance for reducing flooding impacts in our community. The goal is to prevent the loss of property and life through mitigation actions. One of these is the development of a County-wide Hazard Mitigation Plan. While the plan addresses all hazards, the primary focus is on flooding. The plan was originally adopted in 2008, with the most recent update completed in early 2013. The Herkimer County Department of Planning and Economic Development led this planning process with cooperation from all 24 Herkimer County municipalities. The most recent plan focuses on specific projects with measurable impacts to flooding and other hazards.

These types of resiliency measures taken by Herkimer County will complement the rehabilitation and resiliency efforts to multi-family residences under the NY Rising Programs, making the repair and mitigation efforts more effective.

1.7 Program Description

Property owners of disaster-declared counties who sustained damage will be invited to submit an application for assistance to repair damage caused by Hurricane Irene and Tropical Storm Lee in 2011. Funding for repair and mitigation will include costs for the repair or replacement in place and in kind of damage to real property, replacement of disaster-impacted non-luxury residential appliances, and environmental health hazard mitigation costs. It will also cover costs for unmet rehabilitation or repair needs after accounting for all federal, state, local and/or private sources of disaster-related assistance. Assistance for repair, rehabilitation, and mitigation funding will be capped at the lesser of a specified dollar amount to be determined by NYS, or the unmet repair, rehabilitation and mitigation need as described above. To direct sufficient levels of assistance to those most in need, especially low- to moderate-income and minority households, a higher overall dollar cap amount may be applied to those households of low- or moderate-income, where the need is justified.

Programs from the Action Plan and Guidebook that are incorporated into this Tier 1 PEA by reference are Repair, Reconstruction, Resiliency, and Reimbursement. Interim mortgage assistance may be available to homeowners and rental property owners who are eligible participants in the NY Rising Housing Recovery Program. Each program has its own specific set of eligibility requirements.

The CDBG-DR funds will be used for the following specific activities related to residential structures with 5 or more units for repair, for rehabilitation and in place and in kind reconstruction of storm-damaged structures:

- Repairing the interior and exterior of disaster-impacted properties, including the renovation of common areas and dwelling units;
 - Roof repair/replacement
 - Window/door repair/replacement
 - Siding repair/replacement
 - Flooring repair/replacement
 - Drywall/finishing to pre-event condition
 - Insulation
 - Bathroom repair
 - Foundation repairs
 - Kitchen cabinet replacement
 - Well/septic replacement or connection to municipal system
 - Electrical system repair/replacement
 - Bulkhead repair or replacement (This activity will be covered in a separate Tier 1 PEA)
- Replacement of non-luxury appliances
- Mitigation of environmental health hazards related to the repair of disaster impacted properties, including lead abatement and mitigation, asbestos abatement, radon mitigation, and mold remediation;
- Reconstruction of a new home in place and in kind of the storm-damaged home constructed in accordance with the community's floodplain ordinance and state build code;

- Elevation of housing structures to elevation to the required advisory base flood elevation plus freeboard as required by each community's floodplain ordinance;
- Elevation or resiliency measures of electrical systems and components;
- Securing of fuel tanks;
- Use of flood resistant building materials below base flood elevation (retrofits to be limited in scope to be cost effective);
- Installation of flood vents;
- Installation of backflow valves;
- Installation of roof strapping;
- Any rehabilitation and reconstruction activities necessary to meet the Warranty of Habitability as required for all dwelling units in New York State pursuant to New York State Real Property Law s. 235-b.

The following basic program eligibility requirements apply to the NY Rising Housing Recovery Programs:

- Rental of the property must have been year round as the primary residence;
- The home must be in one of the counties designated a disaster area;
- The residential property was damaged as a direct result of one of these storms:
 - Hurricane Irene: (8/26/2011)
 - Tropical Storm Lee: (9/7/2011)
 - Superstorm Sandy: (10/29/2012)
- The property is wholly residential in character (Properties containing home-based businesses will be limited to non-business uses);
- Properties which have a Lis Pen dens foreclosure proceeding filed prior to the date of the applicable storm, are not eligible;
- Property taxes must be current or in process of being made current;
- Second homes and vacation properties are not eligible;
- Homeowners of individual condo and co-op units are eligible program applicants;
- Associations owning / governing the common spaces of such buildings are also eligible Program applicants.

It is anticipated that the in-place and in-kind repair, reconstruction, and elevation activities will take place within the existing footprint of the pre-storm structure, and that no additional structures will be built upon each approved program parcel. However, if engineering or other site specific constraints require a modification to the footprint under these programs, the expansion of the structure may not exceed 20% of the original pre-storm footprint. In addition, the number of units in the structure may not increase following rehabilitation or reconstruction by more than 20% of the original pre-storm units.

All rehabilitation and/or reconstruction of substantially damaged buildings must incorporate Green Building Standards according to the 2011 Enterprise Green Communities Criteria, and rehabilitation of non-substantially damaged buildings must follow guidelines in the HUD Green Building Retrofit Checklist.

Applicants applying for the NY Rising Housing Recovery Program whose income is less than 80% of the area median income may be given priority. For multi-unit buildings, priority will be given to owners of properties where a minimum of 51% of the units are occupied by or will be occupied by persons earning less than 80% of the area median income.

The program may also provide reimbursements for owners or renters of 5+ unit residential properties who have started or completed storm-related rehabilitation work with personal resources, subject to restrictions in line with applicable laws, regulations, and the program requirements discussed in this document (e.g., eligibility criteria, grant restrictions). These owners will be equally subject to the program's eligibility requirements and restrictions on assistance, and unit prices and labor costs may be subject to caps.

In general, priorities for reimbursement will be based upon financial need. Furthermore, applicants seeking reimbursements may be given lower initial priority for processing than applicants who are requesting funds for essential rehabilitation or reconstruction of damaged housing structures. All reimbursement is contingent on compliance with NY Rising Housing Recovery Program for 5+ Unit Residential Properties requirements and is dependent upon an environmental review as required by NEPA and HUD regulations.

1.8 Alternatives to the Proposed Action

After a consideration of the following alternatives, NYS has determined that the best practicable alternative is the proposed action. The alternative actions considered are as follows:

- No Action Alternative
- Limited Action Alternative – Rehabilitation without Resiliency Measures
- Limited Action Alternative – No Reimbursement Funding
- Limited Area Alternative

The following alternatives analysis generally assesses the potential direct, indirect, and cumulative impacts of each alternative.

No Action Alternative

Under the No Action Alternative, the funding for the proposed rehabilitation of 5+ unit residential properties in Herkimer County would not be authorized. Under the No Action Alternative, the damage caused to Herkimer County municipalities and neighborhoods would be repaired slowly or not at all, depending on the motivations of property owners and their access to resources to repair their properties. Hundreds of residences may not be rehabilitated and would deteriorate without the use of CDBG-DR funds. As a result, these owners and tenants may not be able to recover from the impacts of the storm events.

In addition, depending on motivations of owners and their willingness and/or ability to access resources to repair and upgrade homes and properties, there is potential that repairs would be limited, work would progress slowly or remain incomplete, create hazards, or work would not be completed to current building codes. Ultimately, it is expected that many families would be unable to return to their homes, which would have a cumulative impact on community character and the local economy. Housing options for the elderly and supportive housing populations would become limited without the CDBG-DR funds.

Substandard housing and unfinished repairs could negatively affect community character. This would impact adjacent residents, businesses, and the community as a whole. Under this alternative, home values could decline in the surrounding area as a result of vacant and/or dilapidated buildings, and there may be potential for crime and vandalism. As a potential indirect and cumulative impact, the proliferation of uninhabitable homes could weaken the tax base in many communities, which could further deteriorate neighborhoods, infrastructure, and community services. The proposed action, along with other NY Rising Housing Recovery Programs within Herkimer County, would result in beneficial cumulative effects to community character and economic conditions by facilitating the rehabilitation of a wide range of residential structures. The No Action Alternative would not contribute to these beneficial cumulative effects.

Under the No Action Alternative, reimbursements would not be made available for eligible owners and tenants who have already engaged in repairs prior to applying for the program. Some of these residents undertook repairs with the understanding that reimbursements would eventually be made available. If relief is not granted, homeowners in future storm events may be dissuaded from making immediate and necessary repairs to their homes, and instead wait for a possible recovery program. This would further delay the recovery of neighborhoods and communities.

Furthermore, under the No Action Alternative Applicants would not be provided financial assistance to implement resiliency measures (e.g., elevating their homes), leaving their properties vulnerable to future flooding conditions. Therefore, unlike the proposed action, the No Action Alternative would neither address NYS's need for safe, decent, and affordable housing, nor would it require homes within the floodplain to be elevated to the highest standard for flood protection.

Overall, the No Action Alternative would be less consistent with State and local land use, zoning, and public policy objectives than the proposed action. Compared with the proposed action, it would have the potential for direct and indirect adverse impacts to economic conditions, community character, cultural and visual resources, natural resources, and water resources. Similar to the proposed action, which involves the rehabilitation of existing structures, the No Action Alternative is not anticipated to result in potential impacts to air quality, energy consumption, or noise. However, unlike the proposed action hazardous materials may not be abated under the No Action Alternative.

Limited Action Alternative – Rehabilitation without Resiliency Measures

Under this alternative, the funding for rehabilitation of 5+ unit residential properties in Herkimer County would be authorized, but the financial assistance would not include funding for resiliency measures. Reimbursements would be made available for eligible owners and tenants who have already engaged in repairs prior to applying for the program, allowing for those families to resume their normal contribution to the local economy. The reimbursements would encourage occupants to make necessary repairs more quickly, shortening the duration of impacts related to community character, and restoring normal conditions in the affected communities sooner. However, funding for improvements to homes including elevation and flood-proofing of structures, utility elevation, flood mitigation and other resiliency measures, would not be authorized.

- Since this alternative would not provide reimbursement for homes within the floodplain to be elevated or flood-proofed to the highest standard for flood protection, it would fail to encourage repetitive loss from future storms, or aid in communities' efforts to increase resiliency to climate change and mitigation of severe storm risk. Furthermore, since homes that experienced "substantial damage" are required to meet new regulations for storm resiliency, the lack of funding may result in a greater number of unrepaired homes. As a result, homeowners and occupants may not undertake necessary improvements or resiliency measures, and could instead decide to relocate to avoid damage from future storm events. While this alternative could make money available for a greater number of households than the Limited Action Alternative – No Reimbursement Funding, without incorporating resiliency measures, it would only partially fulfill the goals of providing safe and adequate housing for residents of these homes and retention of neighborhood character. A potential cumulative impact of no resiliency funding is that a greater portion of the homeowners would remain at risk during future storm events. Therefore, this alternative would not contribute to ongoing efforts (in the form of revisions to building codes and flood risk maps) to account for projected increased flooding due to climate change.

Overall, the Limited Action Alternative – Rehabilitation without Resiliency Measures would be less consistent with State and local land use, zoning, and public policy objectives than the proposed action since resiliency measures would not be addressed. Unlike the proposed action, it would have the potential for adverse impacts to economic conditions, community character, cultural and visual resources, natural resources, and water resources, as additional properties could remain in disrepair. Similar to the proposed action, the Limited Action Alternative – Rehabilitation without Resiliency Measures is not anticipated to result in potential impacts to air quality, energy consumption, noise, or hazardous materials, as it would likely be subject to the same conditions for approval as the proposed action. The Limited Action Alternative – Rehabilitation without Resiliency Measures would result in similar cumulative impacts as the proposed action (discussed below), except with respect to flood risk, as it would not include resiliency measures that would mitigate the flood risk of future storms.

Limited Action Alternative – No Reimbursement Funding

Under this alternative, the funding for rehabilitation of 5+ unit residential properties including improvements and resiliency measures in Herkimer County would be authorized; however, reimbursement for repairs and improvements that have already been implemented would not be authorized.

Reimbursements would not be made available for eligible owners and tenants who have already engaged in repairs prior to applying for the program, resulting in a negative effect on the local economy. Under this alternative, funding would be authorized for the implementation of improvements and resiliency measures (e.g., elevation or flood-proofing of homes and elevation of utilities). These measures would help prevent repetitive loss from future storms and aid the affected communities' efforts to increase resiliency to climate change and mitigation of severe storm risk.

This alternative would partially accomplish the goals of providing safe and adequate housing for residents of these homes, retaining neighborhood character over the long-term, and improving the communities' resiliency to future storms. However, unlike the proposed action, reimbursements would not be made available for eligible owners and tenants who have already engaged in repairs prior to applying for the program. Some of these residents undertook repairs with the understanding that reimbursements would eventually be made available. If relief is not granted, homeowners in future storm events may be dissuaded from making immediate and necessary repairs to their homes, and instead wait for a possible recovery program. A potential indirect and cumulative effect of this would be the further delay of the recovery of neighborhoods and communities, as delaying repairs and rehabilitation would prolong the impacts related to community character, and take longer to restore normal conditions in affected areas. The cumulative effects of the Limited Action Alternative – No Reimbursement Funding would be the same as the proposed action because funding for reimbursement for previously completed work would not contribute to future potential cumulative effects.

Unlike the proposed action, the Limited Action Alternative – No Reimbursement Funding would have the potential for adverse impacts to land use, zoning, and public policy, economic conditions, community character, cultural and visual resources, natural resources, and water resources, as additional properties could remain in disrepair. Similar to the proposed action, the Limited Action Alternative – No Reimbursement Funding is not anticipated to result in potential impacts to air quality, energy consumption, noise, or hazardous materials, as it would likely be subject to the same conditions for approval as the proposed action.

Limited Area Alternative

In certain limited areas with high flood risk, additional programs have been proposed for funding and are being assessed in forthcoming environmental assessments. Under the Limited Area Alternative - Buyout Option, funds would be authorized to purchase severely damaged residential properties, demolish any structures, and restore the property to natural conditions within Herkimer County. This would help restore large parts of the floodplain to their natural state. Under the Limited Area Alternative- Acquisition Option, funding would be authorized to purchase damaged residential properties for the purpose of future resilient redevelopment within Herkimer County.

Both of these options would involve relocating populations to less vulnerable areas away from the shore. This would result in a dramatic change to neighborhoods and communities, with associated local economies also being affected. Thus, the cost of the Buyout and/or Acquisition programs could be far greater than the cost of repairing and rebuilding in a more resilient manner in the existing developed parcel.

By themselves, these options do not satisfy the purpose and need of providing safe and adequate housing for residents of these homes and retaining neighborhood character. Therefore, these options have been eliminated from further consideration except in limited areas for certain counties.

NYS is evaluating the high risk flood areas where the benefits of these options outweigh the impacts to the communities. The benefits include reduced cost of future storm recovery efforts in areas with potential for severe damage, even to homes with resiliency measures incorporated, or where larger scale community resiliency measures are needed. The state will determine where these options will be presented.

Overall, the Limited Area Alternative, which involves buyout and acquisitions, could result in greater economic impacts to local communities than the proposed action. However, since the Limited Area Alternative would involve either the restoration of land to its natural condition or the redevelopment of land in accordance with resiliency standards, and would likely be subject to the same conditions for approval as the proposed action, potential impacts to local land use, zoning, and public policy; cultural and visual resources; natural resources; water resources; air quality; energy consumption; noise; and hazardous materials would be comparable to those of the proposed action.

1.9 Cumulative Effects

Introduction

The federal Council on Environmental Quality's regulations implementing the procedural provisions of the National Environmental Policy Act (NEPA), set forth at 40 CFR Part 1500-1508, require federal agencies to consider the environmental consequences of their actions, including not only direct and indirect effects, but also cumulative effects. This section summarizes the proposed action's cumulative effects when considered with other planned programs in the area.

Cumulative impacts result from the incremental consequences of an action (the proposed action) when added to other past, present, and reasonably foreseeable future actions (40 CFR 1508.7). The cumulative effects of an action may be undetectable when viewed in the individual context of direct and even indirect impacts, but nevertheless can eventually lead to a measurable environmental change. For the purposes of this assessment of cumulative effects, reasonably foreseeable future actions include activities that may be authorized under other NY Rising Housing Recovery Programs within Herkimer County.

In general, the potential for cumulative effects due to the proposed action in combination with other NY Rising Housing Recovery Programs in Herkimer County would be limited due to the scattered, small-scale nature of the activities (i.e., predominantly repair, rehabilitation, replacement, and/or reconstruction of various residential structures) and the fact that these structures already exist and thus would not result in new operational activities. Furthermore, the NY Rising Housing Recovery Programs offer funding for reimbursement; in these cases, the rehabilitation activities have already occurred and would not contribute to any cumulative activities in the future.

Flood Risk

Many existing homes in Herkimer County were built prior to the implementation of current building standards and codes. According to 2008-2012 American Community Survey 5-Year estimates, approximately 57.4% of all housing in Herkimer County was built prior to 1960. In some areas, flood information was not available, applicable at the time, or not taken into account when these homes were built. The areas which experienced flood damage from Hurricane Irene and Tropical Storm Lee are at risk for flooding in future storms. Climate change poses increasing risk of flooding as sea levels rise and storms become more intense.

Flood risk maps have been, and are being, revised to account for the projected increased flooding due to climate change. Building codes have been, and are being, changed to reflect these changes in flood risk. The NY Rising Housing Recovery Program for 5+ Unit Residential Properties in Herkimer County and the other NY Rising Programs will result in the upgrade of the damaged homes to new standards and would also include resiliency measures that would mitigate the flood risk of future storms.

Construction

There is the potential for cumulative effects from the generation of construction debris from the rehabilitation and reconstruction of a great number of homes through the NY Rising Housing Recovery Program. However, all activities under the proposed action and other NY Rising Housing Recovery Programs would comply with applicable federal, state, and local laws and regulations regarding construction emissions, and construction activities would occur at scattered sites on different construction schedules. Therefore, there would be limited potential for cumulative effects due to overlapping construction activities funded by the various NY Rising Housing Recovery Programs. Strict requirements for the disposal of debris are in place to prevent, to the extent possible, any negative impacts to the environment. Many of these buildings would undergo rehabilitation or reconstruction to some extent without the program; however, owners and tenants might not properly dispose of construction materials and debris containing lead paint or asbestos, harming the environment and posing a health hazard to humans.

The handling and disposal of demolition and construction debris, control of storm water runoff, and noise impacts resulting from the NY Rising Housing Recovery Program for 5+ Unit Residential Properties in Herkimer County would be in accordance with all federal, state, and local laws and regulations as part of the acceptance of assistance funding, and therefore there would not be the potential for significant adverse impacts to result from the cumulative effects of construction activities under various NY Rising Housing Recovery Programs. The proposed action would increase the compliance with these laws and regulations over the piecemeal rehabilitation that would take place without the program. Furthermore, the inclusion of resiliency measures in rehabilitation projects is expected to reduce the amount of reconstruction required from future storm events.

Green Building and Energy Efficiency

The rehabilitation activities under the NY Rising Housing Recovery Program would involve the replacement of damaged appliances, utilities, and insulation. Green Building standards have been incorporated into the requirements for the housing rehabilitation, mitigation, and reimbursement program. Because funding is available for replacement of non-luxury appliances and utilities, these appliances can be replaced with modern, energy efficient models, which would result in more efficient use of energy and less overall energy use for heating, cooling, and other appliances. This would result in an overall long-term positive impact on the natural environment.

Land Use, Zoning, and Public Policy

Land use, zoning, and public policies are also changing with regards to development along shorelines and within floodplains. Herkimer County and affected community land use policies and plans regarding the mitigation of flood risk have been considered as part of this assessment. Municipalities are revising building codes to incorporate requirements for flood and storm mitigation measures along shorelines and riverbanks. Through comprehensive planning and rezoning efforts, municipalities are also reducing the development potential of areas prone to flooding.

In addition, many New York communities are subject to Municipal Separate Storm Sewer Systems (MS4) regulations and are actively implementing policies to minimize and mitigate stormwater runoff. Rehabilitation activities under the NY Rising Housing Recovery Programs would comply with all applicable erosion, sediment control, and stormwater management practices as regulated by NYSDEC and/or the local municipality. Since unmanaged stormwater runoff can increase the volume and rate at which water moves across the land and into lakes and streams, leading to erosion and flooding, the application of these practices would mitigate stormwater runoff from future storm events and there would be no significant adverse impacts due to cumulative effects.

Community Character and Economic Conditions

The proposed action, along with other NY Rising Housing Recovery Programs within Herkimer County, would result in beneficial cumulative effects to community character and economic conditions by facilitating the rehabilitation of a wide range of residential structures. The rehabilitation of damaged residential structures by the NY Rising Housing Recovery Programs, including the proposed action, would help to stabilize home values, maintain the tax base, and retain the customer base of local businesses.

Growth Inducement

The proposed action involves the rehabilitation of residential structures damaged during significant storm events. It would not alter regional growth patterns, change residential settlement patterns, displace any public or publicly funded community facilities, or significantly affect the growth in employment centers. Therefore, the proposed action, either by itself or cumulatively with other NY Rising Housing Recovery Programs, would not be expected to generate significant secondary or induced effects, or induce any significant development activity that would otherwise not occur in the region or study area.

1.10 Summary

The rehabilitation activities proposed by the NY Rising Housing Recovery Program for 5+ Unit Residential Properties would take place on residential properties throughout Herkimer County. The exact locations of these properties are not yet fully known, as the program is still accepting applications. After considering the alternatives, NYS has determined that there is no practical alternative other than to proceed with the proposed action. While there are some inherent risks to living in a floodplain or area which experiences severe weather that cannot be completely avoided, the individual actions undertaken by the described NY Rising Housing Recovery Program for 5+ Unit Residential Properties would contribute to a safer and more secure environment for a substantial number of its low- to moderate-income households still recovering from recent severe storms. The program would provide a positive financial impact on the neighborhoods and communities of Herkimer County.

2.0 Tiering of Environmental Review

The NY Rising Housing Recovery Program for 5+ Unit Residential Properties is tiered for the environmental review process in accordance with HUD tiering regulations found at 24 CFR Part 58.15. A tiered approach is used when actual site locations have not yet been determined and allows the environmental review process to be streamlined by evaluating impacts from functionally and geographically aggregated activities. This Tier 1 PEA review provides guidelines for Tier 2 reviews to ensure that no extraordinary circumstances exist beyond the issues identified and evaluated in this document. Tier 2 reviews document environmental impacts on a site-specific level. In accordance with the HUD NEPA regulations (24 CFR Part 58.22), no choice-limiting actions would take place at a particular site until a Tier 2 Checklist is completed for that site. HCR is acting as the RE under the HUD NEPA regulations.

2.1 Tier 1 Environmental Review

Tier 1 PEAs assess the individual and cumulative environmental impacts of program activities on a specific geographical area. Tier 1 PEAs may also be prepared when the environmental impacts are generic or common to a class of actions, or to other activities that are not location specific. The PEA can serve as a foundation and reference document to allow the efficient completion of Supplemental or Individual Environmental Assessments for the individual actions described in the PEA.

This Tier 1 PEA was specifically designed to evaluate the environmental impacts of disaster recovery activities, including repair, rehabilitation, and mitigation on 5+ unit residential buildings in Herkimer County. With the PEA in place, the environmental review process required by NEPA and its associated environmental laws will be streamlined.

Under the Tier 1 environmental assessment for reimbursements, the following laws and authorities referenced under HUD's regulations at 24 CFR Part 58.5 have been fully considered and do not require a Tier 2 site-specific review:

- Coastal Zone Management (Coastal Zone Management Act, 1972)
- Sole Source Aquifers (42 USC 201, 300(f) et seq., and 21 U.S.C. 349) as amended; particularly section 1424(e)(42 USC 300h-3(e));
- Wild and Scenic Rivers (16 U.S.C. 1271, Sec. 7(b), (c))
- Clean Air Act (40 CFR Parts 6, 51, 93)
- Farmland Policy Act (7 CFR Part 658)
- Environmental Justice (Executive Order 12898)
- Noise Abatement and Control (24 CFR Part 51, Subpart B)
- Airport Clear Zones and Accident Potential Zones (24 CFR Part 51 D)
- Explosive and Flammable Operations (24 CFR Part 51 C)

Based upon completion of this Tier 1 PEA, environmental review of the proposed program indicates there would be no significant changes to existing environmental conditions across the impact categories implemented by HUD in response to NEPA, with the possible exception of the subject areas listed in Section 2.2.

2.2 Tier 2 Environmental Review

Based on the completion of this environmental assessment, the following subject areas require Tier 2 site-specific analysis before it can be concluded that the specific site would have no significant environmental impacts on an individual site. These authorities are referenced under HUD's regulations at 24 CFR Part 58.5:

- Wetlands Protection (Executive Order 11990)
- Historic Preservation (36 CFR Part 800)
- Floodplain Management (Executive Order 11988; 24 CFR Part 55)
- Endangered Species Act (50 CFR Part 402)
- Toxic Chemicals and Radioactive Materials (24 CFR Part 58, Sec 5(i)(2)(i))

Tier 2 site-specific reviews will include desktop evaluation of the application, the proposed site activity, and its location relative to the above compliance factors. Reviews will also include direct field observation with photographs and notes for the file, as well as possible resource agency consultations. If there are no impacts identified, or if impacts will be fully mitigated through individual site actions, then the proposed program planned for a residential site will proceed without further notice to the public. If impacts cannot be identified and mitigated during the site-specific reviews, then that site may be subject to further studies, treated as a separate program, subject to agency consultations, and the environmental review process may require the publishing or posting of notices for that individual site. Each completed Tier 2 site-specific checklist and supporting documents will be submitted to HCR for review and approval before individual activity site work or construction begins. Once site work has been completed, the applicant must submit documentation of compliance with all requirements to HCR before the file will be closed and the final allocation of funding for that program site will be disbursed. A sample Tier 2 site specific checklist and template is included with this Tier 1 PEA.

3.0 Conditions for Approval and Mitigation

The following mitigation measures are required as conditions for approval of the program. Most of the required reviews discussed below will be completed in the Tier 2 site-specific reviews.

3.1 General

The applicant would acquire all required federal, state and local permits before beginning construction and would comply with all permit conditions. If the scope of work of a proposed activity changes significantly, the application for funding must be revised and resubmitted for reevaluation under NEPA.

3.2 Historic Preservation

All activities must comply with Section 106 of the National Historic Preservation Act (NHPA) per the implementing regulations at 36 Code of Federal Regulations (CFR) Part 800. Section 106 of the NHPA mandates that federal agencies consider the effect of their actions on any properties listed in or determined eligible for listing on the National Register of Historic Places (NRHP, “historic properties”) and afford the Advisory Council on Historic Preservation (ACHP) a reasonable opportunity to comment on such undertakings. Section 101(d)(6)(B) of the NHPA requires the lead federal agency to consult with any Indian tribe that attaches religious and cultural significance to historic properties that may be affected by the undertaking. The lead federal agency shall ensure that consultation in the Section 106 process provides the federally recognized Indian tribes with an interest in the project location a reasonable opportunity to identify their concerns about historic properties, advise on the identification and evaluation of properties, including those of religious and cultural importance, articulate its views on the undertaking’s effects on such properties, and participate in the resolution of adverse effects.

HCR acting as the Responsible Entity (or lead federal agency), in consultation with the State Historic Preservation Officer (SHPO) and appropriate Consulting Parties, must determine whether a proposed action would have any adverse effects on historic properties within the area of potential effects. Section 106 requires consultation with the SHPO, federally recognized Indian tribes that might attach religious and cultural significance to historic properties affected by the Project, and additional Consulting Parties with a demonstrated interest in the Project based on a legal or economic relation to affected properties, or an interest in the Project’s effects on historic properties. In addition, the ACHP may elect to participate in consultation for the resolution of adverse effects.

Revised Section 106 regulations became effective in January 2001, with amendments effective in August 2004. The basic steps of the Section 106 process, as revised, are as follows:

- In consultation with the SHPO, the federal agency, in this case HCR, establishes an area of potential effects (APE) for the Project, carries out appropriate steps to identify historic properties within the APE, including archaeological and historic architectural resources, and, in consultation with the SHPO, applies the National Register Criteria for Evaluation for those properties that have not been previously evaluated for NRHP eligibility. For properties of religious and cultural significance to participating Indian tribes, the federal agency also

consults with the Tribal Historic Preservation Officer (THPO) or designated tribal representative to assess eligibility.

- If there are S/NR-listed or S/NR-eligible properties (collectively, historic properties), the federal agency, in this case HCR, in consultation with the SHPO, applies the Criteria of Adverse Effect (36 CFR 800.5(a)(1)) to identified historic properties within the APE, taking into consideration any views provided by the public, Indian tribes, and other Consulting Parties. In general, an adverse effect is found if the Project may cause a change in the characteristics of the historic property that qualify it for inclusion in the NRHP. The federal agency, in this case HCR, notifies the SHPO, ACHP, participating Indian tribes, and other Consulting Parties of its finding and provides supporting documentation meeting standards outlined in the Section 106 regulations.
- If the analysis indicates that the proposed project would have an adverse effect on a historic property, the SHPO and other consulting parties (discussed above in the consultation process) are consulted to seek agreement on ways to avoid or reduce the effects. An agreement selected regarding the mitigation measures that will be implemented is typically effectuated through either a Memorandum of Agreement (MOA) or Programmatic Agreement between the applicant, the SHPO, and the federal agency. The Advisory Council may choose to participate in the consultation when there are substantial impacts to historic properties, when a case presents important questions of policy or interpretation, when there is a potential for procedural problems, or when there are issues of concern to Indian tribes or Native Hawaiian organizations. The Advisory Council must be invited to participate when the federal agency sponsoring the project wants the Council's involvement, when the project would have an adverse effect on a National Historic Landmark, or when a Programmatic Agreement will be prepared.
- Programmatic Agreements are used when effects on historic properties are similar and repetitive or are multi-state or regional in scope; or when effects on historic properties cannot be fully determined before approval of an undertaking, among other reasons.
- Execution of the MOA or Programmatic Agreement and implementation of its terms satisfies the requirement of Section 106 that the Council be given a reasonable opportunity to comment on the undertaking as well as demonstrates that the federal agency has taken into account the effects of the action.

If the homeowner has requested reimbursement for work done prior to the homeowner entering the NY Rising Housing Recovery Program, the work would be evaluated to determine compliance with Section 106. The homeowner must provide documentation that SHPO had been consulted prior to the work starting. If the homeowner cannot provide the documentation, the project would not be eligible for reimbursement until it has been sent for further review to the SHPO and all recommendations made by SHPO have been completed.

3.3 Floodplain Management and Flood Insurance

In December 2012, FEMA issued Advisory Base Flood Elevations (ABFEs) through its NFIP. The ABFEs increased the anticipated depth of tidal flooding during the 100-year flood in many areas and correspondingly increased the area expected to be inundated by such a flood. However, Herkimer County, NY, is not within the December 2012 ABFE mapped area. In areas where preliminary ABFE maps have been developed, those maps would govern. In areas where no

preliminary ABFE maps exist, FEMA BFE data would be referenced for application of the Program within the county. Each site would be reviewed for its location with regard to the floodplain ABFE or BFE maps.

All development within Special Flood Hazard Areas (SFHAs) is subject to floodplain development regulations. When a NYS entity funds a program, Rule 6 Part 502 of the New York Code, Rules and Regulations (NYCRR) requires that when existing structures receive substantial improvements, the lowest floor (including basement) of each building in flood hazard areas must be constructed at least one foot above the BFE. Finally, each participating community has a local regulation for flood damage prevention that contains specific standards for any development in SFHAs. Where no BFE exists, the lowest floor needs to be at least three feet above the highest adjacent grade where technically feasible.

All proposed reconstruction and repair of substantially damaged structures in the floodplain must adhere to the latest (most recent) elevation requirements and/or flood-proofing in accordance with 6 NYCRR Part 502 or the New York State Building Code.

Within an “A” zone, when a BFE is available, the lowest floor, including any basement, must be above the BFE, if technically feasible. Elevation may be by means of properly compacted fill, a solid slab foundation, or a “crawl space” foundation that contains permanent openings to let flood waters in and out. Where elevation is not technically feasible, the building may be flood-proofed as required.

Within a coastal “V” zone, structures must be elevated on pilings, columns, or sheer walls, such that the bottom of the lowest horizontal structural member supporting the lowest elevated floor is elevated above the BFE, if technically feasible. Compliance with these standards would be documented through the building permit or elevation certificate, which are required eligibility documents under this assistance program.

All participants in the program whose property is located within the SFHA must carry flood insurance on the subject structure and proof of flood insurance must be submitted to HCR.

No federal money would be expended for sites that are within a floodway.

In the case of “Coastal High Hazard” areas, the applicant is required to adhere to construction standards, methods, and techniques requiring a registered professional engineer to either develop, review, or approve, per the associated location, specific applicant elevation plans that demonstrate that the design meets the current standards for “V” zones in FEMA regulations at 44 CFR Part 60.3(e) as required by HUD regulations at 24 CFR Part 55.1(c)(3).

At the Tier 2 level, if any building requires substantial rehabilitation in a floodplain, the site would be reviewed in accordance with the 8-step decision-making process under 24 CFR Part 55.20. Any buildings located within a floodplain requiring non-substantial rehabilitation would be reviewed under a five-step decision making process under 24 CFR Part 55 as revised (see Section 4.1). To the extent possible, these reviews would be aggregated. All program activities would be completed to meet the requirements of the floodplain management plan for the program site.

The requirements of the recovery program would help ensure a minimal adverse impact to the floodplain.

Homeowners requesting reimbursement for work completed prior to the homeowner entering the NY Rising Housing Recovery Program must provide documentation that the work meets the standards discussed above. If the work that has been done prior to the homeowner entering the NY Rising Housing Recovery Program does not meet these standards, that work would not be eligible for reimbursement until compliance with these standards are documented through proof of flood insurance, the building permit and/or elevation certificate, which are required eligibility documents under this Program.

Property owners participating in this program would be required to adhere to the following conditions to minimize the threat to property, minimize losses from flooding and high-wind events, and benefit floodplain values.

3.4 Wetlands Protection and Water Quality

All sites would be evaluated for the presence of wetlands in accordance with New York State Department of Environmental Conservation (NYSDEC) and the U. S. Fish and Wildlife Service (USFWS) National Wetlands Inventory (NWI) maps. When necessary, on-site wetlands inspection would occur in the Tier 2 site-specific assessment, and if project work on a specific site would impact a wetland, the five-step or 8-step decision making process outlined in 24 CFR Part 55.20, modified for wetlands, would be completed. If the wetland impacts cannot be avoided, appropriate permits would be obtained in compliance with Executive Order 11990. These include those under Sections 401 and 404 of the Clean Water Act, as well as any applicable local or state permits.

There are no wild and scenic rivers in Herkimer County as designated by the U.S. Department of the Interior. The NYSDEC designates the following eight rivers as wild, scenic, and/or recreational: Oswegatchie River, East Canada Creek, Independence River, Moose River, Otter Brook, West Canada Creek, Black River, and Indian River. The Moose River, Black River, Independence River, Indian River, and East Canada Creek are also listed on the Nationwide Rivers Inventory (see Appendix G: Herkimer County Wild, Scenic, and Recreational Rivers). The proposed program would be confined to existing residential lots and will not disturb the bed or banks of any rivers and no permit is required.

If the work was completed prior to the homeowner entering the NY Rising Housing Recovery Program, the homeowner must provide documentation that the applicable permits or authorizations were obtained prior to the work taking place or provide other documentation deemed sufficient to document compliance or conformance with applicable laws. For work yet to be initiated, the Program would implement and maintain erosion and sedimentation control measures to prevent deposition of sediment and eroded soil in on-site and off-site wetlands and waters. Soil compaction would be controlled by minimizing program activities in vegetated areas, including lawns.

3.5 Vegetation and Wildlife

The NYSDEC New York Natural Heritage Program, the USFWS, and the National Marine Fisheries Service (NMFS) have been contacted to initiate consultation for Program compliance with state and federal threatened and endangered species laws, and written responses have been received from the Natural Heritage Program and NMFS (see Appendix M: NY Natural Heritage Program Consultation, Appendix P: NMFS Consultation Letters, and Appendix Q: USFWS Consultation Letters).

The Endangered Species Act (ESA) requires the action agency (HCR) to make a determination of effect on any federally listed species or designated critical habitat that may occur as a result of an action that is funded, authorized, or carried out by the action agency. HCR is acting as HUD's designated representative for this program.

In accordance with the September 25, 2013 letter from the USFWS (see Appendix Q: USFWS Consultation Letters), each site would be reviewed to determine the level of effect. Further consultation with the USFWS would be conducted if a project may affect a listed species. HCR would determine if there are areas of multiple sites in close proximity that may result in an effect so that those sites can be reviewed together.

Bald eagles may occur in Herkimer County (see Appendix Q: USFWS Consultation Letters). HCR would contact the USFWS for assistance in complying with the Bald and Golden Eagle Protection Act if a bald eagle is found on a site. The USFWS would be contacted to provide technical assistance to HCR to avoid and minimize impacts to birds protected under the Migratory Bird Treaty Act.

Consultation is not required under Section 7 of the ESA when CDBG-DR assistance is provided for costs incurred because physical activities were undertaken before HCR could review projects with the potential to affect biological resources. However, all properties would be reviewed for compliance with Section 7 of the ESA at the Tier 2 site-specific level.

3.6 Soil Erosion

Best management practices (BMPs), such as silt fence and erosion prevention, may be implemented, if required by permits or agency discretion. Work in areas of soils with high wind erosion potential may have to occur only during calm weather conditions or include additional watering and other dust suppression mitigation measures. Thorough planning, engineering review, and design, through the local permitting process, would minimize soil erosion that could result from program activities on sites with marginal soil properties.

3.7 Storm Water

All sites would be evaluated for the need to comply with storm water permitting requirements, including a NYSDEC general permit or local Municipal Separate Storm Sewer Systems (MS4) permit. If multiple adjacent sites are involved, the sites would be aggregated for construction storm water compliance.

If the owner has requested reimbursement for work done prior to the homeowner entering the NY Rising Housing Recovery Program, the work would be evaluated to determine if it required a NYSDEC general permit or local MS4 permit. If it is determined that either permit was required for the scope of work done by that owner or occupant, documentation must be provided that the permit had been obtained prior to the work being conducted, or evidence as to why the permit was not required for the work conducted. If the permit was required but was not obtained prior to the work conducted, that work would not be eligible for reimbursement.

3.8 Noise

All equipment would be outfitted with operating mufflers. Temporary increases in noise levels due to demolition and construction activities would be minimized through compliance with local noise ordinances, including time-of-day work limitations.

3.9 Air Quality

Herkimer County is not designated as a nonattainment or maintenance county and therefore a conformity assessment is not warranted. All activities would comply with applicable federal, state, and local laws and regulations regarding construction emissions, including but not limited to NYCRR; NYSDEC Air Quality Management Plan; and the New York State Implementation Plan (SIP).

3.10 Hazardous Materials

HCR is charged with the overall inspection for hazardous materials, with the exception of common household hazardous materials such as paint, motor oil, or automotive batteries, for this program. HCR, in conjunction with designated agencies or consultants under HCR's direction, would provide QEPs to perform on-site visits, where required. QEPs are experienced persons with the skills to look for and recognize hazardous materials. The reports generated by QEPs would be reviewed by HCR, or consultants with environmental assessment experience under HCR's direction, to confirm that all issues are appropriately identified and communicated to program applicants. HCR would ensure that necessary environmental reports and clearances will be appended to the Tier 2 closeout package for each program applicant.

In accordance with 24 CFR Part 58.5(i)(2)(ii), the environmental review of multi-family housing with five or more dwelling units (including leasing) under the NY Rising Housing Recovery Program would include the evaluation of previous uses of the site or other evidence of contamination on or near the site, to ensure that the occupants of proposed sites are not adversely affected by hazardous materials, contamination, toxic chemicals and gases, and radioactive substances, where a hazard could affect the health and safety of occupants. Particular attention would be given to any program site in the general proximity of areas such as dumps, landfills, industrial sites, or other locations that contain, or may have contained, hazardous wastes.

Structures to be reconstructed or rehabilitated may include lead-based paint and asbestos-containing materials; program activities would conform to Part 56 of Title 12 of the Official Compilation of Codes, Rules and Regulations of the State of New York Department of Labor (12 NYCRR Part 56); the National Emission Standard for Asbestos—Standard for demolition and renovation (40 CFR Part 61.145); and National Emission Standard for Asbestos—Standard for

waste disposal for manufacturing, fabricating, demolition, and spraying operations (40 CFR Part 61.150).

All activities must comply with applicable federal, state, and local laws and regulations regarding lead-based paint, including but not limited to, EPA Repair, Renovation, and Painting (RRP) Rule (40 CFR 745.80 Subpart E), HUD's lead-based paint regulations in 24 CFR Part 35 Subparts A, B, H, J, and R, and HUD "Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing." The Guidelines complement regulations that have been issued by HUD, the EPA, and the Occupational Safety and Health Administration (OSHA), and policies from the Centers for Disease Control and Prevention (CDC). In general, these regulations apply to housing constructed prior to 1978.

If a risk assessment was not carried out for lead and/or asbestos prior to construction, or the homeowner cannot document that lead and/or asbestos hazards were abated, then HCR, in conjunction with designated agencies or consultants under HCR's direction, will conduct necessary asbestos and/or lead clearance inspections in order to identify hazardous conditions. If hazardous conditions are identified HCR, in conjunction with designated agencies or consultants under HCR's direction, will modify the scope of work to address the condition, and perform a re-clearance inspection after work is completed.

Assistance provided for an applicant's incurred rehabilitation costs may be exempt from the Lead Safe Housing Rule if the unit falls within a regulatory exemption set forth at 24 CFR Part 35.115. A housing unit is likely to fall within an exemption if:

- The housing unit was constructed on or after January 1, 1978;
- The CDBG-DR Applicant undertook CDBG-eligible activities that qualify as emergency actions immediately necessary to safeguard against imminent danger to human life, health or safety, or to protect the property from further structural damage due to natural disaster, fire or structural collapse. This exemption applies only "to repairs necessary to respond to the emergency" as provided in 24 CFR Part 35.115(a)(9);
- The rehabilitation did not disturb any painted surface;
- The property meets the definition of "housing for the elderly," or the residential property is designated "exclusively for persons with disabilities"; but only if no child less than six (6) years of age resides or is "expected to reside" in the dwelling unit (see definitions at 24 CFR Part 35.110);
- An inspection performed according to HUD standards found the property contained no lead-based paint; or
- According to documented methodologies, lead-based paint has been identified and removed, and the property has achieved clearance.

Some homeowners that apply for CDBG-DR assistance for rehabilitation costs they have incurred may be able to qualify their property under the first or second exemption in the list above. Applicants may certify the applicability of each of the first three exemptions in the list above (those covering post-1977 housing, emergency actions, and non-disturbance of painted surfaces). To ensure the accuracy of the certifications, HCR would perform Tier 2 site-specific reviews of the assisted properties. If necessary (e.g. if none of the first three exemptions apply), the grantee may need to inspect the property according to HUD standards, and ensure any lead-

based paint has been removed and the property has achieved clearance. A lead-based paint clearance report must be submitted to HCR as part of compliance documentation for all homes built prior to 1978.

Mold can have an adverse effect on human health and is a very common problem in flooded homes. All areas of homes which were submerged in floodwater will have spraying with a sanitizing agent included in the scope of work and will be inspected for visual signs of mold as necessary.

HUD policy states that all occupied structures proposed for inclusion in HUD-funded programs be free of hazardous materials that could affect the health of the occupants. Site-specific assessments would identify any toxic or radioactive substances on, adjacent to, or near the target program sites. The environmental review of multi-family housing with five or more dwelling units would also include the evaluation of previous uses of the site or other evidence of contamination on or near the site, to ensure that the occupants of proposed sites are not adversely affected by any of the hazards such as toxic chemicals and gases, and radioactive substances, as required by 24 CFR Part 55.5(i)(2)(ii). Where site-specific assessments do not adequately reveal past land use near target residential properties, screening of historical land use would be used to assess the potential hazard to occupants of the home. Hazards of concern include, but may not be limited to, hydrocarbon-associated vapors and radon gas.

For construction of homes that are to be rebuilt, HTFC requires the installation of a passive sub-slab vent system to be included in every new construction in a county determined to be Zone 1 or Zone 2, according to the EPA Map of Radon Zones for NYS, unless localized radon information is provided to show that such a system is not necessary. If the site of the home to be rebuilt is located in Zone 1 or Zone 2, a "passive" soil depressurization system must be incorporated into the building design in accordance with EPA "Model Standards and Techniques for Control of Radon in New Residential Buildings," EPA 402-R-94-009, March 1994 or American Society for Testing and Materials (ASTM) 1465-08a Standard Practice for Radon Control Options for the Design and Construction of New Low-Rise Residential Buildings.

Rehabilitation work can increase radon levels in a building and a mitigation system may be recommended even if pre-rehabilitation testing indicates radon levels below the EPA action level. All project sites located in EPA Radon Zone 1 and Zone 2, would have a radon test conducted when the rehabilitation or reconstruction is complete, with test results forwarded to the case manager to be placed in the case file, prior to re-occupancy.

According to the EPA, Herkimer County is located in Radon Zone 3, where the predicted average indoor radon screening level is less than two picocuries per liter (pCi/L). Therefore, residential buildings to be rehabilitated or reconstructed in Herkimer County will not require radon testing or mitigation.

When the target residential property is on or within 3,000 feet of a potentially hazardous site, a QEP would determine if the potential hazard requires remediation. If remediation is required, it would be appropriately scheduled and coordinated with any building rehabilitation activities. There may be some residential properties with improper storage and excessive accumulation of toxic substances (i.e. petroleum products, pesticides, cleaning substances). Initial site inspection

of residential properties may document the presence of abandoned and otherwise non-working vehicles with the potential for leakage of toxic materials. Barrels or tanks with petroleum products or other potentially toxic substances may be identified. Depending on the site-specific environmental assessment of the degree and extent of the hazard, the applicant may be responsible for the removal of such items before the program can proceed.

3.11 Solid Waste

All solid waste must be properly segregated and disposed of at any of New York's 80 regulated (permitted) Construction & Debris (C&D) processing facilities and 280 registered C&D processing facilities and in accordance with applicable regulations. All hazardous waste, including PCBs, mercury, refrigerants, and electronic waste, must be segregated and disposed of at an appropriately licensed facility. In many cases, lead based paint is permitted to be disposed of as C&D waste. If a QEP determines that the quantity of lead based paint is suspected to be greater than allowable for C&D disposal waste, a toxicity characteristic leaching procedure (TCLP) test would be performed on the paint to determine if it must be disposed of at an appropriately licensed facility. Materials would be separated from C&D debris to be recycled or to be reused for a specific use. Material that is not recovered would be sent to a municipal solid waste landfill or to a C&D landfill.

3.12 Transportation

HCR would coordinate with local transportation authorities to identify alternate routes and/or time of day mitigations to reduce potential temporary increases in vehicular traffic during the demolition phase.

3.13 Airport Clear Zones and Accident Potential Zones

Based on guidance provided by HUD in Fact Sheet #D1, the National Plan of Integrated Airport Systems was reviewed for civilian, commercial service airports within the vicinity of the program area, as projects located within 2,500 feet of a civil airport will require consultation with the appropriate civil airport operator. No known military airports are located within 15,000 feet of the program area, and no known civil airports are located within 2,500 feet of the program area. The proposed action does not involve assistance to purchase residential properties. Accordingly, this requirement is not applicable to any potential activities to be funded by the proposed action.

3.14 Coastal Barrier Resources

Under the Coastal Barrier Resources Act of 1982 (CBRA), sites lying within the federally protected areas of the John C. Chaffee Coastal Barrier Resources System (CBRS) are ineligible for most new Federal expenditures and financial assistance. The Coastal Barrier Improvement Act of 1990 expanded the CBRS and added a new category of "otherwise protected areas" (OPAs).

Most Federal expenditures are prohibited for program sites lying within a system unit of the CBRS, unless the action is consistent with CBRA. Activities that would be consistent with the CBRA include actions that would revert the land back to a natural state. Rehabilitation activities are not consistent with the CRBA, and no federal money would be used on structures that lie within a CBRS system unit.

Most Federal expenditures, with the exception of federal assistance for flood insurance, are allowed in OPAs. If a program site is located within an OPA, the program applicant would be eligible for assistance; however, the applicant must provide proof of private flood insurance.

In general, each site would be reviewed during the Tier 2 Site-Specific Assessment for its proximity to the CBRS, and sites within a 150 foot buffer zone would undergo further coordination with USFWS. If a site is near a CBRS, mitigation measures would be taken to ensure that there are no adverse effects to the CBRS. However, no part of Herkimer County lies within the CBRS or OPA.

3.15 Explosive and Flammable Operations

Acceptable Separation Distance (ASD) requirements under 24 CFR part 51 subpart C do not apply to the elevation, rehabilitation, or reconstruction of housing that existed prior to the disaster where the number of dwelling units is not increased, because the definition for HUD-assisted programs at 24 CFR Part 51.201 is predicated on whether the HUD program increases the number of people exposed to hazardous operations (see Appendix O: HUD Noise Abatement and Explosive Operations Email).

3.16 Farmland Policy Act

The Farmland Protection Policy Act of 1981 (7 U.S.C. 4201 et seq.) discourages federal activities that would convert farmland to nonagricultural purposes. This program is designed to rehabilitate and reconstruct residential housing which existed prior to Hurricane Irene and Tropical Storm Lee. Rehabilitation and reconstruction of existing residential structures would not convert farmland to nonagricultural purposes and would not violate the Farmland Policy Protection Act (see Appendix J: Farmland Protection Checklist).

3.17 Environmental Justice

According to the EPA, environmental justice (EJ) is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. The goal behind EJ is for all people to enjoy the same degree of protection from environmental and health hazards, and have a healthy environment in which to live, learn, and work. The CDBG-DR program would not have a negative impact on EJ as Applicants would be treated equally, regardless of origin, race, or age, etc.

This program may have a positive impact on EJ as priority may be given to applicants whose income is below 80% of the median income, or in the case of multi-family housing, where at least 51% of tenants are of low- or moderate-income. These individuals are most in need of assistance in rehabilitating or reconstructing their living environment, and the program would help to remedy the environmental and health hazards caused by the recent storms. Approximately 45.7% of all NY Rising applications have been from low- to moderate-income individuals.

NYS would pay particular attention to the needs of the most vulnerable populations; the elderly and disabled, minority populations, low- to moderate-income residents, and others. NYS and its

partners would be conducting extensive outreach to ensure that available resources are made available to the populations with the greatest needs.

3.18 Coastal Consistency

The proposed action has been evaluated for consistency with the policies and purposes of the NYS Coastal Management Program (NYSCMP) (see Appendix L: NYSDOS Coastal Consistency Consultation and NYS Coastal Assessment Form).

In conjunction with the State's general concurrence determination, in cases where the project is located within the jurisdiction of a Local Waterfront Revitalization Program (LWRP), the Tier II reviewer would conduct an assessment in accordance with 19 NYCRR Part 600 to determine whether the project is likely to affect the achievement of the policies and purposes of such approved LWRP and State agency programs. Project activities would be undertaken in a manner which complies with the policies of the approved LWRP. In Herkimer County, the City of Little Falls has an approved LWRP.

4.0 Eight-Step and Five-Step Decision Making Process

Under 24 CFR Part 55.20, a decision making process must be completed for proposed actions taking place in a floodplain. 24 CFR part 55.20 implements Executive Order 11998—Floodplain Management. The Order requires Federal agencies (or a state agency implementing a federal funding program) to reduce the loss of life and property caused by floods, minimize impacts of floods on human safety, health, and welfare, and preserve the natural and beneficial functions of floodplains. Under this Order, Federal agencies should first look at avoiding all actions in or adversely affecting floodplains unless no practicable alternatives exist. If no practicable alternatives exist, then federal agencies must evaluate the potential effects of the proposed action. In addition, federal agencies are required to demonstrate that all practicable alternatives have resulted in the reduction or elimination of the long- and short-term adverse impacts associated with occupancy and modifications of the floodplain. This eight-step process includes assessing all practicable alternatives and incorporating public review.

According to 24 CFR Part 55.12—Inapplicability of 24 CFR Part 55 to certain categories of proposed actions, the decision-making steps in Parts 55.20(b), (c), and (g) (steps 2, 3, and 7) do not apply to actions under any HUD program involving the “repair, rehabilitation, modernization, weatherization, or improvement of existing multi-family housing projects...and one- to four-family properties, in communities that are in the Regular Program of the NFIP and are in good standing, provided that the number of units is not increased more than 20 percent, the action does not involve a conversion from nonresidential to residential land use, the action does not meet the thresholds for “substantial improvement” under §55.2(b)(10), and the footprint of the structure and paved areas is not significantly increased.”

For any program sites that are located within a floodplain and do not require substantial improvement, the five-step process allowed under 24 CFR Part 55.12 would be used. Any program sites located within a floodplain that require substantial improvement or that would be reconstructed would require that the eight-step process outlined in 24 CFR Part 55.20 be completed, including early and final public notices.

Under Executive Order 11990—Protection of wetlands, an agency must find “that there is no practicable alternative to such construction, and that the proposed action includes all practicable measures to minimize harm to wetlands which may result from such use.” Furthermore, the “agency shall also provide opportunity for early public review of any plans or proposals for new construction in wetlands.” HUD’s current wetland protection policy to comply with Executive Order 11990 is use the five-step or eight-step decision making process provided in 24 CFR Part 55.22 modified for wetlands.

This section demonstrates how the five-step and eight-step decision making processes are applied to the NY Rising Housing Recovery Program for 5+ Unit Residential Properties for proposed actions in the floodplain and for proposed actions in the wetlands.

4.1 Eight-Step Decision Making Process for Proposed Action in the Floodplain

Floodplain management plans for all applicants to the NY Rising Housing Recovery Program for 5+ Unit Residential Properties in Herkimer County will meet the requirements of 24 CFR Part 55.20 and Executive Order 11988—Floodplain Management. For sites requiring substantial improvement, these floodplain management plans will document the eight-step decision making for the proposed action and pertains to activities within the Special Flood Hazard Area (SFHA) as defined by the FEMA, or its successors, pursuant to the National Flood Insurance Program (NFIP), or a successor program, whether advisory, preliminary, or final.

Description of Proposed Activities in the SFHA

NYS was awarded HUD CDBG-DR funding, to be administered by HCR, to provide financial assistance to homeowners whose primary residences were substantially damaged by Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee within various NYS counties, including Herkimer County. HCR is awarding this funding in accordance with the Action Plan. The Action Plan provides for, among other things, assistance to owners of damaged 5+ unit residential properties in Herkimer County, NY within the 100-year floodplain to repair those properties and mitigate against damage by future storms.

The proposed activities include repair of damaged residential buildings; elevation, flood-proofing, and/or other storm mitigation measures; replacement of residential buildings where the cost of repair is not economical; and reimbursement to owners and tenants who have already completed repairs.

Executive Order 11988 and 24 CFR Part 55

Projects located within a floodplain SFHA are subject to Executive Order 11988 as implemented by 24 CFR Part 55. Under 24 CFR Part 55, an eight-step decision making process must be completed for proposed actions taking place in the floodplain. Information on where SFHAs are located is available on Flood Insurance Rate Maps (FIRMs) published by FEMA. FEMA uses engineering studies to determine the delineation of these areas or zones subject to flooding. The relevant data source for the SFHA is the latest issued FEMA data or guidance, which includes advisory data (such as ABFEs) or preliminary and final FIRMs.

The SFHA is the area that would be inundated by a 100-year flood: an area that has a one percent or greater chance of experiencing a flood in any single year. SFHAs are shown on FIRMs, as shaded areas labeled with the letter “A” or “V”.

- “V” zones are coastal flood hazard zones subject to wave run-up in addition to storm surge.
- “A” zones include all other SHFAs.
- “VE” zones, “AE” zones, “V” zones, or “A” zones followed by a number are areas with specific flood elevations, known as BFEs.
- A zone with the letter “A” or “V” by itself is an appropriately studied flood hazard area without a specific flood elevation.
- Within an “AE” zone or a numbered “A” zone, there may be an area known as the “regulatory floodway,” which is the channel of a river and adjacent land areas which must be reserved to discharge a 100-year flood without causing a rise in flood elevations.

The floodplain (FEMA Zones “A”, “AE”, “AH”, “V”, and “VE”) covers large areas of Herkimer County (see Appendix C: Herkimer County FEMA Flood Insurance Rate Maps). A total of 83,790 acres of floodplain (including surface waters) is mapped within the County boundary.

24 CFR Section 55.1 (c)

Under 24 CFR Section 55.1 (c), except with respect to actions listed in Section 55.12(c), no HUD financial assistance (including mortgage insurance) may be approved after May 23, 1994 with respect to:

- (1) Any action, other than a functionally dependent use, located in a floodway;
- (2) Any critical action located in a coastal high hazard area (“V zone”) (a “critical action” is an action such as storage of volatile materials, irreplaceable record storage or construction of a hospital or nursing home); or
- (3) Any non-critical action located in a coastal high hazard area, unless the action is designed for location in a coastal high hazard area or is a functionally dependent use and complies with the construction standards outlined in HUD Regulations 24 CFR Section 55 (c)(3).

24 CFR Sections 55.11 and 55.20

According to 24 CFR Sections 55.11 (including Table 1) and 55.20, non-critical actions are allowed in A or V zones only if the actions are reviewed in accordance with the eight-step process outlined in 24 CFR Section 55.20. An eight-step process would be conducted for activities of the NY Rising Housing Recovery Program for 5+ Unit Residential Properties within Herkimer County, as detailed below. This eight-step decision making process includes examination of practical alternatives and public notices. A floodplain management plan detailing the eight-step decision making process would be completed for each site, or group of adjacent sites, once the site is known and determined to be in a floodplain.

Base Flood Elevations

In December 2012, FEMA issued ABFEs through its NFIP. The ABFEs increased the anticipated depth of tidal flooding during the 100-year flood in many areas and a corresponding increase in the area expected to be inundated by such a flood. In areas where preliminary ABFE maps have been developed those maps would govern. In areas where no preliminary ABFE maps exist, FEMA BFE data would be referenced for application of the Program within the County.

Step One: Determine if a Proposed Action is in the 100-Year Floodplain

The geographic scope for the NY Rising Housing Recovery Program for 5+ Unit Residential Properties described herein is the jurisdictional area of Herkimer County. Construction activities are expected to take place on multi-family residential properties throughout the communities in Herkimer County, especially those most affected by Hurricane Irene and Tropical Storm Lee. Property owners of any location within the county that sustained damage from recent Hurricane Irene or Tropical Storm Lee are able to apply for the program.

At this time, the exact locations of the homeowner properties that would participate in this assistance program are unspecified. However, eligible applicants are: owners of 5+ unit residential buildings, including owner-occupied rental properties, as well as non-owner-occupied properties that may be attached, semi-attached, or detached/scattered sites. Many eligible Applicants would own buildings in a NFIP “V” or “A” zone, as indicated on the FIRM.

Based on FEMA IA data, as of November 2013, more than 191 homes were damaged or were destroyed by Hurricane Irene, and more than 52 homes were damaged or destroyed by Tropical Storm Lee in Herkimer County. It is expected that many of these residences are within the SFHA.

Step Two: Notify the public at the earliest possible time of a proposal to consider an action in a floodplain, and involve the affected and interested public in the decision making process.

Because the Action Plan Activities are located in the floodplain, HCR must publish an early notice that allows the public input into the decision to provide funding for rehabilitation in this area. Once the early public notice and comment period is complete, HCR will assess, consider, and respond to the comments received individually and collectively for the program file, then proceed to Step Three.

A 15-day "Notice for Early Public Review of a Proposed Activity in a 100-Year Floodplain" will be published in a widely read local newspaper for each activity site determined to be in a floodplain. These notices would be aggregated as appropriate.

A sample "Notice for Early Public Review of a Proposed Activity in a 100-Year Floodplain" has been included in Appendix R: Herkimer County Sample Floodplain Management Public Notices. The notice will target local residents, including those in the floodplain. The notice will also be sent to the following state and federal agencies: FEMA; the USFWS; the EPA; the U.S. Army Corps of Engineers (USACE); the National Park Service (NPS); NOAA; NMFS; NYSDEC; the OPRHP; the NYS Department of Transportation (NYSDOT); and the NYS Office of Emergency Management. The notice will also be sent to Herkimer County and the towns and villages within Herkimer County.

HCR will document and respond to all public comments received on this notification. These comments will be included in the final floodplain management plan.

Step Three: Identify and evaluate practicable alternatives to locating the proposed action in a floodplain.

The proposed action of the NY Rising Housing Recovery Program for 5+ Unit Residential Properties in Herkimer County would provide grant awards to eligible homeowners for activities necessary to restore their storm-damaged multi-unit properties, including repairs, reimbursement, reconstruction, elevation, flood-proofing and/or other mitigation activities within the disturbed area of the previously developed parcels in the floodplain.

The alternatives to the proposed action that would be considered are as follows:

No Action Alternative

Under the No Action Alternative, the funding for the proposed rehabilitation of 5+ unit residential properties in Herkimer County would not be authorized. Under the No Action Alternative, the damage caused to Herkimer County municipalities and neighborhoods would be repaired slowly or not at all, depending on the motivations of property owners and their access to resources to repair their properties. Hundreds of residences may not be rehabilitated and would deteriorate without the use of CDBG-DR funds. As a result, these owners and tenants may not be able to recover from the impacts of the storm events.

Furthermore, under the No Action Alternative Applicants would not be provided financial assistance to implement resiliency measures (e.g., elevating their homes), leaving their properties vulnerable to future flooding conditions. Therefore, unlike the proposed action, the No Action Alternative would neither address NYS's need for safe, decent, and affordable housing, nor would it require homes within the floodplain to be elevated to the highest standard for flood protection.

Limited Action Alternative – Rehabilitation without Resiliency Measures

Under this alternative, the funding for rehabilitation of 5+ unit residential properties in Herkimer County would be authorized, but the financial assistance would not include funding for resiliency measures. Reimbursements would be made available for eligible owners and tenants who have already engaged in repairs prior to applying for the program, allowing for those families to resume their normal contribution to the local economy. The reimbursements would encourage occupants to make necessary repairs more quickly, shortening the duration of impacts related to community character, and restoring normal conditions in the affected communities sooner. However, funding for improvements to homes including elevation and flood-proofing of structures, utility elevation, flood mitigation and other resiliency measures, would not be authorized.

Limited Action Alternative – No Reimbursement Funding

Under this alternative, the funding for rehabilitation of 5+ unit residential properties including improvements and resiliency measures in Herkimer County would be authorized; however, reimbursement for repairs and improvements that have already been implemented would not be authorized.

Reimbursements would not be made available for eligible owners and tenants who have already engaged in repairs prior to applying for the program, resulting in a negative effect on the local economy. Under this alternative, funding would be authorized for the implementation of improvements and resiliency measures (e.g., elevation or flood-proofing of homes and elevation of utilities). These measures would help prevent repetitive loss from future storms and aid the affected communities' efforts to increase resiliency to climate change and mitigation of severe storm risk.

This alternative would partially accomplish the goals of providing safe and adequate housing for residents of these homes, retaining neighborhood character over the long-term, and improving the communities' resiliency to future storms. However, unlike the proposed action, reimbursements would not be made available for eligible owners and tenants who have already engaged in repairs prior to applying for the program. Some of these residents undertook repairs with the understanding that reimbursements would eventually be made available. If relief is not granted, homeowners in future storm events may be dissuaded from making immediate and necessary repairs to their homes, and instead wait for a possible recovery program. A potential indirect and cumulative effect of this would be the further delay of the recovery of neighborhoods and communities, as delaying repairs and rehabilitation would prolong the impacts related to community character, and take longer to restore normal conditions in affected areas. The cumulative effects of the Limited Action Alternative – No Reimbursement Funding would be the same as the proposed action because funding for reimbursement for previously completed work would not contribute to future potential cumulative effects.

Limited Area Alternative

In certain limited areas with high flood risk, additional programs have been proposed for funding and are being assessed in forthcoming environmental assessments. Under the Limited Area Alternative - Buyout Option, funds would be authorized to purchase severely damaged residential properties, demolish any structures, and restore the property to natural conditions within Herkimer County. This would help restore large parts of the floodplain to their natural state. Under the Limited Area Alternative- Acquisition Option, funding would be authorized to purchase damaged residential properties for the purpose of future resilient redevelopment within Herkimer County.

Both of these options would involve relocating populations to less vulnerable areas away from the shore. This would result in a dramatic change to neighborhoods and communities, with associated local economies also being affected. Thus, the cost of the Buyout and/or Acquisition programs could be far greater than the cost of repairing and rebuilding in a more resilient manner in the existing developed parcel.

By themselves, these options do not satisfy the purpose and need of providing safe and adequate housing for residents of these homes and retaining neighborhood character. Therefore, these options have been eliminated from further consideration except in limited areas for certain counties.

Step Four: Identify the potential direct and indirect impacts associated with the occupancy or modification of the floodplain.

HCR has evaluated the alternatives to taking the proposed action in the floodplain, and has determined that the proposed activities must take place in the floodplain.

Potential impacts associated with the occupancy or modification of the floodplain include some degree of ongoing risk to residents who choose to live in the floodplain. While there are some inherent risks to living in a floodplain or area which experiences severe weather that cannot be completely avoided, the individual actions undertaken by the described NY Rising Housing Recovery Program for 5+ Unit Residential Properties would have a positive impact on Herkimer County communities by ensuring that residents are able to make repairs to their homes and increase the resiliency of residential buildings that are located within the floodplain.

While there is the potential for a cumulative impact from the generation of construction debris from the demolition and reconstruction of a great number of homes through the proposed action in conjunction with the other NY Rising Programs, most of the impact would be mitigated. Direct and indirect impacts on the floodplain from repair, reconstruction, and resiliency activities under the NY Rising Housing Recovery Program for 5+ Unit Residential Properties would be minimal as these Program sites are already developed with 5+ unit residential buildings.

The Program would facilitate the removal of debris and wreckage from applicant properties, and would protect public safety through the repair of unsafe buildings and the requirement that all substantially damaged residential properties located within the floodplain be elevated or flood-proofed to the strictest requirements. Rehabilitation of these parcels is necessary to maintain neighborhood characteristics, as the sites may be scattered and would be located within residential neighborhoods. As the properties would be repaired and improved through mitigation measures, the properties are also less likely to damage the floodplain with debris during future storm events.

As a result of the requirement that homeowners whose houses were substantially damaged be required to elevate or flood-proof their homes to the strictest standard, it is extremely unlikely that there would be a net increase in floodplain development in comparison to pre-hurricane conditions as a result of this program. In addition, the proposed activities would not likely increase floodplain occupancy, as the proposed activities would enable people to return to their homes, but would generally not expand the housing stock relative to conditions prior to Hurricane Irene and Tropical Storm Lee.

Step Five: Where practicable, design or modify the proposed action to minimize the potential adverse impacts within the floodplain and to restore and preserve its natural and beneficial values.

HCR requires elevation or flood-proofing of all substantially damaged structures in the floodplain. When followed, these regulations would reduce the threat of flooding damage to the homes located in the floodplain. The FEMA BFEs represent the best available data.

Strict requirements for the disposal of debris are in place to prevent, to the extent possible, any negative impacts to the floodplain. The handling and disposal of demolition and construction debris, control of storm water runoff, and noise impacts resulting from the NY Rising Housing Recovery Program for 5+ Unit Residential Properties would be in accordance with all local, state, and federal regulation as part of the acceptance of assistance funding.

The program would also implement and maintain erosion and sedimentation control measures to prevent deposition of sediment and eroded soil in on-site and off-site wetlands and waters. Soil compaction would be controlled by minimizing program activities in vegetated areas, including lawns. BMPs, such as silt fence and erosion prevention, may be implemented if required by permits or agency discretion. Work in areas of soils with high wind erosion potential may have to occur only during calm weather conditions or include additional watering and other dust suppression mitigation methods. Thorough planning, engineering review, and design through the local permitting process would minimize soil erosion and damage to the floodplain that could result from program activities on sites with marginal soil properties.

Step Six: Reevaluate the proposed action to determine: (1) Whether it is still practicable in light of its exposure to flood hazards in the floodplain, the extent to which it would aggravate the current hazards to other floodplains, and its potential to disrupt floodplain values; and (2) Whether alternatives preliminarily rejected at Step Three are practicable in light of the information gained in Steps Four and Five.

HCR has reevaluated the proposed action and determined that the NY Rising Housing Recovery Program for 5+ Unit Residential Properties is still practicable in light of its exposure to flood hazards in the floodplain. As the properties are already developed with 5+ unit dwellings, the Program would not aggravate current hazards to the floodplain, nor would the Program disrupt floodplain values.

Homeowners participating in this Program would be required to adhere to the following conditions to minimize the threat to property, minimize losses from flooding and high-wind events, and benefit floodplain values:

1. All proposed reconstruction and repair of substantially damaged structures in the floodplain must adhere to the latest (most recent) elevation requirements in accordance with 6 NYCRR Part 502 or the New York State Residential Building Code;
2. All participants in the Recovery Program must carry flood insurance on the subject structure, when mandated, in perpetuity; and
3. In the case of Coastal High Hazard areas (“V” or “VE” zones on the FEMA-issued Maps), the applicant is required to adhere to construction standards, methods, and techniques requiring a registered professional engineer to either develop, review, or approve, per the associated location, specific Applicant elevation plans that demonstrate that the design meets the current standards for “V” zones in FEMA regulation 44 CFR Part 60.3 (e) as required by HUD Regulation 24 CFR Part 55.1 (c)(3). Therefore, the requirements of the Recovery Program would help ensure a minimal adverse impact to the floodplain.

HCR also reconsidered the alternatives discussed in Step Three and determined the best practicable alternative is the proposed action. The alternative actions considered are as follows: No Action, Limited Action Alternative—Rehabilitation without Resiliency Measures, Limited Action Alternative—Rehabilitation without Reimbursements, and Limited Area Options (Buyouts and Acquisitions). These alternatives do not meet the goals of the NY Rising Housing Recovery Program for 5+ Unit Residential Properties. Because the proposed action is specifically designed to repair and reconstruct multi-family residential properties, many of which are located within the floodplain, there is no practicable alternative to locating the proposed action in the floodplain.

An award under the Action Plan would require all proposed reconstruction and repair of substantially damage structures in the floodplain to adhere to the latest (most recent) elevation requirements in accordance with 6 NYCRR Part 502 and the NYS Residential Building Code. Therefore, there would be less of an impact upon the floodplain than the alternatives considered.

Step Seven: If the reevaluation results in a determination that there is no practicable alternative to locating the proposal in the floodplain, publish a final notice.

It is HCR's determination that there is no better alternative than to provide funding for the proposed action in the floodplain. This is due to: 1) the need to provide safe, decent and affordable housing; 2) the desire to avoid displacing residents; and 3) the ability to mitigate and minimize impacts on human health, public property, and floodplain values. In accordance with 24 CFR 55, a 7-day "Notice for Final Public Review of a Proposed Activity in a 100-Year Floodplain" would be published in widely read local newspaper. A sample "Notice for Final Public Review of a Proposed Activity in a 100-Year Floodplain" has been included in Appendix R: Herkimer County Sample Floodplain Management Public Notices. The notice would target local residents, including those in the floodplain. These notices would be aggregated as appropriate.

The notice would also be sent to the following state and federal agencies: FEMA, the USFWS, the EPA, the USACE, the NPS, NOAA, NMFS, NYSDEC, the OPRHP; the NYSDOT; and the NYS Office of Emergency Management. The notice would also be sent to Herkimer County and the towns and villages within Herkimer County.

The notice would describe the reasons why the project must be located in the floodplain, alternatives considered, and mitigation measures to be taken to minimize adverse impacts and preserve natural and beneficial floodplain values. All comments received would be considered.

Step Eight: Implement the Action

Step eight is implementation of the proposed action. HCR would ensure that all mitigation measures prescribed in the steps above would be adhered to. Furthermore, HCR would conduct a NEPA review in accordance with 24 CFR Part 58 and a NY SEQR review in accordance with 6 NYCRR Part 617.

4.2 Five-Step Decision Making Process for Proposed Action in the Floodplain

Floodplain management plans for all applicants to the NY Rising Housing Recovery Program for 5+ Unit Residential Properties in Herkimer County would meet the requirements of 24 CFR Part 55.20 and Executive Order 11988—Floodplain Management. For program sites that do not require substantial improvement, these floodplain management plans would document the five-step decision making process for the Program and pertain to activities within the SFHA as defined by FEMA, or its successors, pursuant to the NFIP, or a successor program, whether advisory, preliminary, or final.

Description of Proposed Activities in the SFHA

NYS was awarded CDBG-DR funding, to be administered by HCR, to provide financial assistance to homeowners whose primary residences were damaged by Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee within various NYS counties, including Herkimer County. HCR is awarding this funding in accordance with the Action Plan. The Action Plan provides for, among other things, assistance to owners and occupants of damaged 5+ unit residential properties in Herkimer County, NY within the 100-year floodplain to repair those properties and mitigate against damage by future storms.

The proposed activities include repair of damaged residential buildings, including interior and exterior repairs, and reimbursement to owners and tenants who have already completed repairs.

Executive Order 11988 and 24 CFR Part 55

Projects located within a floodplain SFHA are subject to Executive Order 11988 as implemented by 24 CFR Part 55. Under the updated 24 CFR Part 55, a five-step decision making process may be completed instead of the eight-step process for proposed actions taking place in the floodplain so long as the proposed action involves repair to residential buildings that are in good standing, provided that the number of units is not increased more than 20 percent, the action does not involve a conversion from nonresidential to residential land use, the action does not meet the thresholds for “substantial improvement” under §55.2(b)(10), and the footprint of the structure and paved areas is not significantly increased.

Step One: Determine if a Proposed Action is in a 100-Year Floodplain

The geographic scope for the NY Rising Housing Recovery Program for 5+ Unit Residential Properties described herein is the jurisdictional area of Herkimer County, covering approximately 933,120 acres, of which 83,790 acres are located within floodplains. Construction activities are expected to take place on multi-family residential properties throughout the communities in Herkimer County, especially those most affected by Hurricane Irene or Tropical Storm Lee. Property owners of any location within the county that sustained damage from recent Hurricane Irene or Tropical Storm Lee are able to apply for the program. Many eligible applicants would own buildings in a NFIP “V” or “A” zone, as indicated on the FIRM.

Step Two: Identify the potential direct and indirect impacts associated with the occupancy or modification of the floodplain

HCR has evaluated the alternatives to taking the proposed action in the floodplain, and has determined that the proposed activities must take place in the floodplain.

Potential impacts associated with the occupancy or modification of the floodplain include some degree of ongoing risk to residents who choose to live in the floodplain. While there are some inherent risks to living in a floodplain or area which experiences severe weather that cannot be completely avoided, the individual actions undertaken by the described NY Rising Housing Recovery Program for 5+ Unit Residential Properties would have a positive impact on Herkimer County communities by ensuring that residents are able to make repairs to their homes and increase the resiliency of residential buildings that are located within the floodplain.

While there is the potential for a cumulative impact from the generation of construction debris from the demolition and reconstruction of a great number of homes through the proposed action in conjunction with the other NY Rising Programs, most of the impact would be mitigated. Direct and indirect impacts on the floodplain from repair, reconstruction, and resiliency activities under the NY Rising Housing Recovery Program for 5+ Unit Residential Properties would be minimal as these Program sites are already developed with 5+ unit residential buildings.

The Program would facilitate the removal of debris and wreckage from applicant properties, and would protect public safety through the repair of unsafe buildings and the requirement that all substantially damaged residential properties located within the floodplain be elevated or flood-

proofed to the strictest requirements. Rehabilitation of these parcels is necessary to maintain neighborhood characteristics, as the sites may be scattered and would be located within residential neighborhoods. As the properties would be repaired and improved through mitigation measures, the properties are also less likely to damage the floodplain with debris during future storm events.

As a result of the requirement that homeowners whose houses were substantially damaged be required to elevate or flood-proof their homes to the strictest standard, it is extremely unlikely that there would be a net increase in floodplain development in comparison to pre-hurricane conditions as a result of this program. In addition, the proposed activities would not likely increase floodplain occupancy, as the proposed activities would enable people to return to their homes, but would generally not expand the housing stock relative to conditions prior to Hurricane Irene and Tropical Storm Lee.

Step Three: Where practicable, design or modify the proposed action to minimize the potential adverse impacts within the floodplain and to restore and preserve its natural and beneficial values.

HCR requires elevation or flood-proofing of all substantially damaged structures in the floodplain. When followed, these regulations would reduce the threat of flooding damage to the homes located in the floodplain. The FEMA BFEs represent the best available data.

Strict requirements for the disposal of debris are in place to prevent, to the extent possible, any negative impacts to the floodplain. The handling and disposal of demolition and construction debris, control of storm water runoff, and noise impacts resulting from the NY Rising Housing Recovery Program for 5+ Unit Residential Properties would be in accordance with all local, state, and federal regulation as part of the acceptance of assistance funding.

The program would also implement and maintain erosion and sedimentation control measures to prevent deposition of sediment and eroded soil in on-site and off-site wetlands and waters. Soil compaction would be controlled by minimizing program activities in vegetated areas, including lawns. BMPs, such as silt fence and erosion prevention, may be implemented if required by permits or agency discretion. Work in areas of soils with high wind erosion potential may have to occur only during calm weather conditions or include additional watering and other dust suppression mitigation methods. Thorough planning, engineering review, and design through the local permitting process would minimize soil erosion and damage to the floodplain that could result from program activities on sites with marginal soil properties.

Step Four: Reevaluate the proposed action to determine: (1) Whether it is still practicable in light of its exposure to flood hazards in the floodplain, the extent to which it would aggravate the current hazards to other floodplains, and its potential to disrupt floodplain values.

HCR has reevaluated the proposed action and determined that the NY Rising Housing Recovery Program for 5+ Unit Residential Properties is still practicable in light of its exposure to flood hazards in the floodplain. As the properties are already developed with 5+ unit dwellings, the Program would not aggravate current hazards to the floodplain, nor would the Program disrupt floodplain values.

All participants in the Recovery Program must carry flood insurance on the subject structure, when mandated, in perpetuity.

Step Five: Implement the Action

Step five is implementation of the proposed action. HCR would ensure that all mitigation measures prescribed in the steps above would be adhered to. Furthermore, HCR would conduct a NEPA review in accordance with 24 CFR Part 58 and a NY SEQR review in accordance with 6 NYCRR Part 617.

4.3 Eight-Step Decision Making Process for Proposed Action in a Wetland

It is HUD's current policy to use the same eight-step and five-step process for proposed action in a floodplain, modified for wetlands, to comply with Executive Order 11990—Protection of Wetlands. The decision making process would take place on a site-specific basis if a site is determined to be in a wetland, as defined by HUD and Executive Order 11990. To the extent possible, reviews would be aggregated if the work would be to the same building or within the same floodplain.

Under Executive Order 11990, wetlands are defined as "those areas inundated by surface or ground water with a frequency sufficient to support and, under normal circumstances, do or would support a prevalence of vegetative or aquatic life that requires saturated or seasonally saturated soil conditions for growth and reproduction. Wetlands generally include swamps, marshes, bogs, and similar areas such as sloughs, potholes, wet meadows, river overflows, mud flats, and natural ponds".

Rehabilitation, reconstruction, and mitigation of residential properties in Herkimer County may take place in or next to wetlands. All sites would be evaluated during the Tier 2 site-specific environmental assessment for location with regard to wetlands using NYSDEC and USFWS NWI maps. On-site wetlands delineation and coordination with NYSDEC and the USACE would take place for all sites determined to be in or near a wetland. If the on-site inspection determines that the project would impact a wetland, the eight-step decision making process outlined in 24 CFR Part 55.20, modified for wetlands would be completed, including public notices, would be completed or all program sites which require substantial improvements. The five-step decision making process allowed under 24 CFR Part 55.12 will be completed for all program sites that do not meet the threshold for substantial improvements for proposed project activities of the NY Rising Housing Recovery Program for 5+ Unit Residential Properties that are in or adjacent to wetlands.

5.0 Summary of Findings and Conclusions

In 2011, Herkimer County was impacted by Hurricane Irene and Tropical Storm Lee. This Tier 1 Programmatic Environmental Assessment has resulted in a Finding of No Significant Impact (FONSI) for the NY Rising Housing Recovery Program: Environmental Assessment for 5+ Unit Residential Properties in Herkimer County, NY. In accordance with the December 11, 2012 HUD memorandum, the FONSI and NOIRROF public notices would be published together on the same date in order to combine public comment periods and facilitate the efficient review of this environmental assessment.

Programmatic compliance for certain environmental factors has been evaluated at the Tier 1 level so that further review for these factors is not necessary at the Tier 2 site-specific level. This Tier 1 PEA has also identified the environmental compliance factors which must be evaluated during the Tier 2 site-specific environmental assessments, and laid out the criteria and procedures for ensuring compliance.

Certain categories evaluated in the NEPA Checklist and Environmental Assessment Worksheet may have the potential for adverse effects. Program sites would be evaluated on the Tier 2 level to determine any potential adverse effects for that site. If adverse impacts are found to exist, appropriate mitigation measures would be required in order to comply with NEPA and HUD Environmental Standards. These mitigation measures are laid out in Section 3 and may include: 1) modification of site-specific project scope to avoid or minimize effects on historic or cultural resources, 2) flood hazard prevention and mitigation, 3) wetland protection, 4) modification of site-specific project scope to avoid or minimize effects on threatened or endangered species, and 5) standard construction BMPs to minimize project generated noise.

The environmental assessment checklists used to complete this Tier 1 assessment are included in the Exhibits section of this document. Maps, agency consultations, and research supporting the Tier 1 environmental assessment are presented in the Appendices. A summary of agency consultation for the proposed action is provided at the end of Section 6.0.

The rehabilitation activities proposed by the NY Rising Housing Recovery Program for 5+ Unit Residential Properties would take place on multi-family residential properties throughout the communities in Herkimer County. The exact locations of these properties are not yet fully known, as the program is still accepting applications. After considering the alternatives, NYS has determined that there is no practical alternative other than to proceed with the proposed program. While there are some inherent risks to living in an area which experiences severe weather that cannot be completely avoided, the individual actions undertaken by the described NY Rising Housing Recovery Program for 5+ Unit Residential Properties would contribute to a safer and more secure environment for a substantial number of its low- to moderate-income households still recovering from Hurricane Irene and Tropical Storm Lee. The program would provide a positive financial impact on neighborhoods, communities, and Herkimer County.

6.0 List of Sources, Agencies and Persons Consulted [40 CFR 1508.9(b)]

1. Department of Environmental Conservation. Construction and Demolition Debris Processing Facilities. Internet Website:
<http://www.dec.ny.gov/chemical/23700.html>
2. Federal Aviation Administration. National Plan of Integrated Airport Systems. Internet Website:
http://www.faa.gov/airports/planning_capacity/npias/
3. Federal Aviation Administration. Report to Congress - National Plan of Integrated Airport Systems. Internet Website:
http://www.faa.gov/airports/planning_capacity/npias/reports/media/2013/npias2013Narrative.pdf
4. Federal Emergency Management Agency. Current FEMA issued Flood Maps. Internet Website:
https://msc.fema.gov/webapp/wcs/stores/servlet/CategoryDisplay?storeId=10001&catalogId=10001&langId=-1&categoryId=12001&parent_category_rn=12001&type=1&stateId=&countyId=&communityId=&stateName=&countyName=&communityName=&dfirm_kit_id=&future=false&dfirmCatId=12009&isCountySelected=1&isCommSelected=0&userType=G&urlUserType=G&sfc=0&cat_state=13039&cat_county=14840&cat_community=
5. National Wild and Scenic Rivers System. Internet Website:
<http://www.rivers.gov/new-york.php>
6. Herkimer County Soil and Water Conservation District. Internet Website:
<http://herkimercounty.org/content/CommunityCategories/Home/:item=5&field=groups:/content/CommunityGroups/View/39>
7. New York State Department of Environmental Conservation. Wild Scenic and Recreational Rivers. Internet Website:
<http://www.dec.ny.gov/permits/32739.html>
8. New York State Department of Environmental Conservation Primary and Principal Aquifers. Internet Website:
<http://www.dec.ny.gov/lands/36119.html>
9. New York State Department of Environmental Conservation. Critical Environmental Areas in Herkimer County, Internet Website:
<http://www.dec.ny.gov/permits/6184.html>
10. New York State Department of Environmental Conservation. List of Endangered, Threatened and Special Concern Fish & Wildlife Species of New York State Internet Website:
<http://www.dec.ny.gov/animals/7494.html#Endangered>
11. New York State Department of State, Division of Coastal Resources and Waterfront Revitalization. Long Island Sound Coastal Management Program. Internet Website:
<http://www.dos.ny.gov/communitieswaterfronts/pdfs/LIS%20CMP.pdf>
12. New York State Department of State, Office of Communities and Waterfronts – Coastal Boundary Map. Internet Website:
<http://www.dos.ny.gov/opd/atlas/index.html>
13. New York State Department of Environmental Conservation Region 2 Office: Landfills.
<http://www.dec.ny.gov/chemical/23681.html>
14. New York State. Directory of Public and Non-Public Schools and Administrators in New York State. Internet Website:
<http://www.p12.nysed.gov/irs/schoolDirectory/>
15. United States Census Bureau. 2011 American Community Survey. Internet Website:
<http://factfinder2.census.gov/faces/nav/jsf/pages/index.xhtml>

16. United States Census Bureau. State and County Quickfacts. Internet Website:
<http://quickfacts.census.gov/qfd/states/36/36043.html>
17. United States Department of Agriculture. Natural Resources Conservation Service. Internet Website:
<http://websoilsurvey.sc.egov.usda.gov/App/WebSoilSurvey.aspx>
18. United States Department of Agriculture. Natural Resources Conservation Service. Dwellings and Small Commercial Buildings. Internet Website:
http://www.nrcs.usda.gov/Internet/FSE_MANUSCRIPTS/new_york/herkimerNY1975/herkimerNY1975.pdf
19. United States Department of Housing and Urban Development. Community Development Block Grant Program – CDBG. Internet Website:
http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/communitydevelopment/programs
20. United States Department of Housing and Urban Development. Noise Abatement and Control. Internet Website:
<http://portal.hud.gov/hudportal/documents/huddoc?id=noiseabatement.pdf>
21. United States Environmental Protection Agency. Designations for fine particulates. Internet Website:
<http://www.epa.gov/pmdesignations/2006standards/final/region2.htm>
22. United States Environmental Protection Agency. Nonattainment Areas. Internet Website:
<http://www.epa.gov/oaqps001/greenbk/ancl.html>
23. United States Environmental Protection Agency. Sole Source Aquifers in New York. Internet Website:
<http://www.epa.gov/region02/water/aquifer/>
24. United States Federal Emergency Management Agency. Current FEMA issued Flood Maps. Internet Website:
https://msc.fema.gov/webapp/wcs/storeservlet/CategoryDisplay?storeId=10001&catalogId=10001&langId=-1&categoryId=12001&parent_category_rn=12001&type=1&stateId=&countyId=&communityId=&stateName=&countyName=&communityName=&dfirm_kit_id=&future=false&dfirmCatId=12009&isCountySelected=1&isCommSelected=0&userType=G&urlUserType=G&sfc=0&cat_state=13039&cat_county=14878&cat_community=
25. United States Fish and Wildlife Service. Coastal Barrier Resources Act. Internet Website:
<http://www.fws.gov/CBRA/Maps/index.html>
26. United States Fish and Wildlife Service. Federally Listed Endangered and Threatened Species and Candidate Species in New York. Internet Website:
<http://www.fws.gov/northeast/nyfo/es/CoListCurrent.pdf>
27. United States Fish and Wildlife Service. National Wetlands Inventory. Internet Website:
<http://www.fws.gov/wetlands>
28. Herkimer County Sheriff's Department Internet Website:
<http://herkimercounty.org/content/Departments/View/27>
29. Herkimer County Emergency Response. Internet Website:
<http://herkimercounty.org/content/Departments/View/8>
30. Herkimer County Soil and Water. Internet Website:
<http://herkimercounty.org/content/CommunityCategories/Home/:item=5&field=groups:/content/CommunityGroups/View/39>
31. Herkimer-Oneida Counties Comprehensive Planning Program. Internet Website:
<http://www.ocgov.net/planning>
32. Oneida-Herkimer County Storm Water Management. Internet Website:
<http://www.ocgov.net/oneida/planning/environmentwater/ReportsStudiesPub>
33. Herkimer County. County Watershed. Internet Website:
http://cfpub.epa.gov/surf/county.cfm?fips_code=36043

34. Herkimer County. Department of Emergency Services. Internet Website:
<http://herkimercounty.org/content/Departments/View/8>
35. Herkimer County Emergency Management. Internet Website:
<http://herkimercounty.org/content/EmergencyManagement>
36. Herkimer County Sewer District. Internet Website:
<http://herkimercounty.org/content/departments/View/23>
37. Herkimer County. 2006 Disaster Relief Program (revised). Internet Website:
<http://herkimercounty.org/content/EmergencyManagement/Home/:field=topics:/content/ExtendedTopics/View/8>
38. Herkimer County. Hospitals in Herkimer County. Internet Website:
http://hospitals.nyhealth.gov/browse_search.php?form=REGION&rt=Herkimer
39. Herkimer County. Mental Health Department, Locations and Services. Internet Website:
<http://herkimercounty.org/content/departments/View/14>
40. Herkimer County. Water Conservation Plan. Internet Website:
<http://www.herkimercountyswcd.com/What-We-Do.html>
41. Herkimer County. History and Demographics. Internet Website:
<http://herkimercounty.org/content/Generic/View/40:field=documents:/content/Documents/File/1607.pdf>
42. Herkimer County. Tracks and Hiking Trails. Internet Website:
<http://herkimercounty.org/content/Generic/View/40:field=documents:/content/Documents/File/1607.pdf>
43. Herkimer County. Storm water Management. Internet Website:
<http://countyonida.digitaltowpath.org/content/Stormwater01#program>
44. Herkimer County. Real-Time Water Information. Internet Website:
<http://ny.cf.er.usgs.gov/NYWIN/county.cfm?countyCode=043>
45. Herkimer County. Topographic Maps. Internet Website:
<http://websoilsurvey.nrcs.usda.gov/app/WebSoilSurvey.aspx>
46. Herkimer County. Transportation. Internet Website:
<http://herkimercounty.org/content/departments/View/22>
47. Herkimer County. Herkimer County Agriculture. Internet Website:
<http://herkimercounty.org/content/Generic/View/40:field=documents:/content/Documents/File/1607.pdf>

**NY Rising Housing Recovery Program: 5+ Unit Residential Properties
Agency Consultation Record**

Agency Consulted	Type of Consultation	Date of Consultation	Date of Agency Response	Consultation Details
New York State Department of Environmental Conservation (NYSDEC)	Air Quality	August 29, 2013	None	NYSDEC has not provided a response to the request for consultation.
New York State Department of State (NYSDOS)	Coastal Zone Consistency	August 29, 2013	October 18, 2013	NYSDOS determined that the program is generally consistent with the New York State Coastal Management Program
New York Natural Heritage Program (NYNHP)	Threatened and Endangered Species	August 27, 2013	September 4, 2013	NYNHP did not identify any potential impacts to endangered, threatened, and/or rare species or their habitats by the proposed rehabilitation activities. If any new construction of buildings, any construction or widening of roads or driveways, or any clearing or disturbance of land more than 75 feet from the footprint of a building being rehabilitated or reconstructed is proposed, then a specific project site screening should be conducted.
National Marine Fisheries Service (NMFS)	Threatened and Endangered Species and Essential Fish Habitat	September 19, 2013	April 16, 2014	NMFS determined that the program would have no effect to NMFS listed species and that no ESA Section 7 consultation was necessary.
United States Fish and Wildlife Service (USFWS)	Threatened and Endangered Species	August 26, 2013	September 25, 2013	USFWS advised that it is the action agency's responsibility to determine whether or not the proposed action would affect listed species.
<p>Notes: See Appendices for copies of consultation letters.</p> <p>Other agency coordination: New York State Historic Preservation Office – Activities under the proposed action would comply with Section 106 of the National Historic Preservation Act of 1966. Environmental Protection Agency (Sole Source Aquifers) - Activities under the proposed action would be reviewed in accordance with the Memorandum of Understanding between HUD and the EPA.</p>				

Exhibit 1:
NEPA Environmental Assessment Checklist

NEPA Environmental Assessment Checklist

[Environmental Review Guide HUD CPD 782, 24 CFR 58.40; Ref. 40 CFR 1508.8 & 1508.27]

HCR has evaluated the significance of the effects of the proposal on the character, features and resources of the project area. Relevant base data, verifiable source documentation, and required mitigation measures supporting the finding are described below. The following impact codes describe determination of impact. **Impact Codes:** (1) - No impact anticipated; (2) - Potentially beneficial; (3) - Potentially adverse; (4) - Requires mitigation; (5) - Requires project modification. Additional materials have been attached as appendices, and conditions or mitigation measures required have been noted.

Land Development	Code	Source or Documentation
Conformance with Comprehensive Plans and Zoning	1	<p>Herkimer-Oneida Counties Comprehensive Planning Program is responsible for drafting land use regulations and comprehensive planning. Additionally, many of the individual communities in Herkimer County have their own comprehensive plans and zoning laws. The program would provide funding to rehabilitate existing 5+ unit residences and mitigate future storm damage. The program would not require changes to existing land use designations, including zoning and amendments. However, compliance with existing zoning regulations would be required.</p> <p>In addition, this program has been reviewed by the NYSDOS, which has determined that the program meets the department's general consistency concurrence criteria, and therefore the department has no objection to the use of HUD funds for this financial assistance activity based on the fact that the program involves rehabilitation. However, if a federal permit or other form of federal agency authorization is required for a program site, NYSDOS will conduct a separate review for those permit activities. In such a case, a copy of the federal application for authorization, a completed Federal Consistency Assessment Form, and all supporting information will be forwarded to NYSDOS at the same time it is submitted to the federal agency from which the necessary authorization is required. A NYSDOS Coastal Assessment Form (CAF) and analysis of the Program's consistency with NYS Coastal Management Policy have also been prepared (see Appendix L: NYSDOS Coastal Consistency Consultation).</p> <p>In conjunction with the State's general concurrence determination, in cases where the project is located within the jurisdiction of a Local Waterfront Revitalization Program (LWRP), the Tier 2 reviewer would conduct an assessment in accordance with 19 NYCRR Part 600 to determine whether the project is likely to affect the achievement of the policies and purposes of such approved LWRP and State agency programs. Project activities would be undertaken in a manner which complies with the policies of the approved LWRP.</p> <p><u>Reimbursement</u> Work conducted prior to the homeowner entering the NY Rising Housing Recovery Programs would not be eligible for reimbursement if appropriate permits were not obtained or a zoning change or amendment was necessary.</p> <p>Source: 31 (list of sources can be found in section 6.0)</p>

Land Development	Code	Source or Documentation
Compatibility and Urban Impact	2	<p>Approximately 16.9% of the housing stock in Herkimer County consists of 5+ unit residential properties.</p> <p>The repair, reconstruction, and mitigation activities would provide a potentially beneficial urban design and compatibility effect by revitalizing their appearance and ensuring the long-term sustainability of these residences against future storm damage. This program would not require changes in land use, as land use would remain compatible with surrounding land uses or the adopted policies of the local plans and ordinances.</p> <p>Source: 15, 41</p>
Slope	4	<p>According to the USGS topographic map (see Appendix B: Herkimer County USGS Topographic Maps), slopes generally range from 0% to 8% in the southern areas within Herkimer County. The northern portion of the county (north of white plains) does contain hills and mountains where slopes greater than 30% exist. However, areas that are currently developed are generally not located on steep slopes. The program would rehabilitate and, in some cases, reconstruct 5+ unit residences on existing footprints, and would allow for the expansion of the structures of no more than 20% of the original pre-storm footprint where necessary. Adjustment to soil slope may result from properties requiring soil amendment or preparation for stilts and associated footings. This would preclude significant expansion of existing construction; therefore, adverse effects to slope are not anticipated. Incorporation of the Soil Erosion Conditions for Approval would address any potential adverse effects to soils as a result of the program.</p> <p>Source: 45</p>
Erosion	4	<p>According to the USGS topographic map (see Appendix B), Herkimer County has slopes of less than 8 percent in the southern area along highway I-90 where most of the county's population is located. There would be a short-term increase in potential for erosion due to site disturbance for rehabilitation activities. Adjustment to soil slope may result from properties requiring soil amendment or preparation for stilts and associated footings. BMPs, such as silt fence and erosion prevention, would be implemented, if required by permits or agency discretion. State and local permitting requirements would incorporate BMPs (erosion blanketing, phasing & sequencing of construction) to eliminate erosion effects for program locations that require excavation or soil modification. In addition, the program would incorporate the Soil Erosion Conditions of Approval, which would address potential effects from soil erosion; therefore, significant effects from erosion are not anticipated as a result of this program.</p> <p>Source: 45</p>

Land Development	Code	Source or Documentation
Soil Suitability	4	<p>The program involves the reconstruction or rehabilitation of existing, storm-damaged, 5+ unit residential properties. Reconstruction at specific properties would include raising homes. Homes that would be raised will require a soil suitability analysis in accordance with New York and local construction codes and regulations. Thorough planning and engineering review and design, as well as the local permitting process, potentially adverse effects that could result from marginal soil properties, as they relate to rehabilitation and reconstruction would be mitigated.</p> <p>Soil texture amendments and/or anchoring stilts to subsurface bedrock would enable raising residences above the flood elevation at locations with limited building site ratings soil types. In addition, the program would incorporate the Soil Erosion Conditions of Approval, which include BMPs such as silt fencing and erosion prevention, to address potential effects to soil suitability.</p> <p>Source: 17</p>
Hazards and Nuisances including Site Safety	4	<p>The activities of repairing and reconstructing residential buildings and protecting them from future storm damage would be typical of construction/remodeling activities which have known hazards. Typical nuisances from such activities could include sidewalk closures and fugitive dust and noise, which would be addressed under existing regulations governing construction activity in NYS, Herkimer County, and local municipalities. The renovation and rebuilding activities could expose workers to potentially hazardous substances, including asbestos, lead-based paint, or other toxic materials. HCR is responsible for the overall inspection for hazardous materials for this program. According to the Hazardous Materials Conditions for Approval, QEPs may inspect sites for evidence of contamination from hazardous materials. If hazardous materials are found or assumed to be present, they would be managed appropriately, as called for in the Hazardous Materials Conditions for Approval.</p> <p>Source: 13</p>
Energy Consumption	2	<p>The program would utilize energy consumption, including the use of fossil fuels, for use of construction equipment and the shipment of materials required for repair and reconstruction activities. However, the program would not expand the housing stock relative to conditions prior to Hurricane Irene and Tropical Storm Lee, and would not increase long-term energy consumption. Rehabilitated and reconstructed homes will be more energy-efficient as a result of the program, due to incorporation of energy efficient building materials and practices, resulting in a beneficial effect.</p> <p>Source: 31</p>

Land Development	Code	Source or Documentation
Noise - Contribution to Community Noise Levels	4	<p>The repair or reconstruction of 5+ unit residential properties would result in the same amount of development that existed at pre-Hurricane Irene and Tropical Storm Lee levels and would not result in any significant increase in ambient noise levels. The proposed activities would cause temporary increases in ambient noise levels due to construction activities and truck traffic. These increases would be mitigated by implementing the Noise Conditions for Approval, including outfitting of equipment with mufflers, and compliance with local noise ordinances including time-of-day work limitations. Following these temporary renovations and rebuilding activities, noise levels would be similar to pre-storm levels and would not result in any significant increase in ambient noise levels.</p> <p>Source: 20</p>
Air Quality¹ Effects of Ambient Air Quality on Project and Contribution to Community Pollution Levels	4	<p>Herkimer County is not designated as a nonattainment or maintenance county and therefore a conformity assessment is not warranted. . Construction activities as a result of the proposed action may result in temporary increases in emissions from on-site equipment, construction-related vehicles and non-road engines, and fugitive dust. However, all activities under the proposed action would comply with applicable federal, state, and local laws and regulations regarding construction emissions, as discussed in Section 3.0, “Conditions for Approval and Mitigation”. Overall, construction activities would occur at scattered sites under the proposed action, and air pollutant concentration increments from construction activities are highly localized, i.e., almost entirely due to construction activity in close proximity to receptor locations and not due to cumulative impacts from the larger area..</p> <p>Source: 21, 22</p>
Environmental Design Visual Quality - Coherence, Diversity, Compatible Use and Scale	2	<p>Repairing, reconstructing, or storm-proofing residential housing with 5+ units damaged or destroyed by recent storms would result in a beneficial effect on to the visual quality of the site and its surroundings. Reconstruction and rehabilitation efforts would follow relevant county building code regulations and incorporate Green Building Standards or comply with the HUD Green Building Retrofit Checklist. No changes are proposed in the footprint or Floor Area Ratio for the rehabilitations. Following these guidelines would likely result in similar building designs which could potentially provide a benefit by improving visual appearance of neighborhoods destroyed by Hurricane Irene and Tropical Storm Lee. Additionally, the improved visual setting, combined with the compatibility of the use and scale of the affected neighborhoods, would contribute to the long-term viability of Herkimer County by allowing existing residents to remain in the neighborhood. Because this program would repair and rebuild residences at existing locations, it would ensure the coherence and compatibility of land uses at the site and in the vicinity.</p> <p>Source: 31</p>

¹ EPA air quality attainment status determinations are frequently updated. The most recent determinations are announced in the Federal Register and updated in the Code of Federal Regulations.

Socioeconomic	Code	Source or Documentation
Demographic Character Changes	1	<p>The proposed use of CDBG-DR funds for the repair, reconstruction, and implementation of storm-mitigation measures would not alter the demographic character of Herkimer County, as only existing residences with 5+ units would be repaired or reconstructed, and no new residences would be added. The occupants of residential properties under this program would most likely be the same occupants that resided in the area prior to Hurricane Irene and Tropical Storm Lee. The proposed action would have no significant effect on the demographic character of Herkimer County.</p> <p>Source: 15, 16</p>
Displacement	1	<p>One of the primary objectives of NYS's response to Hurricane Irene and Tropical Storm Lee and CDBG-DR funding is to avoid the permanent displacement of residents. Repairing, reconstructing or improving storm-mitigation of storm-damaged residential buildings with 5+ units would ensure that safe and sustainable housing would be provided, and that residents displaced by Hurricane Irene and Tropical Storm Lee can return to their communities. No residents would be displaced as a result of this program.</p> <p>Source: 15, 16</p>
Employment and Income Patterns	2	<p>The program would temporarily increase employment and income, especially in the construction industry, through the repairing, reconstructing or mitigation efforts implemented for multi-family residences damaged by Hurricane Irene and Tropical Storm Lee. Once all construction is complete, employment and income patterns are expected to return to pre-storm conditions as no new residences and associated population would be added.</p> <p>Source: 15, 16</p>

Community Facilities and Services	Code	Source of Documentation
Educational Facilities	1	<p>Using the proposed CDBG-DR funding for repairing, reconstructing, or rehabilitating residential properties with 5+ units would not result in adverse effects on these schools in Herkimer County. The program would enable students displaced by Hurricane Irene and Tropical Storm Lee to return to their local school. There will be no significant adverse effects on educational facilities as new residences are not being added, only existing structures being repaired.</p> <p>Source: 14</p>
Commercial Facilities	2	<p>The rehabilitation of 5+ unit residential properties using the proposed CDBG-DR funding would not result in a significant effect on existing commercial establishments. Returning residents would frequent commercial establishments in the neighborhood as they did before Hurricane Irene and Tropical Storm Lee. This would be an economic benefit to all local businesses that experienced a loss in revenue since the storms.</p> <p>Source: 18</p>
Health Care	1	<p>Repairing, reconstructing, or rehabilitating multi-family residential properties with 5+ units would not result in an increase in demands on the health care system. Any population increase would be a result of stabilization of the population to pre-storm levels. There will be no significant adverse effects on health care facilities as new residences are not being added and only existing structures are being repaired.</p> <p>Source: 38</p>
Social Services	1	<p>Repairing, reconstructing, or rehabilitating multi-family residential properties with 5+ units would not result in a new demand for social services because no new multi-family residences or population are being added, and only existing multi-family residences would be repaired.</p> <p>Source: 39</p>
Solid Waste	4	<p>Solid waste is managed through the Oneida-Herkimer Solid Waste Authority. The Oneida-Herkimer Solid Waste Authority operates 2 waste transfer stations throughout the Oneida-Herkimer county area. The proposed program would generate solid waste materials from repairing, reconstructing, or rehabilitating multi-family residential buildings, and would include implementation of the Solid Waste and Hazardous Materials Conditions for Approval as stated in the project description. All solid waste must be properly segregated and disposed of at any of these landfills. The proposed program would generate solid waste materials from repairing, reconstructing, or rehabilitating multi-family residential buildings, and would include implementation of the Solid Waste and Hazardous Materials Conditions for Approval as stated in the project description. All hazardous waste (asbestos-containing material, PCBs, etc.) would be segregated and disposed of at an appropriately licensed facility. Materials can be separated from C&D debris to be recycled or to be reused for a specific use. Material that is not recovered is sent to a municipal solid waste landfill or to a C&D debris landfill. Facilities and landfills would be determined based on proximity to site-specific properties.</p> <p>Source: 1, 13</p>

Community Facilities and Services	Code	Source of Documentation
Waste Water	1	<p>Repairing, reconstructing or rehabilitating residential properties with 5+ units should not generate a substantial amount of waste water or place an increased demand on Herkimer County's treatment plants or the other independent treatment facilities operating in the county because new construction of additional residences would not occur. No significant effect would occur as a result of reconstructing the residences, as the housing stock will not be increased beyond pre-storm conditions.</p> <p>Source: 36</p>
Storm Water	4	<p>Repairing, reconstructing, or improving storm-mitigation of residential properties with 5+ units would not involve a change in the existing structures' footprint and associated storm water infrastructure and would have no effect on the existing storm water system or the county's groundwater recharge basins. All residential sites under this program would be evaluated for the need to comply with storm water permitting requirements, such as a NYSDEC general permit or a Municipal Separate Storm Sewer Systems (MS4) permit. If multiple adjacent sites are worked on, the sites would be aggregated for the purposes of construction storm water compliance.</p> <p>Reimbursement If the homeowner has requested reimbursement for work done prior to the homeowner entering the NY Rising Housing Recovery Program, the work would be evaluated to determine if it required a NYSDEC general permit or local MS4 permit. If it is determined that either permit was required, the homeowner must provide documentation that the permit had been obtained prior to the work being conducted, or evidence as to why the permit was not required for the work conducted. If the permit was required but was not obtained prior to the work conducted, that work would not be eligible for reimbursement.</p> <p>Source: 33, 43</p>
Water Supply	1	<p>No additional demands would be placed on the Herkimer County water supply as no new residences are being built. Repairing, reconstructing or rehabilitating residential properties with 5+ units and protecting them from future storm damage would return water supply demands to pre-storm conditions.</p> <p>Source: 30</p>
Public Safety - Police	3	<p>The proposed program would not result in additional housing units and, thus, would not increase the demand on the local police departments. The activities of repairing or reconstructing residences with 5+ units and protecting them from future storm damage would have a beneficial effect on local police precincts by reducing the amount of derelict properties which can be considered a threat to public safety. Once the program is complete, the long-term demand for police services are expected to return to pre-storm levels. Debris from construction activities would be managed to avoid impeding response times. It is possible debris or trucks may temporarily block access, though these hindrances would be minor and temporary.</p> <p>Source: 28, 29</p>

Community Facilities and Services	Code	Source of Documentation
- Fire	3	<p>The proposed initiative would not result in additional multi-family residential development or associated population and would therefore not increase the demand on fire services. Repairing, reconstructing, or rehabilitating residences with 5+ units and protecting them from future storm damage would reduce the amount of derelict properties, which pose potential fire hazards. Once the program is complete, the long-term demand for fire services is expected to return to pre-storm levels. Debris from construction activities would be managed as to not impede response time. It is possible debris or trucks may temporarily block access, though these hindrances would be minor and temporary.</p> <p>Source: 34, 37</p>
- Emergency Medical	3	<p>Repairing, reconstructing, or rehabilitating residential properties with 5+ units would not result in additional residential development or associated population and would therefore not increase the demand on local emergency medical departments because the overall population in Herkimer County would return to pre-storm levels. The objective of CDBG-DR is to preserve existing neighborhoods by helping displaced homeowners return to their storm-damaged properties. Therefore, once all rehabilitation is complete, the demand for emergency medical services is expected to return to pre-storm level. Debris from construction activities would be managed as to not impede response time. It is possible debris or trucks may temporarily block access, though these hindrances would be minor and temporary.</p> <p>Source: 34, 35, 38</p>
Open Space and Recreation - Open Space	1	<p>The program would not result in additional open space or increase demand for existing open space in Herkimer County because reconstruction of existing residential buildings with 5+ units would take place in their existing footprints, and no new multi-family residential buildings or associated residents would be added.</p> <p>Source: 31</p>
- Recreation	1	<p>The program would not result in additional demand for existing recreation resources in Herkimer County because no new residential buildings or associated residents would be added.</p> <p>Source: 31, 32</p>
- Cultural Facilities	1	<p>The rehabilitation program for residential buildings with 5+ units would not result in additional demand for existing cultural/historic resources in Herkimer County because no new residential buildings or associated residents would be added.</p> <p>Source: 31</p>
Transportation	4	<p>During the construction period, the program could generate an increase in vehicular traffic if multiple buildings are repaired, reconstructed or rehabilitated in the same area simultaneously. This potential increase in traffic would be temporary and localized, and would end when construction is complete. Any potential effects on transportation would be managed through Intelligent Transportation System Techniques such as message boards and traffic cameras (see Transportation Conditions for Approval). Conversely, it is likely that impacts to the local transportation system would not be realized if reconstruction takes place on fewer multi-family buildings at a given time in a specific location.</p> <p>Source: 31, 46</p>

Natural Features	Code	Source or Documentation
Water Resources	4	<p>Herkimer County does not contain a sole source aquifer, nor does it contain any primary aquifers. However, principal aquifers are located in Herkimer County. Some communities within Herkimer County are served by private wells that tap into these aquifers.</p> <p>Because this program would occur on lands already containing multi-family residences, water supplies are already established and may remain in place or be removed as the lands are converted to coastal buffer zones. Hazardous materials, especially stored liquids such as fuels and pesticides in existing multi-family buildings and on or adjacent to program properties could be released during rehabilitation and be transported to groundwater through percolation. Potential hazardous materials and wastes would be assessed and remediated and all applicable laws and regulations complied with, as described in the Hazardous Materials Conditions for Approval. These procedures would minimize the potential for contamination of groundwater from building rehabilitation. Tier 2 mitigation plans would be developed, as necessary, to minimize effects on groundwater as the locations of participating multi-family properties are determined. No site altering activities would occur until mitigation plans are implemented.</p> <p><u>Reimbursement</u></p> <p>The total impervious area of a parcel would not be increased significantly, which is considered to be 30% for Safe Drinking Water Act purposes in Region II. This authority would not be triggered since these activities would not increase the preexisting footprint of structures, sidewalk, driveway, parking lot, or other developed area.</p> <p>Source: 6, 8, 23</p>

Natural Features	Code	Source or Documentation
Surface Water	4	<p>There are no wild and scenic rivers in Herkimer County as designated by the U.S. Department of the Interior. The NYSDEC designates the following eight rivers as wild, scenic, and/or recreational: Oswegatchie River, East Canada Creek, Independence River, Moose River, Otter Brook, West Canada Creek, Black River, and Indian River. The Moose River, Black River, Independence River, Indian River, and East Canada Creek are also listed on the Nationwide Rivers Inventory (see Appendix G: Wild, Scenic, and Recreational Rivers).</p> <p>Repair and reconstruction activities would be limited to the footprint of the affected multi-family properties. They are generally not expected to include surface water bodies but could be adjacent to water. Most rehabilitation activities would likely not involve earthwork that could disturb soils. However, where earthwork, such as grading, are needed to carry out the rehabilitation, there would be the potential for short-term adverse effects on surface waters from erosion, sedimentation, and hazardous materials.</p> <p>The Wetlands Protection, Water Quality, and Soil Erosion Conditions for Approval would be implemented during and work involving soil disturbances associated with rehabilitation. These measures would minimize erosion and deposition of sediment and eroded soil in on-site and off-site wetlands and waters.</p> <p>All sites would be evaluated for the presence of wetlands during Tier 2 evaluations in accordance with NYSDEC and USFWS NWI maps. On-site wetlands inspection would occur in the Tier 2 site-specific assessment, and if project work on a specific site would impact a wetland, the five-step or eight-step decision making process outlined in 24 CFR Part 55.20, modified for wetlands, would be completed. If the wetland impacts cannot be avoided, appropriate permits would be obtained in compliance with Executive Order 11990. These include those under Sections 401 and 404 of the Clean Water Act, as well as any applicable local or state permits.</p> <p><u>Reimbursement</u></p> <p>If the work was completed prior to the homeowner entering the NY Rising Housing Recovery Program, the homeowner must provide documentation that the applicable permits or authorizations were obtained prior to the work taking place or provide other documentation deemed sufficient to document compliance or conformance with applicable laws. For work yet to be initiated the Program would implement and maintain erosion and sedimentation control measures to prevent deposition of sediment and eroded soil in on-site and off-site wetlands and waters. Soil compaction would be controlled by minimizing project activities in vegetated areas, including lawns.</p> <p>Source: 5, 7, 27, 40, 44</p>
Unique Natural Features and Agricultural Lands	1	<p>The NYSDEC has not designated any Critical Environmental Areas (CEAs) in Herkimer County. There are two Unique Geologic Features within Herkimer County, according to the NYSDEC: the Rondaxe Road-Bald Mountain-Webb trail and the Rondaxe Road-Rusty-Breccia-filled "void" near the summit of Bald Mountain.</p> <p>No rehabilitation properties are expected to be identified as farmland. If rehabilitation activities do occur on agricultural land, the rehabilitation activities would not result in agricultural land use conversion.</p> <p>Source: 9, 10, 47</p>

Natural Features	Code	Source or Documentation
Vegetation and Wildlife	4	<p>Information has been received from NYSDEC NY Natural Heritage Program, the USFWS NY Field Office, and the NMFS. Consultation with these agencies has been initiated for the Program. According to NYSDEC, the rehabilitation or rebuilding of multi-family housing property would not impact endangered, threatened, and/or rare species or their habitats (see Appendix M: NY Natural Heritage Program Consultation, Appendix P: NMFS Consultation Letters, and Appendix Q: USFWS Consultation Letters).</p> <p>Section 7 of the ESA requires the Action Agency (HCR) to make a determination of effect to any federally listed species or designated critical habitat that may occur from an action that is funded, authorized, or carried out by the Action Agency. HCR is acting as HUD's designated representative for this program. The determination of effect and USFWS consultation would be carried out during the Tier 2 site-specific reviews as described in the Vegetation and Wildlife Conditions for Approval.</p> <p>In accordance with the September 25, 2013 letter from USFWS, each site would be reviewed to determine the level of adverse effect in accordance with USFWS 7 Step Project Review Process and/or other compliance review tools as directed by USFWS. Further consultation with USFWS would be conducted in the event that an adverse effect is determined. Additionally, HCR would determine if there are areas of multiple sites in close proximity that may result in an adverse effect so that those sites can be reviewed together, if necessary.</p> <p>There is one federally listed species and one species proposed for listing that are known to occur in Herkimer County (see Appendix I: USFWS Natural Resources of Concern). No program sites are anticipated to include habitat for any endangered species in their program footprint. The program would comply with the Migratory Bird Treaty Act and Bald and Golden Eagle Protection Act as described in the Vegetation and Wildlife Conditions of Approval section.</p> <p><u>Reimbursement</u></p> <p>Consultation is not required under Section 7 of the ESA when CDBG-DR assistance is provided for costs incurred, because physical activities were undertaken before HCR could review projects with the potential to affect biological resources. However, all properties,= would be reviewed for compliance with Section 7 of the ESA at the Tier 2 site-specific level.</p> <p>Source: 10, 26</p>

Exhibit 2:
Environmental Assessment Worksheet

Environmental Assessment Worksheet

This worksheet provides a suggested format that may be used to complete the Environmental Assessment process.

Program Name and Description:

Program Name: NY Rising Housing Recovery Program for 5+ Unit Residential Properties

Location: Multi-family Residential Sites, Herkimer County, NY

Activities included in the project: Repairing, reconstructing, or rehabilitating residential properties with five (5) or more units in Herkimer County damaged or destroyed by recent storm events.

Directions: HCR has determined whether the activities affiliated with the project will affect the resource under consideration and trigger formal compliance consultation procedures with the appropriate oversight agency and/or subsequent mitigation, as described, below. If the activity affects the resource, “**A**” is indicated in the Status Determination Column below, and a site-specific Tier 2 review will be conducted for the category. “**B**” is indicated in the column if the activity does not affect the resources under consideration. The compliance documentation column indicates what source documentation was used to make the compliance determination and copies of all necessary documentation are attached to the Environmental Review Record (ERR).

Statutes, Executive Orders, and Regulations listed at 24 CFR Sec. 58.5 and 58.6	Status Determination (A or B)	Compliance Documentation
Flood Disaster Protection Act [Flood Insurance] [§58.6(a)]	A	Portions of the program may be located within a SFHA based on a review of the FEMA FIRM No. 3603070001B effective 6/1/1978, for Herkimer County, New York (see Appendix C: Herkimer County FEMA Flood Insurance Rate Maps). All sites will be reviewed for their location within the floodplain, and, if they are in the SFHA, the applicant will be required to purchase and maintain flood insurance. Compliance requirements will be determined in a Tier 2 environmental review.
Coastal Barrier Resources Act/Coastal Barrier Improvement Act [§58.6(c)]	B	There are no sites in Herkimer County located within the Coastal Barrier Resources area or Otherwise Protected Area, and no HUD funding will be used in a Coastal Barrier Resources Area.
Airport Runway Clear Zone or Clear Zone Disclosure [§58.6(d)]	B	The program will not be proposing any site acquisitions, therefore, 24 CFR Part 58.6(d) is not applicable.

Statutes, Executive Orders, and Regulations listed at 24 CFR Sec. 58.5 and 58.6	Status Determination (A or B)	Compliance Documentation
<p>Wetland Protection [Executive Order 11990]</p>	<p>A</p>	<p>The program involves repair and reconstruction of 5+ unit residential buildings, and most activities are expected to occur in the current building footprint. The Wetlands Protection and Water Quality and Soil Erosion Conditions for Approval will be implemented. These measures will minimize erosion and deposition of sediment and eroded soil in on-site and off-site wetlands and waters.</p> <p>All sites would be evaluated for the presence of wetlands during Tier 2 evaluations in accordance with NYSDEC and USFWS NWI maps. On-site wetlands inspection would occur in the Tier 2 site-specific assessment, and if project work on a specific site would impact a wetland, the five-step or eight-step decision making process outlined in 24 CFR Part 55.20, modified for wetlands, would be completed. If the wetland impacts cannot be avoided, appropriate permits would be obtained in compliance with Executive Order 11990. These include those under Sections 401 and 404 of the Clean Water Act, as well as any applicable local or state permits.</p> <p><u>Reimbursement</u></p> <p>If the work was completed prior to the homeowner entering the NY Rising Housing Recovery Program, the homeowner must provide documentation that the applicable permits or authorizations were obtained prior to the work taking place or provide other documentation deemed sufficient to document compliance or conformance with applicable laws. For work yet to be initiated, the Program would implement and maintain erosion and sedimentation control measures to prevent deposition of sediment and eroded soil in on-site and off-site wetlands and waters. Soil compaction would be controlled by minimizing project activities in vegetated areas, including lawns.</p>

Statutes, Executive Orders, and Regulations listed at 24 CFR Sec. 58.5 and 58.6	Status Determination (A or B)	Compliance Documentation
Coastal Zone Management [Coastal Zone Management Act, 1972]	B	<p>This program has been reviewed by the NYSDOS, which has determined that the program meets the department's general consistency concurrence criteria, and therefore the department has no objection to the use of HUD funds for this financial assistance activity based on the fact that the program involves rehabilitation. However, if a federal permit or other form of federal agency authorization is required for a program site, NYSDOS will conduct a separate review for those permit activities. In such a case, a copy of the federal application for authorization, a completed Federal Consistency Assessment Form, and all supporting information will be forwarded to NYSDOS at the same time it is submitted to the federal agency from which the necessary authorization is required (see Appendix L: NYSDOS Coastal Consistency Consultation).</p> <p>In conjunction with the State's general concurrence determination, in cases where the project is located within the jurisdiction of a Local Waterfront Revitalization Program (LWRP), the Tier 2 reviewer would conduct an assessment in accordance with 19 NYCRR Part 600 to determine whether the project is likely to affect the achievement of the policies and purposes of such approved LWRP and State agency programs. Project activities would be undertaken in a manner which complies with the policies of the approved LWRP.</p>

Statutes, Executive Orders, and Regulations listed at 24 CFR Sec. 58.5 and 58.6	Status Determination (A or B)	Compliance Documentation
Historic Preservation [36 CFR Part 800]	A	<p>All activities must comply with Section 106 of the National Historic Preservation Act (NHPA) per the implementing regulations at 36 Code of Federal Regulations (CFR) Part 800. HCR, in consultation with the State Historic Preservation Office (SHPO) and appropriate Consulting Parties and potentially with the Advisory Council on Historic Preservation (ACHP) would determine if a project would have any adverse effect on historic properties.</p> <p>An area of potential effects (APE) would be determined for each site during Tier 2 evaluation to identify historic properties that may be affected by the action as well as properties that may be eligible for S/NR listing. If historic properties are identified, the federal agency, in this case HCR, in consultation with the SHPO, applies the Criteria of Adverse Effect (36 CFR 800.5(a)(1)) to identified historic properties within the APE, taking into consideration any views provided by the public, Indian tribes, and other Consulting Parties. If the analysis indicates that the proposed project would have an adverse effect on a historic property, the SHPO and other consulting parties (discussed above in the consultation process) are consulted to seek agreement on ways to avoid or reduce the effects. An agreement selected regarding the mitigation measures that will be implemented is typically effectuated through either a Memorandum of Agreement (MOA) or Programmatic Agreement between the applicant, the SHPO, and the federal agency.</p> <p><u>Reimbursement</u></p> <p>If the homeowner has requested reimbursement for work done prior to the homeowner entering the NY Rising Housing Recovery Program, the work will be evaluated to determine compliance with Section 106. The homeowner must provide documentation that the SHPO had been consulted prior to the work starting. If the homeowner cannot provide the documentation, the project will not be eligible for reimbursement until it has been sent for further review to the SHPO and all recommendations made by SHPO have been completed.</p>

Statutes, Executive Orders, and Regulations listed at 24 CFR Sec. 58.5 and 58.6	Status Determination (A or B)	Compliance Documentation
Floodplain Management [Executive Order 11988; 24 CFR Part 55]	A	<p>Program sites may be located within a 100-year floodplain and will be reviewed in accordance with 24 CFR Part 55.20. At the Tier 2 level, if any building requires substantial rehabilitation in a floodplain, that site will be reviewed in accordance with the eight-step decision-making process under 24 CFR Part 55.20. If a building requires non-substantial rehabilitation and is located within the floodplain, a five-step decision-making process as allowed under 24 CFR Part 55.12 will be completed. A floodplain management plan will be written and public notices will be published (as necessary) for all sites located within the floodplain. To the extent possible, these reviews would be aggregated.</p> <p>Compliance requirements will be determined in a Tier 2 site-specific environmental review.</p> <p><u>Reimbursement</u></p> <p>Homeowners requesting reimbursement for work completed prior to the homeowner entering the NY Rising Housing Recovery Program for 5+ Unit Residential Properties must provide documentation that the work meets the standards discussed above. If the work that has been done prior to the homeowner entering the NY Rising Housing Recovery Program for 5+ Unit Residential Properties does not meet these standards, that work will not be eligible for reimbursement until compliance with these standards are documented through the building permit and/or elevation certificate, which are required eligibility documents under this Program.</p>
Sole Source Aquifers [40 CFR Part 149]	B	<p>There are no sole source aquifers in Herkimer County; therefore, the proposed program would have no effect on sole source aquifers (see Appendix H: Sole Source Aquifer Map and Memorandum of Understanding).</p> <p><u>Reimbursement</u></p> <p>The total impervious area of a parcel will not be increased significantly, which is considered to be 30% for Safe Drinking Water Act purposes in Region II. This authority will not be triggered since these activities will not increase the preexisting footprint of structures, sidewalk, driveway, parking lot, or other developed area.</p>

Statutes, Executive Orders, and Regulations listed at 24 CFR Sec. 58.5 and 58.6	Status Determination (A or B)	Compliance Documentation
<p>Endangered Species Act [50 CFR Part 402]</p>	<p>A</p>	<p>Information has been received from NYSDEC NY Natural Heritage Program, the USFWS, and the NMFS regarding state and federal threatened and endangered species (see Appendix M: NY Natural Heritage Program Consultation, Appendix P: NMFS Consultation Letters and Appendix Q: USFWS Consultation Letters).</p> <p>Section 7 of the ESA requires the action agency (HCR) to make a determination of effect on any federally listed species or designated critical habitat that may occur as a result of an action that is funded, authorized, or carried out by the action agency. HCR is acting as HUD’s designated representative for this program. The determination of effect will be completed in the Tier 2 site-specific reviews.</p> <p>In accordance with the September 25, 2013 letter from USFWS, each site will be reviewed to determine effect. Further consultation with USFWS will be conducted in the event that an adverse effect is determined. Additionally, HCR will determine if there are areas of multiple sites in close proximity that may result in an adverse effect so that those sites can be reviewed together, if necessary. A “may affect, but not likely to adversely affect,” determination requires USFWS written concurrence. A “may affect, likely to adversely affect,” requires formal consultation with USFWS and results in the USFWS issuance of a biological opinion.</p> <p>There is one federally listed species and one species proposed for listing that are known to occur in Herkimer County (see Appendix I: USFWS Natural Resources of Concern). The proposed actions of the rehabilitation program will occur outside of these resource areas; therefore, they will not be affected by the proposed program. Compliance requirements will be determined in a Tier 2 environmental review.</p> <p><u>Reimbursement</u></p> <p>Consultation is not required under Section 7 of the ESA when CDBG-DR assistance is provided for costs incurred, because physical activities were undertaken before HCR could review projects with the potential to affect biological resources. However, all properties will be reviewed for compliance with Section 7 of the ESA at the Tier 2 site-specific level.</p>

Statutes, Executive Orders, and Regulations listed at 24 CFR Sec. 58.5 and 58.6	Status Determination (A or B)	Compliance Documentation
Wild and Scenic Rivers [16 USC 1271, Sec. 7(b), (c)]	B	<p>There are no wild and scenic rivers in Herkimer County as designated by the U.S. Department of the Interior. The NYSDEC designates the following eight rivers as wild, scenic, and/or recreational: the Oswegatchie River, East Canada Creek, Independence River, Moose River, Otter Brook, West Canada Creek, Black River, and Indian River. The Moose River, Black River, Independence River, Indian River, and East Canada Creek are also listed on the Nationwide Rivers Inventory (see Appendix G: Herkimer County Wild, Scenic, and Recreational Rivers).</p> <p>The proposed program would be confined to existing residential lots, and would not disturb the bed or banks of these rivers. Implementation of best management practices for erosion and sediment control and management of hazardous substances would prevent introduction of sediment and contaminants into the rivers. No effects would result and further assessment is not required.</p>
Clean Air Act ¹ [40 CFR Parts 6, 51, 93]	B	<p>The proposed project would be located in Herkimer County, which is not within the most recent nonattainment or maintenance area for inhalable particulate matter (PM 2.5) or 8-hour ozone. Project activities will be completed on existing residential developed sites and existing structures. Herkimer County is not designated as a nonattainment or maintenance county and therefore a conformity assessment is not warranted. Air quality effects would be short-term and localized. No significant effects on air quality would result and further assessment is not required.</p>
Farmland Policy Act [7 CFR Part 658]	B	<p>The program will not convert farmland to nonagricultural purposes and therefore would not violate the Farmland Policy Protection Act. Further assessment is not required (see Appendix J: Farmland Protection Checklist).</p>
Environmental Justice [Executive Order 12898]	B	<p>The proposed program is not expected to result in environmental justice impacts as it is intended to provide funding for rehabilitating 5+ unit residential properties to stabilize the community regardless of origin, race, age, etc. Applications for funding in Environmental Justice Areas (EJ) will be treated the same as applications in non-EJ areas and will have equal opportunity (see Appendix F: Herkimer County Potential Environmental Justice Area Maps).</p> <p><u>Reimbursement</u></p> <p>These projects will not raise environmental justice issues and have no potential for new or continued disproportionately high and adverse human health and environmental effects on minority or low-income populations.</p>

¹ EPA air quality attainment status determinations are frequently updated. The most recent determinations are announced in the Federal Register and updated in the Code of Federal Regulations.

Statutes, Executive Orders, and Regulations listed at 24 CFR Sec. 58.5 and 58.6	Status Determination (A or B)	Compliance Documentation
<p>Noise Abatement and Control [24 CFR Part 51, Subpart B]</p>	<p>B</p>	<p>The rehabilitation program could cause temporary increases in noise levels. Temporary increases in noise levels will be mitigated by complying with local noise ordinances. HCR has determined that noise abatement and control is not applicable to a disaster recovery program which meets the definition of 24 CFR Part 51.101(a)(3) for emergency assistance under disaster provisions or appropriations provided to save lives, protect property, protect public health and safety, remove debris and wreckage, or assistance that has the effect of restoring facilities substantially as they existed prior to the disaster. The program will not increase the amount of development in existence prior to Hurricane Irene and Tropical Storm Lee; therefore, no long-term impacts to noise and noise levels will occur (see Appendix O: HUD Noise Abatement and Explosive Operations Email).</p> <p><u>Reimbursement</u> HUD's noise policy at 24 CFR part 51 subpart B is not applicable to assistance that has the effect of restoring facilities substantially as they existed prior to the disaster (see 51.101(a)(3)).</p>
<p>Explosive and Flammable Operations [24 CFR Part 51 C]</p>	<p>B</p>	<p>ASD requirements do not apply because the definition for HUD assisted projects in 24 CFR Part 51.201 is predicated on whether the HUD project increases the number of people exposed to hazardous operations. Environmental review for housing repair or reconstruction to existing 5+ unit residential buildings where the number of units is not increasing will not be required to apply 24 CFR Part 51 Subpart C as the number of people exposed to explosive or flammable operations will not increase (see Appendix O: HUD Noise Abatement and Explosive Operations Email).</p> <p><u>Reimbursement</u> HUD's acceptable separation distance at 24 CFR part 51 subpart C is not applicable if the project is not adding density.</p>

Statutes, Executive Orders, and Regulations listed at 24 CFR Sec. 58.5 and 58.6	Status Determination (A or B)	Compliance Documentation
<p>Toxic Chemicals and Radioactive Materials [24 CFR Part 58, Sec 5(i)(2)]</p>	<p>A</p>	<p>HUD policy requires that the proposed site and adjacent areas be free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances, where a hazard could affect the health and safety of occupants of the property. As part of this program, each rehabilitation site will undergo a desktop review using NEPAassist, and other environmental databases to determine if further investigation is warranted. If further investigation is warranted and conducted, and contaminants are identified, they will, if required, be remediated, as necessary, in accordance with applicable city, state and federal regulations.</p> <p>All activities must comply with applicable federal, state, and local laws and regulations regarding lead-based paint, including but not limited to, EPA RRP Rule (40 CFR 745.80 Subpart E), HUD’s lead-based paint regulations in 24 CFR Part 35 Subparts A, B, H, J, and R, and HUD “Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing.” The Guidelines complement regulations that have been issued by HUD, the EPA, and OSHA, and policies from the CDC. In general, these regulations apply to housing constructed prior to 1978.</p> <p>Structures to be reconstructed or rehabilitated may include materials containing asbestos, and will conform to: Part 56 of Title 12 of the Official Compilation of Codes, Rules and Regulations of the State of New York Department of Labor (Cited as 12 NYCRR Part 56), the National Emission Standard for Asbestos-Standard for demolition and renovation 40 CFR Part 61.145, and National Emission Standard for Asbestos-Standard for waste disposal for manufacturing, fabricating, demolition, and spraying operations 40 CFR Part 61.150.</p> <p>Mold can also have an adverse effect on human health and is a very common problem in houses that have been flooded. All homes will be inspected for mold contamination and mold remediation will be conducted as appropriate.</p> <p>According to the U.S. EPA, Herkimer County is located in Radon Zone 3, where the predicted average indoor radon screening level is less than 2 picocuries per liter (pCi/L). Radon testing will not be required for multi-family sites in Herkimer County; however, radon mitigation will be included for all counties in EPA Radon Zone 1 and Radon Zone 2.</p> <p>Compliance requirements will be determined in a Tier 2 environmental review. This review will include site-specific assessments to identify any toxic or radioactive substances on, adjacent to, or near the subject properties.</p>

Statutes, Executive Orders, and Regulations listed at 24 CFR Sec. 58.5 and 58.6	Status Determination (A or B)	Compliance Documentation
<p>Toxic Chemicals and Radioactive Materials (continued) [24 CFR Part 58, Sec 5(i)(2)]</p>	<p>A</p>	<p><u>Reimbursement</u> All homes will be inspected for mold contamination and mold remediation will be conducted as appropriate. If the home is in a county located in EPA Radon Zone 1 or Zone 2, the homeowner must provide documentation that radon level testing has been completed. Herkimer County is located in a Radon Zone 3, and no radon testing or mitigation measures are required for reimbursement. Assistance provided for an applicant’s incurred rehabilitation costs may be exempt from the Lead Safe Housing Rule if the unit falls within a regulatory exemption set forth at 24 CFR Part 35.115. A housing unit is likely to fall within an exemption if:</p> <ul style="list-style-type: none"> •The housing unit was constructed on or after January 1, 1978; •The CDBG-DR applicant undertook CDBG-eligible activities that qualify as emergency actions immediately necessary to safeguard against imminent danger to human life, health, or safety, or to protect the property from further structural damage due to natural disaster, fire, or structural collapse. This exemption applies only “to repairs necessary to respond to the emergency” as provided in 24 CFR Part 35.115(a)(9); •The rehabilitation did not disturb any painted surface; •The property meets the definition of “housing for the elderly,” or the residential property is designated “exclusively for persons with disabilities”; but only if no child less than six years of age resides or is “expected to reside” in the dwelling unit (see definitions at 24 CFR Part 35.110); •An inspection performed according to HUD standards found the property contained no lead-based paint; or •According to documented methodologies, lead-based paint has been identified and removed, and the property has achieved clearance. <p>If the homeowner has requested reimbursement for work done prior to the homeowner entering the NY Rising Housing Recovery Program for 5+ Unit Residential Properties, the homeowner must provide documentation that the above regulations were followed. If the homeowner cannot provide the documentation, a qualified asbestos inspector must perform a comprehensive building asbestos survey to identify the location and condition of asbestos throughout the structure. If the asbestos survey indicates the presence of asbestos or the presence of asbestos is assumed, an asbestos Operations and Maintenance (O&M) plan will be required prior to funding.</p>

Statutes, Executive Orders, and Regulations listed at 24 CFR Sec. 58.5 and 58.6	Status Determination (A or B)	Compliance Documentation
Airport Clear Zones and Accident Potential Zones [24 CFR Part 51 D]	B	<p>Based on guidance provided by HUD in Fact Sheet #D1, the National Plan of Integrated Airport Systems was reviewed for civilian, commercial service airports within the vicinity of the program area, as projects located within 2,500 feet of a civil airport will require consultation with the appropriate civil airport operator. No known civil airports are located within 2,500 feet, and no known military airports are located within 15,000 feet of the program area. This program does not involve assistance to purchase residential properties. Accordingly, this requirement is not applicable to any potential activities to be funded by this program.</p>

Determination: HCR has complied with all provisions of 24 CFR Part 58, Subpart E – Environmental Review Process: Environmental Assessments, has examined alternatives to the project itself and feasible ways to modify the project to eliminate or minimize adverse impacts, and based on steps (a) through (f) found in the regulations, made the following determination:

Finding of No Significant Impact (FONSI), whereby HCR may proceed to dissemination and publication of the FONSI, per regulations found at 24 CFR Part 58.43(a).

PREPARER SIGNATURE:



PREPARER NAME:

Daniel J. Gorman

DATE:

10/25/2013

PREPARER SIGNATURE:



PREPARER NAME:

Delight Buenaflor

DATE:

Revised on: 30 December 2013

PREPARER SIGNATURE:



PREPARER NAME:

Julianne Yee, AKRF, Inc.

DATE:

10 September 2014

Exhibit 3:
Site-Specific Checklist

TIER 2 SITE SPECIFIC CHECKLIST

Application#:

Project Address:

**TIER 2 ENVIRONMENTAL REVIEW RECORD
SITE SPECIFIC CHECKLIST**

Department of Housing and Urban Development Appropriation Act, 2013
The Community Development Block Grant Program Disaster Recovery Program –
Housing Assistance Programs – 5+ Unit

Application#:
Property address:

- Reimbursement
- Repair
- Reimbursement and Repair

For Reimbursement and Repair applications:

Date of Reimbursement application: _____

Date work was completed: _____

Substantial Damage? Yes (Attach substantial damage documentation) No

Elevation required? Yes (Attach elevation compliance documentation) No

Optional Elevation? Yes (Attach elevation compliance documentation) No

Building construction date:

Will any ground disturbance be conducted?

Description of project work (reference sections of ECR and/or AA):

Repair:

SEQRA CLASSIFICATION

It is the finding of NYSHCR that the activity(ies) proposed in this NYS CDBG-DR project falls within the scope of the SEQRA Negative Declaration for Unlisted Actions dated June 27, 2013.

NEPA CLASSIFICATION

It is the finding of NYSHCR that the activity(ies) proposed in this NYS CDBG-DR project falls within the scope of the Tier 1 and FONSI dated: _____.

- Part or all of the project is located in an area identified as a floodplain or wetland. For projects located in a floodplain or wetland, evidence of compliance with Executive Orders 11988 and/or 11990 is required.

Finding of Tier 2 Review:

The proposed activity complies with environmental requirements for funding. The closeout items must be completed. The closeout items are listed at the end of this document.

The proposed activity does not comply with environmental requirements for funding for the following reasons; i.e., permanent impact to wetland or inconsistency with the coastal program

A finding cannot be made without (describe missing or needed information or documentation).

TIER 2 SITE SPECIFIC CHECKLIST

Application#:

Project Address:

**SITE SPECIFIC STATUTORY CHECKLIST
& ADDITIONAL NYS REQUIREMENTS**

Check "A" if further close-out documentation is required.
Provide written discussion of compliance or necessary mitigation measures.

Check "B" if the criteria review is complete.
Provide written discussion and attach any supporting materials.

Area of Statutory - Regulatory Compliance	A	B	Compliance Discussion Attach Supporting Material
Procedures to comply with Related Laws at 24 CFR 58.6			
Flood Disaster Protection Act of 1973			
Coastal Barrier Resources Act		X	No programs activities will occur in a system unit of the Coastal Barrier Resource System. According to the Coastal Barrier Resource System maps, the program site is not located in a Coastal Barrier Resource Area or Otherwise Protected Area.
Runway Clear Zones (development)		X	No programs involve assistance to purchase residential properties. Accordingly, this requirement is not applicable to any potential activities to be funded by these programs.
Procedures to comply with Related Laws at 24 CFR 58.5 and Other NYS Requirements			
Historic Properties			
Floodplain Management			
Wetland Protection			
Coastal Zone Management		X	This program has been reviewed by the New York Department of State (NYS DOS), which made a "general concurrence determination" based on the fact that the program involves rehabilitation.
Local Waterfront Revitalization Plan			
Sole Source Aquifers		X	There are no sole source aquifers in Herkimer County; therefore, the proposed program would have no effect on sole source aquifers.
Endangered Species			

TIER 2 SITE SPECIFIC CHECKLIST

Application#:

Project Address:

Area of Statutory - Regulatory Compliance	A	B	Compliance Discussion Attach Supporting Material
Wild and Scenic Rivers		X	There are no wild and scenic rivers in Herkimer County as designated by the U.S. Department of the Interior. The NYSDEC designates the following eight rivers as wild, scenic, and/or recreational: the Oswegatchie River, East Canada Creek, Independence River, Moose River, Otter Brook, West Canada Creek, Black River, and Indian River. The Moose River, Black River, Independence River, Indian River, and East Canada Creek are also listed on the Nationwide Rivers Inventory. The proposed program would be confined to existing residential lots, and would not disturb the bed or banks of these rivers. Implementation of best management practices for erosion and sediment control and management of hazardous substances would prevent introduction of sediment and contaminants into the rivers. No impacts would result and further assessment is not required.
Air Quality		X	The program would not substantively affect the State Implementation Plan (SIP). No significant impacts on air quality will result and further assessment is not required.
Farmlands Protection (including NYS Ag & Market Law)		X	The program would not convert farmland to nonagricultural purposes and, therefore, would not violate the Farmland Policy Protection Act and will comply with the New York State Agriculture and Markets Law..
Noise Abatement and Control		X	Not applicable. Compliance was determined in the Tier 1 Environmental Assessment.
Thermal/ Explosive Hazards		X	Acceptable separation distance requirements do not apply because the definition for HUD assisted projects in 24 CFR Part 51.201 is predicated on whether the HUD project increases the number of people exposed to hazardous operations. Therefore, the environmental review for grants to elevate, rehabilitate, or reconstruct housing that existed prior to the disaster where the number of dwelling units is not increased, is not required to apply 24 CFR Part 51 Subpart C.
HUD Environmental Standards			
Environmental Justice		X	Not applicable. Compliance was determined in the Tier 1 Environmental Assessment.
Asbestos & Lead-Based Paint			
Radon		X	The property is not located in a Radon Zone 1 or 2 county, according to the EPA Map of Radon Zones for NYS. No additional steps are required for radon mitigation.

TIER 2 SITE SPECIFIC CHECKLIST

Application#:

Project Address:

ADDITIONAL SEQRA REVIEW

Check "A" if further close-out documentation is required.
Provide written discussion of compliance or necessary mitigation measures.

Check "B" if the criteria review is complete.
Provide written discussion and attach any supporting materials.

Area of Statutory - Regulatory Compliance	A	B	Compliance Discussion Attach Supporting Material
National Natural Landmark			
Significant Wildlife Habitat			
Unique or Unusual Landforms			
Aesthetic Resources, Open Space and Recreation			
State Protected Waterbody			
Critical Environmental Areas			
Historic Properties			
Agricultural and Markets Law			

TIER 2 SITE SPECIFIC CHECKLIST

Page 6 of 6

Application#:

Project Address:

TO BE FILLED OUT BY PERSON WHO PREPARED DOCUMENT:

Prepared by:

Signature

Date:

**TO BE FILLED OUT BY HCR CERTIFYING OFFICER OR OTHER
APPROPRIATELY DESIGNATED OCR OFFICIAL:**

This Site Specific Checklist is determined to be acceptable.

Signature:

Printed Name:

Date:

Exhibit 4:
Site-Specific Checklist Template

TIER 2 SITE SPECIFIC CHECKLIST

Application#:

Project Address:

**TIER 2 ENVIRONMENTAL REVIEW RECORD
SITE SPECIFIC CHECKLIST**

Department of Housing and Urban Development Appropriation Act, 2013
The Community Development Block Grant Program Disaster Recovery Program –
Housing Assistance Programs – 5+ Unit

Application#:
Property address:

- Reimbursement
- Repair
- Reimbursement and Repair

For Reimbursement and Repair applications:

Date of Reimbursement application: _____

Date work was completed: _____

Substantial Damage? Yes (Attach substantial damage documentation) No

Elevation required? Yes (Attach elevation compliance documentation) No

Optional Elevation? Yes (Attach elevation compliance documentation) No

Building construction date:

Will any ground disturbance be conducted?

Description of project work (reference sections of ECR and/or AA):

Repair:

SEQRA CLASSIFICATION

It is the finding of NYSHCR that the activity(ies) proposed in this NYS CDBG-DR project falls within the scope of the SEQRA Negative Declaration for Unlisted Actions dated June 27, 2013.

NEPA CLASSIFICATION

It is the finding of NYSHCR that the activity(ies) proposed in this NYS CDBG-DR project falls within the scope of the Tier 1 and FONSI dated: _____.

- Part or all of the project is located in an area identified as a floodplain or wetland. For projects located in a floodplain or wetland, evidence of compliance with Executive Orders 11988 and/or 11990 is required.

Finding of Tier 2 Review:

The proposed activity complies with environmental requirements for funding. The closeout items must be completed. The closeout items are listed at the end of this document.

The proposed activity does not comply with environmental requirements for funding for the following reasons; i.e., permanent impact to wetland or inconsistency with the coastal program

A finding cannot be made without (describe missing or needed information or documentation).

TIER 2 SITE SPECIFIC CHECKLIST

Application#:

Project Address:

**SITE SPECIFIC STATUTORY CHECKLIST
& ADDITIONAL NYS REQUIREMENTS**

Check "A" if further close-out documentation is required.
Provide written discussion of compliance or necessary mitigation measures.

Check "B" if the criteria review is complete.
Provide written discussion and attach any supporting materials.

Area of Statutory - Regulatory Compliance	A	B	Compliance Discussion Attach Supporting Material
Procedures to comply with Related Laws at 24 CFR 58.6			
Flood Disaster Protection Act of 1973			<p><i>Is the property located in a Special Flood Hazard Area (SFHA)?</i></p> <p>a. <i>No – review concluded. Create map to document. Put an X in Column B and add text:</i> Not applicable. The property is not located in a Special Flood Hazard Area.</p> <p>b. <i>Yes – Create map to document. Put an X in Column A. Add text:</i> The property is located in a Special Flood Hazard Area, and proof of insurance is required. Grantees must adhere to the guidelines stipulated in the construction contract.</p>
Coastal Barrier Resources Act		X	No programs activities will occur in a system unit of the Coastal Barrier Resource System. According to the Coastal Barrier Resource System maps, the program site is not located in a Coastal Barrier Resource Area or Otherwise Protected Area.
Runway Clear Zones (development)		X	No programs involve assistance to purchase residential properties. Accordingly, this requirement is not applicable to any potential activities to be funded by these programs.
Procedures to comply with Related Laws at 24 CFR 58.5 and Other NYS Requirements			
Historic Properties			<p>1) <i>Within the Area of Potential Effect, are there any properties on the State or National Register of Historic Places (S/NR), or properties eligible for inclusion on the S/NR)?</i></p> <p>a) <i>No – review concluded. Put an X in Column B and add text:</i> There are no S/NR listed or eligible properties within the Area of Potential Effect.</p> <p>b) <i>Yes – Go to Step 2.</i></p> <p>2) <i>Will the project have an adverse effect on the historic, or potentially historic, properties as defined in 36 CFR 800.5(a)(1)?</i></p> <p>a) <i>No – review concluded. Put an X in Column B and add text:</i> The proposed project will not have an adverse effect on the [list S/NR or S/NR eligible resources] per the 36 CFR 800.5(a)(1).</p> <p>b) <i>Yes – If the project will potentially have an adverse effect on one or more historic resources then: HCR will coordinate with SHPO and other appropriate consulting parties to seek agreement on ways to avoid or reduce the effects. [Document the consultation process.]</i></p>
Floodplain Management			<p><i>Is the property located in a 100-year floodplain?</i></p> <p>a) <i>No – review concluded. Create the Floodplain Map to document. Put</i></p>

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		<p><i>an X in Column B and add text:</i> Not applicable. The property is not located in a 100-year floodplain.</p> <p>b) <i>Yes – Create map to document. Put an X in Column A and add text for the decision-making process. Does the program site require substantial improvement?</i></p> <p>(1) <i>Yes.</i> A floodplain management plan detailing the Eight-Step Decision-Making Process in 24 CFR Part 55.20 was completed for the program site. A notice for final public review was published on Month, XX, 201X. A policy to prohibit redevelopment in the floodplain is not considered practicable. Grantees must adhere to elevation and other requirements outlined in the Floodplain Management Plan.</p> <p>(2) <i>No.</i> A floodplain management plan detailing the Five-Step Decision Making Process allowed under 24 CFR Part 55.12 was completed for the program site. A policy to prohibit redevelopment in the floodplain is not considered practicable. Grantees must adhere to elevation and other requirements outlined in the Floodplain Management Plan.</p>
Wetland Protection		<p><i>Are project activities to be conducted within wetlands identified through the National Wetlands Inventory or New York State Department of Conservation (NYSDEC) or their adjacent areas (within 100 feet of NYSDEC freshwater wetlands or 300 feet of NYSDEC tidal/coastal wetlands)?</i></p> <p>a) <i>No – review concluded. Create map to document. Put an X in Column B and add text:</i> Not applicable. The property boundary is greater than 300 feet from a tidal wetland and greater than 100 feet from a freshwater wetland.</p> <p>i) <i>Yes (activities in the wetland) – Coordinate with HCR regarding additional compliance steps.</i></p> <p>ii) <i>Yes (activities in the tidal/coastal wetland buffer only) – create map to document. Are the project activities a compatible use under Tidal Wetlands Land Use Regulations (6NYCRR Part 661.5 http://www.dec.ny.gov/permits/6347.html)?</i></p> <p>1) <i>Yes – Review concluded. Put an X in Column B and add text:</i> The property is adjacent to a wetland; however, project activities are exempt under 6 NYCRR Part 661.5.</p> <p>2) <i>No. Is there a functional and substantial man-made structure (including, but not limited to paved streets, highways, railroads, bulkheads, sea walls and rip-rap walls), a minimum of 100 feet in length, running parallel to all sides of the wetland (like a barrier) between the house and the waterbody? Note that garages and sheds do not count. Yes – Review concluded. Put an X in Column B and add text:</i> There is a [type of structure] which is a functional and substantial fabricated structure, a minimum of 100 feet in length, located between the house and the wetland and, therefore, the house is not located in an adjacent area as defined in 6 NYCRR Part 661.4.</p> <p>3) <i>No. If project activities located in the tidal/coastal wetland buffer are not a compatible use or occur in the freshwater wetland buffer, then determine whether only a NYSDEC permit is needed (not U.S. Army Corps jurisdictional determination).</i></p>

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			<p>A) <i>If yes, only a NYSDEC needs to be obtained, then put an X in Column A and add text:</i> Project activities will be conducted in the wetlands buffer. A New York State Department of Environmental Conservation permit will be obtained prior to conducting project activities.</p> <p>B) <i>No. Coordinate with HCR regarding additional compliance steps.</i></p>
Coastal Zone Management		X	This program has been reviewed by the New York Department of State (NYSDOS), which made a “general concurrence determination” based on the fact that the program involves rehabilitation.
Local Waterfront Revitalization Plan			<p><i>Is the project site located within an approved LWRP?</i></p> <p>a) <i>If yes, prepare an LWRP policy analysis and submit to the local Waterfront Revitalization Committee for their recommendation and allow 30 days for their recommendation. Once recommendation is received, make determination whether action would be consistent with the LWRP.</i></p> <p>i) <i>If consistent, insert text:</i> The proposed action would be consistent with the ____ LWRP per attached letter dated ____.</p> <p>ii) <i>If not consistent, consult with HCR.</i></p> <p>b) <i>If no, insert text:</i> The project site is not located within the jurisdiction of an approved LWRP.</p>
Sole Source Aquifers		X	There are no sole source aquifers in Herkimer County; therefore, the proposed program would have no effect on sole source aquifers.
Endangered Species			<p><i>Determine if the project activities will have “no effect” on or “is not likely to adversely affect” any federal or state protected (listed or proposed) rare, threatened or endangered species nor adversely modify designated critical habitats. [This finding is to be based on the review of designated critical habitats, consultation with U.S. Fish and Wildlife Service (USFWS), National Marine Fisheries Service (NMFS) or NYSDEC, or through a special study completed by a biologist or botanist. A determination of “no effect” based on the well-documented absence of listed species and critical habitats does not require USFWS or NYSDEC concurrence.] To make this determination:</i></p> <p>a) <i>Review NYSDEC Resource Mapper for known occurrences. See list of species for your county (FWS 8/7/13 letter) and if new FWS GIS layers apply.</i></p> <p>b) <i>Review GAP land use maps for suitable habitat</i></p> <p>c) <i>Is a protected species documented or is suitable habitat is present?</i></p> <p>i) <i>No – review concluded. Create map to document. Put an X in Column B and add text:</i> Not applicable. According to information reviewed from the New York State Environmental Resource Mapper at http://www.dec.ny.gov/imsmaps/ERM/viewer.htm, no rare, threatened or endangered species are known to exist in the property’s vicinity. The property is located in a [high/medium/low (choose one)] -density residential development and does not support or provide habitat for any rare, threatened or endangered plant or animal species. Therefore, the project would not affect any natural habitats containing such species or any designated or proposed critical habitat.</p> <p>ii) <i>Yes - create map to document. Will the project activities have an effect on the documented protected species or suitable habitat (project activities inside the house, replacing siding or roof,</i></p>

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			<p><i>painting, etc.)?</i></p> <p>1) <i>No. Put an X in Column B and add text:</i> Not applicable. According to information reviewed from the New York State Environmental Resource Mapper at http://www.dec.ny.gov/imsmaps/ERM/viewer.htm and the guidance provided by the U.S. Fish and Wildlife Service, rare, threatened or endangered species are known to exist in the property's vicinity. However, the project activities will have no effect on the documented rare, threatened or endangered plant or animal species and any natural habitats containing such species or any designated or proposed critical habitat.</p> <p>2) <i>Yes. Coordinate with HCR regarding additional compliance steps.</i></p>
Wild and Scenic Rivers		X	<p>There are no wild and scenic rivers in Herkimer County as designated by the U.S. Department of the Interior. The NYSDEC designates the following eight rivers as wild, scenic, and/or recreational: the Oswegatchie River, East Canada Creek, Independence River, Moose River, Otter Brook, West Canada Creek, Black River, and Indian River. The Moose River, Black River, Independence River, Indian River, and East Canada Creek area also listed on the Nationwide Rivers Inventory. The proposed program would be confined to existing residential lots, and would not disturb the bed or banks of these rivers. Implementation of best management practices for erosion and sediment control and management of hazardous substances would prevent introduction of sediment and contaminants into the rivers. No impacts would result and further assessment is not required.</p>
Air Quality		X	<p>The program would not substantively affect the State Implementation Plan (SIP). No significant impacts on air quality will result and further assessment is not required.</p>
Farmlands Protection (including NYS Ag & Market Law)		X	<p>The program would not convert farmland to nonagricultural purposes and, therefore, would not violate the Farmland Policy Protection Act and will comply with the New York State Agriculture and Markets Law..</p>
Noise Abatement and Control		X	<p>Not applicable. Compliance was determined in the Tier 1 Environmental Assessment.</p>
Thermal/ Explosive Hazards		X	<p>Acceptable separation distance requirements do not apply because the definition for HUD assisted projects in 24 CFR Part 51.201 is predicated on whether the HUD project increases the number of people exposed to hazardous operations. Therefore, the environmental review for grants to elevate, rehabilitate, or reconstruct housing that existed prior to the disaster where the number of dwelling units is not increased, is not required to apply 24 CFR Part 51 Subpart C.</p>
HUD Environmental Standards			<p><i>Is the property:</i></p> <ul style="list-style-type: none"> • <i>Listed on an EPA Superfund National Priorities or CERCLA list or equivalent State list?</i> • <i>Located within 3,000 feet of a toxic or solid waste landfill site?</i> • <i>Known to contain an underground storage tank other than a residential fuel tank?</i> • <i>Known or suspected to be contaminated by toxic chemicals or radioactive materials?</i> <p>a) <i>No – review concluded. Create map to document. Put an X in Column</i></p>

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			<p><i>B and add text:</i> Not applicable. The property is not listed on a U.S. Environmental Protection Agency (EPA) Superfund National Priorities or Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) list or equivalent State list, is not located within 3,000 feet of a toxic or solid waste landfill site, does not have an underground storage tank other than a residential fuel tank, and is not known or suspected to be contaminated by toxic chemicals or radioactive materials.</p> <p><i>b) Yes. Create map to document. Consult with an environmental professional to determine if the hazard could impact the residences at the property.</i></p> <p><i>c) No – review concluded. Put an X in column B and add text: _____ [insert issue] was identified in the area of the property. However, based on _____ [insert reason why not a problem] it is not expected to pose a hazard to the residents of the property. No further review is necessary.</i></p> <p><i>d) Additional coordination with HCR required. Add text:</i> Additional study will be completed to assess if a hazard is present that could affect the health and safety of occupants or conflict with the intended utilization of the property.</p>
Environmental Justice		X	Not applicable. Compliance was determined in the Tier 1 Environmental Assessment.
Asbestos & Lead-Based Paint			<p><i>Was the dwelling constructed prior to 1978?</i></p> <p><i>a) No – review concluded. Put an X in Column A. Add text:</i> The house was constructed after 1978 and is not expected to contain lead-based paint. An asbestos survey will be performed by qualified professionals prior to any disturbance of suspected materials. Structures to be reconstructed or rehabilitated must conform to Part 56 of Title 12 of the Official Compilation of Codes, Rules and Regulations of the State of New York Department of Labor (Cited as 12 NYCRR Part 56), the National Emission Standard for Asbestos pertaining to demolition and renovation in 40 CFR 61.145, and the National Emission Standard for Asbestos pertaining to waste disposal for manufacturing, fabricating, demolition, and spraying operations in 40 CFR 61.150.</p> <p><i>b) Yes – review concluded. Review DASNY checklist. Put an X in Column A. Add text:</i> The house was constructed prior to 1978. Asbestos and lead-based paint surveys will be performed by qualified professionals and a clearance report will be submitted prior to any disturbance of suspected materials.</p> <p>Structures to be reconstructed or rehabilitated must conform to Part 56 of Title 12 of the Official Compilation of Codes, Rules and Regulations of the State of New York Department of Labor (Cited as 12 NYCRR Part 56), the National Emission Standard for Asbestos pertaining to demolition and renovation in 40 CFR 61.145, and the National Emission Standard for Asbestos pertaining to waste disposal for manufacturing, fabricating, demolition, and spraying operations in 40 CFR 61.150. All project activities must comply with applicable federal, state, and local laws and regulations regarding lead-based paint, including but not limited to, HUD’s lead-based paint regulations in 24 CFR Part 35 Subparts B, H, and J.</p>
Radon		X	The property is not located in a Radon Zone 1 or 2 county, according to the EPA Map of Radon Zones for NYS. No additional steps are required for radon mitigation.

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ADDITIONAL SEQRA REVIEW

Check "A" if further close-out documentation is required.
Provide written discussion of compliance or necessary mitigation measures.

Check "B" if the criteria review is complete.
Provide written discussion and attach any supporting materials.

Area of Statutory - Regulatory Compliance	A	B	Compliance Discussion Attach Supporting Material
National Natural Landmark			<p><i>Is the property located at or adjacent to a National Natural Landmark?</i></p> <p><i>a) If no, then put an X in Column B and add text:</i> Not applicable. There are no national natural landmarks located at or adjacent to the property.</p> <p><i>b) If yes, then coordinate with HCR regarding additional compliance steps.</i></p>
Significant Wildlife Habitat			<p><i>Is the property located in, adjacent to, or less than 100 feet away from a Significant Coastal Fish and Wildlife Habitat?</i></p> <p><i>a) No – review concluded. Put an X in Column B and add text:</i> Not applicable. Based on a review of NYSDEC designated Significant Coastal Fish and Wildlife Habitats (SCFWH), no SCFWHs are located in or substantially contiguous to the property.</p> <p><i>b) Yes – Will the project result in the removal of any portion of a significant wildlife habitat?</i></p> <p><i>i) No – review concluded. Put an X in Column B and add text:</i> Not applicable. The project activities will not result in the removal of any portion of a Significant Coastal Fish and Wildlife Habitat.</p> <p><i>ii) Yes. Coordinate with HCR regarding additional compliance steps.</i></p>
Unique or Unusual Landforms			<p><i>Is the property located in, adjacent to, or less than 100 feet away from a unique or unusual landform?</i></p> <p><i>a) No – Review Concluded. Put an X in Column B and add text:</i> Not applicable. The property is not located in or substantially contiguous to a unique or unusual landform.</p> <p><i>b) Yes – Create map to document.</i></p> <p><i>i) Will the project activities result in modification or destruction of, or inhibit access, to any unique or unusual land forms located on or substantially contiguous to the property?</i></p> <p><i>(1) No – review concluded. Put an X in Column B and add text:</i> Not applicable. The project will not affect the quantity or quality of _____ [insert name of that unique or unusual landform].</p> <p><i>(2) Yes. Coordinate with HCR regarding additional compliance steps.</i></p>

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<p>Aesthetic Resources, Open Space and Recreation</p>		<p><i>Is the property located in, adjacent to, or within 100 feet away from an aesthetic resource, open space or recreational area?</i></p> <p>a) <i>No – review concluded. Put an X in Column B. Add text:</i> Not applicable. The property is not located in or substantially contiguous to an aesthetic resource, open space or recreation area.</p> <p>b) <i>Yes – Create map to document. Will the project activities result in land use obviously different from, or in sharp contrast to, current land use patterns between the proposed project and a scenic or aesthetic resource, or result in a loss of recreational opportunities or a reduction of an open space resource?</i></p> <p>i) <i>No – review concluded. Put an X in Column B. Add text:</i> Not applicable. The project activities will not significantly affect the quantity or quality of _____ [insert name of that aesthetic resource, open space or recreational area] or recreational opportunities associated with it.</p> <p>ii) <i>Yes. Coordinate with HCR regarding additional compliance steps.</i></p>
<p>State Protected Waterbody</p>		<p><i>Is the property located in, adjacent to, or less than 100 feet away from any waterbody (e.g., streams, rivers, etc.) designated as protected [Article 15 the Environmental Conservation Law (ECL)]?</i></p> <p>a) <i>No – review concluded. Create map to document. Put an X in Column B. Add text:</i> Not applicable. The property is not located in or substantially contiguous to a state protected waterbody.</p> <p>b) <i>Yes – create map to document. Will the project result in the disturbance of the waterbody or its natural bank (i.e., undeveloped land within 50 feet of mean high water (MHW) line)?</i></p> <p>i) <i>No – review concluded. Put an X in Column B. Add text:</i> The project activities will not result in the disturbance of a waterbody located within 50 feet of the mean high water (MHW) line.</p> <p>ii) <i>Yes – Create a map to document. Coordinate with HCR regarding additional compliance steps.</i></p>
<p>Critical Environmental Areas</p>		<p><i>Is the property located in, adjacent to, or less than 100 feet away from a Critical Environmental Area designated pursuant to Article 8 of the ECL and 6 NYCRR 617?</i></p> <p>a) <i>No – review concluded. Put an X in Column B. Add text:</i> Not applicable. The property is not located in or substantially contiguous to a Critical Environmental Area designated pursuant to Article 8 of the ECL and 6 NYCRR 617.</p> <p>b) <i>Yes. Will the project activities result in:</i></p> <ul style="list-style-type: none"> • <i>A reduction in the quantity of the resource?</i> • <i>A reduction in the quality of the resource? Or</i> • <i>Affect the use, function or enjoyment of the resource?</i> <p>i) <i>No – review concluded. Put an X in Column B. Add text:</i> Not applicable. The project activities will not result in a reduction in the quantity or quality of the _____ [name that CEA], nor affect the use, function or enjoyment of the _____ [name that CEA].</p> <p>ii) <i>Yes. Coordinate with HCR regarding additional compliance steps.</i></p>

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Historic Properties		<p><i>Is the building a National Register of Historic Places (NRHP)-listed property or located adjacent to a NRHP listed property or a Historical District?</i></p> <p>a. <i>No – review concluded. Place X in Column B. Add text:</i> Not applicable. The building is not a historic property or located adjacent to a historic property; therefore, there is no potential effect on historic properties.</p> <p>b. <i>Yes. Coordinate with a qualified professional to evaluate the potential impact to adjacent historic properties and coordinate with HCR.</i></p>
Agricultural and Markets Law		<p><i>Is the property located within 500 feet of agricultural land?</i></p> <p>a. <i>No – review concluded. Place X in Column B. Add text:</i> This project will comply with the New York State Agriculture and Markets Law.</p> <p>b. <i>Yes. Do any of the following apply?</i></p> <p>i) <i>The project would sever, cross or limit access to agricultural land (including cropland, hayfields, pasture, vineyard, orchard, etc.).</i></p> <p>ii) <i>Construction activity would excavate or compact the soil profile of agricultural land.</i></p> <p>iii) <i>The project would irreversibly convert more than 10 acres of agricultural land or, if located in an Agricultural District, more than 2.5 acres of agricultural land.</i></p> <p>(1) <i>No – review concluded. Place X in column B. Add text:</i> Not applicable. The project activities have no potential to affect agricultural lands.</p> <p>(2) <i>Yes. Coordinate with HCR regarding additional compliance steps.</i></p>

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TO BE FILLED OUT BY PERSON WHO PREPARED DOCUMENT:

Prepared by:

Signature

Date:

**TO BE FILLED OUT BY HCR CERTIFYING OFFICER OR OTHER
APPROPRIATELY DESIGNATED OCR OFFICIAL:**

This Site Specific Checklist is determined to be acceptable.

Signature:

Printed Name:

Date:

Exhibit 5:
DASNY Site Inspection Checklist

**NEW YORK STATE HOMES & COMMUNITY RENEWAL
OFFICE OF COMMUNITY RENEWAL
SUPPLEMENTAL ENVIRONMENTAL QUESTIONNAIRE**

Department of Housing and Urban Development Appropriation Act, 2013
Community Development Block Grant Program Disaster Recovery Program –
NY Rising Housing Recovery Program – 5+ Unit Residential Properties

Property address: _____

Application #: _____

TO BE COMPLETED BY THE FIELD INSPECTOR; ATTACH ANY SPECIFIC NOTES, PHOTOS, AND ADDITIONAL MATERIAL:

	Yes	No	Compliance Data Attach Supporting Material
1	<input type="checkbox"/>	<input type="checkbox"/>	Based on your observations from the site, is there any visible apparent evidence of explosive or fire-prone materials such as liquid propane, gasoline, or other storage tanks adjacent to or visible from the project site? If yes, provide details.
2	<input type="checkbox"/>	<input type="checkbox"/>	Based on your observations from the site, is there any visible apparent evidence that the project site is near a commercial or industrial property? If yes, which company and the approximate distance.
3	<input type="checkbox"/>	<input type="checkbox"/>	Based on your observations from the site, is there any visible apparent evidence of soil problems (foundations cracking or settling, basement flooding, etc.) in the area of the site? If yes, specify which.
4	<input type="checkbox"/>	<input type="checkbox"/>	Based on your observations from the site, there any visible apparent evidence of any natural areas or parks, or are there any ponds or parks adjacent to the site? If yes, specify what.
5	<input type="checkbox"/>	<input type="checkbox"/>	Is there any visible apparent indication of any of the following (check all that apply): <input type="checkbox"/> distressed vegetation <input type="checkbox"/> oil/chemical spills <input type="checkbox"/> waste material/containers <input type="checkbox"/> loose/empty drums, barrels <input type="checkbox"/> soil staining, pools of liquid <input type="checkbox"/> abandoned machinery, cars, refrigerators, etc. <input type="checkbox"/> transformers, fill/vent pipes, pipelines, drainage structures If yes, attach photos and note where on the property these items are located.
6	<input type="checkbox"/>	<input type="checkbox"/>	Is there any visible apparent evidence of an underground or above-ground storage tank? If yes, specify which one and the location. Also specify the tank contents if possible. Please take a photograph of any tank(s).
7	<input type="checkbox"/>	<input type="checkbox"/>	Are there active rills and gullies on site? If yes, provide photographs.

SUPPLEMENTAL ENVIRONMENTAL QUESTIONNAIRE

	Yes	No	Compliance Data Attach Supporting Material
8	<input type="checkbox"/>	<input type="checkbox"/>	Is there any visible apparent indication of mold? If yes, describe location and extent.
9	<input type="checkbox"/>	<input type="checkbox"/>	Is there any visible apparent evidence of asbestos, lead-based paint, or hazardous materials present in the structure? Are removal plans or third-party clearance reports or operations and maintenance plans available from the property owner? If yes, attach copies.
10	<input type="checkbox"/>	<input type="checkbox"/>	Are there other unusual conditions on site? Explain in attached supporting material. Please take photographs, if possible.

Inspector's Signature: By signing this document I agree that I performed, or am familiar with, the site inspection(s) that are described above and certify that, to the best of my knowledge, the information provided is true and correct.

Printed Name: _____

Signature: _____

Date: _____

Property address: _____

Application #: _____

Appendix A:

Response to Comments

Introduction

This appendix includes a letter from the United States Environmental Protection Agency (EPA) providing comments on the Tier 1 Programmatic Environmental Assessment (PEA) for the Nassau County 5+ Unit Residential Properties Program and responses to the comments in that letter. Because this Tier 1 PEA addresses the same program activities and alternatives, the EPA letter and response to comments is included for reference.

Thomas J. King, Esq.
Certifying Officer
Governor's Office of Storm Recovery
99 Washington Avenue, Suite 1010
Albany, NY 12231

Dear Mr. King:

The Environmental Protection Agency has reviewed the Tier 1 Environmental Review Record (ERR)/Programmatic Environmental Assessment (PEA) prepared by New York State Homes and Community Renewal for the expenditure of U.S. Department of Housing and Urban Development's 2013 Community Development Block Grant-Disaster Recovery (CDBG-DR) funding. These funds are intended to support the NY Rising Housing Recovery Program and the Housing Rehabilitation 5+ Units Programs in New York counties affected by recent eligible disaster events. The PEA for Nassau County, New York indicates that separate PEAs will be prepared on a county-by-county basis. In fulfilling the requirements of the National Environmental Policy Act, the Tier 1 documents assess the potential environmental effects that are not location specific; Tier 2 site-specific analyses will be completed once specific sites are selected.

Background:

Nassau County covers 289,900 acres of which 104,368 acres are in floodplains. The number of residents most impacted by Hurricane Sandy's storm surge represents 25 percent of the county's population. There are 53,023 5+ unit residential buildings in Nassau, but FEMA damage assessment information has not yet been made available. As of March 2014, 19 applications for CDBG-DR had been received for the County's 5+ unit residential buildings.

The PEA indicates that the purpose and need of the proposed action is to provide assistance to owners and tenants of 5+ unit residential properties in Nassau County whose homes were damaged or destroyed by the eligible recent events. The alternatives offered in the document are:

1. No-Action Alternative,
Dismissed because damage would be unabated.
2. Limited Action Alternative – Rehabilitation without Resilience Measures,
Dismissed because safety would not be improved.
3. Limited Action Alternative – No Reimbursement Funding,
Dismissed because recovery has moved forward with independent funds and would have been delayed otherwise.
4. Limited Area Options – Buyout and/or Acquisition programs,
Dismissed because would not retain neighborhood character.

5. Proposed Alternative – Repair, Reconstruction, Resiliency and Reimbursement.

Comments:

Following are EPA's comments on the PEA for 5+ Unit Residential Properties in Nassau County, NY, Tier 1 document.

- For multi-resident properties, perhaps there should be some form of an acquisition alternative for individual unit owners.
- For those buildings that have to be demolished and reconstructed, as opposed to repaired, perhaps a buyout could be included as part of the proposed alternative. This would allow the option of creating open public space (e.g., playgrounds, small parks with green infrastructure elements to alleviate storm water runoff). "Green-converted" sites would provide extra protection and resilience within the floodplain and to nearby residents. Analysis has proven that ecological restoration can provide long-term economic benefits that far exceed the cost of a project.
<http://www.americanprogress.org/issues/green/report/2014/04/09/87438/the-economic-benefits-of-restoring-coastal-ecosystems/>.
- Even though using a tiering approach, the PEA should address the potential direct, indirect and cumulative impacts of each alternative.
- The cumulative impacts analysis should consider the environmental impacts of the project/program as a whole, and as one of a number of other proposed and/or approved actions in the area that would have the potential to impact the same resources (e.g., amount of pervious surface).
- The Cumulative Effects section mentions that resiliency measures will mitigate the flood risk of future storms. The PEA should include a listing of at least some of the possible measures, and in a general way, discuss the potential impacts of implementation, both beneficial and adverse.
- Additionally, it is necessary to include information making it clear that resilience measures will lessen, but not eliminate risk from future storms. The PEA needs to emphasize the difference between mitigation and avoidance. The document should clearly state the risk associated with living in a floodplain, even if structures are elevated.
- Natural elevations, storm surge, storm tide, winds, distance from the ocean and other factors influence the success of recovery techniques. The EA should indicate the existing conditions in the county and in a general way identify how these factors may influence the flood risk associated with future events. There should also be a discussion of ongoing sea level rise. Mapping tools are available for both sea level rise and potential storm surge. (Sea Level Rise and Coastal Flooding Impacts Viewer
http://www.csc.noaa.gov/digitalcoast/tools/slrviewer/?utm_source=DCC&utm_medium=

email&utm_campaign=DCC_May14)

- Stormwater issues should be discussed, pre-storm measures as well as projects being considered post-storm. The relationship between damaged structures and new anticipated structures with new strategies and practices on flood risk should be discussed.
- EPA understands the purpose and need of providing safe and adequate housing while retaining neighborhood character. However, there is an additional challenge in ensuring adequate and resilient multi-resident housing. This may be particularly relevant for renters who no longer wish to live in the floodplain. Also, renters and condominium or co-op owners have less control over ensuring appropriate resilience measures are taken. This should be discussed in the PEA as a potential socio-economic impact.
- Construction impacts section. EPA emphasizes the importance of the deconstruction activity. Millions of tons of usable material are needlessly buried each year in landfills. Energy and natural resources can be saved and/or usage reduced and the initial projected budget for a project may be decreased at the end. EPA understands that funded projects will be in accordance with federal, state, and local laws and regulations, and that they will include some degree of reuse and recycling, but EPA believes that the projects may have the opportunity to achieve more and would like to remind you of the following information:
 - EPA has developed a report and tool, “On the Road to Reuse: Residential Demolition Bid Specification Development Tool” (EPA Report 560K13002), for use by cities, counties or land banks undertaking large-scale residential demolitions. The tool allows the user to anticipate the environmental issues and concerns such that they can be factored into the planning and procurement process. The user is aided in developing contract language for a bid package that instructs contractors on specific technical requirements to achieve improved environmental results in a demolition project. Please refer to:
<http://www2.epa.gov/large-scale-residential-demolition/road-reuse-residential-demolition-bid-specification-development>.
- As this program is federally funded, it requires a general conformity applicability analysis in accordance with 40 CFR 93 Subpart B for any portion of the program that would fall within a nonattainment or maintenance area. The analysis should be completed prior to the start of any work, include all direct emissions that would be anticipated from demolition/construction/renovation activities, and be based on the best planning assumptions available at the time of the analysis. If emissions are estimated to be greater than the de minimis levels listed in 40 CFR 93.153(b) for any pollutant or precursor, then a full conformity determination would be required. The EPA Green Book Nonattainment Areas for Criteria Pollutants shows Nassau County, NY in the Non-attainment area for 8-hour Ozone and PM-2.5, and in the Maintenance area for Carbon Monoxide. Although the projects will not all be occurring at the same time, the air emissions associated with rehabilitation, reconstruction, and demolition should be evaluated. Estimates can be made of standard construction and demolition equipment emissions as well as the number of

activities based on FEMA damage assessments.

- Appendix P contains the NY Historic Preservation Programmatic Agreement, but none of the tribes mentioned in the agreement signed. Please clarify this.

Finally, EPA would like to recommend that details about the funded projects be added to the grants' database. Adding information on implemented adaptation measures to the already existing database of CDBG-DR funded projects will help increase knowledge of what measures and techniques are most successful in reducing risk levels. Having information such as resilience techniques, dollars spent, locations, etc., would facilitate smarter decision making and future environmental and economic analyses.

Thank you for the opportunity to comment. Please refer any questions to Maria R. Clark of my staff at (212) 637-3789 or clark.maria@epa.gov.

Sincerely,

Grace Musumeci, Chief
Environmental Review Section

cc: T.S. Parker, HUD
T. Fretwell, HUD

This attachment provides responses to comments on the NY Rising Housing Rehabilitation 5+ Units Program for Nassau County Tier 1 Programmatic Environmental Assessment (Nassau 5+ Tier 1 PEA) provided by the United States Environmental Protection Agency (EPA) on May 20, 2014.

EPA COMMENTS AND RESPONSES

Comment 1: For multi-resident properties, perhaps there should be some form of an acquisition alternative for individual unit owners.

Response: As discussed in Section 1.8 of the Nassau 5+ Tier 1 PEA, under the Acquisition Option of the Limited Area Alternative, funding would be authorized to purchase damaged residential properties for the purpose of future resilient redevelopment within Nassau County. Since individual units within a 5+ unit building are oftentimes structurally dependent upon each other, it may not be feasible to acquire and redevelop an individual unit in a more resilient manner. As such, the Acquisition Option considers the purchase of the entire building.

Comment 2: For those buildings that have to be demolished and reconstructed, as opposed to repaired, perhaps a buyout could be included as part of the proposed alternative. This would allow the option of creating open public space (e.g., playgrounds, small parks with green infrastructure elements to alleviate storm water runoff). “Green-converted” sites would provide extra protection and resilience within the floodplain and to nearby residents. Analysis has proven that ecological restoration can provide long-term economic benefits that far exceed the cost of a project.

<http://www.americanprogress.org/issues/green/report/2014/04/09/87438/the-economicbenefits-of-restoring-coastal-ecosystems/>

Response: A buyout option has been approved for Nassau County as a separate NY Rising Housing Recovery Program. The Tier 1 PEA for this program and other associated documents can be viewed online at <http://www.nyshcr.org/Programs/NYS-CDBG-DR/EnvironmentalReview.htm>.

As discussed in Section 1.8 of the Nassau 5+ Tier 1 PEA, a buyout option is considered as part of the Limited Area Alternative. However, this alternative would affect local economies and would result in costs far greater than those of repairing and rebuilding in a more resilient manner. The proposed action would provide assistance for repair, rehabilitation, replacement and reimbursement for completed repairs to owners and renters of 5+ unit residential properties in

NY Rising Housing Rehabilitation 5+ Units Program: Environmental Assessment for Properties in Nassau County, NY

Nassau County that were damaged or destroyed by Hurricane Irene and Superstorm Sandy. The program is needed to restore properties impacted by these storms through repair and replacement, and to help prevent future damage through the implementation of resiliency measures. Another goal of the program is to facilitate the provision of safe and adequate housing for residents of these communities, retain neighborhood character over the long-term, and improve the communities' resiliency to future storms.

Comment 3: Even though using a tiering approach, the PEA should address the potential direct, indirect and cumulative impacts of each alternative.

Response: The Nassau 5+ Tier 1 PEA has been amended to address the potential direct, indirect and cumulative impacts of each alternative (see Section 1.8).

Comment 4: The cumulative impacts analysis should consider the environmental impacts of the project/program as a whole, and as one of a number of other proposed and/or approved actions in the area that would have the potential to impact the same resources (e.g., amount of pervious surface).

Response: As discussed in the Nassau 5+ Tier 1 PEA, the proposed initiative would provide assistance for repair, rehabilitation, replacement and reimbursement for completed repairs to owners and renters of residential properties with five or more units in Nassau County that were damaged or destroyed by Hurricane Irene and Superstorm Sandy. Because the affected housing units already exist, there would be no new impacts to pervious surfaces, natural resources, etc. Since this is a Tier 1 programmatic review, and the complete list of site specific projects have not yet been identified, it is not feasible to quantify the potential increase in impervious surface. However, since these structures already exist no substantial change in the amount of pervious surface is anticipated. The Nassau 5+ Tier 1 PEA has been amended to address cumulative impacts generally, and where feasible more detailed information has been provided (see Section 1.9). Site specific details will be provided during the Tier 2 analysis.

Comment 5: The Cumulative Effects section mentions that resiliency measures will mitigate the flood risk of future storms. The PEA should include a listing of at least some of the possible measures, and in a general way, discuss the potential impacts of implementation, both beneficial and adverse.

Response: As discussed in Section 1.7 "Program Description," the program would fund the following resiliency measures:

- Elevation of housing structures to the required ABFE plus freeboard as required by each community's floodplain ordinance;
- Flood-proofing housing structures as required by each community's floodplain ordinance;

- Elevation or resiliency measures for electrical systems and components;
- Securing of fuel tanks;
- Use of flood resistant building materials below base flood elevation (retrofits to be limited in scope to be cost-effective);
- Installation of flood vents;
- Installation of backflow valves; and
- Installation of roof strapping.

The above resiliency measures would reduce potential flood risks to the repaired or reconstructed structures during future storm events. By reducing the amount of potential damage in the future, the program could have a long term positive fiscal effect on the community, as well as a positive effect on community character.

Comment 6: Additionally, it is necessary to include information making it clear that resilience measures will lessen, but not eliminate risk from future storms. The PEA needs to emphasize the difference between mitigation and avoidance. The document should clearly state the risk associated with living in a floodplain, even if structures are elevated.

Response: Comment noted. The Nassau 5+ Tier 1 PEA has been amended to explain the difference between mitigation and avoidance (see Section 1.2). The document identifies that the resiliency measures would reduce, but not eliminate, potential flood risks to the repaired or reconstructed structures during future storm events. It is noted that the New York Rising Housing Recovery Program also includes a Buyout program for areas of greatest risk. Actions that remove structures from the floodplain would avoid damage from future storm events. However, avoidance is not always the most economical or practical solution. As such, mitigation and resiliency measures are considered as part of the propped action. Resiliency measures, such as those identified in Response to Comment 5 above, reduce the risk of property damage or destruction during severe storm events, but the potential for future damage cannot be eliminated.

Comment 7: Natural elevations, storm surge, storm tide, winds, distance from the ocean and other factors influence the success of recovery techniques. The EA should indicate the existing conditions in the county and in a general way identify how these factors may influence the flood risk associated with future events. There should also be a discussion of ongoing sea level rise. Mapping tools are available for both sea level rise and potential storm surge. Sea Level Rise and Coastal Flooding Impacts Viewer:

http://www.csc.noaa.gov/digitalcoast/tools/slrviewer/?utm_source=DCC&utm_medium=email&utm_campaign=DCC_May14

NY Rising Housing Rehabilitation 5+ Units Program: Environmental Assessment for Properties in Nassau County, NY

Response: As noted in the Tier 1 PEA, climate change poses increasing risk of flooding as sea levels rise and storms become more intense. The Tier 1 PEA includes a discussion of floodplains, which account for natural elevations and distance from the ocean as factors in flood risk. All proposed reconstruction and repair of substantially damaged structures in a floodplain under the proposed action must adhere to the latest (most recent) elevation requirements and/or flood-proofing in accordance with 6 NYCRR Part 502 or the New York State Building Code. The resiliency measures discussed in Section 1.7 “Program Description” are intended to minimize future flood risk.

Comment 8: Stormwater issues should be discussed, pre-storm measures as well as projects being considered post-storm. The relationship between damaged structures and new anticipated structures with new strategies and practices on flood risk should be discussed.

Response: As discussed in the Nassau 5+ Tier 1 PEA, all sites will be evaluated for the need to comply with stormwater permitting requirements including a NYSDEC general permit or a Municipal Separate Storm Sewer Systems (MS4) permit. If multiple adjacent sites are involved, the sites will be aggregated for construction stormwater compliance. The proposed action involves the rehabilitation of existing structures and therefore would not result in substantial changes to stormwater flows.

Comment 9: EPA understands the purpose and need of providing safe and adequate housing while retaining neighborhood character. However, there is an additional challenge in ensuring adequate and resilient multi-resident housing. This may be particularly relevant for renters who no longer wish to live in the floodplain. Also, renters and condominium or co-op owners have less control over ensuring appropriate resilience measures are taken. This should be discussed in the PEA as a potential socio-economic impact.

Response: Section 3.17 of the Nassau 5+ Tier 1 PEA includes an environmental justice analysis. As discussed in that section, this program may have a positive impact on environmental justice as priority may be given to applicants whose income is below 80% of the median income, or in the case of multi-family housing, where at least 51% of tenants are of low or moderate income. These individuals are most in need of assistance in rehabilitating or reconstructing their living environment, and the program would help to remedy the environmental and health hazards caused by the recent storms. Approximately 45.7% of all NY Rising applications have been from low to moderate income individuals. The State will pay particular attention to the needs of the most vulnerable populations; the elderly and disabled, minority populations, low- to moderate-income residents, and others. NYS and its partners will be conducting extensive

outreach to ensure that available resources are made available to the populations with the greatest needs.

Comment 10: EPA emphasizes the importance of the deconstruction activity. Millions of tons of usable material are needlessly buried each year in landfills. Energy and natural resources can be saved and/or usage reduced and the initial projected budget for a project may be decreased at the end. EPA understands that funded projects will be in accordance with federal, state, and local laws and regulations, and that they will include some degree of reuse and recycling, but EPA believes that the projects may have the opportunity to achieve more and would like to remind you of the following information: EPA has developed a report and tool, “On the Road to Reuse: Residential Demolition Bid Specification Development Tool” (EPA Report 560K13002), for use by cities, counties or land banks undertaking large-scale residential demolitions. The tool allows the user to anticipate the environmental issues and concerns such that they can be factored into the planning and procurement process. The user is aided in developing contract language for a bid package that instructs contractors on specific technical requirements to achieve improved environmental results in a demolition project. Please refer to: <http://www2.epa.gov/large-scale-residential-demolition/road-reuse-residentialdemolition-bid-specification-development>.

Response: Comment noted. The proposed action would not involve large-scale residential demolitions.

Comment 11: As this program is federally funded, it requires a general conformity applicability analysis in accordance with 40 CFR 93 Subpart B for any portion of the program that would fall within a nonattainment or maintenance area. The analysis should be completed prior to the start of any work, include all direct emissions that would be anticipated from demolition/construction/renovation activities, and be based on the best planning assumptions available at the time of the analysis. If emissions are estimated to be greater than the de minimis levels listed in 40 CFR 93.153(b) for any pollutant or precursor, then a full conformity determination would be required. The EPA Green Book Nonattainment Areas for Criteria Pollutants shows Nassau County, NY in the Non-attainment area for 8- hour Ozone and PM-2.5, and in the Maintenance area for Carbon Monoxide. Although the projects will not all be occurring at the same time, the air emissions associated with rehabilitation, reconstruction, and demolition should be evaluated. Estimates can be made of standard construction and demolition equipment emissions as well as the number of activities based on FEMA damage assessments.

Response: Comment noted. An Air Quality conformity screening analysis is provided in Appendix M. The analysis concludes that emissions from construction activities would be less than de minimis levels above which a general conformity analysis or determination would be required

NY Rising Housing Rehabilitation 5+ Units Program: Environmental Assessment for Properties in Nassau County, NY

Comment 12: Appendix P contains the NY Historic Preservation Programmatic Agreement, but none of the tribes mentioned in the agreement signed. Please clarify this.

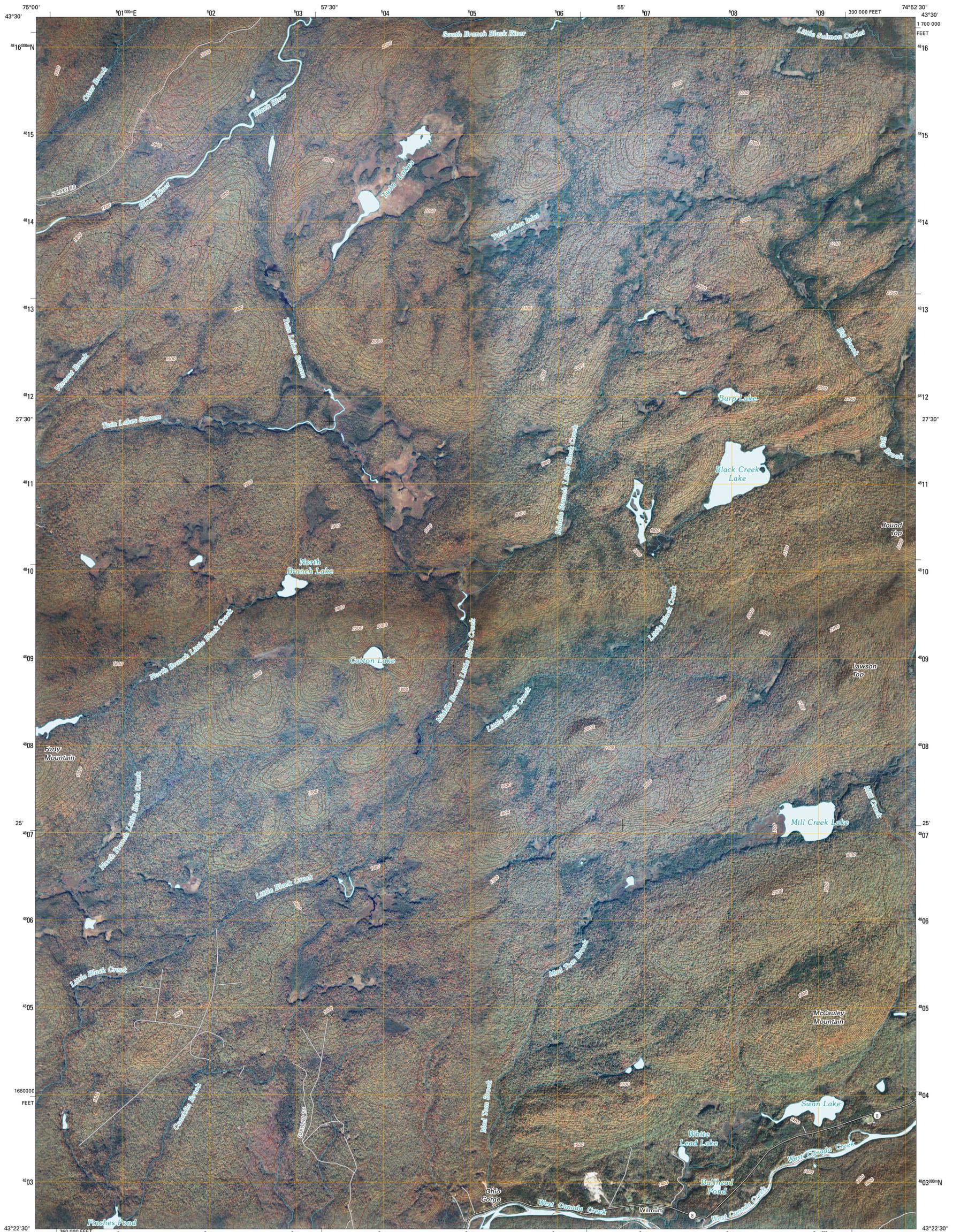
Response: The Federal Emergency Management Agency (FEMA) entered into a Statewide Programmatic Agreement for New York, pursuant to 36 CFR Part 800, regulations implementing Section 106 the National Historic Preservation Act of 1966 (as amended). The purpose of the Agreement is to ensure that FEMA satisfies its Section 106 responsibilities for all undertakings administered through its Federal disaster assistance programs, and at the same time avoids delays in its effort to help New York recover from Hurricane Sandy. Parties to the Agreement include the New York State Historic Preservation Officer, the New York State Office of Emergency Management, Advisory Council on Historic Preservation and tribes that may have an interest in sites that may contain human remains and/or associated cultural items uncovered as a result of FEMA’s undertakings. The Programmatic Agreement was signed by the Federal Emergency Management Agency, the New York State Historic Preservation Officer, the New York State Office Of Emergency Management, the Landmarks Preservation Commission, and the Advisory Council On Historic Preservation. The Programmatic Agreement was properly noticed and provided to the appropriate Tribal Historic Preservation Officers for signature. However, no response was received.

Comment 13: EPA would like to recommend that details about the funded projects be added to the grants’ database. Adding information on implemented adaptation measures to the already existing database of CDBG-DR funded projects will help increase knowledge of what measures and techniques are most successful in reducing risk levels. Having information such as resilience techniques, dollars spent, locations, etc., would facilitate smarter decision making and future environmental and economic analyses.

Response: Comment noted.

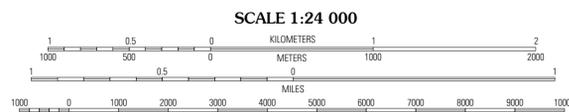
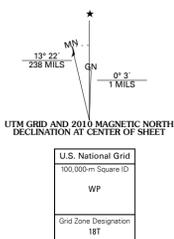
Appendix B:

**Herkimer County
USGS Topographic Maps**



Produced by the United States Geological Survey
North American Datum of 1983 (NAD83)
World Geodetic System of 1984 (WGS84). Projection and
1 000-meter grid: Universal Transverse Mercator, Zone 18T
10 000-foot ticks: New York Coordinate System of 1983
(east zone)

Imagery.....NAIP, October 2008
Roads.....US Census Bureau TIGER data
with limited USGS updates, 2006
Names.....GNIS, 2008
Hydrography.....National Hydrography Dataset, 2008
Contours.....National Elevation Dataset, 1998



SCALE 1:24 000

CONTOUR INTERVAL 20 FEET

This map was produced to conform with version 0.5.10 of the
draft USGS Standards for 7.5-Minute Quadrangle Maps.
A metadata file associated with this product is also draft version 0.5.10



QUADRANGLE LOCATION

McKeever	Baby Lakes	Homesdale Lake
North Wilmut	Black Creek Lake	Marchessault
Hinckley	Ohio	Jerseyfield Lake

ADJOINING 7.5 QUADRANGLES



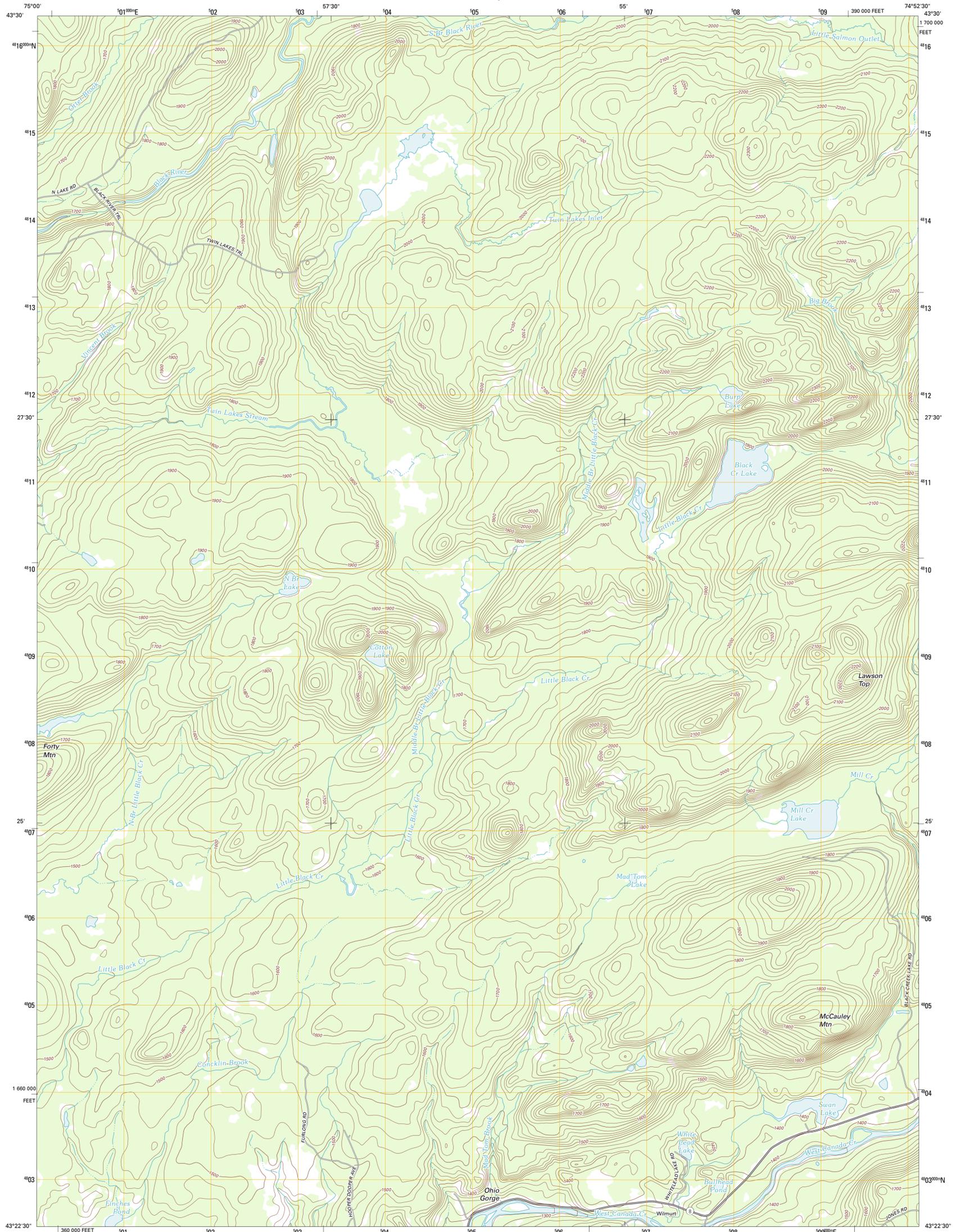
BLACK CREEK LAKE, NY
2010



U.S. DEPARTMENT OF THE INTERIOR
U. S. GEOLOGICAL SURVEY

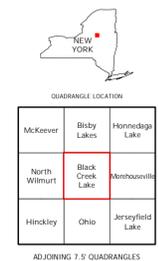
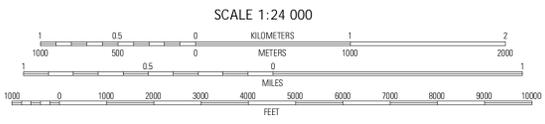
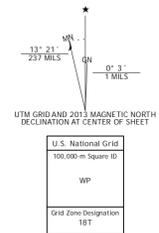


BLACK CREEK LAKE QUADRANGLE
NEW YORK-HERKIMER CO.
7.5-MINUTE SERIES



Produced by the United States Geological Survey
North American Datum of 1983 (NAD83)
World Geodetic System of 1984 (WGS84). Projection and
1:000-meter grid: Universal Transverse Mercator, Zone 18T
10,000-foot ticks: New York Coordinate System of 1983 (east
zone)

Imagery.....NAIP, September 2011
Roads.....©2006-2012 TomTom
Names.....©2006-2012 TomTom
Hydrography.....National Hydrography Dataset, 2011
Contours.....National Elevation Dataset, 1998
Boundaries.....Census, IBWC, USGS, 1912-2012



CONTOUR INTERVAL 20 FEET
NORTH AMERICAN VERTICAL DATUM OF 1988

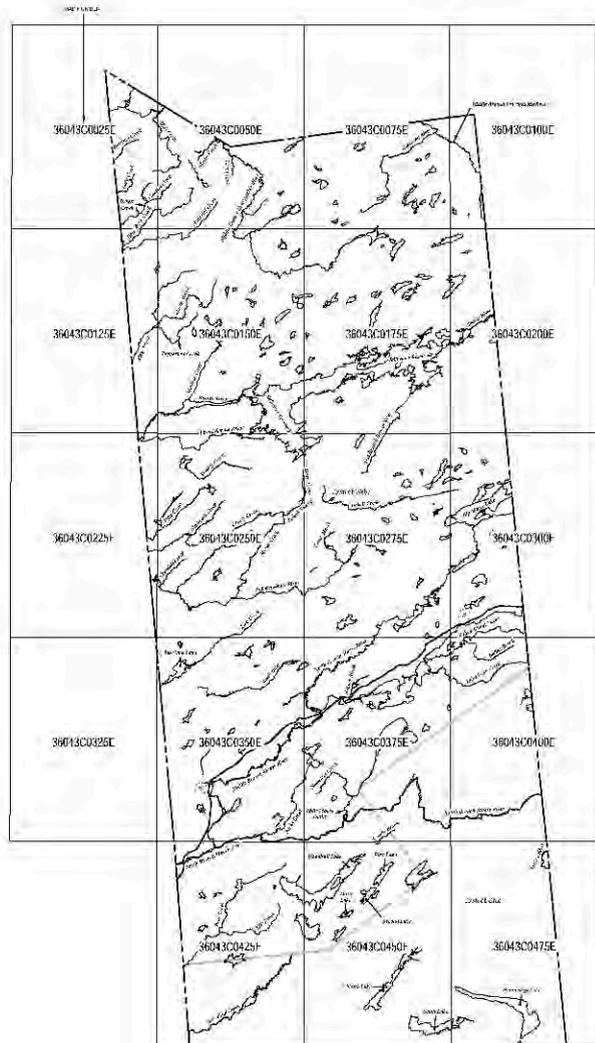
This map was produced to conform with the
National Geospatial Program US Topo Product Standard, 2011.
A metadata file associated with this product is draft version 0.6.7

BLACK CREEK LAKE, NY
2013

Appendix C:

Herkimer County

FEMA Flood Insurance Rate Maps



HERKIMER COUNTY COMMUNITIES						
COMMUNITY NAME	FIRM RISK CATEGORY	COMMUNITY NUMBER	FIRM RISK CODE	FIRM RISK RATE	1976 FIRM RISK RATE	1976 FIRM RISK RATE
ALBANY	1	001	1	1.00	1.00	1.00
ALBANY	2	002	2	2.00	2.00	2.00
ALBANY	3	003	3	3.00	3.00	3.00
ALBANY	4	004	4	4.00	4.00	4.00
ALBANY	5	005	5	5.00	5.00	5.00
ALBANY	6	006	6	6.00	6.00	6.00
ALBANY	7	007	7	7.00	7.00	7.00
ALBANY	8	008	8	8.00	8.00	8.00
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ALBANY	10	010	10	10.00	10.00	10.00
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ALBANY	37	037	37	37.00	37.00	37.00
ALBANY	38	038	38	38.00	38.00	38.00
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ALBANY	42	042	42	42.00	42.00	42.00
ALBANY	43	043	43	43.00	43.00	43.00
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ALBANY	99	099	99	99.00	99.00	99.00
ALBANY	100	100	100	100.00	100.00	100.00

NOTE TO USERS

FEMA maintains information about map features, such as street locations and names, in or near designated flood hazard areas. Requests to revise flood information in or near designated flood hazard areas may be provided to FEMA during the community review period, at the final Consultation Coordinator's meeting, or during the statutory 90-day appeal period. Approved requests for changes will be shown on the final printed FIRMA.

MAP DATES

The FIRMA was updated in accordance with the provisions of the Flood Insurance Reform Act of 1994 (Public Law 103-40) and the Flood Insurance Reform Act of 1997 (Public Law 105-33). The FIRMA was updated in accordance with the provisions of the Flood Insurance Reform Act of 2004 (Public Law 108-367) and the Flood Insurance Reform Act of 2005 (Public Law 109-144).

NOTE TO USERS

This map is a reproduction of the original map. It is not a substitute for the original map. The original map is the only authoritative source of information. This map is for informational purposes only. It is not to be used for any other purpose. The original map is available for purchase from FEMA.

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PRELIMINARY
SEPTEMBER 30, 2011

NATIONAL FLOOD INSURANCE PROGRAM

MAP INDEX

FIRM
FLOOD INSURANCE RATE MAP
HERKIMER COUNTY,
NEW YORK
(ALL JURISDICTIONS)
AUTOMATICALLY RECALCULATED

MAP INDEX
SHEET 1 OF 2
PANELS PRINTED: 10/11/11 10:00 AM
(SEE SHEET 2 FOR ADDITIONAL PANELS
INDEX)

MAP NUMBER
36043CIND1A
EFFECTIVE DATE

See Sheet 2 of 2 for
MAP REPOSITORY LISTING

Appendix D:

Herkimer County

Wetlands Maps

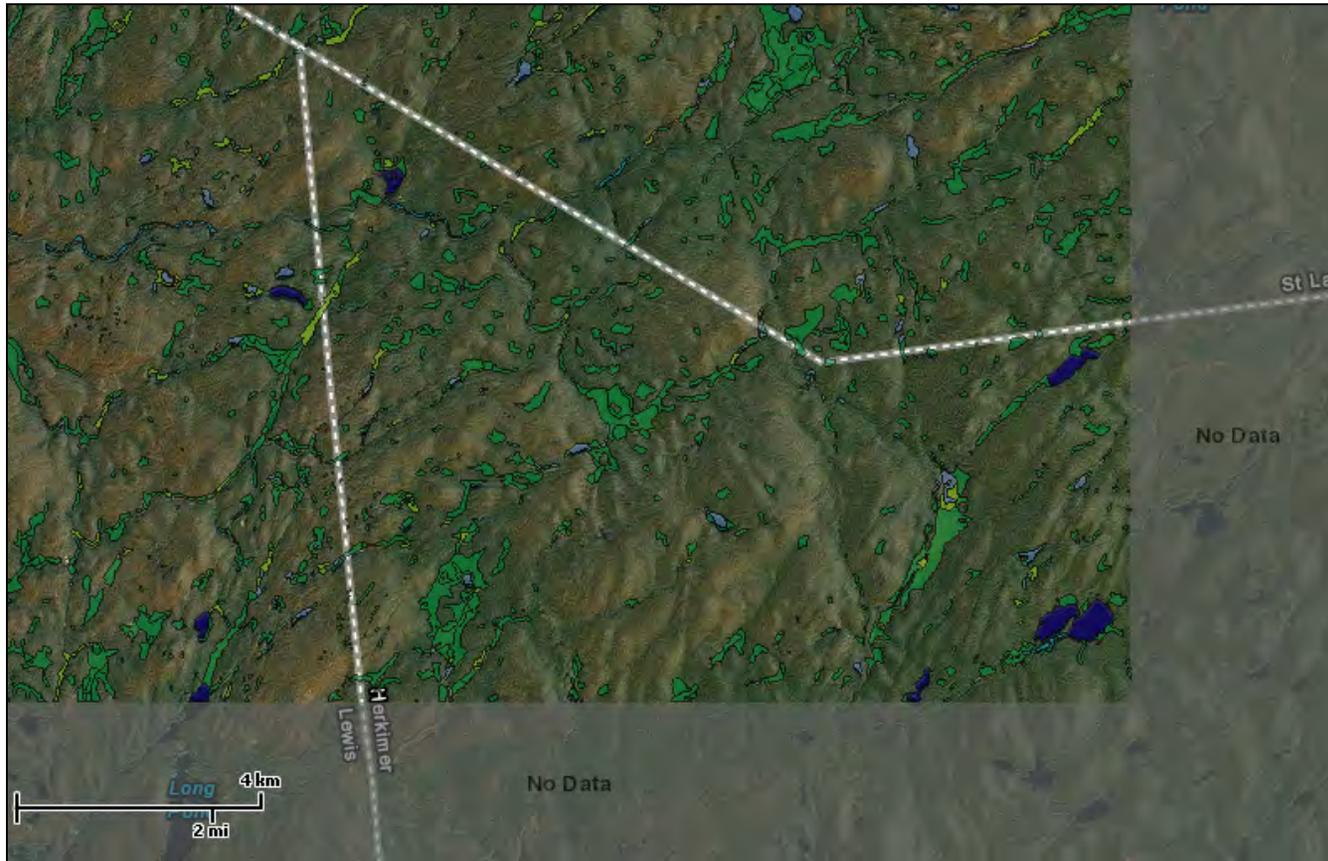


U.S. Fish and Wildlife Service

National Wetlands Inventory

Herkimer
Northwest

Oct 23, 2013



Wetlands

- Freshwater Emergent
- Freshwater Forested/Shrub
- Estuarine and Marine Deepwater
- Estuarine and Marine
- Freshwater Pond
- Lake
- Riverine
- Other

This map is for general reference only. The US Fish and Wildlife Service is not responsible for the accuracy or currentness of the base data shown on this map. All wetlands related data should be used in accordance with the layer metadata found on the Wetlands Mapper web site.

User Remarks:



U.S. Fish and Wildlife Service National Wetlands Inventory

Herkimer West

Oct 23, 2013



Wetlands

- Freshwater Emergent
- Freshwater Forested/Shrub
- Estuarine and Marine Deepwater
- Estuarine and Marine
- Freshwater Pond
- Lake
- Riverine
- Other

This map is for general reference only. The US Fish and Wildlife Service is not responsible for the accuracy or currentness of the base data shown on this map. All wetlands related data should be used in accordance with the layer metadata found on the Wetlands Mapper web site.

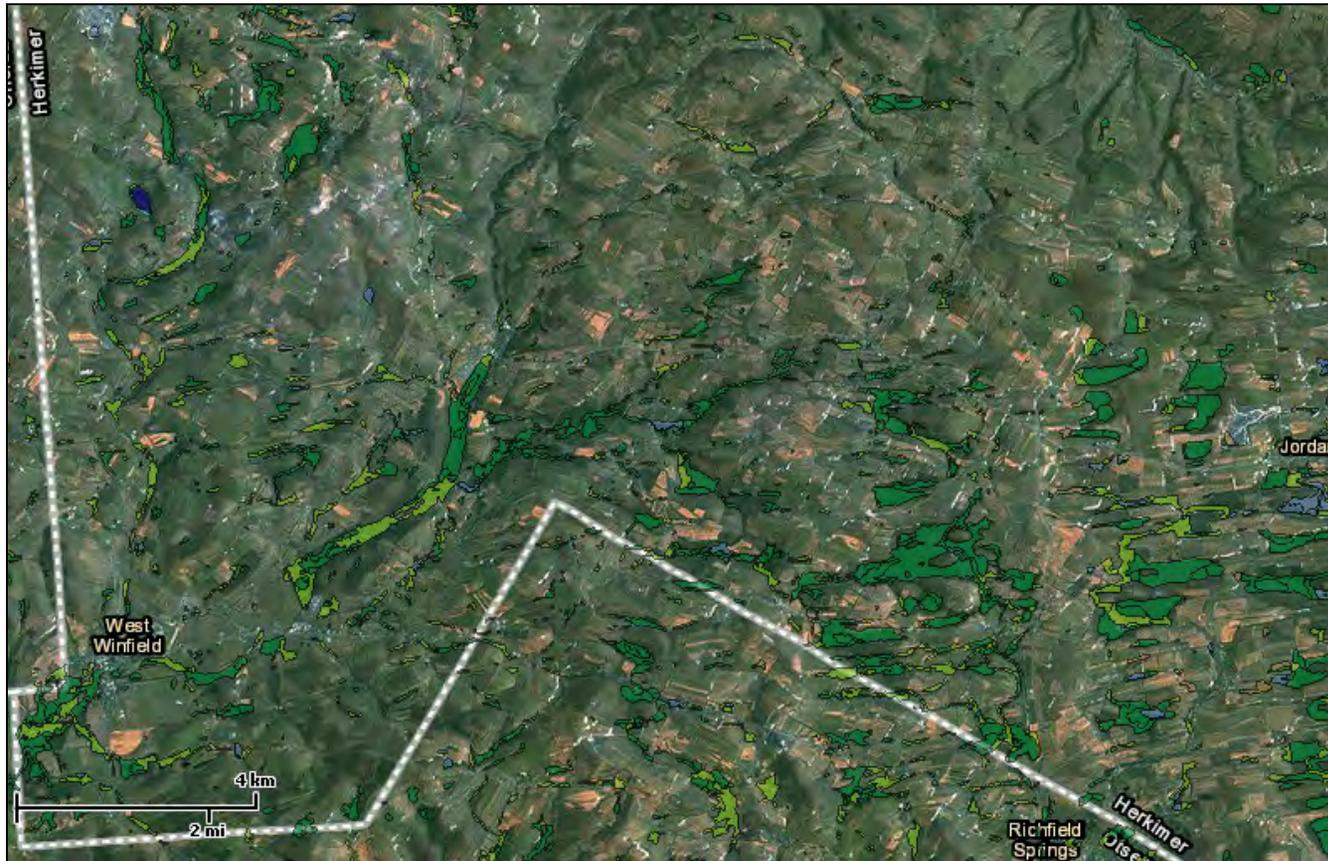
User Remarks:



U.S. Fish and Wildlife Service National Wetlands Inventory

Herkimer
Southwest

Oct 23, 2013



Wetlands

-  Freshwater Emergent
-  Freshwater Forested/Shrub
-  Estuarine and Marine Deepwater
-  Estuarine and Marine
-  Freshwater Pond
-  Lake
-  Riverine
-  Other

This map is for general reference only. The US Fish and Wildlife Service is not responsible for the accuracy or currentness of the base data shown on this map. All wetlands related data should be used in accordance with the layer metadata found on the Wetlands Mapper web site.

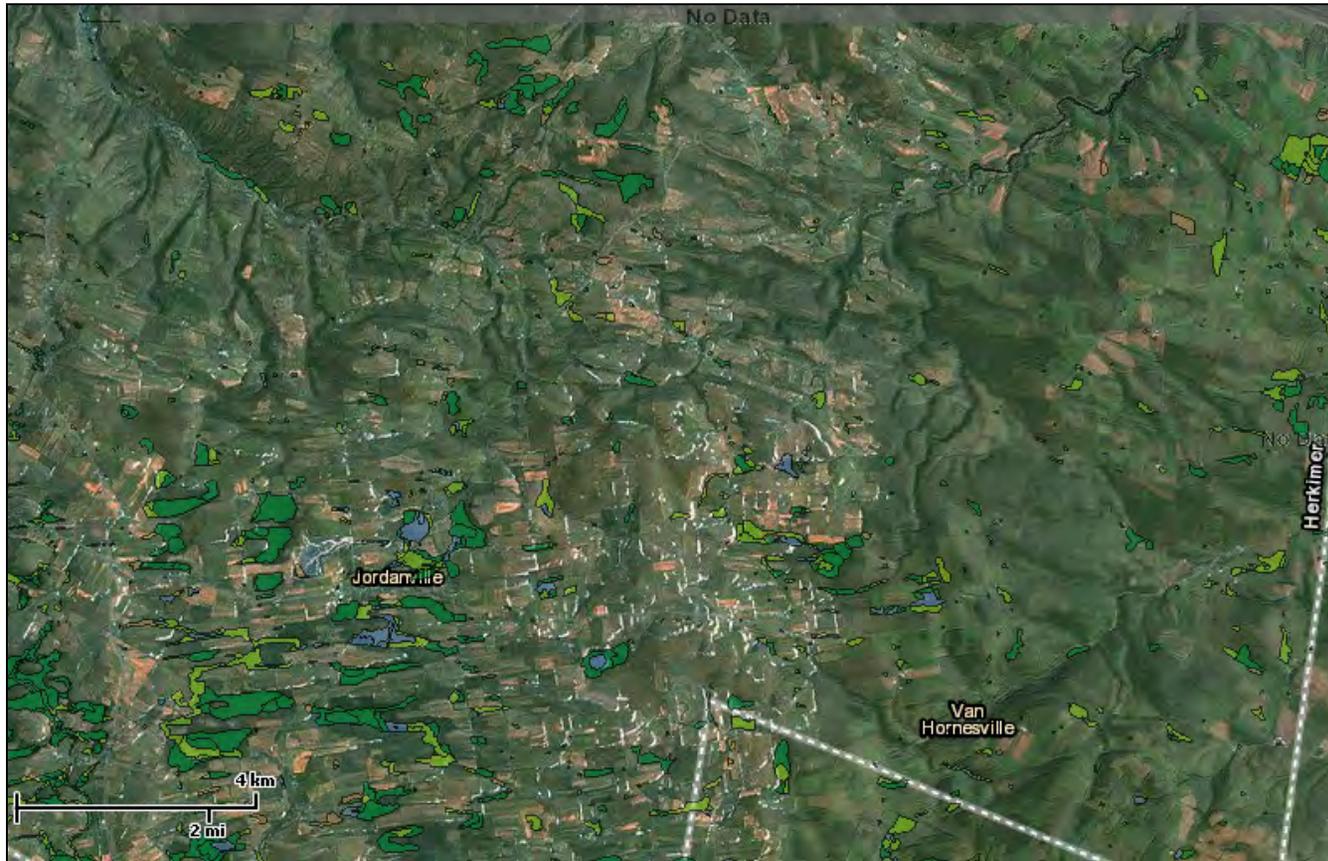
User Remarks:



U.S. Fish and Wildlife Service National Wetlands Inventory

Herkimer
Southeast

Oct 23, 2013



Wetlands

- Freshwater Emergent
- Freshwater Forested/Shrub
- Estuarine and Marine Deepwater
- Estuarine and Marine
- Freshwater Pond
- Lake
- Riverine
- Other

This map is for general reference only. The US Fish and Wildlife Service is not responsible for the accuracy or currentness of the base data shown on this map. All wetlands related data should be used in accordance with the layer metadata found on the Wetlands Mapper web site.

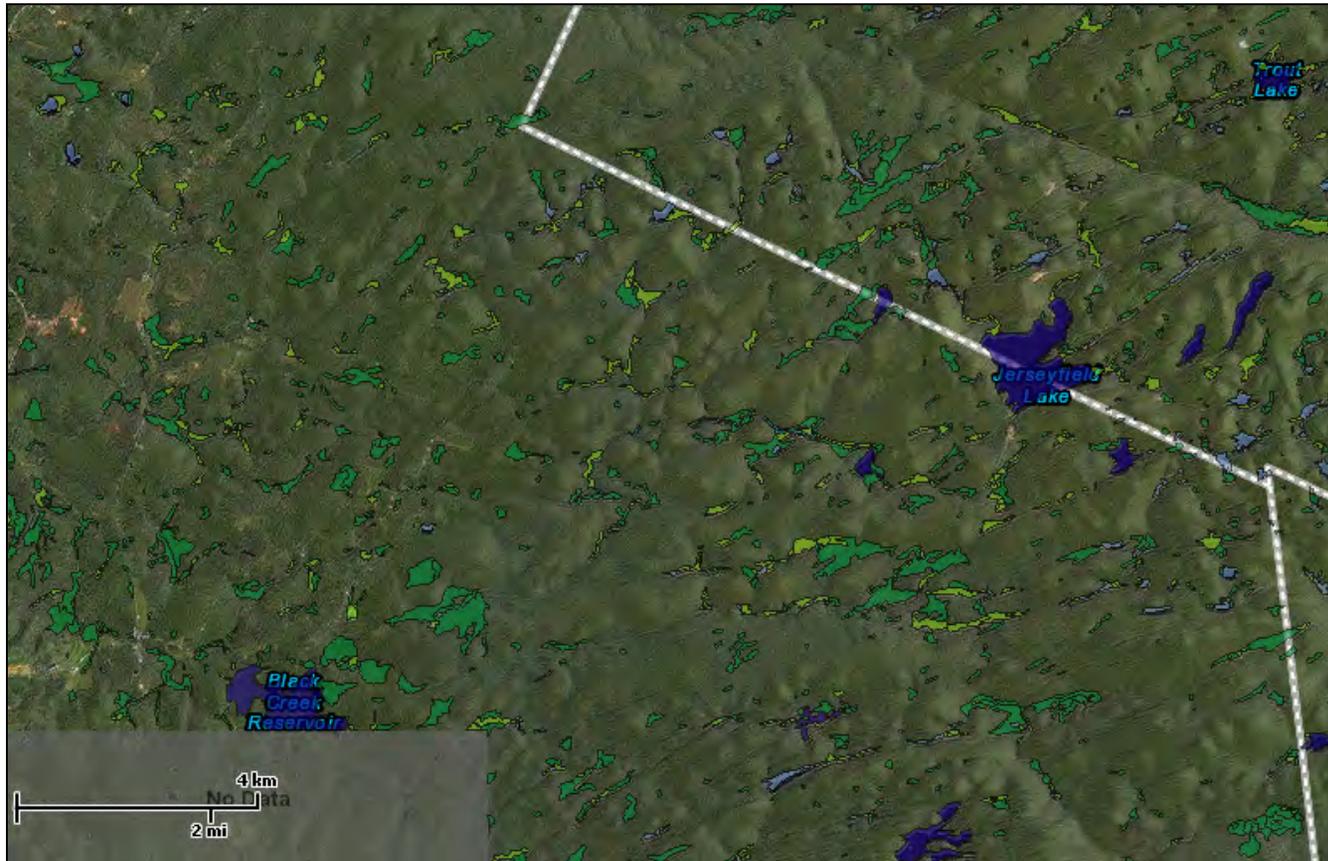
User Remarks:



U.S. Fish and Wildlife Service National Wetlands Inventory

Herkimer Center -
Southeast

Oct 23, 2013



Wetlands

- Freshwater Emergent
- Freshwater Forested/Shrub
- Estuarine and Marine Deepwater
- Estuarine and Marine
- Freshwater Pond
- Lake
- Riverine
- Other

This map is for general reference only. The US Fish and Wildlife Service is not responsible for the accuracy or currentness of the base data shown on this map. All wetlands related data should be used in accordance with the layer metadata found on the Wetlands Mapper web site.

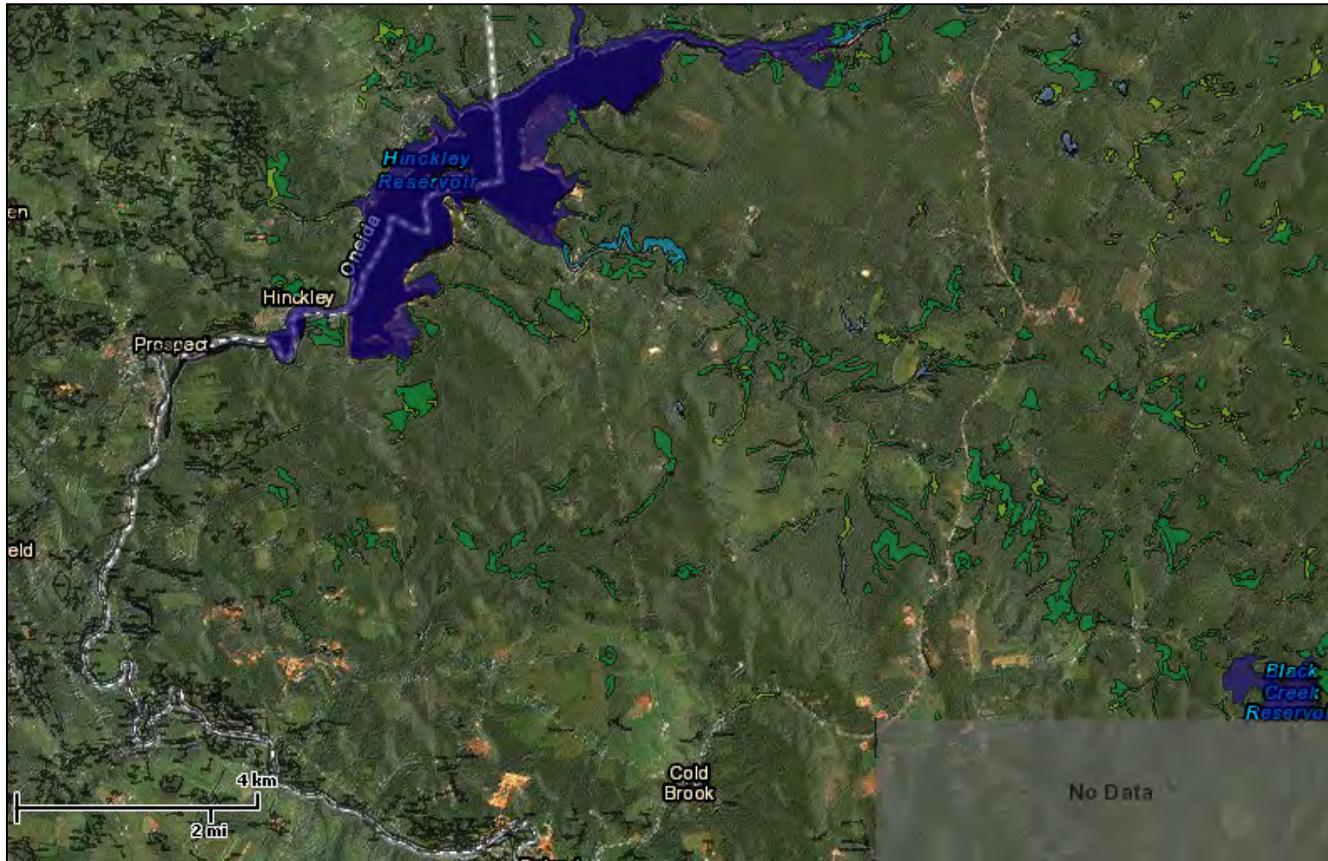
User Remarks:



U.S. Fish and Wildlife Service National Wetlands Inventory

Herkimer Center -
Southwest

Oct 23, 2013



Wetlands

- Freshwater Emergent
- Freshwater Forested/Shrub
- Estuarine and Marine Deepwater
- Estuarine and Marine
- Freshwater Pond
- Lake
- Riverine
- Other

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User Remarks:



U.S. Fish and Wildlife Service

National Wetlands Inventory

Herkimer -Center
South

Oct 23, 2013



Wetlands

- Freshwater Emergent
- Freshwater Forested/Shrub
- Estuarine and Marine Deepwater
- Estuarine and Marine
- Freshwater Pond
- Lake
- Riverine
- Other

This map is for general reference only. The US Fish and Wildlife Service is not responsible for the accuracy or currentness of the base data shown on this map. All wetlands related data should be used in accordance with the layer metadata found on the Wetlands Mapper web site.

User Remarks:



U.S. Fish and Wildlife Service

National Wetlands Inventory

Herkimer - Center

Oct 23, 2013



Wetlands

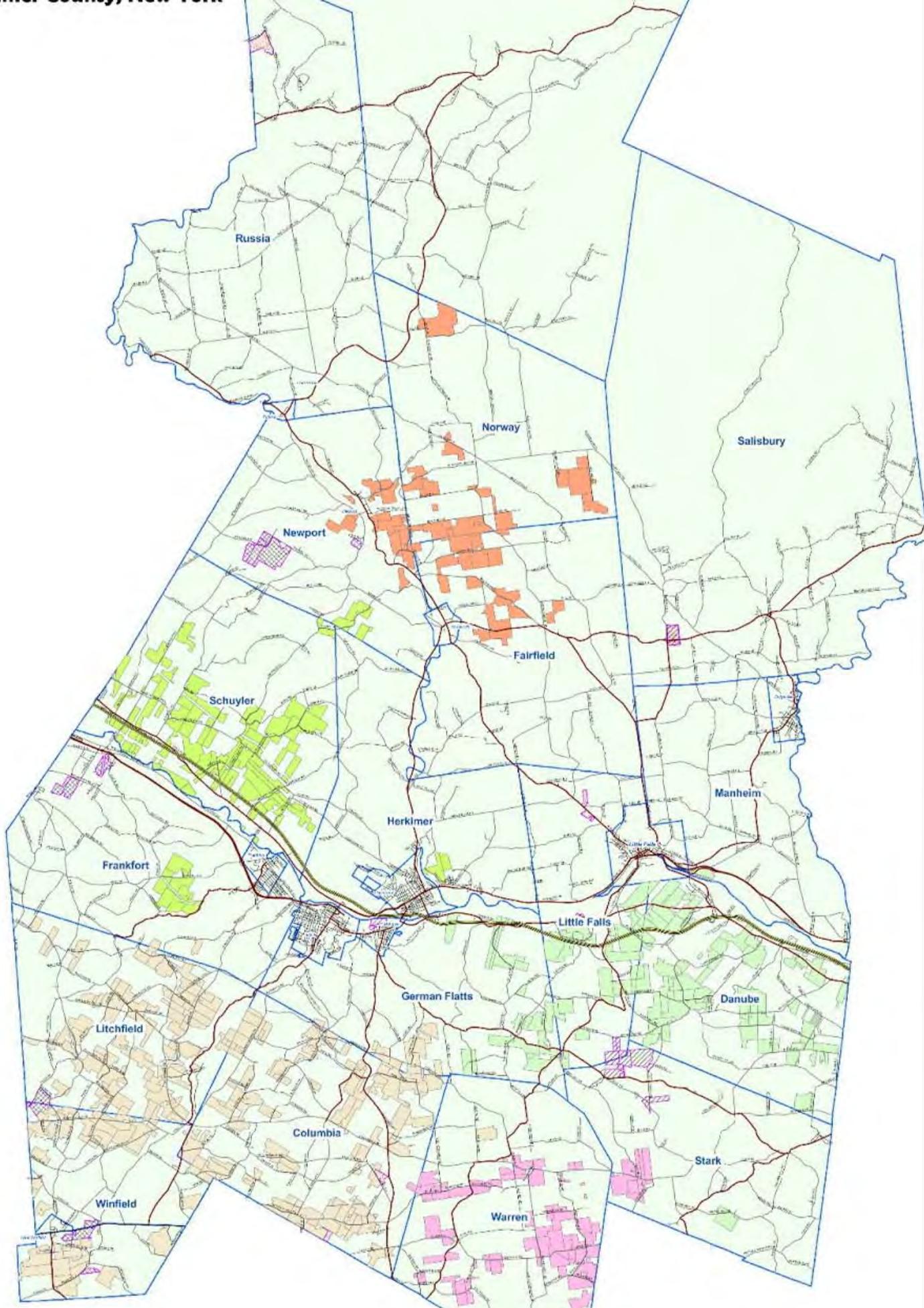
-  Freshwater Emergent
-  Freshwater Forested/Shrub
-  Estuarine and Marine Deepwater
-  Estuarine and Marine
-  Freshwater Pond
-  Lake
-  Riverine
-  Other

This map is for general reference only. The US Fish and Wildlife Service is not responsible for the accuracy or currentness of the base data shown on this map. All wetlands related data should be used in accordance with the layer metadata found on the Wetlands Mapper web site.

User Remarks:

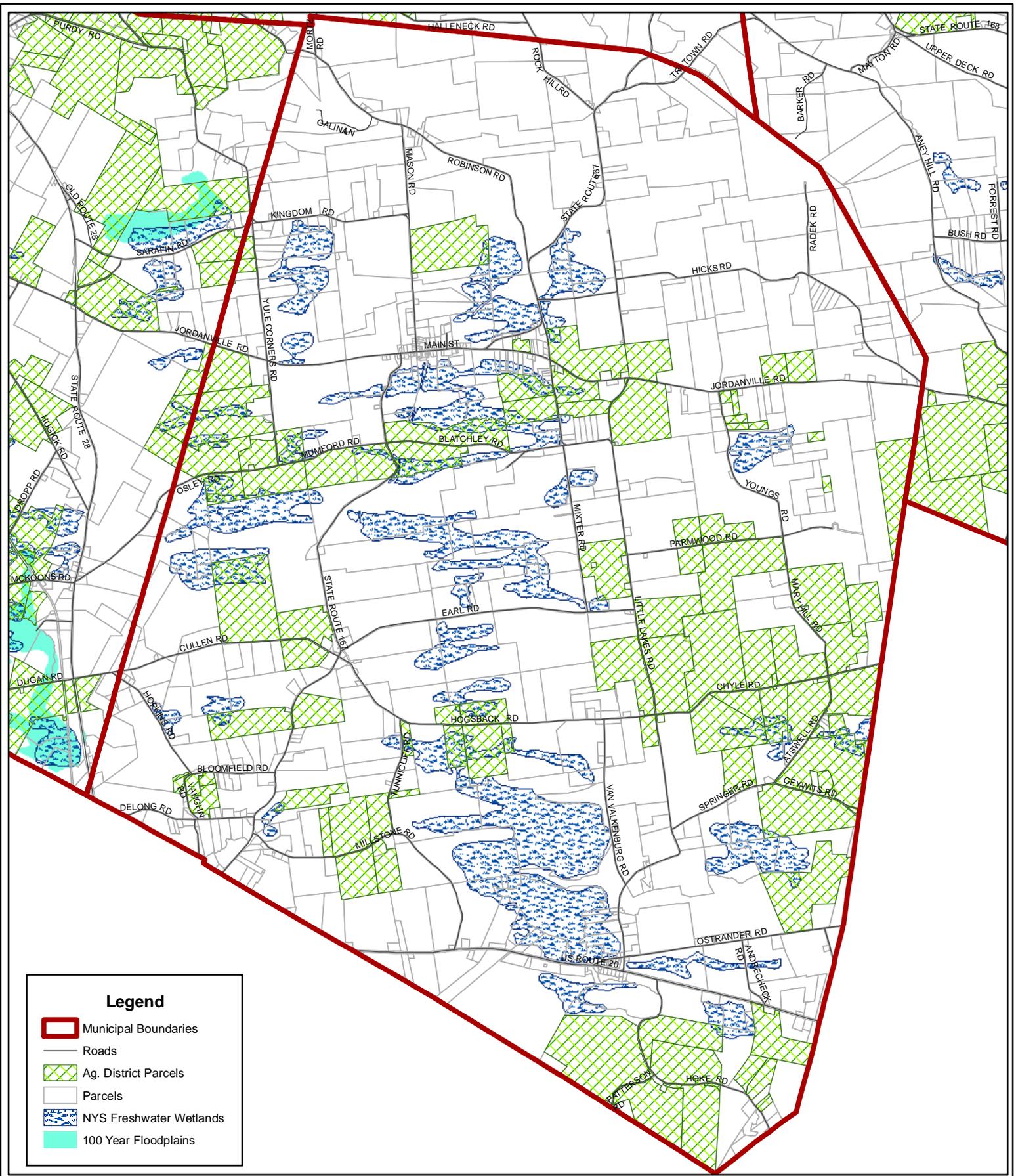
Appendix E:

Herkimer County Land Use and Zoning Maps



Legend

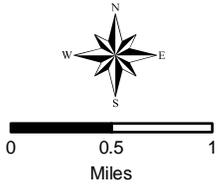
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[Purple]	NY State Route 28B
[Yellow-Green]	NY State Route 28C
[Green]	NY State Route 28D
[Light Green]	NY State Route 28E
[Pink]	NY State Route 28F
[Blue]	NY State Route 28G
[Light Green]	NY State Route 28H
[Orange]	NY State Route 28I
[Light Green]	NY State Route 28J
[Light Green]	NY State Route 28K
[Light Green]	NY State Route 28L
[Light Green]	NY State Route 28M
[Light Green]	NY State Route 28N
[Light Green]	NY State Route 28O
[Light Green]	NY State Route 28P
[Light Green]	NY State Route 28Q
[Light Green]	NY State Route 28R
[Light Green]	NY State Route 28S
[Light Green]	NY State Route 28T
[Light Green]	NY State Route 28U
[Light Green]	NY State Route 28V
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[Light Green]	NY State Route 28Z



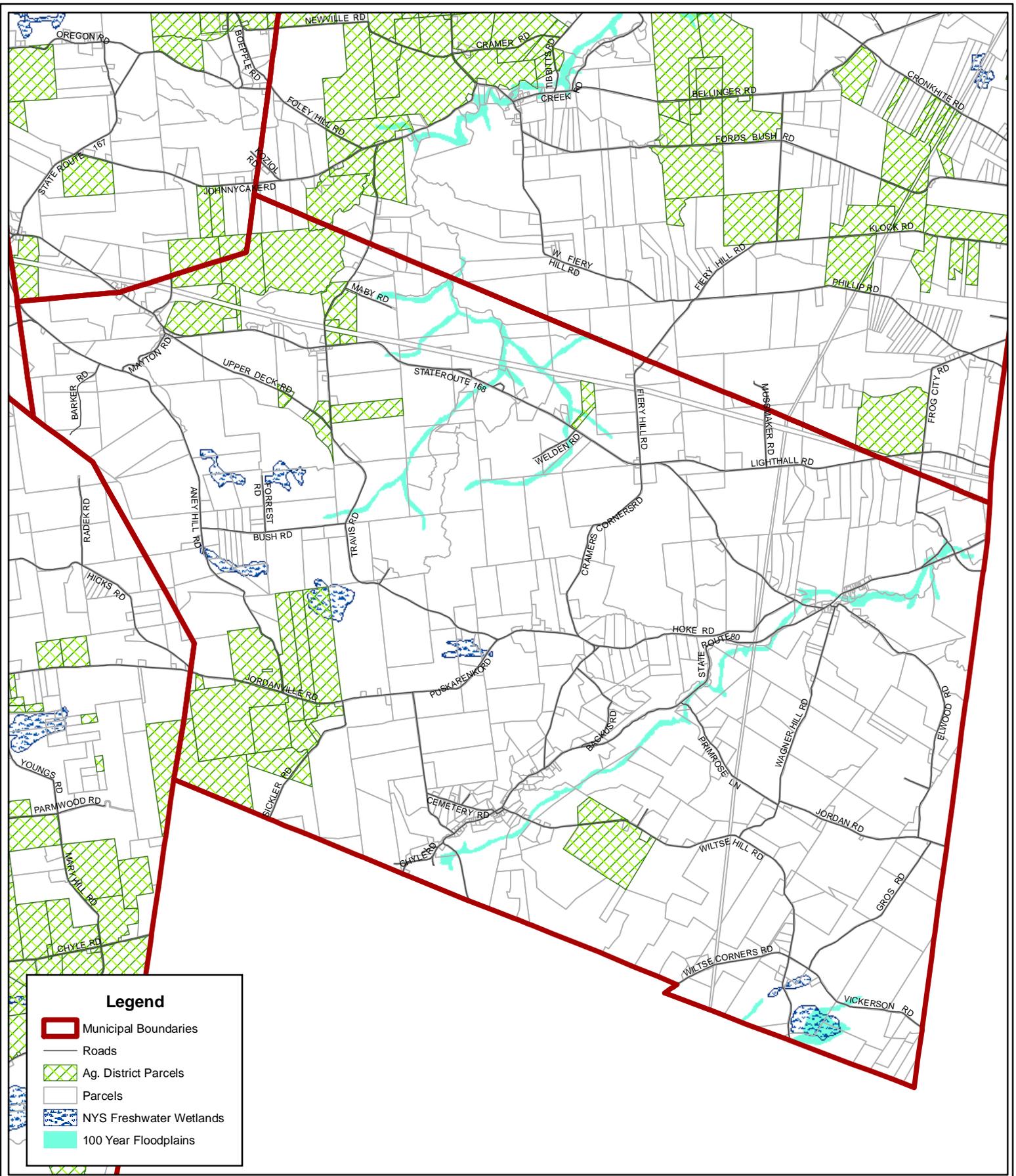
Legend

-  Municipal Boundaries
-  Roads
-  Ag. District Parcels
-  Parcels
-  NYS Freshwater Wetlands
-  100 Year Floodplains

Agricultural District Properties
 Town of Warren
 Date of Mapping: April 26, 2011



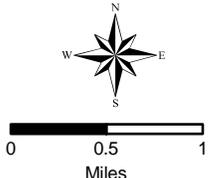
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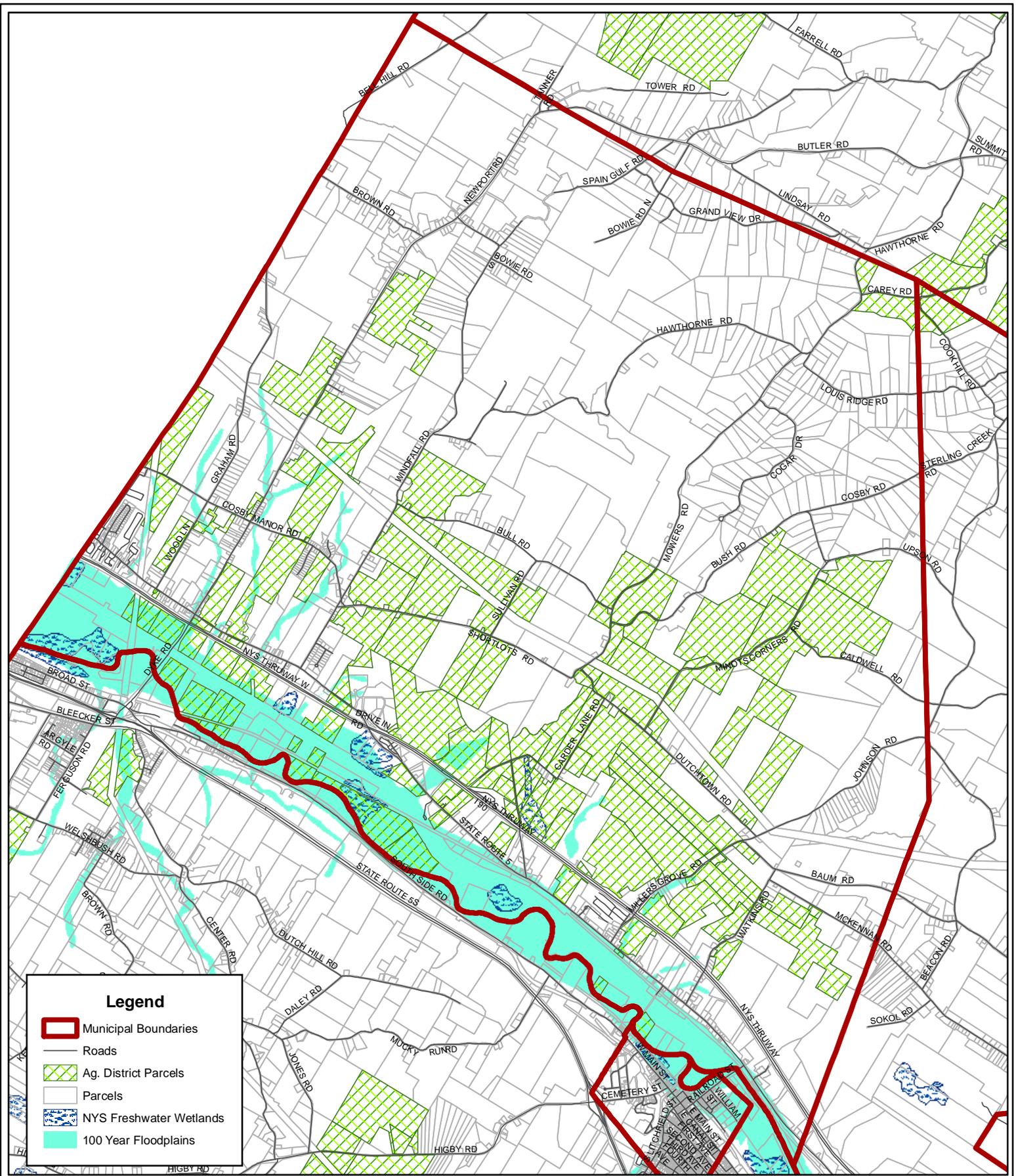
Legend

- Municipal Boundaries
- Roads
- Ag. District Parcels
- Parcels
- NYS Freshwater Wetlands
- 100 Year Floodplains

Agricultural District Properties
 Town of Stark
 Date of Mapping: April 14, 2011



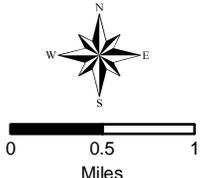
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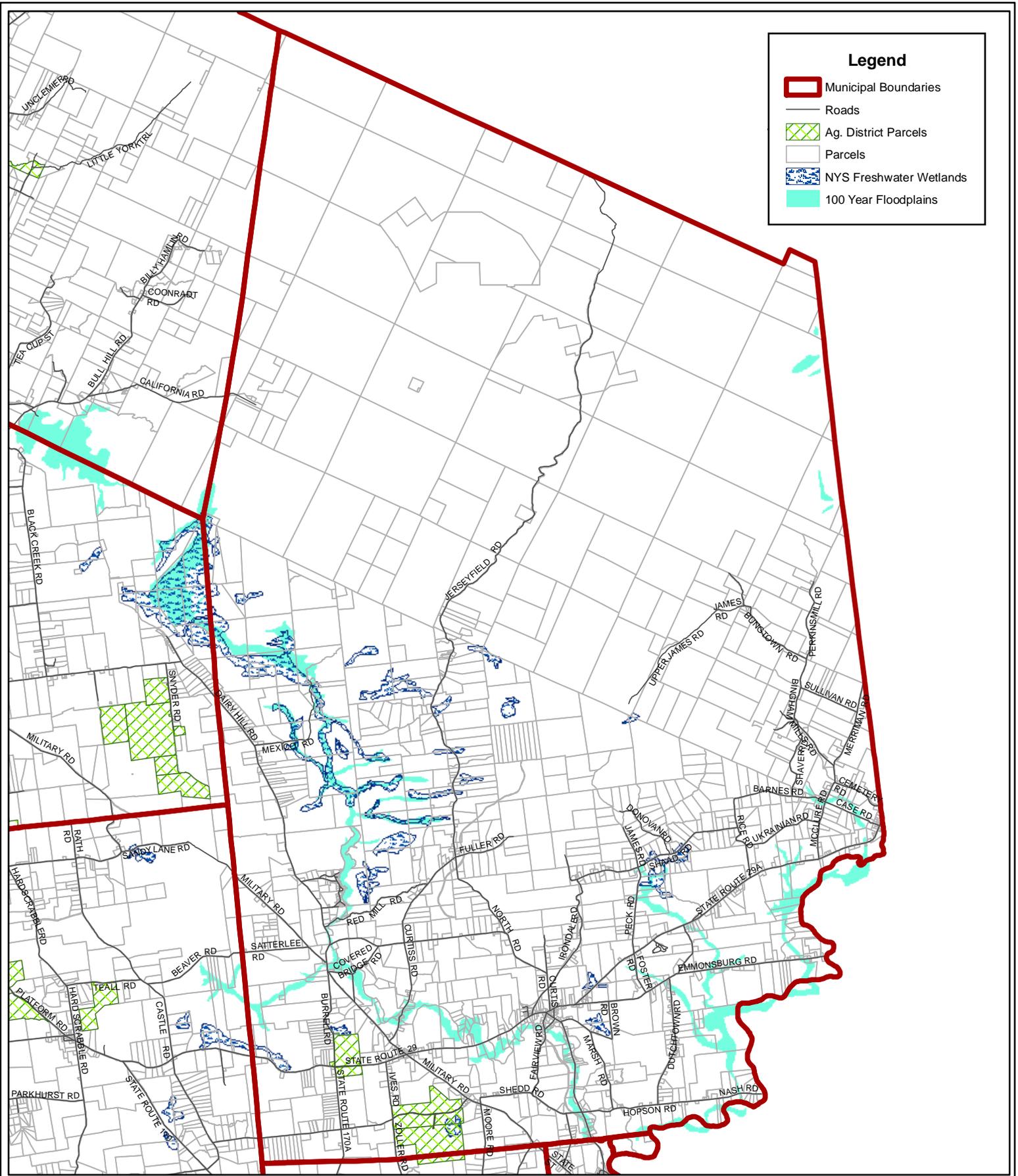
Legend

- Municipal Boundaries
- Roads
- Ag. District Parcels
- Parcels
- NYS Freshwater Wetlands
- 100 Year Floodplains

Agricultural District Properties
 Town of Schuyler
 Date of Mapping: April 14, 2011



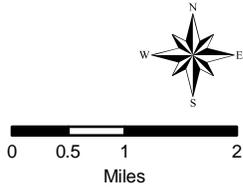
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Legend

- Municipal Boundaries
- Roads
- Ag. District Parcels
- Parcels
- NYS Freshwater Wetlands
- 100 Year Floodplains

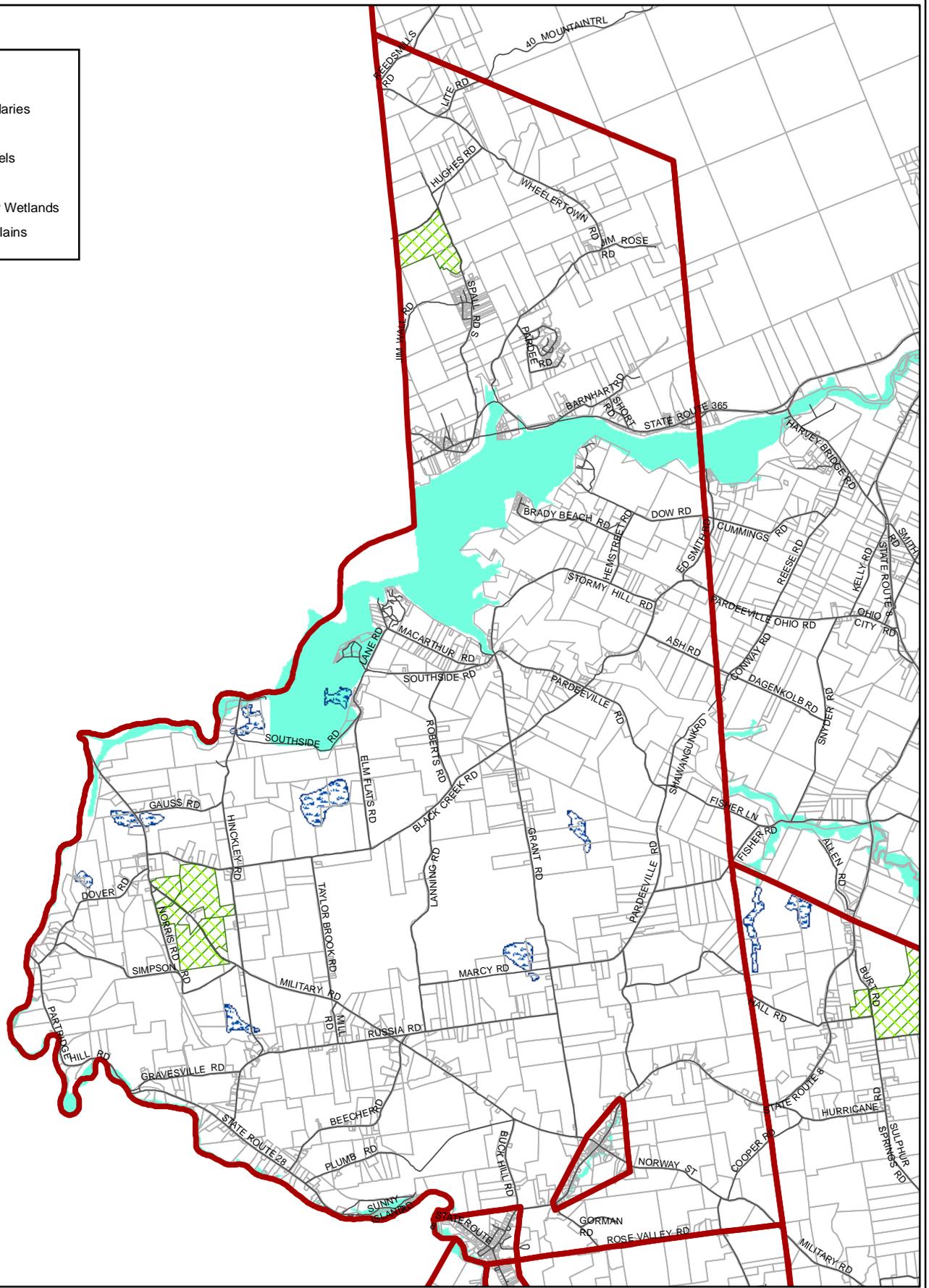
Agricultural District Properties
 Town of Salisbury
 Date of Mapping: April 13, 2011



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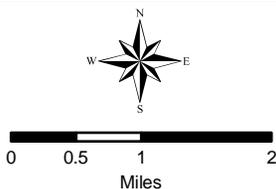
Legend

-  Municipal Boundaries
-  Roads
-  Ag. District Parcels
-  Parcels
-  NYS Freshwater Wetlands
-  100 Year Floodplains

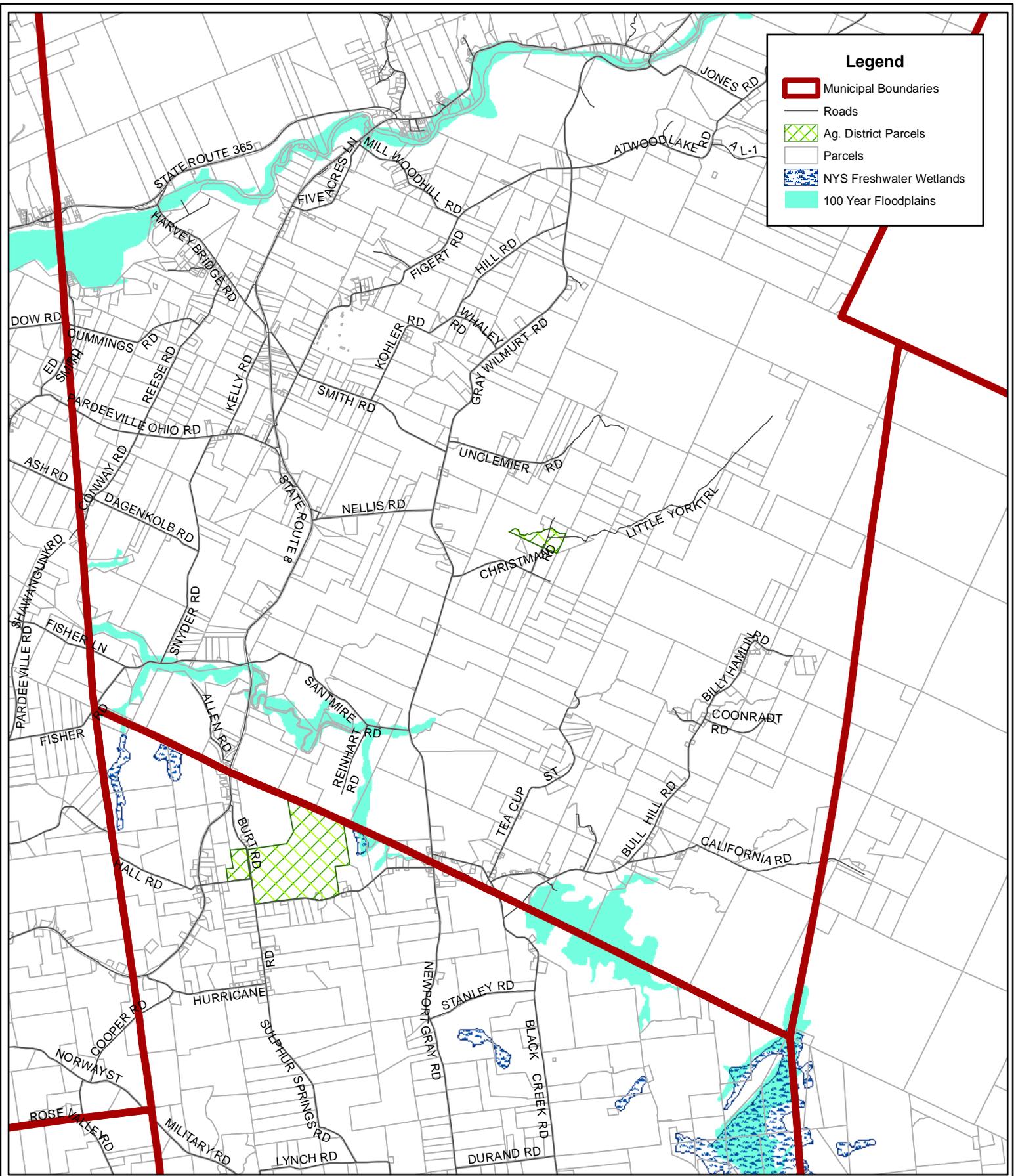


Agricultural District Properties
Town of Russia

Date of Mapping: April 13, 2011



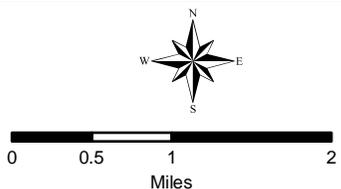
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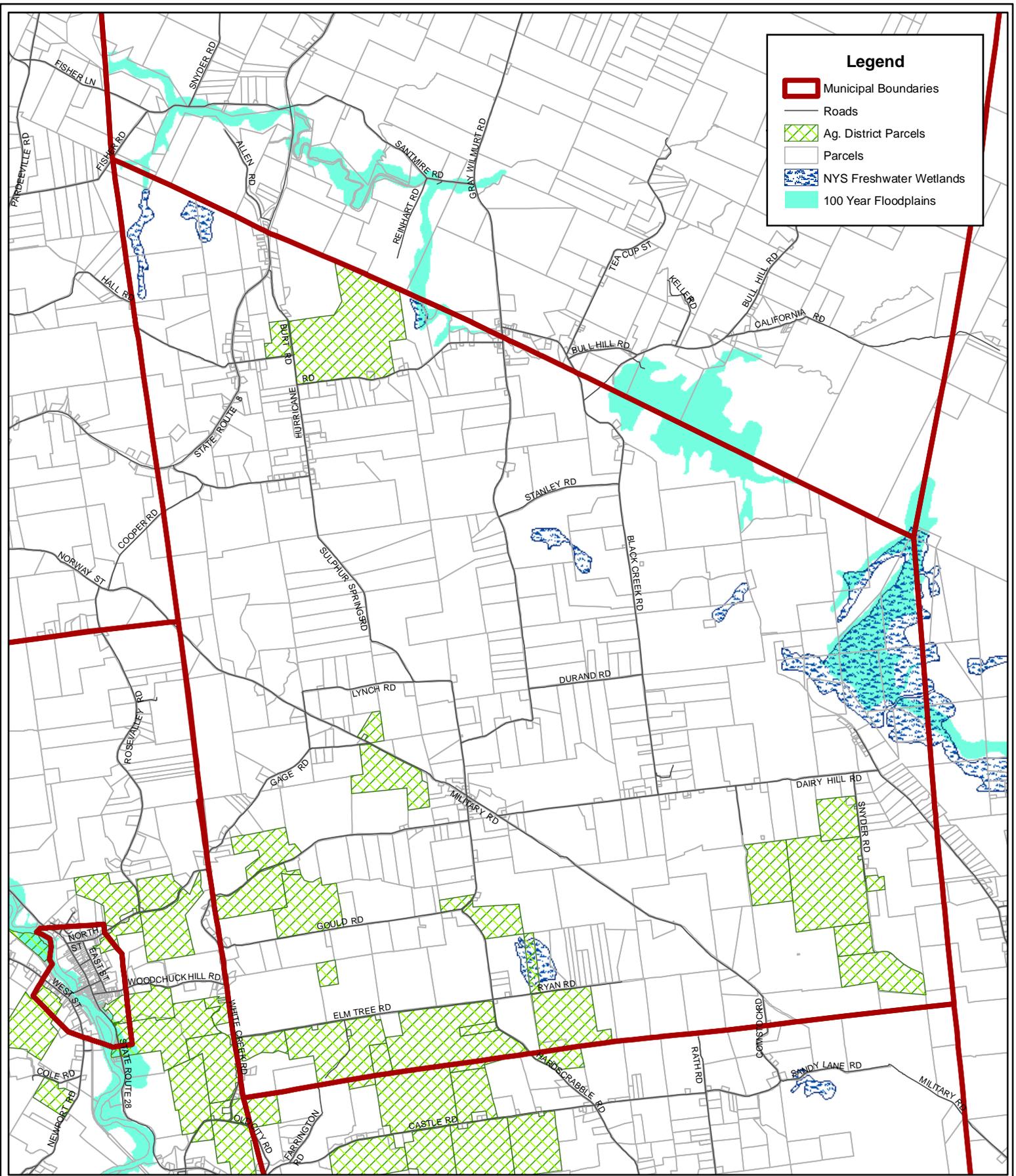
Legend

-  Municipal Boundaries
-  Roads
-  Ag. District Parcels
-  Parcels
-  NYS Freshwater Wetlands
-  100 Year Floodplains

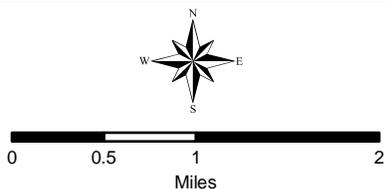
Agricultural District Properties
 Town of Ohio
 Date of Mapping: April 12th, 2011



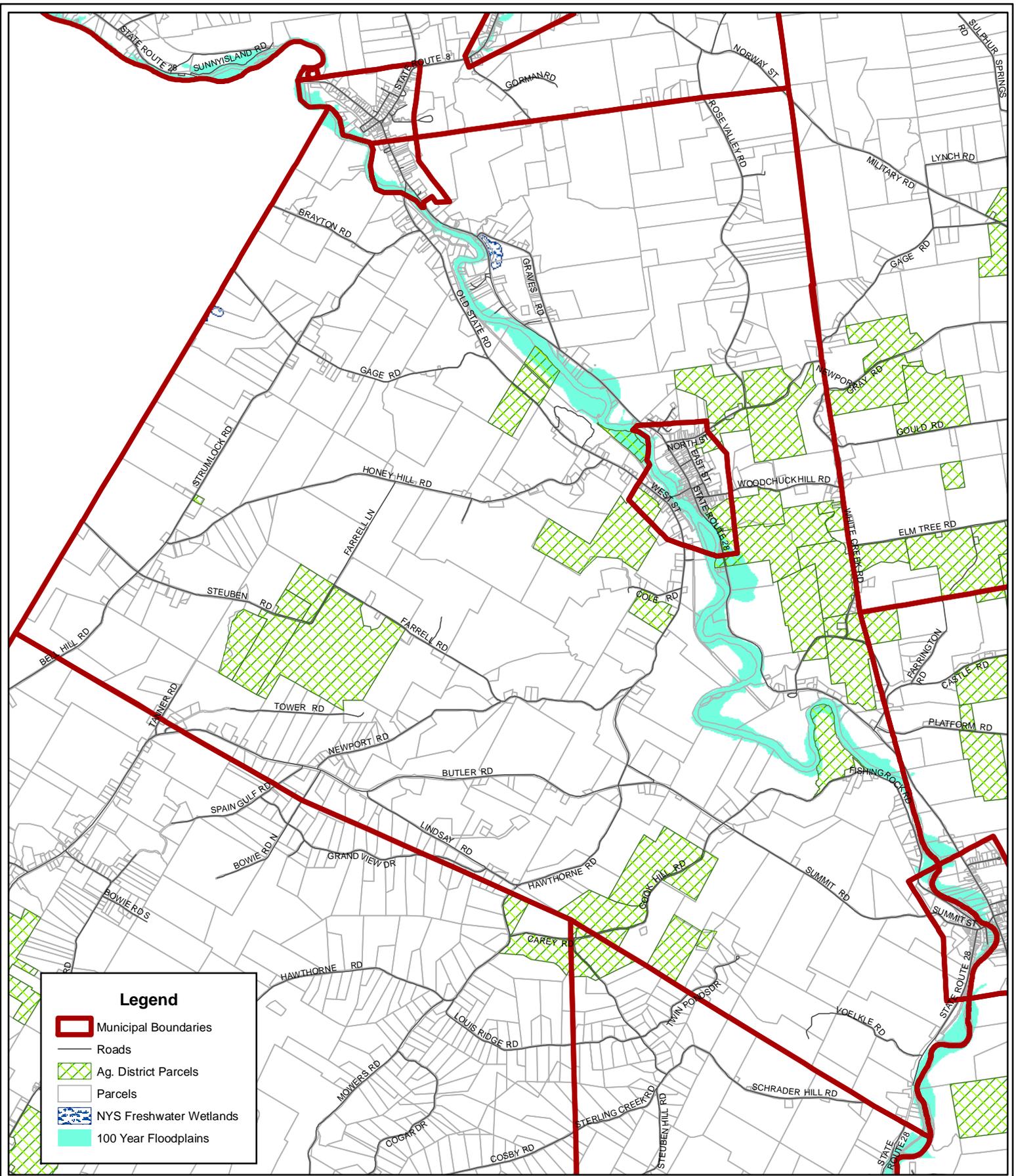
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Agricultural District Properties
 Town of Norway
 Date of Mapping: April 13, 2011



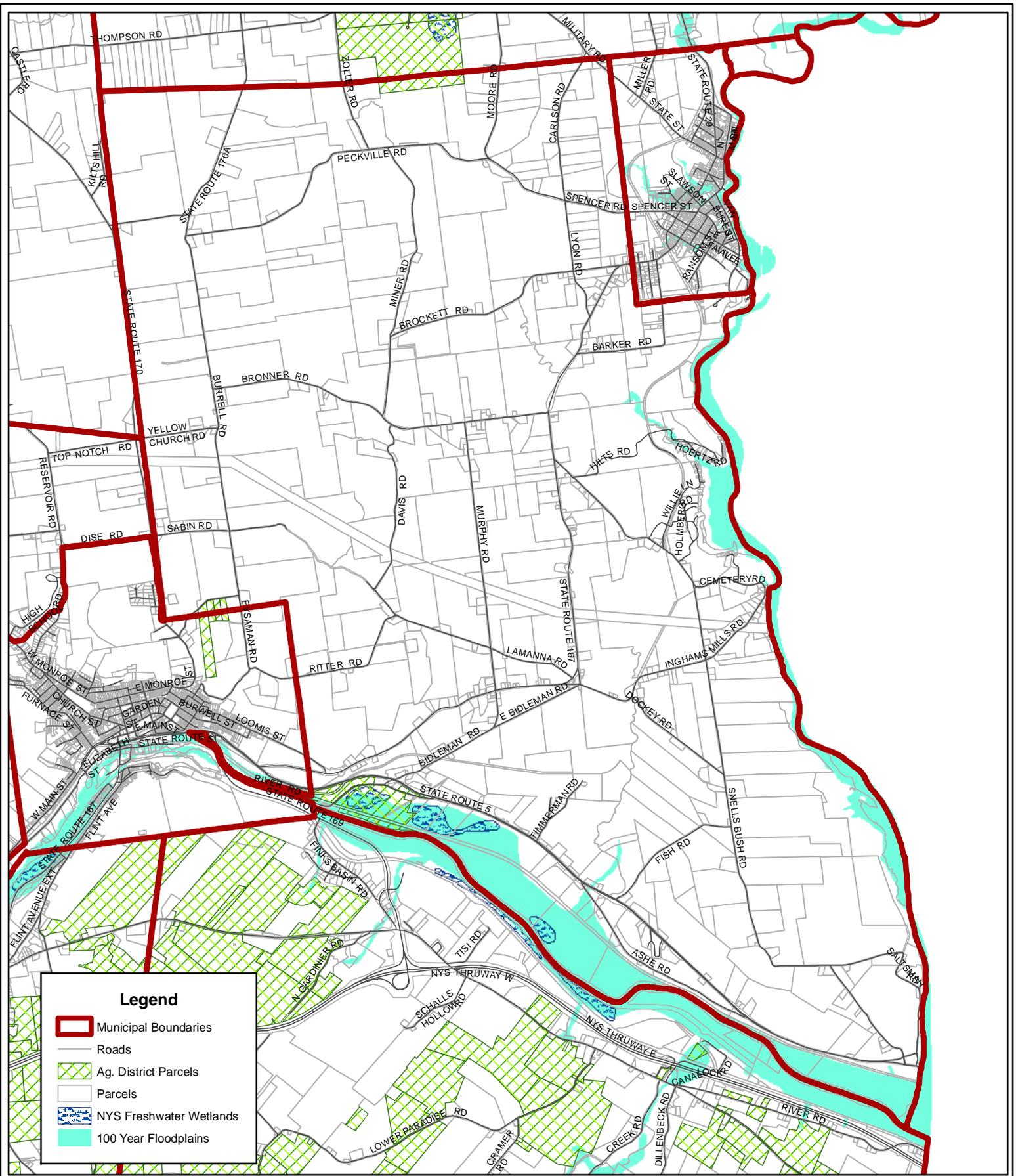
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Agricultural District Properties
 Town of Newport
 Date of Mapping: April 13, 2011



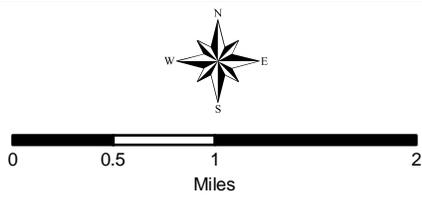
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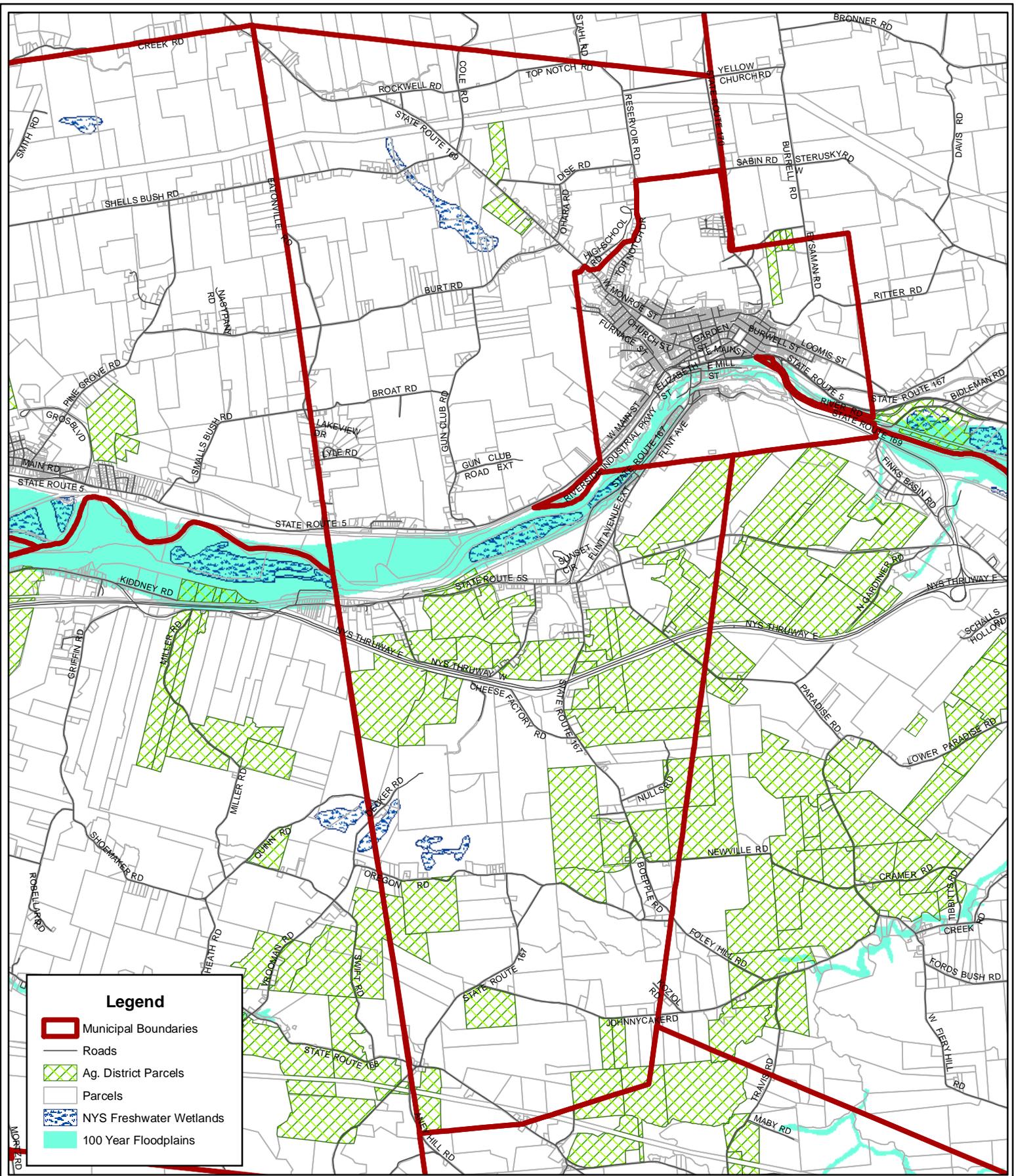
Legend

- Municipal Boundaries
- Roads
- Ag. District Parcels
- Parcels
- NYS Freshwater Wetlands
- 100 Year Floodplains

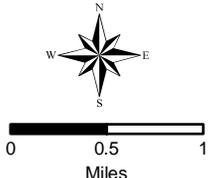
Agricultural District Properties
 Town of Manheim
 Date of Mapping: April 13, 2011



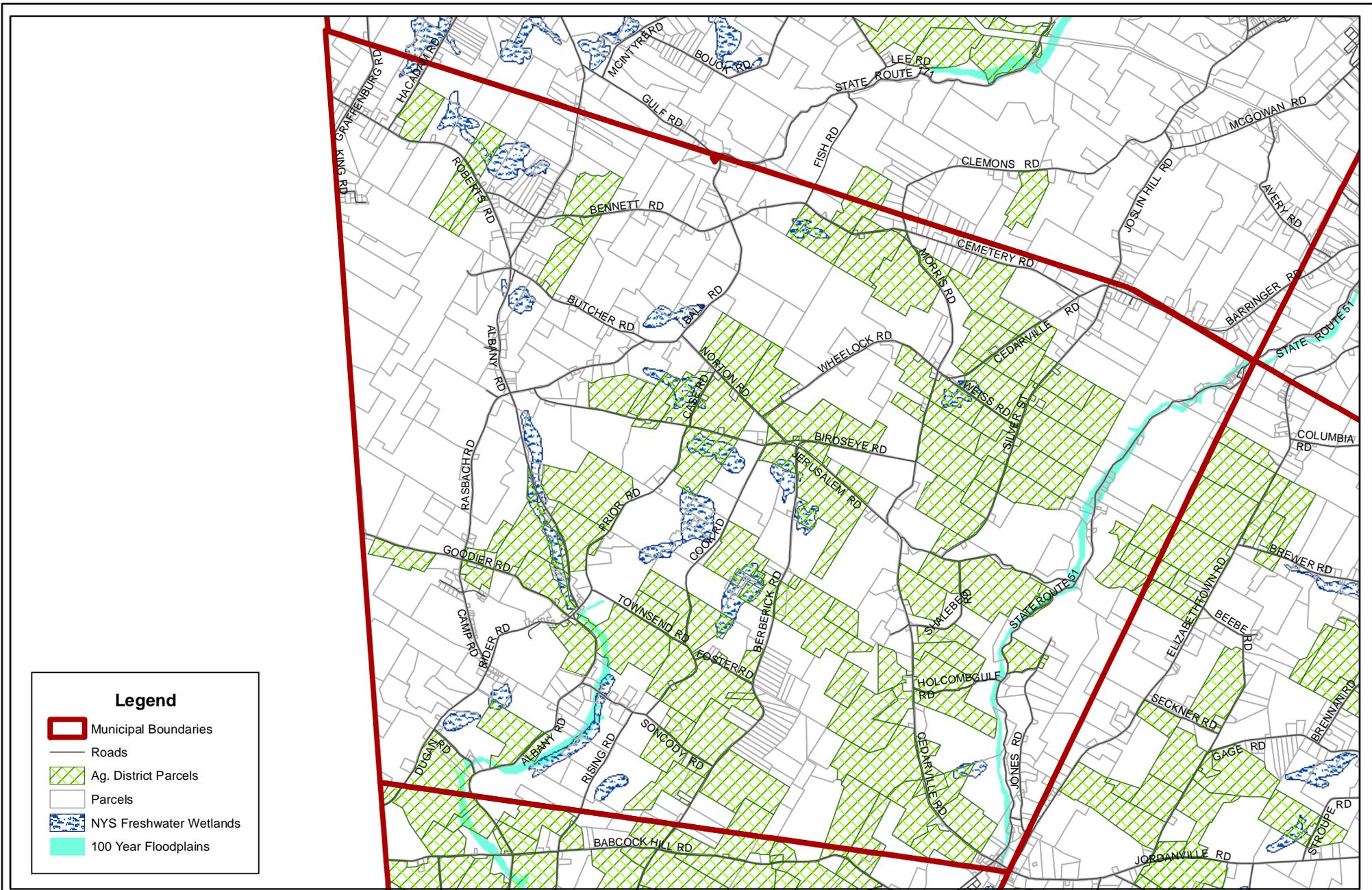
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Agricultural District Properties
 Town of Little Falls
 Date of Mapping: April 14, 2011



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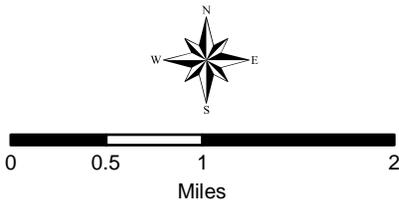


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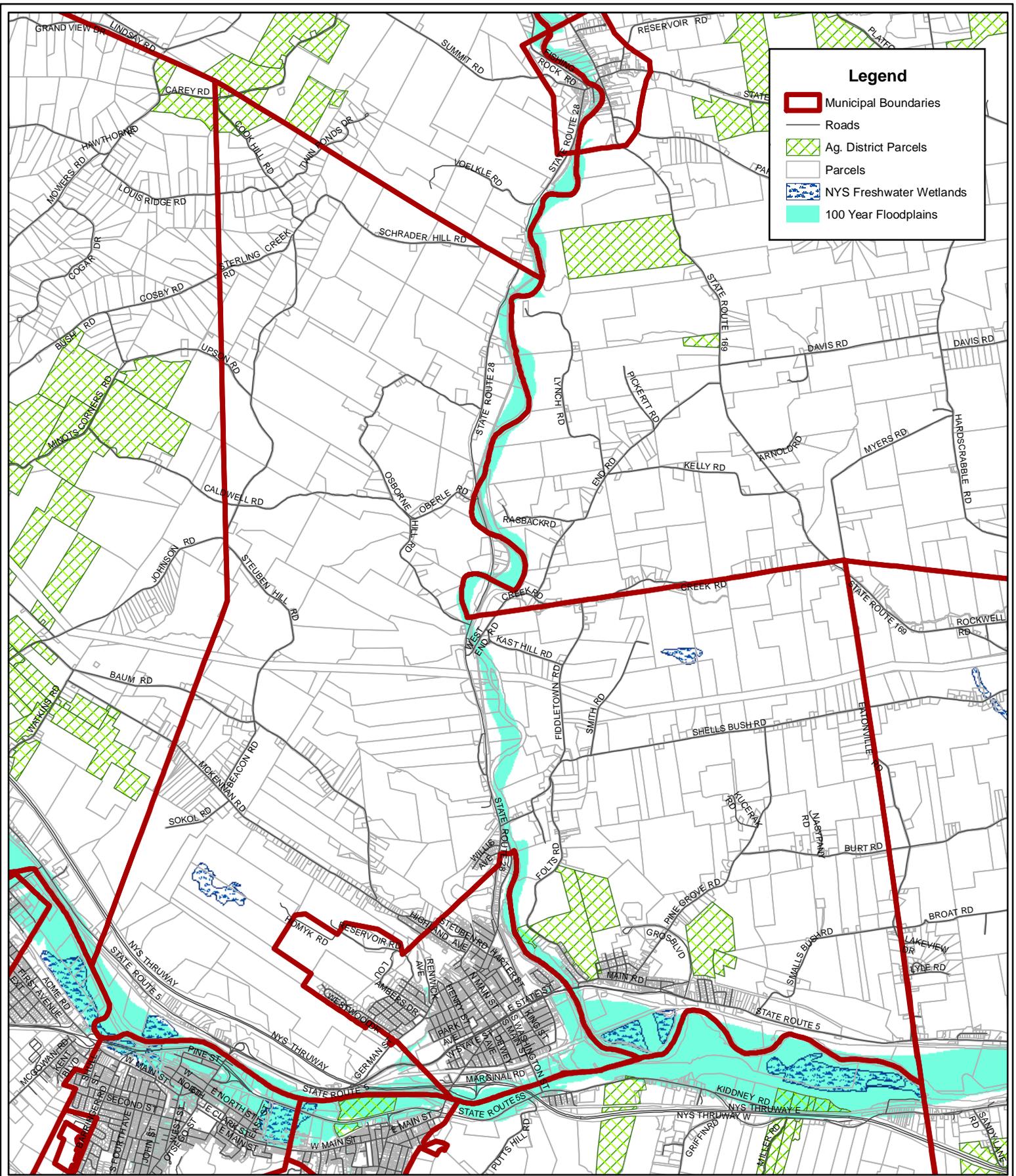
-  Municipal Boundaries
-  Roads
-  Ag. District Parcels
-  Parcels
-  NYS Freshwater Wetlands
-  100 Year Floodplains

Agricultural District Properties Town of Litchfield

Date of Mapping: April 26, 2011



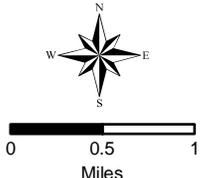
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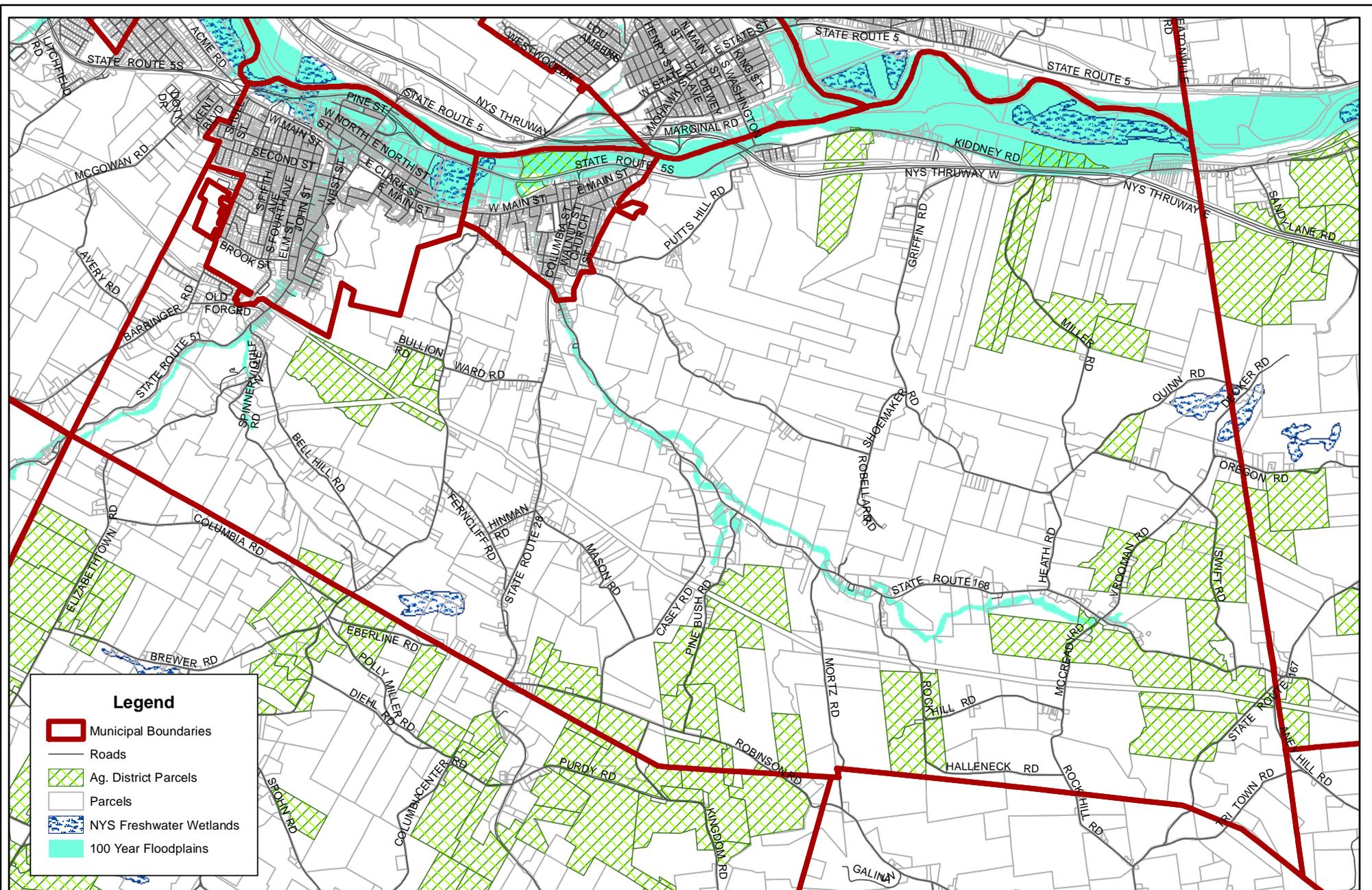
Legend

-  Municipal Boundaries
-  Roads
-  Ag. District Parcels
-  Parcels
-  NYS Freshwater Wetlands
-  100 Year Floodplains

Agricultural District Properties
 Town of Herkimer
 Date of Mapping: April 13, 2011

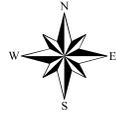


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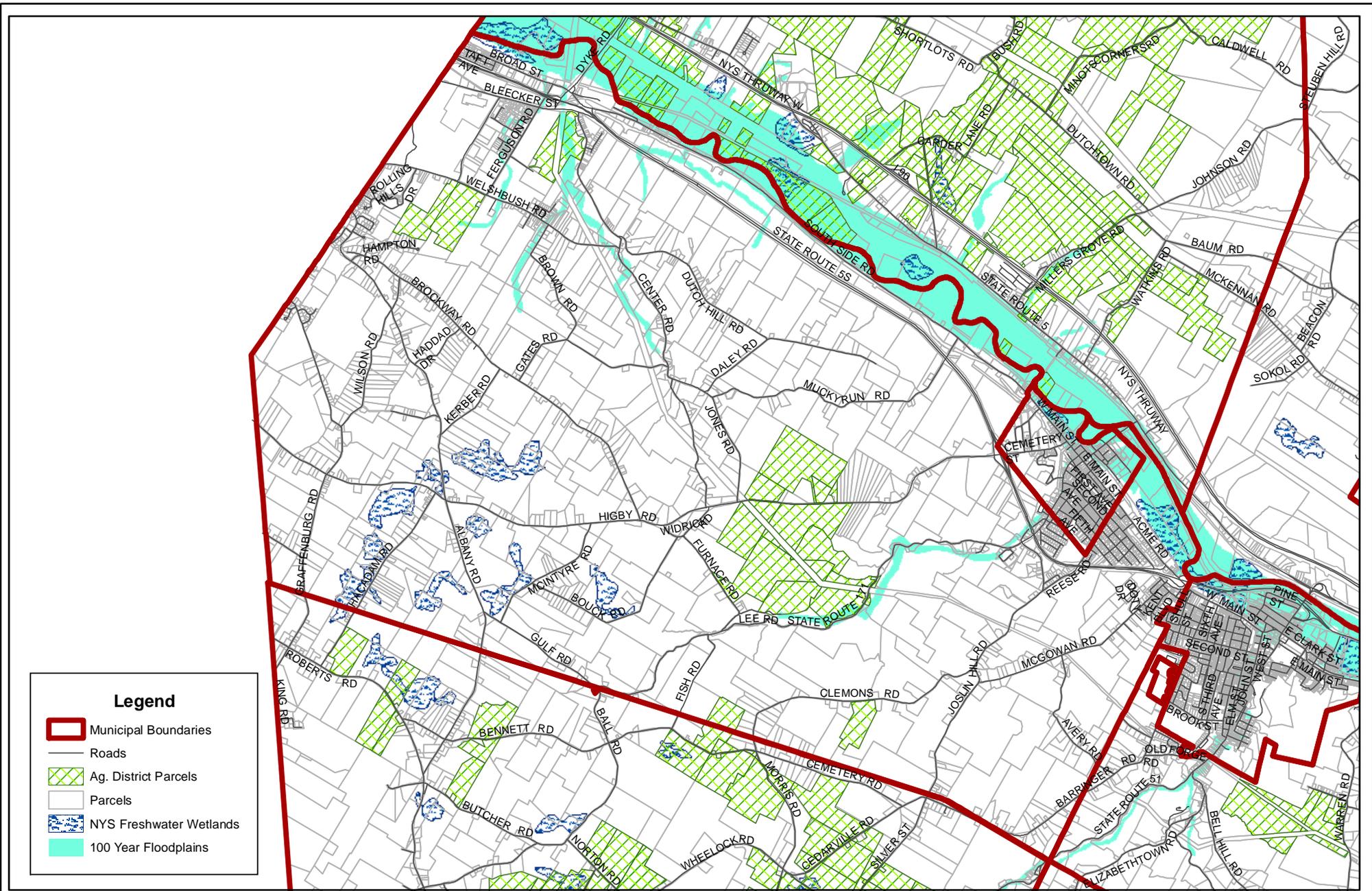


Agricultural District Properties Town of German Flatts

Date of Mapping: April 14, 2011



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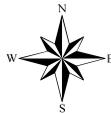


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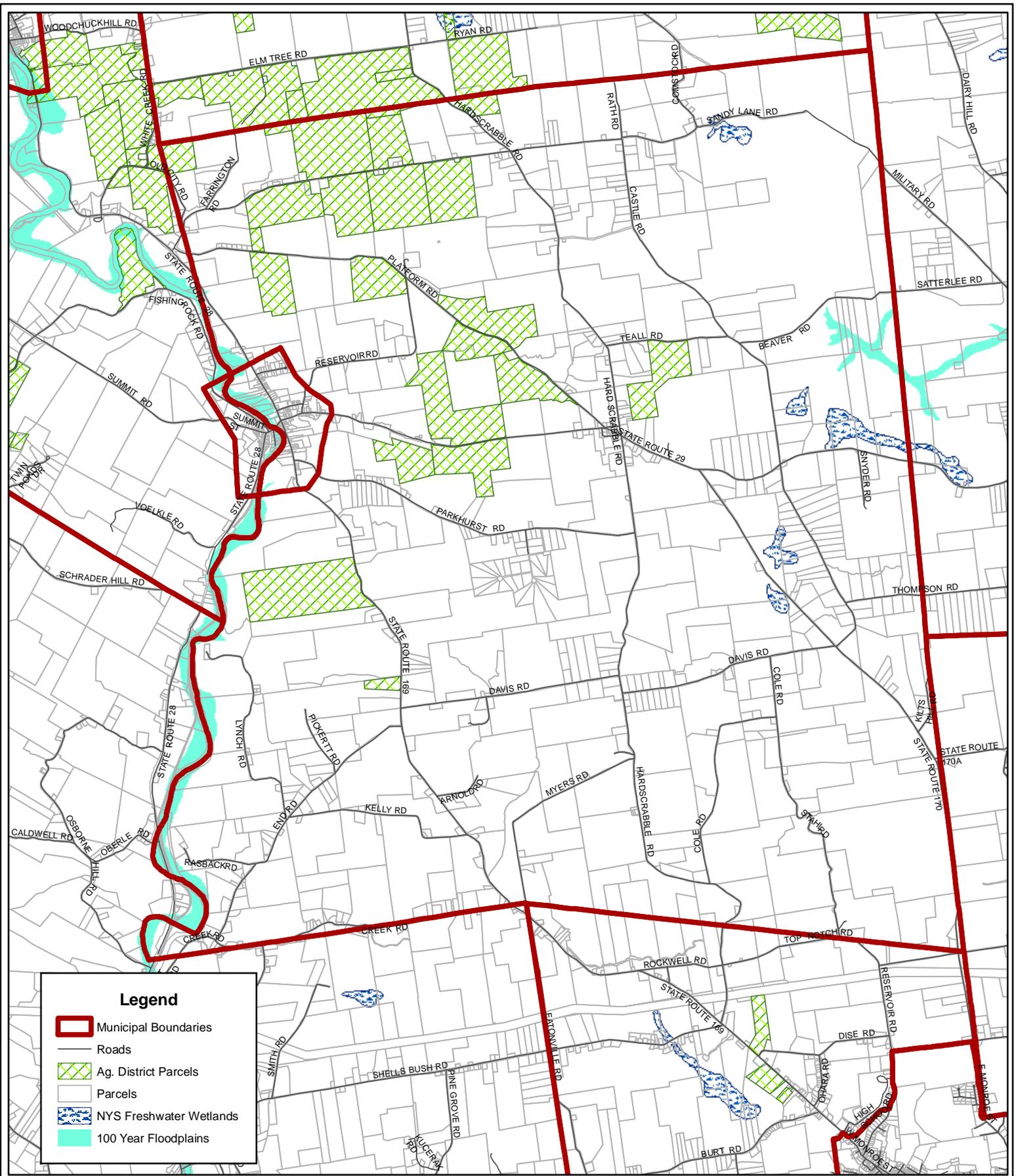
- Municipal Boundaries
- Roads
- Ag. District Parcels
- Parcels
- NYS Freshwater Wetlands
- 100 Year Floodplains

Agricultural District Properties Town of Frankfort

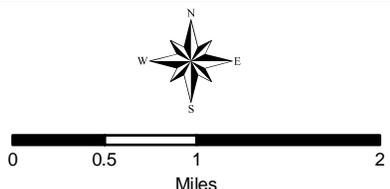
Date of Mapping: April 14, 2011



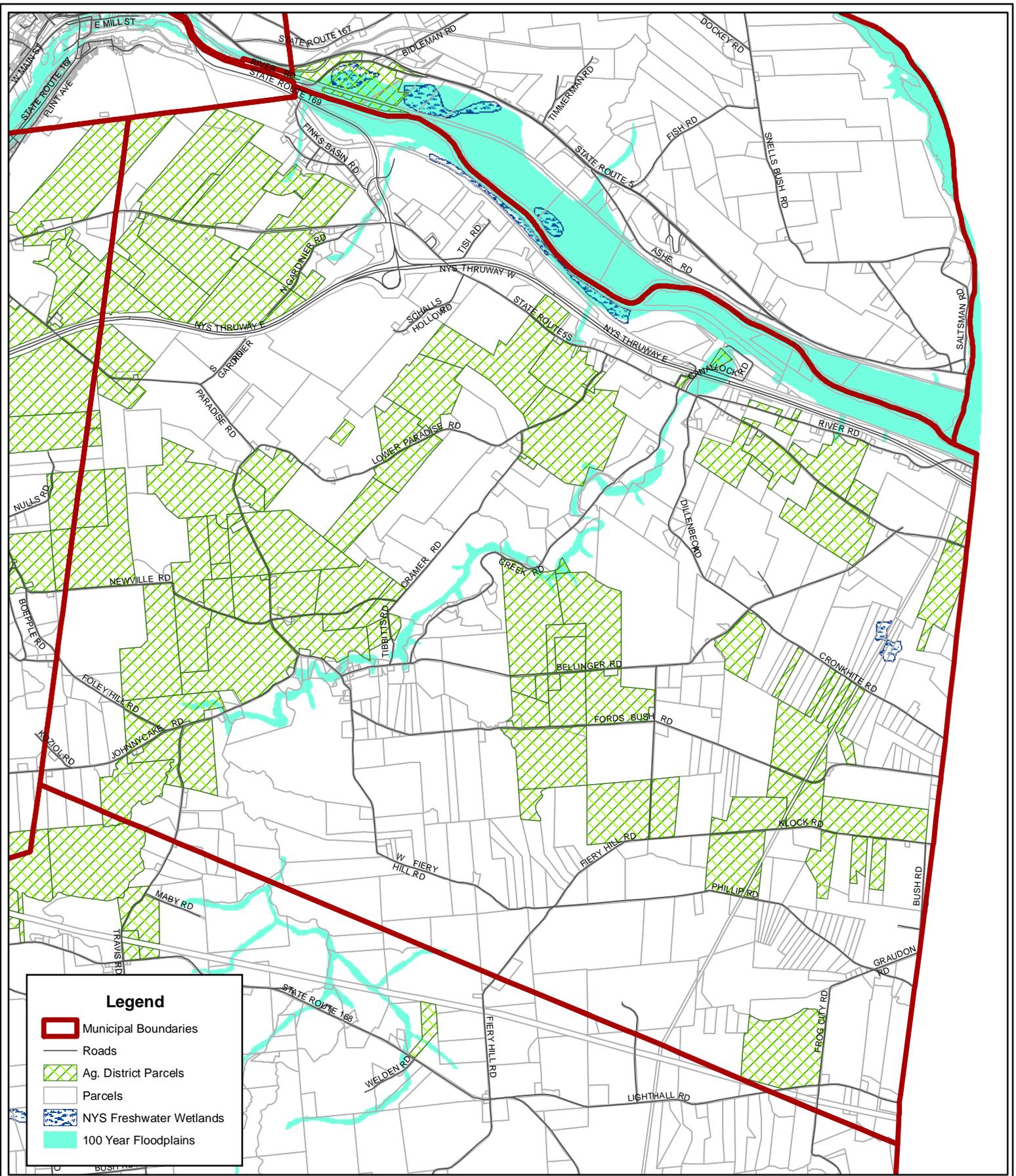
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Agricultural District Properties
 Town of Fairfield
 Date of Mapping: April 13, 2011



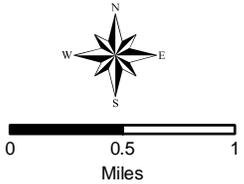
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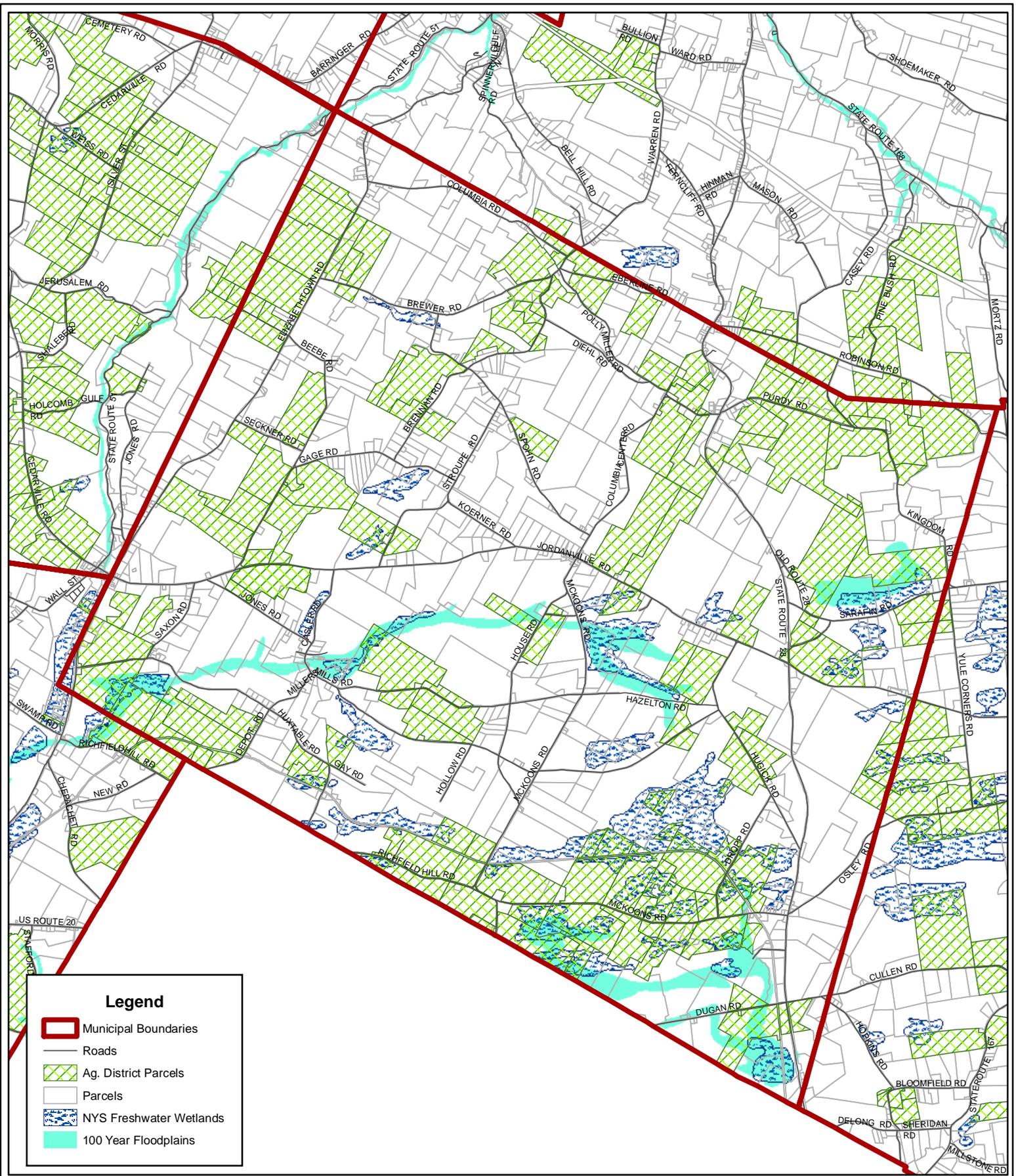
Legend

-  Municipal Boundaries
-  Roads
-  Ag. District Parcels
-  Parcels
-  NYS Freshwater Wetlands
-  100 Year Floodplains

Agricultural District Properties
 Town of Danube
 Date of Mapping: April 14, 2011



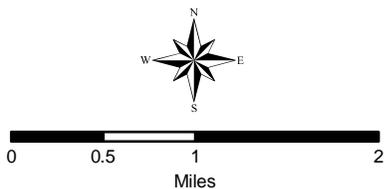
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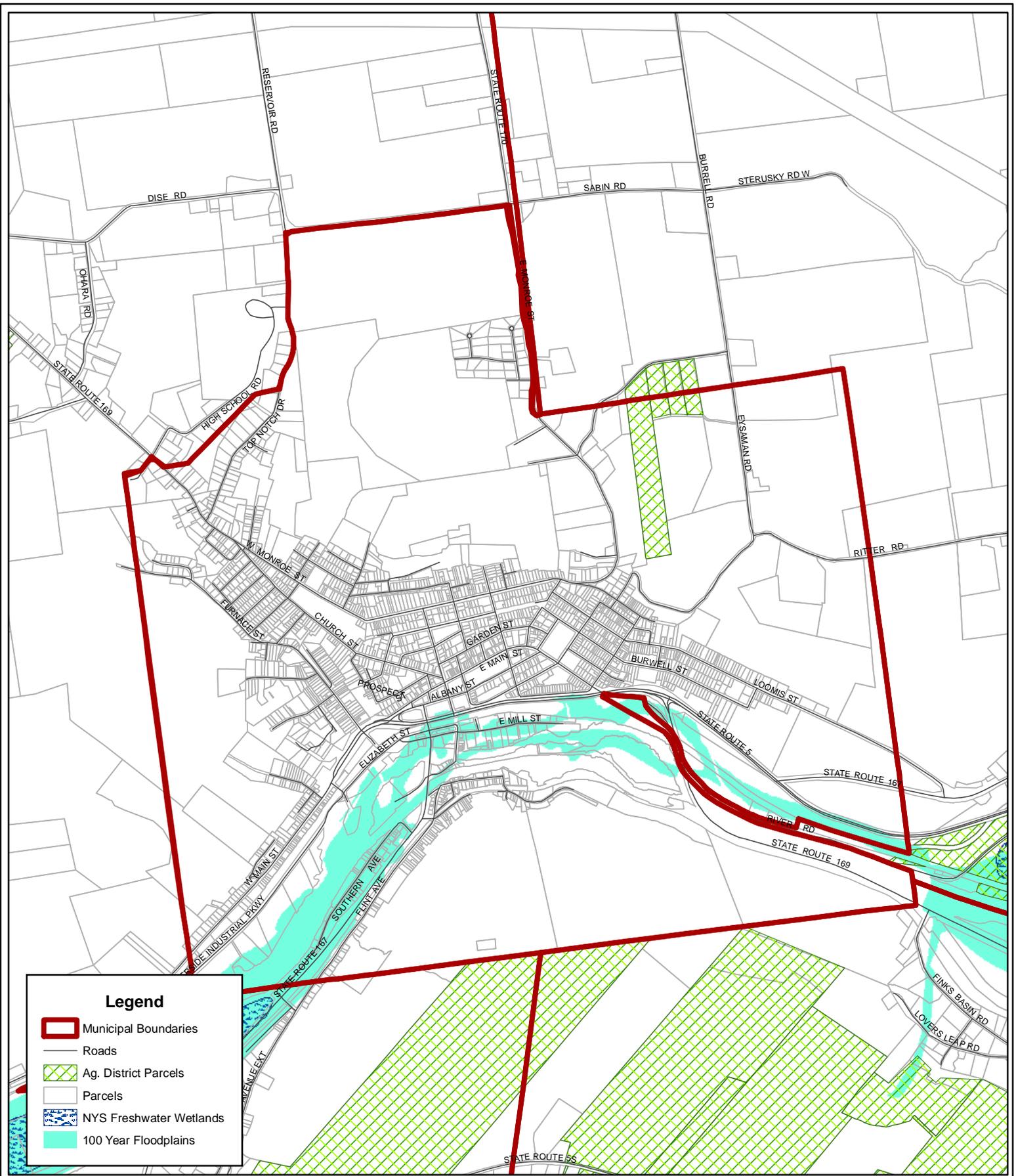
Legend

-  Municipal Boundaries
-  Roads
-  Ag. District Parcels
-  Parcels
-  NYS Freshwater Wetlands
-  100 Year Floodplains

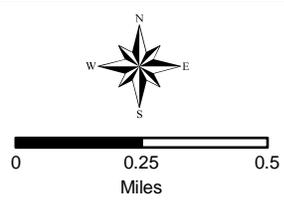
Agricultural District Properties
 Town of Columbia
 Date of Mapping: April 26, 2011



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Agricultural District Properties
 City of Little Falls
 Date of Mapping: April 26, 2011

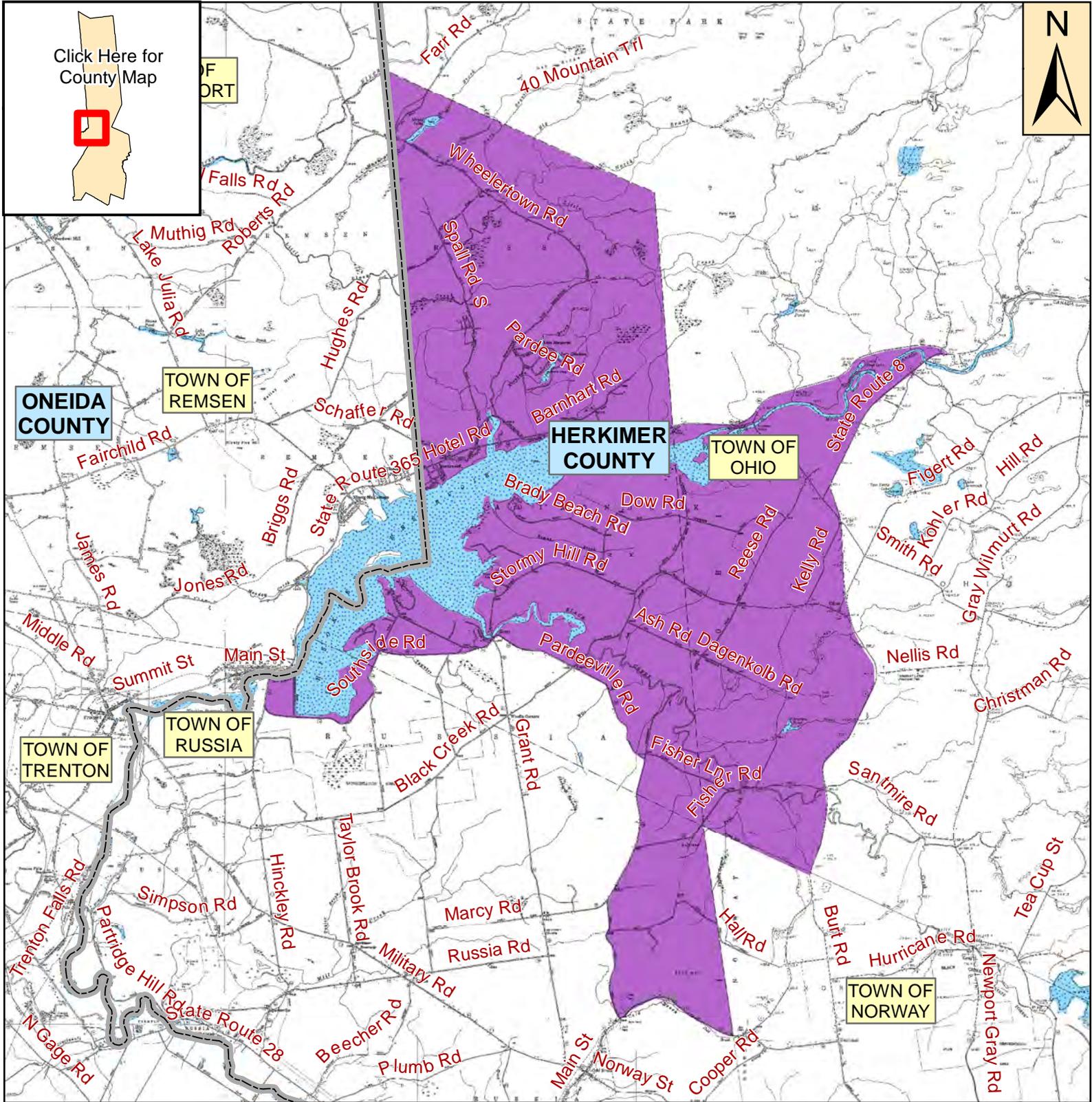


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Appendix F:

Herkimer County Potential Environmental Justice Area Maps

Potential Environmental Justice Areas in the Towns of Russia and Ohio, Herkimer County, New York

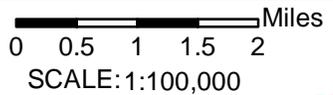


Click Here for County Map



Legend

- Potential EJ Area
- County Boundary
- Waterbodies



This computer representation has been compiled from supplied data or information that has not been verified by NYSDEC. The data is offered here as a general representation only and is not to be used for commercial purposes without verification by an independent professional qualified to verify such data or information.

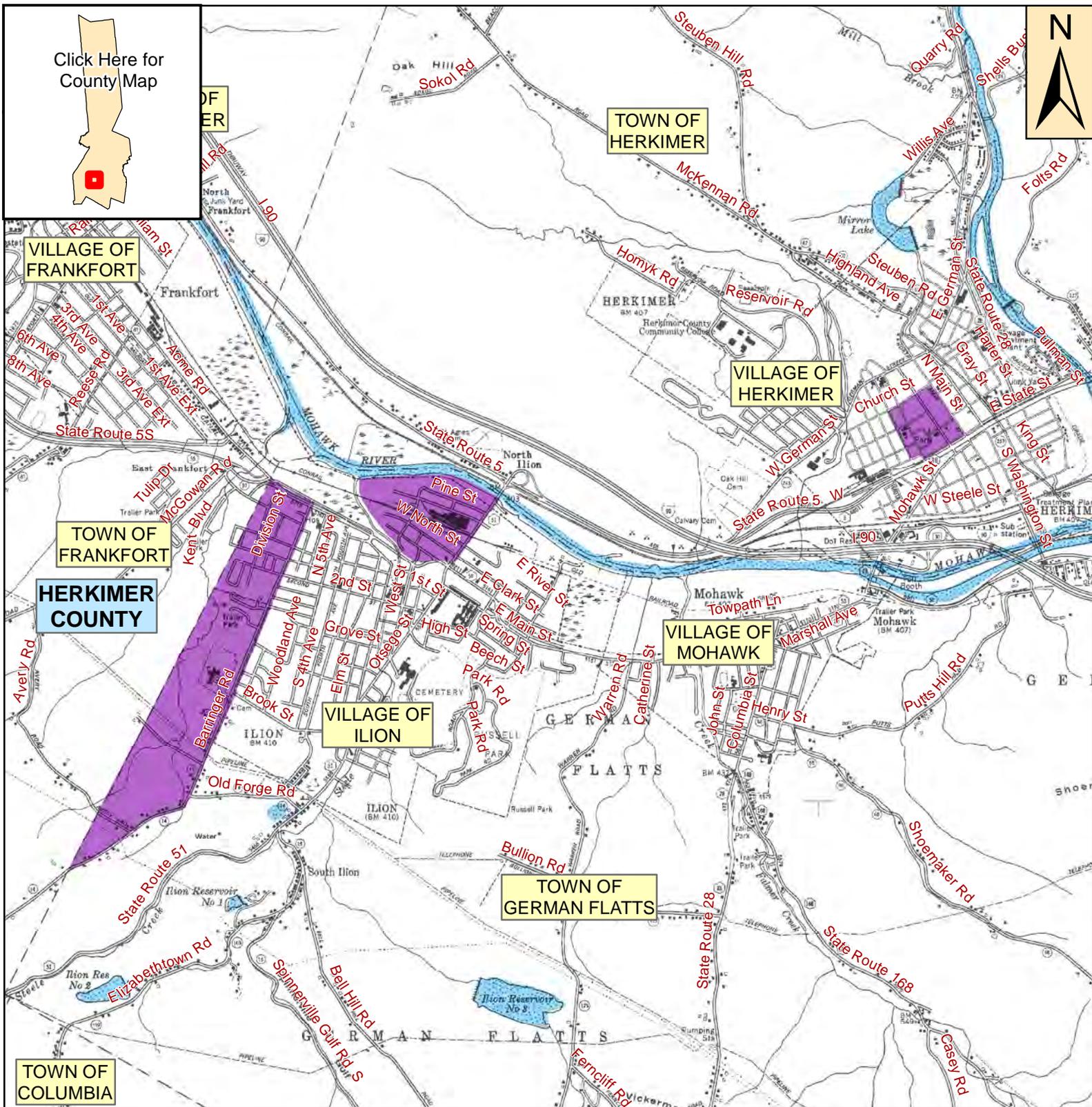
NYSDEC does not guarantee the accuracy, completeness, or timeliness of the information shown and shall not be liable for any loss or injury resulting from reliance.

Data Source for Potential Environmental Justice Areas: U.S. Census Bureau, 2000 U.S. Census

For questions about this map contact:
 New York State Department of Environmental Conservation
 Office of Environmental Justice
 625 Broadway, 14th Floor
 Albany, New York 12233-1500
 (518) 402-8556
 ej@gw.dec.state.ny.us



Potential Environmental Justice Areas in the Villages of Ilion and Herkimer, Herkimer County, New York

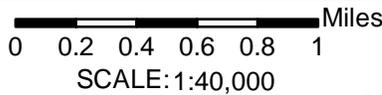


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Data Source for Potential Environmental Justice Areas: U.S. Census Bureau, 2000 U.S. Census

- Legend**
- Potential EJ Area
 - County Boundary
 - Waterbodies



For questions about this map contact:
New York State Department of
Environmental Conservation
Office of Environmental Justice
625 Broadway, 14th Floor
Albany, New York 12233-1500
(518) 402-8556
ej@gw.dec.state.ny.us



Appendix G:

Herkimer County Wild, Scenic, and Recreational Rivers



Wild, Scenic and Recreational Rivers

Wild Rivers

1. Cedar River: (a) Approximately seven miles from the southwest boundary of lot 82, Township 17, Totten and Crossfield's Purchase to the Hamilton County line; and
(b) Approximately seven and three-tenths miles from the outlet of Cedar Lakes to a point where a road crosses the river approximately one and one-half miles upstream of Cedar River flow.
2. Cold River: Approximately fourteen miles from the Duck Hole to the confluence with the Raquette River and the entire three-mile length of Ouluska Pass Brook.
3. Hudson River: Approximately ten and one-half miles from the confluence of the Cedar River to the confluence with the Boreas River.
4. Indian River: Approximately thirteen miles from Brook Trout lake to the confluence with the South Branch of the Moose River.
5. Kunjamuk River: Approximately eight miles from the outlet of South Pond to a fish barrier dam near the southwest boundary of Lot 9, Township 31, Gorton Tract.
6. Opalescent River: Approximately eleven miles from Flowed Lands to the confluence with the Hudson River.
7. Oswegatchie River, Main Branch: Approximately eighteen and one-half miles from the Partlow Mill Dam to the southernmost boundary between private and state land at Inlet.
8. Oswegatchie River, Middle Branch: Approximately fourteen and one-half miles from the north boundary of Lot 27, Watson's East Triangle to a point one mile downstream of the confluence with Wolf Creek.
9. Piseco Outlet: Approximately four and one fifth miles from a point one-half mile east of the Route 10 bridge crossing to the confluence with the West Branch of the Sacandaga River.
10. Sacandaga River, East Branch: Approximately eleven and one-half miles from Bothereation Pond to a point one-half mile above the confluence with Cook Brook.
11. Sacandaga River, West Branch: (a) Approximately seven miles from the confluence of the Piseco Lake outlet to the confluence with Dugway Creek;
(b) Approximately nine miles from the source near Silver Lake Mountain to the Silver Lake wilderness boundary near Route 10; and
(c) Approximately two and seven-tenths miles from the confluence with Cow Creek to the confluence with Piseco outlet.
12. West Canada Creek: Approximately eight miles from Mud Lake to the Old Mitchell dam site.
13. West Canada Creek, South Branch: Approximately five and nine-tenths miles from the headwaters near T-Lake Falls to a footbridge crossing located approximately one mile upstream of the Floe.

Scenic Rivers

1. Ampersand Brook: Approximately eight miles from Ampersand Pond to the confluence with the Raquette River.
2. Ausable River: Approximately nine miles from Marcy swamp to St. Hubert's.

3. Black River: Approximately seven and eight-tenths miles from the point where Farr Road crosses the river to the point where the river intersects the Adirondack Park boundary.
4. Blue Mountain Stream: Approximately nine miles from the outlet of Clear Pond to the confluence with Pleasant Lake stream.
5. Bog River: Approximately seven and three-tenths miles from the dam below Hitchens Pond to Big Tupper Lake.
6. Boreas River: Approximately eleven and one-half miles from Cheney Pond to the confluence with the Hudson River.
7. Bouquet River: (a) Approximately six miles of the North Fork from the headwaters on Dial Mountain to the bridge on Route 73; and
(b) Approximately five and one-half miles of the South Fork from the headwaters to the bridge on Route 73.
8. Carmens River: (a) Approximately two and one-quarter miles from its headwaters at the north boundary of Cathedral Pines Park (formerly Camp Wilderness), Suffolk County, southerly to its intersection with the southern boundary of Camp Sobaco (Girl Scout Camp);
(b) Approximately two and one-half miles from Yaphank Avenue, Suffolk County, southerly to the Concrete Wing Dam in Southaven Park; and
(c) Approximately two and one-half miles from the south side of Sunrise Highway, Suffolk County, southerly to the mouth of the river (a line between Long Point and Sandy Point) at its confluence with Great South Bay.
9. Cedar River: (a) Approximately five miles from the Hamilton County line to the confluence with the Hudson River; and
(b) Approximately ten miles from a point where a road crosses the river one and one-half miles pstream of Cedar River flow to a point where a southerly extension of the northeast state land boundary of Lot 96, Township 33, Totten and Crossfield's Purchase, would intersect the river.
10. Deer River: Approximately six and two-tenths miles from the outlet of Deer River flow to a point where the river intersects the Adirondack Park boundary.
11. East Canada Creek: Approximately twenty and nine-tenths miles from Powley Place to a point at which the creek intersects the Adirondack Park boundary near Sprite Creek at the southwest corner of Lot 45, Town of Oppenheim, Lott and Low's Patent.
12. Genesee River: Within Letchworth State Park.
13. Grasse River, Middle Branch: Approximately fourteen and one-half miles from the confluence of Blue Mountain stream and Pleasant Lake stream to the confluence of the South Branch of the Grasse River.
14. Grasse River, North Branch: Approximately twentyfive and four-tenths miles from the outlet of Church Pond to a point where the North Branch intersects the Adirondack Park boundary.
15. Grasse River, South Branch: (a) Approximately thirtyfive and two-tenths miles from the outlet of Center Pond to the confluence with the outlet of Allen Pond; and
(b) Approximately three and seven-tenths miles from the most southerly point where the South Branch of the Grasse River intersects the Adirondack Park boundary, north to the confluence with the Middle Branch of the Grasse River.
16. Hudson River: (a) Approximately nine miles from the hamlet of Newcomb to the confluence with the Cedar River; and
(b) Approximately four miles from the confluence with the Boreas River to a point one mile north of the hamlet of North River.

17. Independence River: Approximately twenty-six miles from the outlet of Little Independence Pond to the point where the Sperryville Bridge crosses the river.
18. Jordan River: Approximately eighteen miles from the outlet of Marsh Pond to Carry Falls Reservoir.
19. Kuniamuk River: Approximately ten and four-tenths miles from a fish barrier dam near the southwest boundary of Lot 9, Township 31, Gorton Tract, to the confluence with the Sacandaga River.
20. Long Pond Outlet: Approximately sixteen miles from the outlet of Long Pond to the confluence with the West Branch of the St. Regis River.
21. Marion River: Approximately five miles from the outlet of Utowana lake to Raquette Lake.
22. Moose River, Main Branch: Approximately fifteen and four-fifths miles from the confluence of the South and Middle Branches of the Moose River to a point where the Main Branch intersects the Adirondack Park boundary.
23. Moose River, North Branch: Approximately six miles from the outlet of Big Moose Lake to the confluence with the outlet of Goose Pond.
24. Moose River, South Branch: (a) Approximately eighteen miles from the east boundary of the state land immediately west of Little Moose Lake to the west boundary of the state land near Rock Dam;
(b) Approximately six and one-half miles from the east boundary of the state land just north of Woodhull Mountain downstream to the state land boundary near the confluence with the middle branch of the Moose River; and
(c) Approximately fourteen and two-fifths miles from the west boundary of state land near Rock Dam to the east boundary of state land north of Woodhull Mountain.
25. Nissequoque River: Approximately one and four-tenths miles from the dam at the outlet of New Mill Pond to the pedestrian bridge south of Route 25/25A including its tributaries and ponds identified as P288 Phillips Millpond, P289 Willow Pond, P290 Upper Vail Pond, P291 Webster Pond, and P291a Lower Vail Pond (on a certain map titled "Official Classifications - Surface Waters of Western Suffolk County," published by the Water Resources Council and prepared by the New York Department of Health) in Suffolk County.
26. Oswegatchie River, Middle Branch: (a) Approximately nine miles from the outlet of Walker Lake to the north boundary of Lot 27, Watson's East Triangle; and
(b) Approximately fourteen and two-fifths miles from a point one mile downstream of the confluence with Wolf Creek to a point where the Middle Branch intersects the Adirondack Park boundary at the southeast boundary of Lot 993, Township of Diana, Macomb's Purchase, Great Tract 4.
27. Oswegatchie River, West Branch: Approximately seven miles from the outlet of Buck Pond to a point approximately one mile upstream of Round Pond at the point where a foot and snowmobile bridge crosses the West Branch.
28. Otter Brook: Approximately ten miles from the outlet of Lost Pond to the confluence with the South Branch of the Moose River.
29. Peconic River: (a) Approximately ten and one-half miles from the western boundary of the Red Maple swamp to the Long Island railroad bridge between Connecticut and Edwards Avenue; and
(b) Approximately three miles from Middle Country Road (State Route 25) to the confluence with the previously described segment of the Peconic including tributaries T112-5, T112-6 and T112-7.

30. Raquette River: (a) Approximately twenty miles from the outlet of Long Lake to the confluence with a small stream from the northeast, located approximately one mile downstream from Trombley Landing; and
(b) Approximately thirteen and eight-tenths miles from the confluence with Dead Creek to a point where the river intersects the north boundary of Lot 1, Township 5, Tannery Lot near Carry Falls Reservoir.
31. Red River: Approximately nine and seven-tenths miles from the headwaters of the river to the confluence with the South Branch of the Moose River.
32. Rock River: Approximately six and nine-tenths miles from the O'Neil flow road crossing to the confluence with the Cedar River.
33. Round Lake Outlet: Approximately two and seven-tenths miles from the outlet of Round Lake to the confluence with the Bog River.
34. St. Regis River, East Branch: Approximately fourteen and one-half miles from a point where Route 30 crosses the East Branch near Meacham Lake, to a point one-half mile upstream from Everton Falls.
35. St. Regis River, Main Branch: Approximately fifteen and five-tenths miles from a point where a private road to Bay Pond crosses the Main Branch in Lot 16, Township 17, Macomb's Purchase, Great Tract 1, to the confluence with Balsam Brook.
36. St. Regis River, West Branch: Approximately thirtyfive miles from the outlet of Little Fish Pond to a point one-half mile downstream from the confluence with Fenner Meadow Brook.
37. West Canada Creek: Approximately seventeen miles from a point where the creek intersects that state land boundary approximately two miles upstream of the Old Mitchell Dam site, to the Route 8 bridge crossing near Nobleboro.
38. West Stoney Creek: Approximately seven and seven-tenths miles from the Tannery Road crossing to the confluence with Hatch Brook.

Recreational Rivers

1. Ausable River, East Branch: Approximately twenty-eight and three-tenths miles from St. Huberts to the confluence with the West Branch.
2. Ausable River, Main Branch: Approximately twenty-two miles from the confluence of the East and West Branches of the Ausable River to Lake Champlain.
3. Ausable River, West Branch: (a) Approximately five miles from the state boundary along the River Road east of Big Cherry Patch Pond downstream to the state boundary immediately west of High Falls; and
(b) Approximately twenty-nine and one-half miles from the headwaters of the West Branch near Heart Lake to the confluence with the East Branch.
4. Black River: Approximately six and three-fifths miles from the outlet of North Lake to a point where Farr Road crosses the river.
5. Bouquet River: Approximately forty-seven and seven-tenths miles from the confluence with the North Fork of the Bouquet River to Lake Champlain.
6. Carmens River: (a) Approximately two miles from its intersection with the southern boundary of Camp Sobaco (Girl Scout Camp), southerly to Yaphank Avenue, Suffolk County; and
(b) Approximately one mile southerly from the Concrete Wing Dam in Southaven Park, Suffolk County, to Sunrise highway.

7. Cedar River: Approximately eleven miles from a point at which a southerly extension of the northeast state land boundary parallel to the southwest boundary of Lot 96, Township 33, Totten and Crossfield's Purchase would intersect the river to the southwest boundary of Lot 82, Township 17, Totten and Crossfield's Purchase.
8. Connetquot River: Approximately five and three-fourths miles from Johnson Avenue, Suffolk County, south to the Sunrise highway.
9. Fall Creek: Approximately 1.8 miles from the southern boundary of Cayuga Lake to the west face of the foot bridge running across Fall Creek, which foot bridge is located between Thurston Avenue on the west and Beebe Lake on the east (in the City of Ithaca, Tompkins County).
10. Grasse River, South Branch: Approximately five and one-fifth miles from the confluence with the outlet of Allen Pond to the most southerly point where the South Branch intersects the Adirondack Park boundary.
11. Hudson River: (a) Approximately twelve and seven-tenths miles from the confluence with the Opalescent River to a point where Route 28N crosses the Hudson River at Newcomb; (b) Approximately forty-five and nine-tenths miles from a point one mile north of North River to the confluence with the Sacandaga River.
12. Independence River: Approximately one-half mile from a point where the Sperryville bridge crosses the river to a point where the river intersects the Adirondack Park boundary.
13. Indian River: Approximately eight and three-tenths miles from the outlet of Indian Lake to the confluence with the Hudson River.
14. Moose River, Middle Branch: Approximately thirteen and four-tenths miles from the confluence with the North Branch of the Moose River to the confluence with the South Branch of the Moose River.
15. Moose River, North Branch: Approximately thirteen miles from the confluence with the outlet of Goose Pond to the confluence with the Middle Branch of the Moose River.
16. Nissequogue River: (a) Approximately one and four-tenths miles from State Route 347 to the dam at the outlet of New Mill Pond including its tributaries identified as P292-1 to Brooksite Drive and two unnamed tributaries P292-2 and P292-3; and (b) Approximately five miles from the pedestrian walkway and dam at the outlet of Phillips Millpond to its confluence with Long Island Sound including its tributaries and ponds connected therewith [specifically described as T-62 on a certain map entitled "Official Classifications - Surface Waters of Western Suffolk County," prepared by the New York State Department of Health and published by the Water Resources Council].
17. Oswegatchie River, Main Branch: Approximately two and three-tenths miles from the southernmost boundary between private and state land at Inlet to Wanakena.
18. Oswegatchie River, West Branch: Approximately six and one-tenth miles from a point approximately one mile upstream of Round Pond at the point where a foot and snowmobile bridge crosses the West Branch to a point where the river intersects the Adirondack Park boundary.
19. Peconic River: (a) Approximately five and one-half miles from the Long Island railroad bridge between Connecticut and Edwards Avenue to Grangabel Park dam in Riverhead; (b) Approximately two miles of the Little River (tributary T112-2) from and including Wildwood Lake to its confluence with the Peconic River.
20. Ramapo River: Approximately three and one-half miles from the Orange County line to the site of an abandoned power dam in the hamlet of Ramapo.

21. Raquette River: (a) Approximately twenty-two miles from the outlet of Raquette Lake to the outlet of Long Lake; and
(b) Approximately seventeen miles from the confluence of the Raquette River and a small stream from the northeast, at a point approximately one mile downstream from Trombley Landing to the confluence with Dead Creek.
22. Rock River: Approximately one and one-fifth miles from the outlet of Lake Durant to the O'Neil flow road crossing.
23. St. Regis River, East Branch: Approximately six and one-tenth miles from a point one-half mile upstream of Everton Falls to the confluence with the Main Branch of the St. Regis River.
24. St. Regis River, Main Branch: (a) Approximately seven miles from the St. Regis Church to a point where a private road to Bay Pond crosses the Main Branch in Lot 16, Township 17, Macomb's Purchase, Great Tract 1; and
(b) Approximately eighteen miles from the confluence with Balsam Brook to a point at which the river intersects the Adirondack Park boundary.
25. St. Regis River, West Branch: Approximately five and one-half miles from a point one-half mile downstream of the confluence with Fenner Meadow Brook to a point where the West Branch intersects the Adirondack Park boundary.
26. Sacandaga River, East Branch: Approximately fourteen miles from a point approximately one-half mile above Cook Brook to the confluence with the Main Branch of the Sacandaga River.
27. Sacandaga River, Main Branch: Approximately thirty-one miles from the outlet of Lake Pleasant to the inlet of Great Sacandaga Lake.
28. Sacandaga River, West Branch: (a) Approximately ten and three-fifths miles from the Silver Lake wilderness boundary near the most upstream Route 10 bridge crossing to the confluence with Cow Creek; and
(b) Approximately seven and two-tenths miles from the confluence of Dugway Creek to the confluence with the Main Branch of the Sacandaga River.
29. Salmon River: Approximately twelve and three-tenths miles from the outlet of Elbow Ponds to the point where the river intersects the Adirondack Park Boundary.
30. Saranac River, Main Branch: Approximately sixty and two-fifths miles from the outlet of Upper Saranac Lake to the point where the river intersects the Adirondack Park boundary.
31. Schroon River: Approximately sixty-six and seven-tenths miles from the outlet of the former Dead Water Pond to the confluence with the Hudson River.
32. Shawangunk Kill River: From the border of Ulster and Orange Counties to its confluence with the Wallkill River.
33. West Canada Creek: Approximately eleven miles from the Route 8 bridge crossing near Nobleboro to the Harvey Road bridge crossing.
34. West Canada Creek, South Branch: Approximately nine and seven-tenths miles from the footbridge crossing one mile upstream of the Floe to the confluence with the Main Branch of the West Canada Creek.
35. West Stony Creek: (a) Approximately six miles from the Persch Road crossing (to Tannery Road Crossing); and
(b) Approximately two and seven-tenths miles from the confluence with Hatch Brook to the confluence with the Main Branch of the Sacandaga River.



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River	County	Reach	Length (miles)	Year Listed/Updated	Potential Classification	ORVs	Description	Other States
Abijah River	Jefferson	Confluence with South Sandy Creek to Leepy Rd.	2	1982		O	Botanic-(Segments flow through a unique and diverse assemblage of plant communities.)	
Allegheny River	Cattaraugus	Great Valley Creek to Townsend Hollow	10	1982		H	Historic-(Segment includes the Zawatski site, an Archaic Woodland National Historic Register Site.)	
Allegheny River	Cattaraugus	Great Valley Creek to Townsend Hollow.	10	1982/1995		F, H	Fish-(Segment could contain several rare, threatened, or endangered fish species.) Historic-(Segment includes the Zawatski site, an Archaic	

							Archaic Woodland National Historic Register Site.)
Ampersand Brook (Adirondack Province River System)	Franklin	Stony Creek Ponds to Ampersand Lake	8	1982		O	<p>Historic-(The Adirondack Forest Preserve, approximately 2.5 million acres of land containing preserve forests and recreational areas, is a National Historic Register Site and a National Historic Landmark. The area was the first state forest preserve in the U.S. established under the first comprehensive program in a state.)</p> <p>Hydrologic-(Area possesses a greater number of natural, free-flowing rivers and related water bodies than any other region of comparable size in the northeast, including approximately 32,000 miles of rivers and over 2,000 lakes and ponds.)</p> <p>Geologic-(Area includes significant portions of 3 of 7 regionally unique composite landscapes. These areas, where four or more different major landscape patterns-landform, land use, vegetation, water- come together in juxtaposition, are the most diverse places in the</p>

northeast. Rivers and river segments flow through and contain numerous unique geologic features including troughs, flumes, natural rock dams, gorges, etc.)

Cultural-(Certain river segments served as the habitat for a long line of celebrated Adirondack "hermits" including the "Mayor of Cold River". The area serves as a regional attraction for a variety of artists and photographers. Selected areas such as the upper Oswegatchie River are the focus of a fraternity of river guides from which a detailed river folklore has evolved.)

Recreation- (Rivers and related water bodies are important regional recreation attractions. In the last half of the 19th century the Adirondack region was one of the nation's most popular centers of small boat travel. Certain rivers and river segments possess a unique diversity of flow gradients including Class V rapids. Other

						<p>river segments such as the Raquette, Moose and Saranac, with the Fulton Chain of Lakes form a regionally unique 132 mile boat trail.)</p> <p>Botanic-(The area reportedly contains the largest contiguous stand of virgin timber in the continental U.S. Within areas on certain selected rivers are numerous significant sites including the Everton Falls Preserve, a significant example of northern Adirondack streams and ecological systems.)</p> <p>Wild-(A major portion of the area's watersheds and river corridors are significantly undeveloped. Certain rivers and river segments such as the Hudson, Jordan, Cold, St. Regis and Oswegatchie are largely inaccessible and virtually undeveloped or wild in character. State "forever wild" land borders 411 miles of the 1206 miles of Adirondack rivers designated in the State's Rivers System.)</p>	
Ausable River	Clinton, Essex	Mouth at Lake Champlain to	22	1982		S, F, O	See Ampersand Brook (Adirondack

		confluence of East & West Branches (Au Sable Forks)					Province River System) comments.	
Ausable River, East Branch	Essex	Ausable Forks to Marcy Swamp	37	1982		S	See Ampersand Brook (Adirondack Province River System) comments.	
Ausable River, West Branch	Essex, Clinton	Ausable Forks to headwaters near Heart Lake	35	1982		S, G, F	See Ampersand Brook (Adirondack Province River System) comments.	
Ausable River, West Branch	Essex, Clinton	Ausable Forks to headwaters near Heart Lake.	35	1982/1995		S, R, G, F	See Ampersand Brook (Adirondack Province River System) Recreation-Segment used for downriver canoe race each spring.	
Basher Kill	Orange, Sullivan	Confluence with Nerversink River to NY Rt. 17 at Wurstboro.	13	1995		W	Wildlife-Reach contains Bashakill Wildlife Management Area.	
Batavia Kill	Greene	Confluence with Schoharie to Windham	11	1982		S, R	See Schoharie Creek (segment from Prattsville to headwaters) comments.	
Batten Kill	Washington, Bennington	Route 22 to Arlington	18	1982		S, G, H	Historic-(Arlington Green Covered Bridge is a National Historic Register Site.) Geologic-(Free-flowing sparsely developed examples of medium order rivers in section are rare.) Scenic-(A uniquely high and diverse range of views due to variations	VT

							due to variations in landforms and river channel.)
Bear Gulf	Jefferson, Lewis	Confluence with Sandy Creek to headwaters north of Woodard Road	3	1982		G, O	Botanic-(Segment includes a unique white cedar population.)
Beaver Kill	Ulster, Sullivan	One mile upstream for Spring Brook to headwaters .	31	1982/ 1995		S, R	Recreation-One of the most famous Catskill trout streams. Scenic-A uniquely high and diverse range of views relating to a variety of spatial enclosures, topographic diversity and the presence of nearby low mountains.
Beaver Kill	Ulster, Sullivan	One mile upstream from Spring Brook to headwaters	31	1982		S	Scenic-(A uniquely high and diverse range of views relating to a variety of spatial enclosures, topographic diversity and the presence of nearby low mountains.)
Black Creek	Genesee, Monroe	Confluence with Genesee River to NY Rt. 237 near Pumpkin Hill.	29	1995		R, W	Recreation-Unique proximity to urban population of Greater Rochester area. Entire reach is easy flatwater paddling; however, permission is required to pass through part of Bergen Swamp Wildlife Refuge. Wildlife-Reach includes Bergen Swamp Wildlife Refuge and Churchville Park. Rare. threatened

							or endangered species of reptile present in upper part of reach.
Black River	Jefferson	Dexter Dam to U.S. 11 Bridge in Watertown	12	1995		S, R, F	<p>Fish-Lake sturgeon may be migrating into this segment during the spring for spawning activities.</p> <p>Recreation-Rafting, kayaking and related whitewater activities exist throughout the summer months. Class IV whitewater within the Black River Gorge.</p> <p>Outstanding fisheries for resident walley and anadromous salmon and steelhead trout occur within the segment.</p> <p>Scenic-Black River Gorge is part of this segment.</p>
Black River	Herkimer	Kayuta Lake to North Lake	15	1982		R, O	See Ampersand Brook (Adirondack Province River System) comments.
Black River	Jefferson, Lewis	Carthage to Lyons Falls	35	1982		G, O	<p>Geologic-(The river segment follows the nearly straight divide between the Adirondack Province and the Mohawk section.)</p> <p>Hydrologic-(The longest, least developed free-flowing river remaining in this section.)</p>
Black River	Jefferson, Lewis	Carthage to Lyons Falls	35	1982/1995		R, G, H, O	Geologic-The river segment

							<p>river segment follows the nearly straight divide between the Adirondack province and the Tug Hill section.</p> <p>Historic-Several structures from the Black River Canal still exist within this segment.</p> <p>Hydrologic-The longest, least-developed, free-flowing river segment.</p> <p>Recreation-Entire segment is paddable even in summer. Outstanding walleye fishery exists throughout the segment.</p>	
Black River	Lewis, Oneida	Norton Road upstream to Forestport Dam.	26	1995		S, R	<p>Recreation-Class III whitewater and self-sustaining coolwater fishery occur within this segment.</p> <p>Scenic-A splendid gorge within this segment.</p>	
Blue Mountain Stream	St. Lawrence	Confluence with Pleasant Lake stream to Clear Pond	9	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Bog River	St. Lawrence	Tupper Lake to dam below Hitchins Pond	7	1982		S, O	See Ampersand Brook (Adirondack Province River System) comments.	
Bog River	St. Lawrence	Tupper Lake to Bog Lake	20	1982/1995		S, R, O	See Ampersand Brook (Adirondack Province River System) comments.	
Boreas River	Essex	Brace Dam to Boreas	6	1982		O	See Ampersand Brook	

		to Boreas Ponds					BROOK (Adirondack Province River System comments.)	
Boreas River	Essex	Confluence with the Hudson River to Boreas Ponds	17	1982/1995		S, R, O	See Ampersand Brook (Adirondack Province River System) comments.	
Boreas River	Essex	Confluence with the Hudson River to Cheney Pond	11	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Bouquet River	Essex	Lake Champlain to the confluence with the North Fork	48	1982		S, R, F	See Ampersand Brook (Adirondack Province River System) comments.	
Bouquet River, North Branch	Essex	Confluence with Main Branch to Trout Pond	19	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Bouquet River, North Fork	Essex	Bridge at Rt. 73 to headwaters on Dial Mt.	6	1982		S, O	See Ampersand Brook (Adirondack Province River System) comments.	
Bouquet River, South Fork	Essex	Bridge at Rt. 73 to headwaters	6	1982		S, O	See Ampersand Brook (Adirondack Province River System) comments.	
Canisteo River	Steuben	Confluence with Tioga River to South Hornell Road.	46	1995		R	Recreation-Class I whitewater seasonally. Smallmouth bass and walleye fishing.	
Carmans River	Suffolk	Long Point to the Long Island Expressway	6	1982		R	Recreation-(A unique proximity to high concentrations of population.)	
Catskill Creek	Greene, Albany, Schoharie	South Cairo to headwaters	32	1982		R	Recreation-(Intensively used at present	

	Section	Headwaters					at present. Unique proximity to urban populations in Albany.)
Cattaraugus Creek	Erie, Cattaraugus	Buttermilk Creek to Yorkshire.	14	1982/1995		R, G	Geologic-Segment flows through an area of significant topographic diversity and variation. Recreation-Class II whitewater opportunities exist within this segment.
Cattaraugus Creek	Erie, Chautauqua, Cattaraugus	South of NY State Thruway to North Gowanda.	11	1982/1995		R, F	Recreation-Segment includes a diversity of flow gradients including a section of Class IV rapids. Unique proximity to urban populations of metropolitan buffalo. Fisheries-Segment contains an endangered fish species.
Cattaraugus Creek	Erie, Chautauqua, Cattaraugus	South of the NY State Thruway to North Gowanda	11	1982		R	Recreation-(Unique proximity of urban population in Buffalo. Segment includes a diversity of flow gradients including a section of Class IV rapids.)
Cattaraugus Creek	Erie, Cattaraugus	Buttermilk Creek to Yorkshire	14	1982		G	Geologic-(Segment flows through an area of significant topographic diversity and variation.)
Cattaraugus Creek	Cattaraugus	Gowanda to Buttermilk	20	1982		R, G, O	Botanic-(Deer Lick Nature

		Creek					Sanctuary is unique habitat for more southerly species such as red cedar. A Registered Natural Landmark.) Recreation-(Unique proximity to urban populations in Buffalo.) Geologic-(Significant topographic diversity and variation including Zoar Valley Gorge which has steep shale walls up to 200" in height.)	
Cattaraugus Creek, South Branch	Cattaraugus	Confluence with Cattaraugus Creek to Skinner Hollow Road bridge located off NY Rt. 12 northeast of village of Cattaraugus.	12	1995		S, R	Scenic-Reach contains a narrow, 400-foot deep gorge with several waterfalls. Recreation-Challenging Class III-IV whitewater in an isolated deep gorge.	
Cedar River	Hamilton, Essex	Confluence with Hudson River to the outlet of Cedar Lakes	40	1982		S, G, F, W, O	See Ampersand Brook (Adirondack Province River System) comments.	
Cedar River	Hamilton, Essex	Confluence with Hudson River to the outlet of Cedar Lakes.	40	1982/1995		S, R, G, F, W, O	See Ampersand brook (Adirondack Province River System) comments.	
Chataqua Creek	Chataqua	Route 20 Bridge in Westfield to Putnam Road	11	1982		S	Scenic-(Segment flows through a deeply incised gorge, known as The Gulf. This area is noted for its scenic qualities and diversity of views	

							which are related to stream channel variation, topographic variation, and the variety of land uses and vegetative cover.)	
Chateaugay River	Franklin	Pulp Mill Road to the Forge.	5	1982/1995		G, H	Hydrologic-One of the last remaining, relatively undeveloped, free-flowing river segments in the section from Chateaugay Lake to the Canadian border where the river drops 1000 feet in 17 miles. However, a new hydropower plant with bypass/penstock has destroyed most of the ORV's at High Falls. Geologic-Segment includes a variety of flow gradeints including the significant High Falls area.	
Chateaugay River	Franklin	Park Boundary (Lower Chateaugay Lake) to Bluff Point (Upper Chateaugay Lake)	4	1982		S	See Ampersand Brook (Adirondack Province River System) comments.	
Chateaugay River	Franklin	Canadian border to the abandoned railroad line near Chateaugay	6	1982		S, G, O	Hydrologic-(One of the last remaining, relatively undeveloped free-flowing river segments in the section. From Chateaugay Lake to the border where the river drops 1000 feet in 17 miles.) Scenic-(A unique	

							and diverse range of views related to a variety of spatial enclosures, islands, topographic diversity and vegetative cover.) Geologic- (Segment flows through the unique Chateaugay Chasin, a deep box-like gorge with near-vertical walls of 100 feet and more.)	
Chateaugay River	Franklin	Abandoned railroad line near Chateaugay to the Forge	7	1982		G, O	Hydrologic-(One of the last remaining relatively undeveloped, free-flowing river segments in the section. From Chateaugay Lake to the border where the river drops 1000 feet in 17 miles.) Geologic- (Segment includes a variety of flow gradients including the significant High Falls area.)	
Chemung River	Chemung	West of South Coming Rd. to Fitch Bridge	6	1982		G	Geologic- (Corridor includes nearly 4 miles of unique steep, wooded bluffs and slopes arising to heights of more than 800 feet above the river.)	
Claverack Creek	Columbia	Stottville to Red Mills	8	1982		R	Recreation- (Segment includes a diversity of unique flow gradients including Class 4 rapids.)	
Clyde River	Wayne	West of	9	1982		H	Historic-(Within	

Clyde River	Wayne	West of Clyde to Creager Bridge		1992			Historic (Within the segment is a portion of the Erie Canal which was the first major U.S. Canal project and most important engineering undertaking of the early 19th century.)
Cohocton River	Steuben	Confluence with Tioga River to Atlanta.	37	1995		R, G, F	<p>Recreation-Class I whitewater seasonally. Year-round trout fishing in the upper 17 miles of reach. Seasonal trout fishing downstream of village of Avoca. Two sections with special fishing regulations within segment of reach above Bath.</p> <p>Geologic-Unique aquifer in vicinity of villages of Wallace and Avoca.</p> <p>Fish-Self-sustaining brown and brook trout populations present year-round in vicinity of special regulations section between Wallace and Avoca.</p>
Cold River	Hamilton, Franklin, Essex	Confluence with Raquette River to Duck Hole	14	1982		O	See Ampersand Brook (Adirondack Province River System) comments.
Conewango Creek	Chautauqua-Cattaraugus	PA Border to Clear Creek near Jamestown	33	1982		O	Botanic-(85% of the segment flows through an ecologically significant river swamp, the extent of which is unique to the section.)

Connetquot River	Suffolk	Johnson Avenue to south of Sunrise Highway.	6	1982		R, O	<p>Recreation-A unique proximity to high concentrations of population that offers both quality trout fishing opportunities, as well as an easy canoe paddle.</p> <p>Hydrologic-One of the last 3 remaining, relatively undeveloped, free-flowing river segments on Long Island.</p>	
Deer River	Franklin, St. Lawrence	Confluence with the St. Regis River to APA boundary	36	1982		O	Hydrologic-(Longest remaining example of a relatively undeveloped, free-flowing river segment in the section.)	
Deer River	Franklin	Park boundary to Deer River Flow	6	1982		S	See Ampersand Brook (Adirondack Province River System) comments.	
Delaware River, East Branch	Delaware	Hancock to East Branch.	17	1995		R, O	<p>Hydrologic-One of the only remaining free-flowing, undeveloped river segments in the Upper Delaware basin.</p> <p>Recreational-Unique fishing opportunities for native brown and rainbow trout and migratory American shad.</p>	
Delaware River, East Branch	Delaware	Harvard to Downsville	10	1982		C, O	Hydrologic-(One of the last remaining relatively undeveloped, high order, free-flowing river segments in this	

							section.) Cultural- (Adjacent to the segment corridor is a representative example of a former river industry in Corbett. Within this hamlet is an acid factory store building, community building and 42 houses built to initiate acid production in 1912.)	
East Canada Creek	Herkimer, Fulton, Hamilton	Dolgeville to headwaters near Powley Place	13	1982		S, F	See Ampersand Creek (Adirondack Province River System) comments.	
East Canada Creek	Herkimer, Fulton, and Hamilton	Dogleville to headwaters near Powley Place.	27	1982/1995		S, R, F, O	See Ampersand Brook (Adirondack Province River System) comments.	
East Stony Creek	Hamilton and Warren	Great Sacandaga Lake to Lixard Pond.	25	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Esopus Creek	Ulster	Ashokan Reservoir to Winnisook Lake.	27	1995		S, R, F	Scenic-Reach located in heart of Catskill Mountains. Recreation-Water diversions by New York City from Schoharie Reservoir via the Shandaken Tunnel provide excellent trout fishing and tubing for the lower 13 miles throughout the summer. Traditional catskill trout fishery upstream	

							<p>or tunnel. Class III whitewater also provided by directed releases up to 4 weekends each summer in section downstream of tunnel.</p> <p>Fish-Self-sustaining trout populations in segment of reach.</p>
Fall Stream	Hamilton	Piseco Lake to Mud Lake.	7	1995		S, R	<p>See Ampersand Brook (Adirondack Province River System).</p> <p>Recreation-Reach provides summer flatwater paddling except for the upper Vly Lake-Mud Lake section.</p>
Fish Creek	Oneida	Oneida Lake to confluence of East and West Branches.	16	1995		R, F	<p>Recreation-Flatwater paddling opportunity in close proximity of greater Syracuse area. Riverine walleye fishery after spawning season.</p> <p>Fish-Important walleye spawning area. Special fishing regulations for this reach.</p>
Fish Creek, East Branch	Oneida	Confluence with West Branch to East Branch Fish Creek Reservoir.	17	1995		S, R, F	<p>Scenic-Reach contains a scenic gorge.</p> <p>Recreation-Brown and brook trout fishery in upper part of reach. Seasonal walleye fishery below NY Rt. 69 bridge at Taberg. Class III-IV whitewater between</p>

							Yorkland and Taberg. Fish-Walleye spawning habitat to Rt. 69 bridge at Taberg. Self-sustaining brown and brook trout populations in upper part of reach.	
Fish Creek, West Branch	Oneida	Confluence with the East Branch to NY Rt. 13 bridge above Westdale.	25	1995		R, F, W	Recreation-Class I paddling except for a little Class II water near confluence. Seasonal walleye fishing in lower part of reach. Fish-Walleye spawning habitat up to dam at McConnellesville. Wildlife-Reach includes Westdale Marsh.	
Fox Creek	Jefferson	Confluence with South Sandy Creek to the Loraine - E. Boylston Rd.	7	1982		O		
Genesee River	Allegany, Wyoming, and Livingston	Portageville to NY Route 19 Bridge at Belmont	40	1982/1995		R, O	Hydrologic-Unique large, undeveloped high order river. Recreational-Class I paddling through most of segment.	
Genesee River	Allegany	Rt. 19 bridge at Belmont to Rt. 19 bridge at Shongo .	25	1995		O	Recreational-Mostly Class II paddling.	
Genesee River	Wyoming	Mount Morris to Portageville	21	1982/1995		S, R, G	Geologic-Three significant waterfalls. The most outstanding example of representative river related topographic features in the	

							<p>section.</p> <p>Recreational-Class II and III paddling for six miles between Lee's Landing (below Lower Falls) and St. Helena.</p> <p>Scenic-Segment is within Letchworth State Park and has a "scenic" designation under the New York State Rivers Program for its scenic qualities.</p>	
Genesee River	Monroe, Livingston	NY State Thruway to Rt. 36 near Mount Morris	40	1982		O	Hydrologic-(Unique largely undeveloped, high order river.)	
Genesee River	Allegany, Wyoming, Livingston	Portageville to Belmont	40	1982		O	Hydrologic-(Unique large, undeveloped, high order river.)	
Genesee River	Wyoming	Mount Morris to Portageville	7	1982		G	Geologic-(Three significant waterfalls. The most outstanding example of representative river related topographic features in the section.)	
Genesee River	Monroe and Livingston	NY Route 252 to Route 36 near Mt. Morris	49	1982/1995		R, O	<p>Hydrologic-Unique, largely undeveloped, high order river.</p> <p>Recreational-Class I paddling through entire segment except for one mile of Class II waters near NY Route 36.</p>	
Grasse River	St. Lawrence	Northernmost Park boundary crossing to confluence of	5	1982		F	See Ampersand Brook (Adirondack Province River System)	

		Middle and South Branches					comments.	
Grasse River, Middle Branch	St. Lawrence	Confluence with the South Branch to confluence with Pleasant Lake Stream and Blue Mountain Stream	15	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Grasse River, North Branch	St. Lawrence	Park Boundary to Church Pond	25	1982		W	See Ampersand Brook (Adirondack Province River System) comments.	
Grasse River, South Branch	St. Lawrence	Confluence with the Middle Branch to Center Pond	44	1982		S, F	See Ampersand Brook (Adirondack Province River System) comments.	
Great Chazy River	Clinton	Robideau Road Bridge to Chazy Lake	6	1982		S	See Ampersand Brook (Adirondack Province River System) comments.	
Gulf Stream	Jefferson, Lewis	Confluence with Sandy Creek to the headwaters of Jacobs Creek	20	1982		G	Geologic- (Segment includes the Inman Gulf area with 200 foot gorge and two significant waterfalls.)	
Hoosic River	Rensselaer	Hoosick Falls to near North Pownal	10	1982		O	Archaeologic- (Corridor contains 10 known prehistoric archaeological sites.)	VT
Hoosic River	Rensselaer	Confluence with the Hudson River to Schaghticoke	6	1982		G, O	Geologic- (Segment includes and flows through 200' deep Hoosic River Gorge, significant plateau remnants of the pre-glacial Hoosic delta and a large bedrock island with an	

							<p>...with an unusual forest cap.)</p> <p>Archeologic-(Corridor includes the 8,000 year old Schaghticoke Indian Site.)</p> <p>Hydrologic-(One of the last remaining, sparsely developed free-flowing river segments in this section.)</p>
Hudson River	Ulster, Columbia, Dutchess	North of Barrytown to south of Malden on Hudson	5	1982		F, H, O	<p>Fish-(Corridor includes significant fish habitat areas at the confluence with Esopus Creek and the Tivoli Bay area.)</p> <p>Hydrologic-(The southernmost remaining free-flowing, sparsely developed segment of the Hudson River.)</p> <p>Historic-(Segment includes the Clermont, the home of Robert Livingston, a National Historic Landmark.)</p>
Hudson River	Greene, Columbia	North of Coxsackie Island to above New Baltimore	5	1982		H, O	<p>Historic-(Segment includes the Stuyvesant Falls Mill District, a National Historic Register Site.)</p> <p>Hydrologic-(One of three remaining sparsely developed, free-flowing Hudson River segments outside of the Adirondack Park.)</p>

Hudson River	Essex, Hamilton, Saratoga, Warren	Congluence with the Sacandaga River to the confluence with the Opalescent	82	1982		S, R, G, O	See Ampersand Brook (Adirondack Province River System) comments.
Hudson River	Greene, Columbia	North of Hudson to south of Coxsackie	4	1982		H, O	Fish-(The stockport Creek area is recognized as a significant fish habitat.) Hydrologic-(One of three remaining sparsely developed, free- flowing Hudson River segments outside of the Adirondack Park.)
Hunger Kill	Albany	Confluence with the Normans Kill to Kydius St.	5	1982			See Normans Kill comments.
Independence River	Lewis	Confluence with the Black River to Pine Grove Rd.	4	1982		G, O	See Black River comments.
Independence River	Lewis, Herkimer	Park Boundary to Little Diamond Pond	20	1982		S, F, W	See Ampersand Brook (Adirondack Province River System) comments.
Indian River	Hamilton, Herkimer	Confluence with the South Branch of the Moose River to Brook Trout Lake	16	1982		O	See Ampersand Brook (Adirondack Province River System) comments.
Indian River	Essex, Hamilton	Confluence with the Hudson River to Indian Lake	8	1982		F	See Ampersand Brook (Adirondack Province River System) comments.
Indian River	Jefferson, Lewis	Antwerp to headwaters	32	1982		G	Geologic- (Segment includes a noted representative

							example of straight, parallel, narrow and steep valley. Within this area, which encompasses the river and Indian Lake, is a striking fault-related lineament. Corridor also includes out-representative examples of drumlins, kames, kame terraces and outwash deposits.)	
Jordan River	St. Lawrence, Franklin	Carry Falls Reservoir to Marsh	21	1982		S, O	See Ampersand Brook (Adirondack Province River System) comments.	
Kaikout Kill	Albany	Confluence with the Hunger Kill to the headwaters pond near the City of Albany boundary	2	1982		S, C	Cultural-(River corridor reportedly served as the inspiration for Longfellow to create the famous "Hiwatha".) Scenic-(A wide variety and diversity of unique views and spatial experience related to enclosing slopes, abrupt terraces, cove-like apertures and trough-like structures.)	
Kayaderosseras Creek	Saratoga	One mile north of Ballston Spa to Rock City Falls	7	1982		R	Recreation-(Unique proximity to urban populations in albany and Schenectady. Segment includes a diversity of flow gradients including Class IV rapids.)	

Kinderhook Creek	Columbia, Rensselaer	Confluence with Stockport Creek to NY Rt. 22 bridge.	46	1995		R, F, H	<p>Recreation-Close proximity to Capital District region. Trout fishing throughout upper part of reach; warmwater fishing throughout lower part of reach. Variety of paddling opportunities, ranging from Class I to III within reach.</p> <p>Fish-Significant fish spawning and nursery habitat in lower reaches for fish migrating from Hudson River.</p> <p>Historic-Reach includes the Stuyvesant Falls Mill District, a National Historic Register Site, and the Martin Van Buren Home and Shaker Museum, National Historic Landmarks.</p>	
Kunjamuk River	Hamilton	Confluence with the Sacandaga River to South Pond	20	1982		S, O	See Ampersand Brook (Adirondack Province River System) comments.	
Little Hoosic River	Rensselaer	Confluence with the Hoosic River to near Petersburg	6	1982		O	Hydrologic-(One of three remaining sparsely developed, free-flowing rivers in this section.)	
Long Pond Outlet	St. Lawrence	Confluence with the West Branch of the St. Regis River to Long Pond	16	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Mad River	Oswego,	Confluence	9	1982		O	See Salmon	

	Jefferson	with the North Branch to the headwaters near the county boundary					River (segment from Salmon Reservoir to the headwaters of the East Branch) comments.	
Marble River	Franklin	Hatchery to headwaters.	4	1995		O	Other-Principal water supply source for Chateaugay State Fish Hatchery.	
Marion River	Hamilton	Raquette Lake to Utowana Lake	5	1982		H, C	See Ampersand Brook (Adirondack Province River System) comments.	
Mill Creek	Warren	Confluence with the Hudson to Garnet Lake	13	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Mohawk River	Oneida	North of Rt. 12 to Stanwix	8	1982		O	Hydrologic-(The last remaining, relatively undeveloped, free-flowing segment of the Mohawk River.)	
Mohawk River	Oneida	Delta Reservoir upstream to confluences of East and West Branches near hamlet of West Branch.	12	1995		H	Hydrologic-One of the last remaining, relatively undeveloped, free-flowing segments of the Mohawk River.	
Mongaup Creek	Sullivan	Fish hatchery to Mongaup Pond; Henry Brook from Mongaup Creek to Hodge Pond.	5	1995		O	Other-Primary water supply source for Catskill State Fish Hatchery.	
Moose Creek	Essex	Confluence with Cold River to Moose Pond	5	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	

Moose River	Lewis, Herkimer	Park Boundary to the confluence with the Middle and South Branches	16	1982		S, R, G	See Ampersand Brook (Adirondack Province River System) comments.
Moose River, Middle Branch	Herkimer	Confluence with the South Branch to the confluence with the North Branch	10	1982		S, R	See Ampersand Brook (Adirondack Province River System) comments.
Moose River, North Branch	Herkimer	Confluence with the Middle Branch to Big Moose Lake	19	1982		S, O	See Ampersand Brook (Adirondack Province River System) comments.
Moose River, South Branch	Herkimer	Confluence with the Middle Branch to Little Moose Lake	39	1982		S, O	See Ampersand Brook (Adirondack Province River System) comments.

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River	County	Reach	Length (miles)	Year Listed/Updated	Potential Classification	ORVs	Description	Other States
Neversink River	Ulster, Sullivan	Southwest of Cuddebackville to Rock Hill	16	1982		G	Geologic-(A strikingly uncharacteristic example of a southern New York section river. Significant water features present at High Falls, Denton Falls and Katring Falls.)	
Neversink River, East Branch	Sullivan, Ulster	Confluence with West Branch near Claryville to junction with Erts Brook near Denning.	8	1995		S, R, F, H	Scenic-Forested, Catskill headwater stream with relatively light settlement. Recreation-Entire reach is Class III whitewater. Trout and salmon fishery within reach.	

							<p>Fish-Significant trout and possibly Atlantic salmon spawning and nursery habitat.</p> <p>Historic-Part of the famed Charmed Circle of Catskill trout waters and considered to be the birthplace of dry-fly fishing in America.</p>
Neversink River, West Branch	Sullivan, Ulster	Confluence with East Branch to junction with Biscuit Brook near Frost Valley.	8	1995		S, R, F, H	<p>Scenic-Forested Catskill headwater stream with relatively light settlement.</p> <p>Recreation-Entire reach is Class III whitewater. Trout and salmon fishery within reach.</p> <p>Fish-Significant trout and possibly Atlantic salmon spawning and nursery habitat.</p> <p>Historic-Part of the famed Charmed Circle of Catskill trout waters and considered to be the birthplace of dry-fly fishing in America.</p>
Nissequoque River	Suffolk	Confluence with Long Island Sound to New Mill Pond.	8	1995			<p>Recreation-Flatwater paddling within a largely forested reach with good water quality; surrounded by developed areas.</p>

							Fish-Marine and anadromous fish spawning and nursery habitat in lower portion of reach.	
Normans Kill	Albany	NY State Thruway to Route 146	14	1982		R	Recreation-(Unique proximity to urban populations in Albany and Schenectady. Segment includes a diversity of flow gradients including Class IV rapids.)	
Oak Orchard Creek	Orleans	Lake Ontario to Lockport Road bridge.	44	1995		R, W	Recreation-Well-known flatwater paddle from near Ridgeway to Lake Ontario. Pacific salmon, rainbow trout and brown trout fishery near mouth. Warmwater and coolwater fisheries in the middle and upper segments of reach. Wildlife-Reach includes Iroquois National Wildlife Refuge, Oak Orchard Wildlife Managemnt Area, and Oak Orchard swamp. Rare, endangered, or threatened species of reptile present in reach.	
Opalescent River	Essex	Confluence with the Hudson River to Flowed Land	15	1982		O	See Ampersand Brook (Adirondack Province River	

							System) comments.	
Osgood River	Franklin	Jones Pond Outlet to Meacham Lake	13	1982		S, O	See Ampersand Brook (Adirondack Province River System) comments.	
Oswegatchie River	St. Lawrence	Two miles south of Heuvelton to Rt. 87 bridge	8	1982		S	Scenic- (Segment includes a unique and diverse juxtaposition and combination of land and water elements including wetlands and rock promontories.)	
Oswegatchie River	Jefferson, St. Lawrence	Route 87 bridge to Natural Dam	34	1982		S	See Ampersand Brook (Adirondack Province River System) comments.	
Oswegatchie River	St. Lawrence, Herkimer	Wanakena to Partlow Mill Dam	21	1982		S, F, C, O	See Ampersand Brook (Adirondack Province River System) comments.	
Oswegatchie River, Middle Branch	Herkimer, St. Lawrence, Lewis	Confluence with the Main Branch to the headwaters near Walker Lake	41	1982		S, F, C, O	See Ampersand Brook (Adirondack Province River System) comments.	
Oswegatchie River, West Branch	St. Lawrence, Lewis	Fullerville dam backwater to Harrisville northern boundary	12	1982		S, F, O	See Ampersand Brook (Adirondack Province River System) comments.	
Oswegatchie River, West Branch	Herkimer, Lewis	Southern boundary of Harrisville to Buck Pond	34	1982		S, F, H, O	See Ampersand Brook (Adirondack Province River System) comments.	

							Province River System) comments.	
Otselic River	Chenango	South Otselic to Torpy Pond.	16	1995		O	Other-Primary water supply source for South Otselic State Fish Hatchery.	
Otter Brook	Hamilton	Confluence with the South Branch of the Moose River to Lost Pond	10	1982		S, F, W, O	See Ampersand Brook (Adirondack Province River System) comments.	
Otter Creek	Lewis	Confluence with the Black River to Pine Grove Rd.	1	1982		G, O	See Black River comments.	
Otter Creek	Lewis	Confluence with the Black & Big Otter Lake	16	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Oulaska Pass Brook	Essex, Hamilton	Confluence with Cold River to headwaters	3	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Peconic River	Suffolk	Great Peconic Bay to Red Maple Swamp near ridge.	16	1995		S, R, F	Scenic-Upper 10.5 of reach designated as scenic river and lower 5.5 miles designated as recreational river in New York State Rivers program. Recreation-Flatwater paddling throughout most of the reach. Canoe liveries within reach. Fish-Marine and anadramous fihses use lower part of	

							reach as spawning and nursery habitat.	
Piseco Lake Outlet	Hamilton	Confluence with the West Branch of the Sacandaga to State Route 10	5	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Pleasant Lake Stream	St. Lawrence	Confluence with the Middle Branch of the Grasse River to Pleasant Lake	5	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Poesten Kill	Rensselaer	West of Poesten Kill to Dyken Pond	10	1982		R, G	Hydrologic- (One of the last remaining examples of a free-flowing, undeveloped, low-order river in the section.) Geologic- (Segment includes the unique and diverse Barberville Falls.) Recreation- (Unique proximity to urban populations in Troy and Albany, New York.)	
Poultney River	Washington (NY), Rutland (VT)	Lake Champlain to headwaters near Tinmouth, VT.	50	1995		R, F	Recreation- Lower 26 miles below Carver Fall offers flatwater canoeing. Trout fishing in upper part of reach; warmwater and walleye fishing below Carver Falls. Fish--One of New York's most endangered fish species is	VT

							species is present throughout the lower 26 miles. Other-Because water quality and quantity is important to the endangered fish species' survival, the entire watershed is listed.	
Raquette River	St. Lawrence, Franklin, Hamilton	Confluence with Carry Falls Reservoir (Jamestown Falls) to the outlet of Raquette Lake	73	1982		S, C	See Ampersand Brook (Adirondack Province River System) comments.	
Red River	Hamilton	Confluence with the South Branch of the Moose River to headwaters	10	1982		S, F, C	See Ampersand Brook (Adirondack Province River System) comments.	
Roaring Brook	Lewis	Confluence with the Black River to Martinsburg	4	1982		G, O	See Black River comments.	
Rock River	Hamilton	Confluence with the Cedar River to Lake Durant	8	1982		S	See Ampersand Brook (Adirondack Province River System) comments.	
Rondout Creek	Sullivan, Ulster	NY Rt. 55 bridge (head of Rondout Reservoir) to headwaters near Peekamoose Lake.	12	1995		S, R	Scenic-Prime Catskill Mountain scenery. The creek flows within a great cleft. Recreation-Lower 10 miles is Class IV whitewater with limited use by only experienced paddlers. Trout fishing throughout	

							entire reach.	
Rondout Creek	Ulster	Hudson River to junction with Sandberg creek near Napanoch.	39	1995		S, R, F	<p>Scenic-Upper 30 miles of segment is a meandering river with a pastoral setting and alternating views of the Catskill and Shawangunk Mountains. Towns are small and generally removed from river. Reach includes High Falls, which is an outstanding landmark during spring flows.</p> <p>Recreation-Flatwater paddling for the upper 23 miles to High Falls. From High Falls to Eddyville Dam, seasonally-varying whitewater paddling ranging from Class I to IV. Flatwater paddling with tidal influence over the lower 9 miles below eddyville dam. Warmwater fishing for bass and walleye throughout reach; seasonal trout fishing in the upper part of reach.</p> <p>Fish-Important fish spawning and nursery habitat from Eddyville Dam to Hudson River.</p>	
Round Lake Outlet	Hamilton, St. Lawrence	Confluence with Bog River to Round Lake	3	1982		O	See Ampersand Brook	

							Brook (Adirondack Province River System) comments.	
Sacandaga River	Hamilton	Great Sacandaga Lake inlet to Lake Pleasant outlet	31	1982		S, R, F, H	See Ampersand Brooks (Adirondack Province River System) comments.	
Sacandaga River, East Branch	Hamilton, Warren	Confluence with the main Branch to Bothenation Pond	24	1982		S, F, O	See Ampersand Brook (Adirondack Province River System) comments.	
Sacandaga River, North Branch	Hamilton	Confluence with the West Branch to headwaters (Canary Pond)	6	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Sacandaga River, West Branch	Hamilton	Confluence with the main branch to headwaters near Silver Lake Mountain	34	1982		S, R, F, O	See Ampersand Brook (Adirondack Province River System) comments.	
Salmon River	Franklin	Park Boundary to Elbow Ponds	12	1982		S, R	See Ampersand Brook (Adirondack Province River System) comments.	
Salmon River	Oswego	Salmon Reservoir to the headwaters of the East Branch	10	1982		O	Wild-(Corridor and surrounding watershed is virtually undeveloped and remote.)	
Salmon River	Oswego	Route 81 to Lower Reservoir	9	1982		R	Recreation-(Segment includes a diversity of unique flow gradients including terrace-like drops through a trough and includes Class	

							IV rapids.	
Salmon River, North Branch	Oswego	Salmon Reservoir to Castor Pond	5	1982		O	See Salmon River (segment from Salmon Reservoir to the headwaters of the East Branch) comments.	
Sandy Creek	Jefferson	Mouth at Lake Ontario to Route 81	12	1982		O	Botanic- (Segment flows through the diverse Lakeview Marsh area which includes a sand sune, 4 miles of barrier beach, 5 open water ponds, and 450 acres of marsh.)	
Sandy Creek (Sandy Creek System)	Jefferson	East of Adams to the headwaters	17	1982		O	Hydrologic- (Collectively the segments within the system are representative examples of the regionally unique Tug Hill Upland area. The plateau-like region is one of the least settled parts of NY State.)	
Sangerfield River	Madison, Oneida	Wickwire Road to NY Rt. 12 bridge.	10	1995		S, F, W	Recreation- Flatwater paddling. Generally entrance and exit both at Wickwire Road since river is not deep enough to reach upstream terminus. Wildlife-Reach includes Nine Mile Swamp, which is frequented by a variety of animals and plants. A rare, threatened or endangered reptile species	

							reptile species occurs in reach. Historic-Reach includes area where the infamous Loomis Gang kept stolen horses, captives, etc.
Saranac River	Clinton, Franklin, Essex	Bridge crossing south of Elsinore to Upper Saranac Lake outlet	66	1982		S, R, H	See Ampersand Brook (Adirondack Province River System) comments.
Saranac River, North Branch	Clinton, Franklin	Confluence with the main branch to Mud Pond	20	1982		O	See Ampersand Brook (Adirondack Province River System) comments.
Schoharie Creek	Greene	Prattsville to headwaters	29	1982		S, R	Recreation-(Segment includes Class 3 rapids between Tannersville and Jewett Center and between State Route 42 bridge, Lexington, to Prattsville Dam.) Scenic-(A wide and unique variety of views related to stream channel and topographic variation including the walls of the eastern escarpment of the Catskill Mt. plateau, the Lexington and Prattsville valley, and numerous bluffs and forested mountain slopes.)
Schoharie	Greene	Near Vroman's	15	1982		C	Geologic (An

Schoharie Creek	Green	Near Vroman's Nose to the Blenheim- Gilboa Pump Storage Project dam	15	1962		G	Geologic-(An abundance of representative geologic features throughout the segment, including Boucks, Towpath Mountain and Walhalla Rocks.)	
Schoharie Creek		New York State Thruway to Esperance	18	1982		R, G, O	Hydrologic-(The last remaining segment of a sparsely developed significant free- flowing representative river in this section.) Geologic-(An abundance of representative geologic features throughout the segment, including 200' cliffs near Lost Valley.) Recreation-(A high diversity of flow gradients including a significant segment of Class IV rapids.)	
Schroon River	Warren, Essex	Confluence with the Hudson River to the outlet of the former Deadwater Pond	67	1982		S, G, W, O	See Ampersand Brook (Adirondack Province River System) comments.	
Scriba Creek	Oswego	Oneida Lake to headwaters near Salt Road (Rt. 183).	14	1995		F, O	Fish-Walleye spawning and nursery habitat near mouth. Other-Primary water supply source for Oneida State Fish Hatchery.	

Shawangunk Kill River	Ulster	Confluence with Wallkill River to Orange County line near New Vernon.	30	1995		R	<p>Recreation-Seasonal Class I whitewater through most of reach; occasional Class II whitewater when river passes through large boulder field. Seasonal coldwater trout fishery downstream to vicinity of Red Mills and warmwater fishery throughout lower part of reach.</p> <p>Other-Mostly the banks have riparian vegetation; but some openings associated with agricultural uses provide a fine view of Shawangunk Mountains to the west. Segment downstream of Red Mills designated in New York State Rivers Program.</p>
Shingle Gulf	Jefferson, Lewis	Confluence with Sandy Creek to the headwaters east of Whitesville Road	4	1982		G, O	Geologic-(Segment includes a 2.3 mile gorge up to 200 feet deep.)
Silver Lake Outlet	Hamilton	Confluence with the West Branch of the Sacandaga to Silver Lake	5	1982		O	See Ampersand Brook (Adirondack Province River System) comments.
South Branch Opalescent River/Skylight Brook	Essex	Confluence with Opalescent to headwaters	5	1982		O	See Amperand Brook (Adirondack Province River System) comments.

South Sandy Creek	Lewis, Jefferson	Mouth at Lake Ontario to the headwaters at the unnamed pond on the Lewis-Jefferson County boundary	28	1982		G	Geologic-(Segment flows through a variety of gorges including the 6 mile long, 200 feet deep Lorraine Gulf and the 3 mile long, 100 feet deep Totman Gulf.)	
Spring Creek	Livingston, Monroe	Confluence with Oatka Creek to headwaters.	6	1995		R, G, O	Recreation-Brown trout fishing in lower segment of reach. Geologic-Creek disappears in middle portion due to a large underground aquifer. Other-Primary water supply source for Caledonia State Fish Hatchery.	
St. Regis River	St. Lawrence, Franklin	Brasher Falls to Lower St. Regis Lake	46	1982		S, R, O	See Ampersand Brook (Adirondack Province River System) comments.	
St. Regis River, East Branch	Franklin	Confluence with the main branch above Santa Clara to headwaters at Meacham Lake	18	1982		S, O	See Ampersand River (Adirondack Province River System) comments.	
St. Regis River, West Branch	St. Lawrence	Confluence with the main branch at Winthrop to Allen Falls Reservoir	17	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
St. Regis River, West Branch	St. Lawrence, Franklin	Parishville to headwaters at Little Fish Pond	42	1982		S, F	See Ampersand Brook (Adirondack Province River	

							System) comments.	
Susquehanna River	Chenango, Delaware	NY Rt. 206 in Bainbridge to Otsego Lake outlet at Cooperstown.	57	1995		S, R	Scenic-Limited development has resulted in reach retaining most of its riparian vegetation. Wooded hillsides provide pleasant scenic views. Recreation- Only portion of this river suitable for both day and extended trips. Reach used for General Clinton canoe races held annually on Memorial Day weekend. Warmwater and coldwater fishing within various segments of reach.	
Taghkanic Creek	Columbia	Confluence with Claverack Creek to headwaters	24	1982		R	See Claverack Creek comments.	
The Branch	Essex	Confluence with Schroon River to Elk Lake	12	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Thirteenth Brook	Warren	Confluence with Hudson River to Thirteenth Lake	5	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Tomhannock Creek	Rensselaer	Confluence with the Hoosic River to the Boston-Maine railroad bridge	2	1982		G, O	See Hoosic River (segment from the confluence with the Hudson River to Schaghticoke) comments.	

Trout Brook	Essex, Warren	Pottersville to Olmstedville	8	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Unadilla River	Ostego, Chanango, Madison	Confluence with the Susquehanna River to West Edmeston	37	1982		R, O	Hydrologic-(Segment is one of the longest remaining undeveloped, free-flowing rivers in the section.)	
Upper & Lower Twin Brooks	Essex	Confluence with Opalescent to headwaters	6	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	
Walkill River	Orange	Hamburg, NJ to the Merritts Island, NY area	14	1982		O	Hydrologic-(One of the last remaining sparsely developed, representative free-flowing rivers in the section.) Botanic-(Over one third of the segment is dominated by wetlands, the extent of which is uncommon to the section.)	NJ
Walkill River (including Shawangunk Kill)	Ulster (Ulster, Orange)	Sturgeon Pond to Walkill (confluence with the Walkill River to Pine Bush)	19 (12)	1982 (1982)		H	Historic - Sement includes Perrine's Bridgem a National Historic Register Site (Historic - Segment includes the Johannes Decker Farm, a National Historic Register Site.)	NJ

Walkill River	Orange	South of the Montgomery Airport to Route 17	7	1982		O	Hydrologic- (One of the last remaining sparsely developed, representative free-flowing rivers in the section.)	NJ
Wappinger Creek	Dutchess	Nooteming Lake to headwaters at Thompson Pond	15	1982		G	Geologic- (Segment includes Thompson Pond, a National Natural Landmark.)	
West Canada Creek	Herkimer, Hamilton	Harvey Road bridge crossing to Mud Lake	36	1982		S, R, O	See Ampersand Brook (Adirondack Province River System) comments.	
West Canada Creek, South Branch	Herkimer, Hamilton	Confluence with West Canada Creek to headwaters	18	1982		S, R, O	See Ampersand Brook (Adirondack Province River System) comments.	
West Stony Creek	Fulton, Hamilton	Confluence with the Sacandaga River to Peck's Lake	15	1982		O	See Ampersand Brook (Adirondack Province River System) comments.	

Challenge Cost Share Program | Federal Lands to Parks | Hydropower Relicensing Program

Land and Water Conservation Fund | National Center for Recreation and Conservation | National Trails System

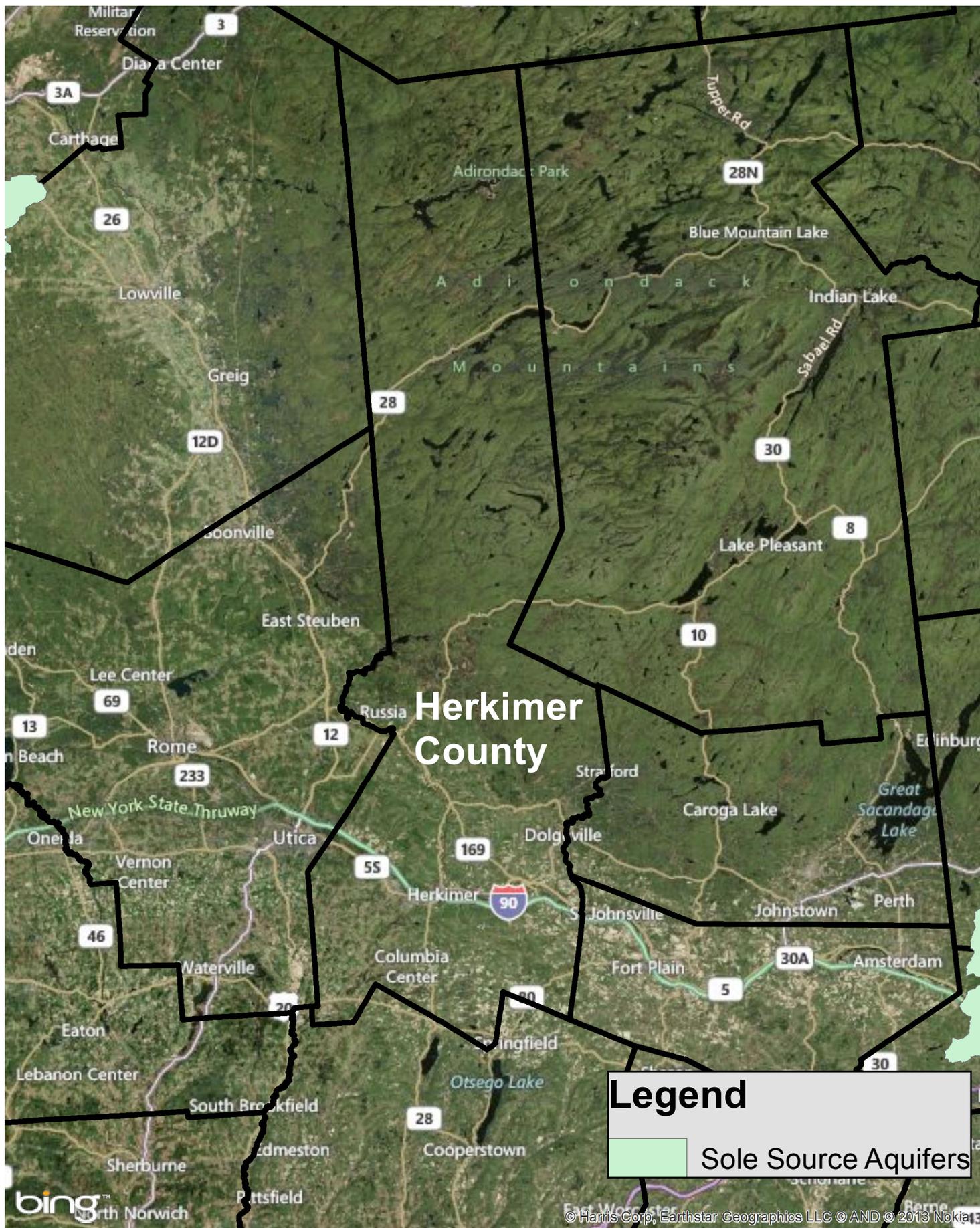
Partnership Wild and Scenic Rivers | Rivers and Trails Program | Urban Park and Recreation Recovery

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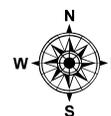
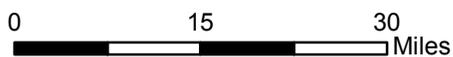
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Appendix H:

Sole Source Aquifer Map and Memorandum of Understanding



Herkimer County
Sole Source Aquifers



ProSource
TECHNOLOGIES

OPTIONAL FORM 99 (7-90)

FAX TRANSMITTAL

of pages - 14

To: Jeff Hollman	From: Steve Gomb
Dept./Agency	Phone #
Fax #	Fax #

MEMORANDUM OF UNDERSTANDING

BETWEEN

THE DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
REGION II, NEW YORK, NEW YORK

AND

THE ENVIRONMENTAL PROTECTION AGENCY
REGION II, NEW YORK, NEW YORK

PURPOSE and GOAL

This Memorandum of Understanding (MOU) is established to assist the Environmental Protection Agency (EPA), Region II, and the Department of Housing and Urban Development (HUD), Region II, in meeting the Sole Source Aquifer (SSA) project review requirements of Section 1424(e) of the 1974 Safe Drinking Water Act (SDWA) PL 93-523.

The MOU establishes a formal agreement of each agency's responsibilities and the procedures to be followed in evaluating the potential groundwater impact of projects/activities submitted for HUD Federal financial assistance which are located within the project review area of a designated SSA in Region II.

Under Section 1424(e), an aquifer may be designated by EPA as a SSA if it is determined that the aquifer is the sole or principal source of drinking water for an area and, if contaminated, would create a significant hazard to public health. Following designation, no commitment of Federal financial assistance may be entered into for any project/activity within the SSA project review area which the EPA Administrator determines may contaminate the aquifer so as to create a significant hazard to public health.

The overall goal is to ensure that each project/activity receiving Federal financial assistance in a SSA project review area is designed and constructed in a manner that will prevent the introduction of contaminants into the SSA in quantities that may create a significant hazard to public health.

GEOGRAPHIC AREAS AFFECTED

This MOU applies to HUD Federal financially assisted projects/activities in the project review area of all current and future designated SSAs in Region II.

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Attachment 1, Designated SSAs in Region II, lists the designated SSA areas within the states of New York and New Jersey in EPA, Region II; the date of designation; and the Federal Register Notice citation. Attachment 1 also provides a map of the SSA project review area boundary for each of the designated aquifers. When any other SSAs are designated in Region II, EPA will notify HUD in writing.

DEFINITIONS

Significant Hazard to Public Health:

A level of contaminant which causes or may cause the aquifer to:

- (a) Exceed any (1) maximum contaminant level set forth in any promulgated National Primary Drinking Water Standard; (2) state standard where more stringent than the Federal standard; (3) public health advisory level for currently unregulated contaminants; at any point where the water may be used for drinking purposes, or
- (b) May otherwise adversely affect the health of persons, or
- (c) May require a public water system to install additional treatment to prevent such adverse effect.

Federal Financial Assistance:

Financial benefits provided directly as aid to a project by a department, agency, or instrumentality of the federal government in any form including contracts, grants and loan guarantees. Actions or projects carried out by the federal government itself do not involve federal financial assistance.

Actions performed for the federal government by contractors should be distinguished from contracts entered into specifically for the purpose of providing financial assistance, and will not be considered programs or actions receiving Federal financial assistance.

Federal financial assistance is limited to benefits earmarked for a specific project or action and directly awarded to the project or action. Indirect assistance, e.g., in the form of a loan to a developer by a lending institution which in turn receives federal assistance not specifically related to the project in question is not federal financial assistance under Section 1424(e).

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SSA Project Review Area

The area within which federal financially assisted projects/activities will be reviewed, which includes the designated area and may include all or a portion of the streamflow source area(s). The designated area can include the area above the aquifer, the area which recharges the aquifer (possibly including all or a portion of the streamflow source area) and the area where the population served by the aquifer resides. Streamflow source zone is defined as the upstream headwaters area of losing streams (streams contributing to recharge to Ground Water) that drain into the recharge area. The extent of the SSA project review area is outlined in the Federal Register designation notice for that SSA. Attachment 1 lists the Federal Register Notice citation and provides a map of the SSA project review area.

MOU ATTACHMENTS

- Attachment 1: Designated SSAs in Region II
- Attachment 2: A. Non-Housing Initial Screen Criteria
B. Housing Initial Screen Criteria
- Attachment 3: SSA Preliminary Review Information Requirements
- Attachment 4: Hazardous Constituents

ENVIRONMENTAL REVIEW RESPONSIBILITY

Pursuant to Section 1424(e) of the SDWA, EPA is responsible for designating SSAs and reviewing federal financially assisted projects/activities within SSA project review areas.

Pursuant to the National Environmental Policy Act (NEPA) and other provisions of law, HUD is responsible for environmental review and decision making except in those cases delegated by law such as with Community Development Block Grant Program (CPD). Environmental responsibility includes compliance with Section 1424(e) of the SDWA.

EIS EARLY NOTIFICATION/SCOPING

If an Environmental Impact Statement (EIS) is prepared for a project/activity in a SSA project review area, HUD or its grant recipients that assume by law environmental responsibilities and EPA shall coordinate at the earliest possible time so that the draft EIS contains EPA's SSA review determination. This is to ensure that any possible groundwater contamination has been considered.

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This early notification will serve to initiate consultations with the developer to determine the scope of study that may be necessary if any formal groundwater quality assessment is required.

REVIEW PROCESS:

COMMUNITY PLANNING AND DEVELOPMENT (CPD) and HOUSING PROGRAM

The general procedures to be followed by HUD, its delegated agencies and EPA in reviewing HUD federal financially assisted activities and determining their potential impact on the SSA are outlined below. The overall goal is to ensure that each project/activity receiving federal financial assistance is designed and constructed in a manner that will prevent the introduction of contaminants into the SSA in quantities that may create a significant hazard to public health. Two levels of potential review are: (1) Initial Screen/Preliminary Review, and (2) Formal Section 1424(e) Review.

I. Excluded Projects/Activities

EPA and HUD mutually agree that the following list of project/activity categories would not create a significant hazard to public health:

- Construction of individual new residential structures containing from one to four units
- Funding of planning grants
- Rehabilitation of residential units
- Funding of all other grants for non-construction projects/activities
- Projects identified as exempt in 24 CFR 58.34

These categories of projects/activities are therefore excluded from the Initial Screen/Preliminary Review requirements as outlined in Sections II and III below. Potential CPD recipients; states; other delegated agencies and HUD are responsible for making this determination for their respective programs. EPA may request information on these projects/activities and conduct a review if EPA determines it to be necessary.

II. CPD Applications/Final Statements

A. Initial Screen/Preliminary Review

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HUD shall notify all potential CPD recipients, including states that are administering HUD programs (Non-Entitlement Small Cities Program, etc.) and other delegated agencies with jurisdiction in SSA project review areas of the SSA review requirements under Section 1424(e) and of their responsibility as outlined in this MOU.

Potential CPD recipients shall conduct an initial screen of CPD projects/activities proposed for HUD federal financial assistance prior to submission of an application or final statement to HUD. Attachment 2.A, Non-Housing Initial Screen Criteria, shall be used for CPD projects/activities that do not involve housing; and Attachment 2.B, Housing Initial Screen Criteria, for CPD projects/activities involving housing only.

EPA shall be notified of any projects/activities which result in a positive response to one of the criteria questions in Attachment 2. Where a project/activity meets one of the criteria in Attachment 2, the information in Attachment 3, SSA Preliminary Review Information Requirements, shall also be completed and forwarded to EPA along with applicable project/final statement information.

Upon receipt of the above, EPA will conduct its Preliminary Review. If additional information is required, EPA will inform the potential CPD recipient and HUD. The potential CPD recipient shall be responsible for submitting to EPA any additional information requested in a timely manner.

Based on the information provided, EPA will make its determination on whether to complete its review at this stage and provide SSA review clearance, or proceed to a Formal Section 1424(e) Review. The project/activity may be cleared as is, or with modifications.

B. Timeframe for Preliminary Review and Notification

Within fifteen calendar days of EPA's receipt of the project's final statement, Attachment 2 and Attachment 3, EPA will notify the potential CPD recipient and HUD of one or more of the following:

- the project/activity has received SSA review clearance
- the project/activity requires modifications to receive SSA review clearance
- additional environmental information is required
- additional time to review the project, is required
- the project/activity raises major environmental concerns requiring interagency consultation
- a Formal Section 1424(e) Review is required

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If EPA does not notify HUD within 15 days of receipt of the project, HUD should proceed with its project review.

HUD shall not authorize a release of funds until all outstanding issues with regard to the subject project/activity have been resolved.

C. Formal Section 1424(e) Review

Should a Formal Section 1424(e) Review be required, EPA shall formally notify the potential CPD recipient and HUD of this decision. If additional information is required, the potential CPD recipient shall be responsible for submitting the requested information to EPA in a timely manner. EPA may also schedule a public hearing to gather additional information.

Based on the information provided, EPA shall make a determination to either approve the project/activity, request more information, suggest modifications or disapprove the project/activity.

III. Housing Program Applications

A. Initial Screen/Preliminary Review

HUD shall notify all of its field offices of the SSA review requirements under Section 1424(e) and of their responsibilities as outlined in this MOU.

HUD shall conduct an initial screen of housing projects proposed for HUD Federal financial assistance. Attachment 2.B, Housing Initial Screen Criteria, shall be used for this review. EPA shall be notified of any projects which result in a positive response to one of the criteria questions in Attachment 2.B. Where a project meets one of the criteria in Attachment 2.B, the information in Attachment 3, SSA Preliminary Review Information Requirements, shall also be completed and forwarded to EPA along with the applicable project information.

Upon receipt of the above, EPA will conduct its Preliminary Review. If additional information is required, EPA will inform the HUD field office who shall then be responsible for submitting to EPA the requested information in a timely manner.

Based on the information provided, EPA will make its determination on whether to complete its review at this stage and provide SSA review clearance or proceed to a Formal Section 1424(e) Review. The project may be cleared in its existing form, or with modifications.

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B. Timeframe for Preliminary Review and Notification

Within fifteen calendar days of EPA's receipt of the pertinent environmental information from the housing application, Attachment 2 and Attachment 3, EPA will notify HUD of one or more of the following:

- the project has received SSA review clearance
- the project requires modifications to receive SSA review clearance
- additional environmental information is required
- additional time to review the project is required
- the project raises major environmental concerns requiring interagency consultation
- a Formal Section 1424(e) Detailed Review is required

The project environmental clearance needed for project approval shall not be considered complete (appropriate sign-offs) until outstanding SSA issues with regard to the subject project have been satisfactorily resolved.

If EPA does not notify HUD within 15 days of receipt of the project, HUD should proceed with its project review.

C. Formal Section 1424(e) Review

Should a Formal Section 1424(e) Review be required, EPA shall formally notify HUD of this decision. If additional information is required, HUD shall be responsible for submitting the requested information to EPA in a timely manner. A public hearing may be held to gather additional information.

Based on the information provided, EPA shall make a determination to either approve the project, request more information, suggest modifications or disapprove the project.

D. Local Area Certification For Housing Environmental Review

If the community is wholly or partially within a SSA project review area boundary, the local certified agency shall have the same responsibility as HUD in meeting the SSA review requirements as outlined in Section 1424(e) and this MOU.

GENERAL PROCEDURAL MATTERS

Materials submitted to IPA by HUD or the applicant will be addressed to the attention of:

Chief, Environmental Impacts Branch
U.S. EPA Region II
26 Federal Plaza, Room 500
New York, New York 10278

The following representatives will serve as liaisons for HUD and EPA respectively. The liaisons will maintain communication as needed regarding projects/activities affecting the SSAs and this MOU.

HUD: Regional Office Environmental Officer
(212) 264-0793

EPA: Chief, Environmental Impacts Branch
(212) 264-1840

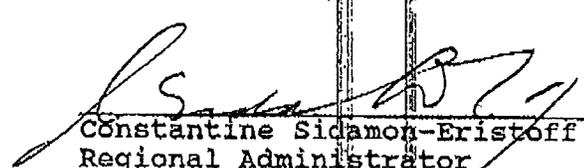
This MOU is subject to revision upon agreement by both parties.

U.S. Department of Housing and
Urban Development



A. M. Villane, Jr., DDS
Regional Administrator/
Regional Housing Commissioner

U.S. Environmental Protection
Agency



Constantine Sidamon-Eristoff
Regional Administrator

AUG 24 1990

Date: _____

Date: 8/10/90

ATTACHMENT 2.A

NON-HOUSING PROJECT/ACTIVITY INITIAL SCREEN CRITERIA
(For projects in a designated Sole Source Aquifer area)

The following list of criteria questions are to be used as an initial screen to determine which non-housing projects/activities should be forwarded to the Environmental Protection Agency (EPA) for Preliminary Sole Source Aquifer (SSA) Review. (For housing projects/activities see Attachment 2.B) If any of the questions are answered affirmatively, Attachment 3, SSA Preliminary Review Requirements, should also be completed. The application/final statement, this Attachment, Attachment 3, and any other pertinent information should then be forwarded to EPA at the address below.

Any project/activity not meeting the criteria in this Attachment, but suspected of having a potential adverse effect on the Sole Source Aquifer should also be forwarded. Contact EPA if you have any questions.

Chief, Environmental Impacts Branch
USEPA Region II
26 Federal Plaza, Room 500
New York, New York 10278
(212) 261-1840

CRITERIA QUESTIONS

- 1. Is the project/activity located within a currently designated or proposed groundwater sensitive area such as a special Ground Water Protection Area, Critical Supply Area, Wellhead Protection Area etc.? [This information can be obtained from the County or Regional planning board, the local health department, the State health department or the State environmental agency.]

- 2. Is the project/activity located within a one half mile radius (2640 feet) of a current or proposed public water supply well or wellfield? [This information can be obtained from the local health department, the State health department or the State environmental agency.]

YES NO N/A

- 3. Will the project/activity include or directly cause: (check appropriate items)
 - construction or expansion of solid waste disposal, recycling or conversion facilities
 - construction or expansion or closure of landfills
 - construction or expansion of water supply facilities (i.e., treatment plant, pump house, etc.)
 - construction or expansion of on-site wastewater treatment plants or sewage trunk lines, greater than 1/4 mile
 - construction or expansion of gas or petroleum trunk lines, greater than 1200 feet
 - construction or expansion of railroad spurs or similar extensions
 - construction or expansion of municipal sewage treatment plants

- 4. Will the project/activity include storage or handling of any hazardous constituents as listed in Attachment 4, Hazardous Constituents?

If these constituents are used during the construction phase of the project, than an assurance statement must be provided indicating that chemicals will be used in a safe and proper manner, and that they will be promptly removed after construction is completed.

- 5. Will the project/activity include bulk storage of petroleum in underground or above ground tanks in excess of 1100 gallons?

- 6. Will the project/activity require a federal or state discharge elimination permit or modification of an existing permit?

This attachment was completed by:

Name: _____

Title: _____

Address: _____

Telephone number: _____

Date: _____

ATTACHMENT 2.B

HOUSING/PROJECT INITIAL SCREEN CRITERIA
(For projects in a designated Sole Source Aquifer area.)

The following list of criteria questions are to be used as an initial screen to determine which housing projects/activities should be forwarded to the Environmental Protection Agency (EPA) for Preliminary Sole Source Aquifer (SSA) Review. (For non-housing projects see Attachment 2.A). If any of the questions are answered affirmatively, Attachment 3, SSA Preliminary Review Requirements, should also be completed. The application/final statement, this Attachment, Attachment 3, and applicable project information than be forwarded to EPA at the address below.

Any project not meeting the criteria in this Attachment, but suspected of having a potential adverse effect on the Sole Source Aquifer should also be forwarded. Contact EPA if you have any questions.

Chief, Environmental Impacts Branch
USEPA Region III
26 Federal Plaza, Room 500
New York, New York 10278
(212) 264-3840

CRITERIA QUESTIONS:

	YES	NO	N/A
1. Is the project located within a currently designated or proposed ground water sensitive area such as a Special Ground Water Protection Area, Critical Supply Area, Wellhead Protection Area etc.? [This information can be obtained from the County or Regional planning board, the local health department, the State health department or the State environmental agency.]			
2. Is the project located within a one half mile radius (2640 feet) of a current or proposed public water supply well or wellfield? [This information can be obtained from the local health department, the State health department or the State environmental agency.]			
3. Will the total impervious surfaces be greater than 75 percent?			
4. Is the proposed project site greater than 30 acres?			
5. Will the proposed density of the project be greater than 150 units per acre ?			

- 6. Will the project include or directly cause:
(check appropriate items)
 - construction or expansion of water supply facilities (i.e., treatment plant, pumphouse, etc.)
 - construction or expansion of on-site wastewater treatment plants
 - construction or expansion of sewage trunk lines greater than 1320 feet in length
 - construction or expansion of gas or petroleum trunk lines greater than 1320 feet

- 7. Will the project include storage or handling of any hazardous constituents as listed in Attachment 4, Hazardous Constituents? If these constituents are used during the construction phase of the project, an assurance statement must be provided indicating that chemicals will be used in a safe and proper manner, and they will be promptly removed after construction is completed.

- 8. Will the project include bulk storage of petroleum in underground or above ground tanks in excess of 10,000 gallons or permit verification?

- 9. Will the project require a federal or state pollutant discharge elimination permit or modification of an existing permit?

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

This attachment was completed by:

Name: _____

Title: _____

Address: _____

Telephone number: _____

Date: _____

ATTACHMENT 3

SSA PRELIMINARY REVIEW INFORMATION REQUIREMENTS

Where currently available, the information in this Attachment should be provided to the Environmental Protection Agency (see address below) along with the application/final statement; Attachment 2.A, Non-Housing Initial Screen Criteria or Attachment 2.B, Housing Initial Screen Criteria; and any other information which may be pertinent to a Sole Source Aquifer review. Where applicable, indicate the source of your information.

Chief, Environmental Impacts Branch
USEPA Region II
26 Federal Plaza, Room 500
New York, New York 10278
(212) 264-1840

ENCLOSED
YES NO

I. Project/Activity Location

- 1. Provide the geographic location and total acreage of the project/activity site. Include a site location map which identifies the site in relation to the surrounding area. [Examples of maps which can be used include: 1:24,000 or 1:25,000 U.S. Geological Survey quadrangle sheet, Hagstroms Street Map.]
- 2. If applicable, identify which groundwater sensitive areas (Special Ground Water Protection Area, Critical Supply Area, Wellhead Protection Area etc.) the project/activity is located within or adjacent to. [This information may be obtained from the County or Regional planning board, the local health department, the State health department or the State environmental agency.]

II. Nature of Project/Activity

- 3. Provide a general narrative describing the project/activity including but not limited to: type of facility; type of activities to be conducted; number and type of units; number of residents etc. Provide the general layout of the project/activity site and a site-plan if available.

III. Public Water Supply

- 4. Provide a description of plans to provide water supply.
- 5. Provide the location of nearby existing or proposed public water supply wells or wellfields within a one half mile radius (2640 feet) of the project/activity. Provide the name of the supplier(s) of those wells or wellfields. This information should be available from the local health department, State health department or the State environmental agency. If private wells are to be used, then information necessary to obtain a well drilling permit should be provided.

V. Wastewater and Sewage Disposal

- 6. Provide a description of plans to handle wastewater and sewage disposal. If the project/activity is to be served by existing public sanitary sewers provide the name of the sewer district.
- 7. Provide a description of plans to handle storm water runoff.
- 8. Identify the location, design, size of any on-site recharge basins, dry wells, leaching fields, retention ponds etc.

VI. Use, Storage, Transport of Hazardous or Toxic Materials (Applies only to non-housing projects/activities)

- 9. Identify any products listed in Attachment 3, Hazardous Constituents, of the Housing and Urban Development-Environmental Protection Agency Memorandum of Understanding which may be used, stored, transported, or released as a result of the construction activity.
- 10. Identify the number and capacity of underground storage tanks at the project/activity site. Identify the products and volume to be stored, and the location on the site.
- 11. Identify the number and capacity of above ground storage tanks at the project/activity site. Identify the products and volume to be stored, and the location on the site.

Appendix I:

USFWS Natural Resources of Concern



U.S. Fish and Wildlife Service

Trust Resources List

This resource list is to be used for planning purposes only — it is not an official species list.

Endangered Species Act species list information for your project is available online and listed below for the following FWS Field Offices:

New York Ecological Services Field Office
3817 LUKER ROAD
CORTLAND, NY 13045
(607) 753-9334
<http://www.fws.gov/northeast/nyfo/es/section7.htm>

Project Name:

Herkimer County 5+ Unit Rehab

Project Counties:

Herkimer, NY

Project Type:

** Other **

Endangered Species Act Species List (USFWS Endangered Species Program)

There are a total of 2 threatened or endangered species on your species list. Species on this list should be considered in an effects analysis for your project and could include species that exist in another geographic area. For example, certain fishes may appear on the species list because a project could cause downstream effects on the species. Critical habitats listed under the **Has Critical Habitat** column may or may not lie within your project area. See the **Critical habitats within your project area** section below for critical habitat that lies within your project area. Please contact the designated FWS office if you have questions.

Species that should be considered in an effects analysis for your project:

Ferns and Allies	Status	Has Critical Habitat	Contact
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Trust Resources List

American Hart'S-Tongue fern (<i>Asplenium scolopendrium</i> var. <i>americanum</i>) Population:	Threatened	species info		New York Ecological Services Field Office
Mammals				
northern long-eared Bat (<i>Myotis septentrionalis</i>) Population:	Proposed Endangered	species info		New York Ecological Services Field Office

Critical habitats within your project area:

There are no critical habitats within your project area.

FWS National Wildlife Refuges ([USFWS National Wildlife Refuges Program](#)).

There are no refuges found within the vicinity of your project.

FWS Migratory Birds ([USFWS Migratory Bird Program](#)).

The protection of birds is regulated by the Migratory Bird Treaty Act (MBTA) and the Bald and Golden Eagle Protection Act (BGEPA). Any activity, intentional or unintentional, resulting in take of migratory birds, including eagles, is prohibited unless otherwise permitted by the U.S. Fish and Wildlife Service (50 C.F.R. Sec. 10.12 and 16 U.S.C. Sec. 668(a)). The MBTA has no provision for allowing take of migratory birds that may be unintentionally killed or injured by otherwise lawful activities. For more information regarding these Acts see <http://www.fws.gov/migratorybirds/RegulationsandPolicies.html>.

All project proponents are responsible for complying with the appropriate regulations protecting birds when planning and developing a project. To meet these conservation obligations, proponents should identify potential or existing project-related impacts to migratory birds and their habitat and develop and implement conservation measures that avoid, minimize, or compensate for these impacts. The Service's Birds of Conservation Concern (2008) report identifies species, subspecies, and populations of all migratory nongame birds that, without additional conservation actions, are likely to become listed under the Endangered Species Act as amended (16 U.S.C 1531 et seq.).



Trust Resources List

For information about Birds of Conservation Concern, go to <http://www.fws.gov/migratorybirds/CurrentBirdIssues/Management/BCC.html>.

Migratory birds of concern that may be affected by your project:

There are 13 birds on your Migratory birds of concern list. The Division of Migratory Bird Management is in the process of populating migratory bird data with an estimated completion time of Fall 2014; therefore, the list below may not include all the migratory birds of concern in your project area at this time. While this information is being populated, please contact the Field Office for information about migratory birds in your project area.

Species Name	Bird of Conservation Concern (BCC)	Species Profile	Seasonal Occurrence in Project Area
American bittern (<i>Botaurus lentiginosus</i>)	Yes	species info	Breeding
Bald eagle (<i>Haliaeetus leucocephalus</i>)	Yes	species info	Year-round
Bay-breasted Warbler (<i>Dendroica castanea</i>)	Yes	species info	Breeding
Black tern (<i>Chlidonias niger</i>)	Yes	species info	Breeding
Black-billed Cuckoo (<i>Coccyzus erythrophthalmus</i>)	Yes	species info	Breeding
Canada Warbler (<i>Wilsonia canadensis</i>)	Yes	species info	Breeding
cerulean warbler (<i>Dendroica cerulea</i>)	Yes	species info	Breeding
Common tern (<i>Sterna hirundo</i>)	Yes	species info	Breeding
Golden-Winged Warbler (<i>Vermivora chrysoptera</i>)	Yes	species info	Breeding
Louisiana Waterthrush (<i>Parkesia motacilla</i>)	Yes	species info	Breeding
Olive-Sided flycatcher (<i>Contopus cooperi</i>)	Yes	species info	Breeding
Pied-billed Grebe (<i>Podilymbus podiceps</i>)	Yes	species info	Breeding



Trust Resources List

Wood Thrush (<i>Hylocichla mustelina</i>)	Yes	species info	Breeding
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NWI Wetlands ([USFWS National Wetlands Inventory](#))

The U.S. Fish and Wildlife Service is the principal Federal agency that provides information on the extent and status of wetlands in the U.S., via the National Wetlands Inventory Program (NWI). In addition to impacts to wetlands within your immediate project area, wetlands outside of your project area may need to be considered in any evaluation of project impacts, due to the hydrologic nature of wetlands (for example, project activities may affect local hydrology within, and outside of, your immediate project area). It may be helpful to refer to the USFWS National Wetland Inventory website. The designated FWS office can also assist you. Impacts to wetlands and other aquatic habitats from your project may be subject to regulation under Section 404 of the Clean Water Act, or other State/Federal Statutes. Project Proponents should discuss the relationship of these requirements to their project with the Regulatory Program of the appropriate [U.S. Army Corps of Engineers District](#).

Data Limitations, Exclusions and Precautions

The Service's objective of mapping wetlands and deepwater habitats is to produce reconnaissance level information on the location, type and size of these resources. The maps are prepared from the analysis of high altitude imagery. Wetlands are identified based on vegetation, visible hydrology and geography. A margin of error is inherent in the use of imagery; thus, detailed on-the-ground inspection of any particular site may result in revision of the wetland boundaries or classification established through image analysis.

The accuracy of image interpretation depends on the quality of the imagery, the experience of the image analysts, the amount and quality of the collateral data and the amount of ground truth verification work conducted. Metadata should be consulted to determine the date of the source imagery used and any mapping problems.

Wetlands or other mapped features may have changed since the date of the imagery and/or field work. There may be occasional differences in polygon boundaries or classifications between the information depicted on the map and the actual conditions on site.

Exclusions - Certain wetland habitats are excluded from the National mapping program because of the limitations of aerial imagery as the primary data source used to detect wetlands. These habitats include seagrasses or submerged aquatic vegetation that are found in the intertidal and subtidal zones of estuaries and nearshore coastal waters. Some deepwater reef communities (coral or tubercid worm reefs) have also been excluded from the inventory. These habitats, because of their depth, go undetected by aerial imagery.



Trust Resources List

Precautions - Federal, state, and local regulatory agencies with jurisdiction over wetlands may define and describe wetlands in a different manner than that used in this inventory. There is no attempt, in either the design or products of this inventory, to define the limits of proprietary jurisdiction of any Federal, state, or local government or to establish the geographical scope of the regulatory programs of government agencies. Persons intending to engage in activities involving modifications within or adjacent to wetland areas should seek the advice of appropriate federal, state, or local agencies concerning specified agency regulatory programs and proprietary jurisdictions that may affect such activities.

The following wetland types intersect your project area in one or more locations:

Wetland Types	NWI Classification Code	Total Acres
Freshwater Emergent Wetland	PEM1/SS4E	16.0945
Freshwater Emergent Wetland	PEM1Eb	90.552
Freshwater Emergent Wetland	PEM1Ed	1.2826
Freshwater Emergent Wetland	PEM1/SS1Eb	13.3974
Freshwater Emergent Wetland	PEM5/UBHb	1.7243
Freshwater Emergent Wetland	PEM1Fb	71.7608
Freshwater Emergent Wetland	PEM1Fh	2.7964
Freshwater Emergent Wetland	PEM1E	453.2097
Freshwater Emergent Wetland	PEM1F	78.4053
Freshwater Emergent Wetland	PEM1C	132.883
Freshwater Emergent Wetland	PEM1A	20.4892
Freshwater Emergent Wetland	PEM1B	46.2317
Freshwater Emergent Wetland	PEM1/SS1C	7.5854
Freshwater Emergent Wetland	PEM1/SS1E	132.9893
Freshwater Emergent Wetland	PEM1/SS4Eb	1.1689
Freshwater Emergent Wetland	PEM1/SS1B	7.1004
Freshwater Emergent Wetland	PEM1/SS1A	6.2282
Freshwater Emergent Wetland	PEM1/SS1Fb	9.9218
Freshwater Emergent Wetland	PEM1Bd	8.735



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Freshwater Emergent Wetland	PEM5F	11.1467
Freshwater Emergent Wetland	PEM5E	8.4694
Freshwater Emergent Wetland	PEM5Eb	1.1152
Freshwater Emergent Wetland	PEM1Cd	11.1768
Freshwater Forested/Shrub Wetland	PSS1Fx	0.2971
Freshwater Forested/Shrub Wetland	PSS1/FO4E	2.4398
Freshwater Forested/Shrub Wetland	PFO1/4E	113.7739
Freshwater Forested/Shrub Wetland	PSS1/EM1E	101.6189
Freshwater Forested/Shrub Wetland	PSS1/EM1C	21.5045
Freshwater Forested/Shrub Wetland	PSS1/EM1B	11.1546
Freshwater Forested/Shrub Wetland	PSS1/EM1A	1.1993
Freshwater Forested/Shrub Wetland	PFO4Ba	39.9863
Freshwater Forested/Shrub Wetland	PFO1/EM1E	1.4107
Freshwater Forested/Shrub Wetland	PSS1Fb	3.5933
Freshwater Forested/Shrub Wetland	PFO1/4B	6.2665
Freshwater Forested/Shrub Wetland	PFO1/4A	16.7836
Freshwater Forested/Shrub Wetland	PSS1Eh	15.095
Freshwater Forested/Shrub Wetland	PFO1/SS1C	16.7462
Freshwater Forested/Shrub Wetland	PFO1/SS1E	24.2131
Freshwater Forested/Shrub Wetland	PFO1/EM1A	7.1508
Freshwater Forested/Shrub Wetland	PFO1/SS1A	7.7475
Freshwater Forested/Shrub Wetland	PSS4E	13.9822
Freshwater Forested/Shrub Wetland	PFO5Fb	25.4402
Freshwater Forested/Shrub Wetland	PSS1/EM5Eb	66.4852
Freshwater Forested/Shrub Wetland	PFO4/2B	7.631
Freshwater Forested/Shrub Wetland	PFO5F	0.5632



Trust Resources List

Freshwater Forested/Shrub Wetland	PFO5E	1.5355
Freshwater Forested/Shrub Wetland	PFO4/1E	667.4788
Freshwater Forested/Shrub Wetland	PSS1/FO1B	24.171
Freshwater Forested/Shrub Wetland	PSS1/FO1A	2.8854
Freshwater Forested/Shrub Wetland	PFO1Eb	0.7088
Freshwater Forested/Shrub Wetland	PFO4Ch	30.9617
Freshwater Forested/Shrub Wetland	PSS1/EM5Fb	8.4327
Freshwater Forested/Shrub Wetland	PFO1Eh	1.2123
Freshwater Forested/Shrub Wetland	PFO4A	64.8307
Freshwater Forested/Shrub Wetland	PFO4B	90.4822
Freshwater Forested/Shrub Wetland	PFO4C	41.6224
Freshwater Forested/Shrub Wetland	PFO4/1Eb	5.6744
Freshwater Forested/Shrub Wetland	PFO4E	1407.3311
Freshwater Forested/Shrub Wetland	PSS1/EM5E	32.3096
Freshwater Forested/Shrub Wetland	PSS1/EM5B	0.1386
Freshwater Forested/Shrub Wetland	PSS1Eb	68.5574
Freshwater Forested/Shrub Wetland	PFO4Eb	7.8057
Freshwater Forested/Shrub Wetland	PFO1/EM5E	0.4698
Freshwater Forested/Shrub Wetland	PSS1/4E	10.1058
Freshwater Forested/Shrub Wetland	PFO4/6B	9.6313
Freshwater Forested/Shrub Wetland	PFO4/EM1C	12.3974
Freshwater Forested/Shrub Wetland	PFO4/EM1E	4.2938
Freshwater Forested/Shrub Wetland	PSS3Ba	6.9414
Freshwater Forested/Shrub Wetland	PSS1/3E	5.8995
Freshwater Forested/Shrub Wetland	PFO4/5E	8.1512
Freshwater Forested/Shrub Wetland	PFO4/5F	9.2322



Trust Resources List

Freshwater Forested/Shrub Wetland	PFO4/SS1E	15.166
Freshwater Forested/Shrub Wetland	PSS1B	34.7634
Freshwater Forested/Shrub Wetland	PFO1B	18.4631
Freshwater Forested/Shrub Wetland	PFO4/SS1B	19.3095
Freshwater Forested/Shrub Wetland	PSS1A	31.624
Freshwater Forested/Shrub Wetland	PFO1C	1.2756
Freshwater Forested/Shrub Wetland	PFO1A	53.4988
Freshwater Forested/Shrub Wetland	PSS1C	6.7311
Freshwater Forested/Shrub Wetland	PSS1F	2.9326
Freshwater Forested/Shrub Wetland	PSS1E	486.2037
Freshwater Forested/Shrub Wetland	PFO1E	158.288
Freshwater Pond	PUBFx	3.5063
Freshwater Pond	PUB/FO5Hh	6.4221
Freshwater Pond	PUBHh	58.6307
Freshwater Pond	PUBHb	19.9388
Freshwater Pond	PUBHx	17.5632
Freshwater Pond	PUB/EM1Fb	5.9167
Freshwater Pond	PUB/EM1Fh	0.2413
Freshwater Pond	PUBH	114.5556
Freshwater Pond	PUBF	4.3277
Freshwater Pond	PUB/FO5F	6.1863
Freshwater Pond	PUBKx	0.3984
Freshwater Pond	PUB/EM1F	1.9719
Freshwater Pond	PUBFb	45.8079
Freshwater Pond	PUBFh	5.4031
Lake	LIUBH	184.1232



U.S. Fish and Wildlife Service

Trust Resources List

Lake	L2USCh	0.3117
Lake	L2USAh	4.038
Other	PUSCh	0.7752
Other	Pf	15.4315
Other	PUSAx	0.3993
Riverine	R2USA	1.3408
Riverine	R3UBH	244.1622
Riverine	R2UBH	82.8882
Riverine	R3USA	0.6593

Appendix J:

Farmland Protection Checklist

Farmland Protection

Checklist for HUD or Responsible Entity

General requirements	Legislation	Regulation
The Farmland Protection Policy Act discourages Federal activities that would convert farmland to nonagricultural purposes.	Farmland Protection Policy Act of 1981 (7 U.S.C. 4201 et seq.)	7 CFR Part 658

1. Does your project include new construction, acquisition of undeveloped land or change in use of land or property?

Yes: PROCEED to #2

No: STOP here. The Farmland Protection Policy Act does not apply. Record your determination

Maintain, in your ERR, a determination that the project does not include new construction, acquisition of undeveloped land or change in use of a property

2. Does your project meet one of the following exemptions?

- Construction limited to on-farm structures needed for farm operations.
- Construction limited to new minor secondary (accessory) structures such as a garage or storage shed
- Project on land used for water storage or already in or committed urban development (this includes land with a density of 30 structures per 40 acre area. It also includes lands identified as “urbanized area” (UA) on the Census Bureau Map, or as urban area mapped with a “tint overprint” on the USGS topographical maps, or as “urban built-up” on the USDA Important Farmland Maps. Please note that land “zoned” for development, i.e. non-agricultural use, does not exempt a project from compliance with the FPPA).

Yes: STOP here. The Farmland Protection Policy Act does not apply. Record your determination

Maintain, in your ERR, documentation to evidence the project meets one of the exemptions. If the project is already in urban development provide a map as described above with your site marked or documentation from another credible source.

No: PROCEED to #3

3. Does “important farmland” regulated under the Farmland Protection Policy Act occur on the project site? This includes prime farmland, unique farmland and/or land of statewide or local importance

You may use the links below to determine if important farmland occurs on the project site:

- Utilize USDA Natural Resources Conservation Service’s (NRCS) Web Soil Survey <http://websoilsurvey.nrcs.usda.gov/app/HomePage.htm>

- Check with your city or county’s planning department and ask them to document if the project is on land regulated by the FPPA (zoning important farmland as non-agricultural does not exempt it from FPPA requirements)
- Contact NRCS at the local USDA service center <http://offices.sc.egov.usda.gov/locator/app?agency=nrcs> or your NRCS state soil scientist http://soils.usda.gov/contact/state_offices/ for assistance

No: STOP here. The project does not convert farmland to nonagricultural purposes. Record your determination on the Statutory Worksheet and attach documentation used to make your determination

Yes: PROCEED to #4

4. Consider alternatives to completing the project on important farmland and means of avoiding impacts to important farmland.

Complete form AD-1006, “Farmland Conversion Impact

Rating” http://www.nrcs.usda.gov/Internet/FSE_DOCUMENTS/stelprdb1045394.pdf and contact the state soil scientist before sending it to the local NRCS District Conservationist. Work with NRCS to minimize the impact of the project on the protected farmland.

Return a copy of Form 1006 to the USDA-NRCS State Soil Scientist or his/her designee informing them of your determination once you have finished the analysis.

Record your determination on the Statutory Worksheet and attach documentation used to make your determination. Include any mitigation required in the review.

DISCLAIMER: This document is intended as a tool to help Region X HUD grantees and HUD staff complete environmental requirements. This document is subject to change. This is not a policy statement, and the Farmland Protection Legislation and Regulations take precedence over any information found in this document.

Appendix K:

NYSDEC Air Quality Consultation

Memo to the File:

Requests for programmatic consultation regarding air quality were sent to the NYS Department of Environmental Conservation (NYSDEC) on August 29, 2013. No response has been received.



ANDREW M. CUOMO
GOVERNOR

DARRYL C. TOWNS
COMMISSIONER/CEO

NEW YORK STATE
DIVISION OF HOUSING
& COMMUNITY
RENEWAL

August 29, 2013

HOUSING
TRUST FUND
CORPORATION

STATE OF
NEW YORK MORTGAGE
AGENCY

NEW YORK STATE
HOUSING FINANCE
AGENCY

NEW YORK STATE
AFFORDABLE HOUSING
CORPORATION

STATE OF
NEW YORK MUNICIPAL
BOND BANK AGENCY

TOBACCO SETTLEMENT
FINANCING
CORPORATION

Jack Nasca
Director, Division of Environmental Permits
New York Department of Environmental Conservation
625 Broadway
Albany, New York 12233-1750

Re: Program Introduction for U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant-Disaster Recovery (CDBG-DR) Housing Assistance Program, administered by New York State Homes and Community Renewal (NYSHCR): Air Quality Standards Compliance

Dear Mr. Nasca:

New York State Homes and Community Renewal (NYSHCR) has been authorized to implement the U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant – Disaster Recovery (CDBG-DR) Housing Assistance Program (Program) in accordance with the *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery*, dated April 2013 (Federal Register Docket # FR-5696-N-01). This document is available for review at <http://www.nyshcr.org/Publications/CDBGActionPlan.pdf>.

The purpose of this letter is to provide the New York Department of Environmental Conservation (DEC) notice of the Program and to obtain written confirmation from DEC that the proposed activities will be in compliance with Federal and New York State air quality standards.

Program Overview

The Implementation of the Program will be large-scale and will encompass several Counties throughout New York (refer to Action Plan). Program descriptions are included in **Attachment A**, and a brief overview is included below. A list of affected counties included in the CDBG-DR program is included in **Attachment B**. Specific Federal Actions related to the program include:

1. Rehabilitation and repair of 1-4 unit homes, 5+ unit buildings, and commercial properties. Rehabilitated properties within a floodplain will require raising foundations above the base flood elevation
2. Reimbursement (incorporated as part of the Rehabilitation program). Qualifying participants may get reimbursed for expenses associated with disaster recovery, which were incurred before the CDBG-DR program funds were ready.
3. Buy-out of storm-damaged properties. The State will buy eligible properties and will result in demolition and return to green space for perpetuity.

38-40 State Street, Albany, NY 12207

nyshcr.org

4. Acquisition of damaged properties. The State will buy eligible properties and result in demolition and options for future land use.
5. Economic Development- Eligible entities may receive funding for general economic recovery and improvement of the local economy.

NYSHCR is anticipating it will be responsible for preparing approximately 133 Environmental Review Records (ERRs), covering the various actions within the various counties.

Compliance

Because of the large extent of the Program, it is appropriate to coordinate with DEC, so NYSHCR, as the Responsible Entity (RE), can accurately document compliance.

NYSHCR anticipates the actions of the Program will conform to the State Implementation Plan because they are not anticipated to:

1. Cause or contribute to a new violation of any existing standard in any area
2. Increase the frequency or severity of any existing violation of any standard in any area, or
3. Delay timely attainment of any standard or any required interim emission reduction or other milestones in any area.

Mitigation measures such as dust suppression, covering haul loads, street sweeping, vehicle idling reduction, and spill mitigation measures, among others, are examples of Best Management Practices that may be implemented during the Program actions. NYSHCR anticipates a Negative Declaration and Finding of No Significant Impact (FONSI) for these actions.

NYSHCR is requesting a program comprehensive response letter from DEC, covering all actions, that can be included in all Tier 1 ERRs to document that coordination with DEC is being completed, and that compliance with Federal and State air quality standards will be met.

If you have question or require additional information regarding this request, please contact me at (518) 486-3379 or hspitzberg@nyshcr.org. Thank you for your time and consideration.

Sincerely,



Heather M. Spitzberg, Esq.
NEPA Certifying Officer
NYS Homes and Community Renewal
38-40 State Street
Hampton Plaza
Albany, NY 12207

cc. Robin Keegan, NYSHCR
Chris Leo, NYSHCR

Attachment A - Program Descriptions
Attachment B- List of Affected Counties Included in the CDBG-DR Program

Attachment A- Program Descriptions

The *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery* (Action Plan), issued April 25, 2013, is used as the overall reference for these programs and is incorporated by reference into these project descriptions. The *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery*, dated April 2013 (Federal Register Docket # FR-5696-N-01) may be referenced at: <http://www.nyshcr.org/Publications/CDBGActionPlan.pdf>.

Buyout/Acquisition

An overview of each activity under the program covered by this document is provided below. This program applies to 1- and 2-unit residential properties.

Voluntary Buyouts and Acquisitions of One- and Two-unit Homes

The Recreate NY Home Buyout Program will include the purchase of eligible substantially damaged properties (>50% of the pre-storm value of the property) inside the floodplain in storm-impacted areas.

Certain highest risk areas in the floodplains, determined to be among the most susceptible to future disasters and that therefore present a greater risk to people and property, will be identified by the State and its local partners for the purposes of this program as enhanced buyout areas (see below). The State will conduct purchases inside of the enhanced buyout areas as “buyouts,” as defined by HUD, whereby they will be eligible for purchase starting at 100% of the property’s pre-storm fair market value (FMV), plus available incentive(s) as outlined below.

The State intends to conduct most purchases outside of the enhanced buyout areas as “acquisitions,” as defined by HUD, whereby purchase offers must begin with the post-storm FMV of the property.

In accordance with the notice governing the use of these funds, properties purchased as a “buyout” will be maintained in perpetuity as coastal buffer zones, while properties purchased as “acquisitions” will be eligible for redevelopment in the future in a resilient manner to protect future occupants of this property. The post-purchase fate of most acquired properties will be determined by the State in consultation with local officials to ensure that the properties best serve the future goals of the community. In some cases, the properties will remain undeveloped and be transformed into parks or other non-residential uses, while in most cases they will be redeveloped in a resilient manner.

Enhanced Buyout Areas

Enhanced buyouts in select pre-defined targeted buyout areas, which will be determined in consultation with county and local governments, will include an incentive(s) ranging from 5%-15% on top of the pre-storm FMV of property acquired through the buyout program. Reconstruction may not occur on lots in these areas. Lots will be maintained as coastal buffer

zones or other non-residential/commercial uses; there may also be acquisition of vacant or undeveloped land in these targeted areas.

Program Activities

Summarized in the sections below are the physical program activities:

Buyout

Following purchase of the property, the land would remain open space in perpetuity. As applicable, structures on the properties would be removed. The Housing Trust Fund Corporation would hold title to the property until the property is transferred to another owner, but will retain restrictions on use.

Acquisition

Following purchase of the property, the land may be developed in a resilient manner. The Housing Trust Fund Corporation would hold title to the property until the property is transferred to another owner for development.

Rehabilitation 1-4 Units and 5+ Units

In response to the damage caused by Hurricane Sandy and other natural disasters that occurred across the nation in 2011, 2012, and 2013, homeowners of disaster-declared counties who sustained damage will be invited to submit an application for assistance. The Recreate New York Smart Homes Project activities to be funded by CDBG-DR have been designed to provide assistance for repairing damaged property, reconstructing damaged homes, and mitigating damaged properties for future resilience.

The specific actions include rehabilitation and reconstruction of moderate, major, and substantial storm-damaged structures and elevation to the required advisory base flood elevation plus freeboard as required by each community's floodplain ordinance as further described in the Floodplain Management Document. It is anticipated that the renovations and elevations will take place within the existing footprint of the structure (unless elevation is not technically feasible without alteration of the footprint), and no additional structures will be built upon each approved project parcel. Under this program, the expansion of the structure may not exceed 20% of the original pre storm footprint. The structure must remain 1-4 units in size after rehabilitation or reconstruction.

Wherever allowable by local building codes and any other law and/or regulation, homeowners will be allowed to choose whether to repair the original home, or rebuild (reconstruct) a new home in its place. However, only funding for the more cost-effective option will be provided.

Reimbursement

The program may also provide reimbursements for homeowners of one- to four-unit buildings that have started or completed Hurricane Sandy-related rehabilitation work with personal resources, subject to restrictions in line with applicable laws, regulations, and the program requirements (e.g., eligibility criteria, grant restrictions). Reimbursement is contingent on compliance with Recreate NY 1-4 Unit Rehabilitation requirements discussed above

Economic Development Program Description

The applicable programs from the Action Plan are:

- Small Business Grant Program
- Small Business Loan Program
- Coastal Fishing Industry Program
- Seasonal Tourism Industry Program

An overview of each program covered by this document is provided below.

Small Business Grant Program

This program will provide funding grants to eligible businesses for purchase or repair of equipment, renovation of damaged or destroyed facilities, mitigation actions to protect businesses from future storms, and the following activities identified in Section 4 of the Action Plan:

- Purchase of equipment, materials, inventory, furniture, fixtures
- Employee training
- Acquisition of real property
- Working capital
- Construction, rehabilitation, reconstruction of buildings)
- Engineering, architectural, and/or design costs
- Infrastructure directly associated with economic development activities
- Technical assistance
- Any other eligible business related activity (requires State consultation and approval)
- Marketing and outreach to solicit applications from businesses eligible for any of the State's proposed programs

Small Business Loan Program

This program will provide loans to eligible businesses for purchase or repair of equipment, renovation of damaged or destroyed facilities, mitigation actions to protect businesses from future storms, and other activities identified in Section 4 of the Action Plan.

Coastal Fishing Industry Program

This program will provide funding to businesses and individuals qualified as a Coastal Fishing Industry. Details of this program are included on page 50 of the Action Plan.

Seasonal Tourism Industry Program

This program will provide funding to eligible seasonal tourism businesses. Details of this program are also included on page 50 of the Action Plan.

Attachment B- List of Affected Counties

County	Impacted by:			Potential Programs					Total	total	Overall
	Irene	Lee	Sandy	BO/Acq	Rehab 1 - 4	Rehab 5+	Economic	Reimburse	Documents	started	total
Albany	x				x	x	x	x	4	0	4
Bronx	x		x	x					1	0	1
Broome		x			x	x	x	x	4	0	4
Chemung		x			x	x	x	x	4	0	4
Chenango		x			x	x	x	x	4	0	4
Clinton	x				x	x	x	x	4	0	4
Columbia	x				x	x	x	x	4	0	4
Delaware	x	x			x	x	x	x	4	0	4
Dutchess	x				x	x	x	x	4	0	4
Essex	x				x	x	x	x	4	0	4
Greene	x				x	x	x	x	4	0	4
Fulton		x			x	x	x	x	4	0	4
Herkimer	x	x			x	x	x	x	4	0	4
Kings	x		x	x					1	0	1
Montgomery	x				x	x	x	x	4	0	4
Nassau	x		x	X	X	x	X	x	5	3	2
New York			x	x					1	0	1
Oneida		x			x	x	x	x	4	0	4
Orange	x	x	x	x	x	x	x	x	5	0	5
Otsego	x	x			x	x	x	x	4	0	4
Putnam	x		x	x	x	x	x	x	5	0	5
Queens	x		x	x					1	0	1
Rensselaer	x				x	x	x	x	4	0	4
Richmond	x		x	x					1	0	1
Rockland	x		x	x	X	x	X	x	5	3	2
Saratoga	x				x	x	x	x	4	0	4
Schenectady	x	x			x	x	x	x	4	0	4
Schoharie	x	x			x	x	x	x	4	0	4
Suffolk	x		x	X	X	x	X	x	5	3	2
Sullivan	x		x	x	x	x	x	x	5	0	5
Tioga		x			x	x	x	x	4	0	4
Ulster	x	x	x	x	x	x	x	x	5	0	5
Warren	x				x	x	x	x	4	0	4
Washington	x				x	x	x	x	4	0	4
Westchester	x		x	x	x	x	X	x	5	1	4
TOTALS									133	10	123

Appendix L:

**NYSDOS Coastal Consistency Consultation
and NYS Coastal Assessment Form**



STATE OF NEW YORK
DEPARTMENT OF STATE
ONE COMMERCE PLAZA
99 WASHINGTON AVENUE
ALBANY, NY 12231-0001

ANDREW M. CUOMO
GOVERNOR

CESAR A. PERALES
SECRETARY OF STATE

October 18, 2013

Ms. Heather M. Spitzberg, Esq.
NEPA Certifying Officer
NYS Homes and Community Renewal
38-40 State Street
Hampton Plaza
Albany, NY 12207

**Re: U.S. Department of Housing and Urban Development
Community Development Block Grant - Disaster Recovery
(CDBG-DR) Housing Assistance Program, administered by
New York State Homes and Community Renewal (NYSHCR)**

General Concurrence with Program; No Objection to Funding

Dear Ms. Spitzberg:

The Department of State received your letter request (dated August 29, 2013) for our agency's review and comprehensive program response. This submission included the program overview, descriptions of program components and objectives, current updates, and reference to additional supporting materials.

The Department of State has determined that this program is generally consistent with the policies and purposes of the New York State Coastal Management Program (NYSCMP). We anticipate that any substantial modifications or additions to the CDBG-DR program will be further coordinated on an ongoing basis with the Department of State.

This General Concurrence is without prejudice to and does not obviate the need to obtain all other applicable licenses, permits, and other forms of authorization or approval that may be required pursuant to existing State statutes.

When communicating with us regarding this matter, please contact me at (518) 474-6000.

Sincerely,

Jeff Zappieri
Manager of Consistency Review
Office of Planning & Development

JZ/ts

*cc: Robin Keegan, NYSHCR
Chris Leo, NYSHCR*



ANDREW M. CUOMO
GOVERNOR

DARRYL C. TOWNS
COMMISSIONER/CEO

NEW YORK STATE
DIVISION OF HOUSING
& COMMUNITY
RENEWAL

August 29, 2013

HOUSING
TRUST FUND
CORPORATION

STATE OF
NEW YORK MORTGAGE
AGENCY

NEW YORK STATE
HOUSING FINANCE
AGENCY

NEW YORK STATE
AFFORDABLE HOUSING
CORPORATION

STATE OF
NEW YORK MUNICIPAL
BOND BANK AGENCY

TOBACCO SETTLEMENT
FINANCING
CORPORATION

Jeffrey Zappieri
Supervisor, Consistency Review Unit
Division of Coastal Resources
State of New York
Department of State
One Commerce Plaza
99 Washington Avenue
Albany, New York 12231-0001

Re: Program Update/Introduction of all Programs, and Request for General Consistency Concurrence for U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant-Disaster Recovery (CDBG-DR) Housing Assistance Program, administered by New York State Homes and Community Renewal (NYSHCR)

Dear Mr. Zappieri:

On June 26, 2013, New York State Homes and Community Renewal (NYSHCR) submitted a letter (via email) to the State of New York Department of State (DOS) requesting concurrence that the proposed activities for the 1-4 unit rehabilitation action are covered by the DOS general consistency concurrence criteria. On July 3, DOS provided a response letter (project # F-2013-0533 FA) stating that the proposed activities in the June 26 letter meet the general consistency concurrence criteria, and the DOS has no objection to funding.

As you may recall, NYSHCR has been authorized to implement the U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant – Disaster Recovery (CDBG-DR) Housing Assistance Program (Program) in accordance with the *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery*, dated April 2013 (Federal Register Docket # FR-5696-N-01). This document is available for review at <http://www.nyshcr.org/Publications/CDBGActionPlan.pdf>.

Recently, NYSHCR has been directed to proceed with completing all Environmental Review Records (ERRs) for the entire Program. Therefore, the purpose of this letter is to provide DOS notice of the Program actions updates and to obtain written confirmation from DOS that the proposed activities will be in compliance with general consistency concurrence criteria. Actions in the Program that were not described in the June 26 letter include Rehabilitation, Buy out, Acquisition, and Economic Development, as described below.

Program Overview

The Implementation of the Program will be large-scale and will encompass several Counties throughout New York (refer to Action Plan). Program descriptions are included in **Attachment A**, and a brief overview is included below. A list of affected counties included in the CDBG-DR program is included in **Attachment B**. Specific Federal Actions related to the program include:

1. Rehabilitation and repair of 1-4 unit homes, 5+ unit buildings, and commercial properties. Rehabilitated properties within a floodplain will require raising foundations above the base flood elevation.
2. Reimbursement (incorporated as part of the Rehabilitation program). Qualifying participants may get reimbursed for expenses associated with disaster recovery, which were incurred before the CDBG-DR program funds were ready.
3. Buy-out of storm-damaged properties. The State will buy eligible properties and will result in demolition and return to green space for perpetuity.
4. Acquisition of damaged properties. The State will buy eligible properties and result in demolition and options for future land use.
5. Economic Development- Eligible entities may receive funding for general economic recovery and improvement of the local economy.

Compliance

NYSHCR is anticipating it will be responsible for preparing approximately 133 Environmental Review Records (ERRs), covering the various actions within the various counties. Because of the large extent of the Program, it is appropriate to coordinate with DOS, so NYSHCR, as the Responsible Entity (RE), can accurately document compliance.

NYSHCR is requesting a program comprehensive response letter from DOS, covering all actions (in addition to the July 3 letter), that can be included in all Tier 1 ERRs to document that coordination with DOS is being completed, and general consistency concurrence criteria will be met. The prior correspondence between NYSHCR and DOS is included in **Attachment C** for reference.

If you have question or require additional information regarding this request, please contact me at (518) 486-3379 or hspitzberg@nyshcr.org. Thank you for your time and consideration.

Sincerely,



Heather M. Spitzberg, Esq.
NEPA Certifying Officer
NYS Homes and Community Renewal
38-40 State Street
Hampton Plaza
Albany, NY 12207

cc. Robin Keegan, NYSHCR
Chris Leo, NYSHCR

Attachment A - Program Descriptions

Attachment B- List of Affected Counties Included in the CDBG-DR Program

Attachment C- Prior Correspondence

Attachment A- Program Descriptions

The *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery* (Action Plan), issued April 25, 2013, is used as the overall reference for these programs and is incorporated by reference into these project descriptions. The *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery*, dated April 2013 (Federal Register Docket # FR-5696-N-01) may be referenced at: <http://www.nyshcr.org/Publications/CDBGActionPlan.pdf>.

Buyout/Acquisition

An overview of each activity under the program covered by this document is provided below. This program applies to 1- and 2-unit residential properties.

Voluntary Buyouts and Acquisitions of One- and Two-unit Homes

The Recreate NY Home Buyout Program will include the purchase of eligible substantially damaged properties (>50% of the pre-storm value of the property) inside the floodplain in storm-impacted areas.

Certain highest risk areas in the floodplains, determined to be among the most susceptible to future disasters and that therefore present a greater risk to people and property, will be identified by the State and its local partners for the purposes of this program as enhanced buyout areas (see below). The State will conduct purchases inside of the enhanced buyout areas as “buyouts,” as defined by HUD, whereby they will be eligible for purchase starting at 100% of the property’s pre-storm fair market value (FMV), plus available incentive(s) as outlined below.

The State intends to conduct most purchases outside of the enhanced buyout areas as “acquisitions,” as defined by HUD, whereby purchase offers must begin with the post-storm FMV of the property.

In accordance with the notice governing the use of these funds, properties purchased as a “buyout” will be maintained in perpetuity as coastal buffer zones, while properties purchased as “acquisitions” will be eligible for redevelopment in the future in a resilient manner to protect future occupants of this property. The post-purchase fate of most acquired properties will be determined by the State in consultation with local officials to ensure that the properties best serve the future goals of the community. In some cases, the properties will remain undeveloped and be transformed into parks or other non-residential uses, while in most cases they will be redeveloped in a resilient manner.

Enhanced Buyout Areas

Enhanced buyouts in select pre-defined targeted buyout areas, which will be determined in consultation with county and local governments, will include an incentive(s) ranging from 5%-15% on top of the pre-storm FMV of property acquired through the buyout program. Reconstruction may not occur on lots in these areas. Lots will be maintained as coastal buffer

zones or other non-residential/commercial uses; there may also be acquisition of vacant or undeveloped land in these targeted areas.

Program Activities

Summarized in the sections below are the physical program activities:

Buyout

Following purchase of the property, the land would remain open space in perpetuity. As applicable, structures on the properties would be removed. The Housing Trust Fund Corporation would hold title to the property until the property is transferred to another owner, but will retain restrictions on use.

Acquisition

Following purchase of the property, the land may be developed in a resilient manner. The Housing Trust Fund Corporation would hold title to the property until the property is transferred to another owner for development.

Rehabilitation 1-4 Units and 5+ Units

In response to the damage caused by Hurricane Sandy and other natural disasters that occurred across the nation in 2011, 2012, and 2013, homeowners of disaster-declared counties who sustained damage will be invited to submit an application for assistance. The Recreate New York Smart Homes Project activities to be funded by CDBG-DR have been designed to provide assistance for repairing damaged property, reconstructing damaged homes, and mitigating damaged properties for future resilience.

The specific actions include rehabilitation and reconstruction of moderate, major, and substantial storm-damaged structures and elevation to the required advisory base flood elevation plus freeboard as required by each community's floodplain ordinance as further described in the Floodplain Management Document. It is anticipated that the renovations and elevations will take place within the existing footprint of the structure (unless elevation is not technically feasible without alteration of the footprint), and no additional structures will be built upon each approved project parcel. Under this program, the expansion of the structure may not exceed 20% of the original pre storm footprint. The structure must remain 1-4 units in size after rehabilitation or reconstruction.

Wherever allowable by local building codes and any other law and/or regulation, homeowners will be allowed to choose whether to repair the original home, or rebuild (reconstruct) a new home in its place. However, only funding for the more cost-effective option will be provided.

Reimbursement

The program may also provide reimbursements for homeowners of one- to four-unit buildings that have started or completed Hurricane Sandy-related rehabilitation work with personal resources, subject to restrictions in line with applicable laws, regulations, and the program requirements (e.g., eligibility criteria, grant restrictions). Reimbursement is contingent on compliance with Recreate NY 1-4 Unit Rehabilitation requirements discussed above

Economic Development Program Description

The applicable programs from the Action Plan are:

- Small Business Grant Program
- Small Business Loan Program
- Coastal Fishing Industry Program
- Seasonal Tourism Industry Program

An overview of each program covered by this document is provided below.

Small Business Grant Program

This program will provide funding grants to eligible businesses for purchase or repair of equipment, renovation of damaged or destroyed facilities, mitigation actions to protect businesses from future storms, and the following activities identified in Section 4 of the Action Plan:

- Purchase of equipment, materials, inventory, furniture, fixtures
- Employee training
- Acquisition of real property
- Working capital
- Construction, rehabilitation, reconstruction of buildings)
- Engineering, architectural, and/or design costs
- Infrastructure directly associated with economic development activities
- Technical assistance
- Any other eligible business related activity (requires State consultation and approval)
- Marketing and outreach to solicit applications from businesses eligible for any of the State's proposed programs

Small Business Loan Program

This program will provide loans to eligible businesses for purchase or repair of equipment, renovation of damaged or destroyed facilities, mitigation actions to protect businesses from future storms, and other activities identified in Section 4 of the Action Plan.

Coastal Fishing Industry Program

This program will provide funding to businesses and individuals qualified as a Coastal Fishing Industry. Details of this program are included on page 50 of the Action Plan.

Seasonal Tourism Industry Program

This program will provide funding to eligible seasonal tourism businesses. Details of this program are also included on page 50 of the Action Plan.

Attachment B- List of Affected Counties

County	Impacted by:			Potential Programs					Total	total	Overall
	Irene	Lee	Sandy	BO/Acq	Rehab 1 - 4	Rehab 5+	Economic	Reimburse	Documents	started	total
Albany	x				x	x	x	x	4	0	4
Bronx	x		x	x					1	0	1
Broome		x			x	x	x	x	4	0	4
Chemung		x			x	x	x	x	4	0	4
Chenango		x			x	x	x	x	4	0	4
Clinton	x				x	x	x	x	4	0	4
Columbia	x				x	x	x	x	4	0	4
Delaware	x	x			x	x	x	x	4	0	4
Dutchess	x				x	x	x	x	4	0	4
Essex	x				x	x	x	x	4	0	4
Greene	x				x	x	x	x	4	0	4
Fulton		x			x	x	x	x	4	0	4
Herkimer	x	x			x	x	x	x	4	0	4
Kings	x		x	x					1	0	1
Montgomery	x				x	x	x	x	4	0	4
Nassau	x		x	X	X	x	X	x	5	3	2
New York			x	x					1	0	1
Oneida		x			x	x	x	x	4	0	4
Orange	x	x	x	x	x	x	x	x	5	0	5
Otsego	x	x			x	x	x	x	4	0	4
Putnam	x		x	x	x	x	x	x	5	0	5
Queens	x		x	x					1	0	1
Rensselaer	x				x	x	x	x	4	0	4
Richmond	x		x	x					1	0	1
Rockland	x		x	x	X	x	X	x	5	3	2
Saratoga	x				x	x	x	x	4	0	4
Schenectady	x	x			x	x	x	x	4	0	4
Schoharie	x	x			x	x	x	x	4	0	4
Suffolk	x		x	X	X	x	X	x	5	3	2
Sullivan	x		x	x	x	x	x	x	5	0	5
Tioga		x			x	x	x	x	4	0	4
Ulster	x	x	x	x	x	x	x	x	5	0	5
Warren	x				x	x	x	x	4	0	4
Washington	x				x	x	x	x	4	0	4
Westchester	x		x	x	x	x	X	x	5	1	4
TOTALS									133	10	123

NEW YORK STATE DEPARTMENT OF STATE
COASTAL MANAGEMENT PROGRAM

Coastal Assessment Form

A. INSTRUCTIONS (Please print or type all answers)

1. State agencies shall complete this CAF for proposed actions which are subject to Part 600 of Title 19 of the NYCRR. This assessment is intended to supplement other information used by a state agency in making a determination of significance pursuant to the State Environmental Quality Review Act (see 6 NYCRR, Part 617). If it is determined that a proposed action will not have a significant effect on the environment, this assessment is intended to assist a state agency in complying with the certification requirements of 19 NYCRR Section 600.4.
2. If any question in Section C on this form is answered "yes," then the proposed action may affect the achievement of the coastal policies contained in Article 42 of the Executive Law. Thus, the action should be analyzed in more detail and, if necessary, modified prior to either (a) making a certification of consistency pursuant to 19 NYCRR Part 600 or, (b) making the findings required under SEQR, 6 NYCRR, Section 617.11, if the action is one for which an environmental impact statement is being prepared. If an action cannot be certified as consistent with the coastal policies, it shall not be undertaken.
3. Before answering the questions in Section C, the preparer of this form should review the coastal policies contained in 19 NYCRR Section 600.5. A proposed action should be evaluated as to its significant beneficial and adverse effects upon the coastal area.

B. DESCRIPTION OF PROPOSED ACTION

1. Type of state agency action (check appropriate response):

- (a) Directly undertaken (e.g. capital construction, planning activity, agency regulation, land transaction)
- (b) Financial assistance (e.g. grant, loan, subsidy)
- (c) Permit, license, certification

2. Describe nature and extent of action:

The NY Rising Housing Recovery Program for 5+ Unit Residential Properties is designed to provide assistance for repair, reconstruction, and implementation of resiliency and mitigation measures for residential properties with 5 or more dwelling units that were damaged or destroyed during Superstorm Sandy, Hurricane Irene, and/or Tropical Storm Lee.

3. Location of action:

**Albany, Bronx, Broome, Chemung,
Chenango, Clinton, Columbia, Delaware,
Dutchess, Essex, Franklin, Fulton, Greene,
Hamilton, Herkimer, Kings, Montgomery,
Nassau, New York, Oneida, Orange, Otsego,
Putnam, Queens, Rensselaer, Richmond,
Rockland, Saratoga, Schenectady, Schoharie,
Suffolk, Sullivan, Tioga, Tompkins, Ulster,
Warren, Washington, and Westchester**

County

NA

City, Town or Village

NA

Street or Site Description

4. If an application for the proposed action has been filed with the state agency, the following information shall be provided:

- (a) Name of applicant: NA
- (b) Mailing address: NA
- (c) Telephone Number: Area Code NA
- (d) State agency application number: NA

5. Will the action be directly undertaken, require funding, or approval by a federal agency?

Yes X No _____ If yes, which federal agency? Housing and Urban Development (HUD)

C. COASTAL ASSESSMENT (Check either "YES" or "NO" for each of the following questions)

	<u>YES</u>	<u>NO</u>
1. Will the proposed activity be <u>located</u> in, or contiguous to, or have a <u>significant effect</u> upon any of the resource areas identified on the coastal area map:		
(a) Significant fish or wildlife habitats?	<u>X</u>	_____
(b) Scenic resources of statewide significance?	<u>X</u>	_____
(c) Important agricultural lands?	<u>X</u>	_____
2. Will the proposed activity have a <u>significant effect</u> upon:		
(a) Commercial or recreational use of fish and wildlife resources?	_____	<u>X</u>
(b) Scenic quality of the coastal environment?	_____	<u>X</u>
(c) Development of future, or existing water dependent uses?	_____	<u>X</u>
(d) Operation of the State's major ports?	_____	<u>X</u>
(e) Land and water uses within the State's small harbors?	_____	<u>X</u>
(f) Existing or potential public recreation opportunities?	_____	<u>X</u>
(g) Structures, sites or districts of historic, archeological or cultural significance to the State or nation?	_____	<u>X</u>
3. Will the proposed activity <u>involve</u> or <u>result in</u> any of the following:		
(a) Physical alteration of two (2) acres or more of land along the shoreline, land under water or coastal waters?	<u>X</u>	_____
(b) Physical alteration of five (5) acres or more of land located elsewhere in the coastal area?	<u>X</u>	_____
(c) Expansion of existing public services of infrastructure in undeveloped or low density areas of the coastal area?	_____	<u>X</u>
(d) Energy facility not subject to Article VII or VIII of the Public Service Law?	_____	<u>X</u>
(e) Mining, excavation, filling or dredging in coastal waters?	_____	<u>X</u>
(f) Reduction of existing or potential public access to or along the shore?	_____	<u>X</u>
(g) Sale or change in use of state-owned lands located on the shoreline or under water?	_____	<u>X</u>
(h) Development within a designated flood or erosion hazard area?	<u>X</u>	_____
(i) Development on a beach, dune, barrier island or other natural feature that provides protection against flooding or erosion?	_____	_____
4. Will the proposed action be <u>located</u> in or have a <u>significant effect</u> upon an area included in an approved Local Waterfront Revitalization Program?	<u>X</u>	_____

D. SUBMISSION REQUIREMENTS

If any question in Section C is answered "Yes", AND either of the following two conditions is met:

Section B.1(a) or B.1(b) is checked; or
 Section B.1(c) is checked AND B.5 is answered "Yes",

THEN one copy of the Completed Coastal Assessment Form shall be submitted to:

New York State Department of State
 Office of Coastal, Local Government and Community Sustainability
 One Commerce Plaza
 99 Washington Avenue, Suite 1010
 Albany, New York 12231-0001

If assistance of further information is needed to complete this form, please call the Department of State at (518) 474-6000.

E. REMARKS OR ADDITIONAL INFORMATION

This Coastal Assessment Form is being prepared as part of a Tier 1 Programmatic Environmental Review (PEA) to evaluate the potential environmental impacts of the NY Rising Housing Recovery Program for 5+ Unit Residential Properties. This program was established by NYS Homes and Community Renewal (HCR), through its Office of Community Renewal (OCR) and the Housing Trust Fund Corporation (HTFC), for the distribution of U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant—Disaster Recovery (CDBG-DR) funding. This program is designed to provide assistance for repair, reconstruction, and implementation of resiliency and mitigation measures for residential properties with 5 or more dwelling units that were damaged or destroyed during Superstorm Sandy, Hurricane Irene, and/or Tropical Storm Lee.

The geographic scope for the NY Rising Housing Recovery Program for 5+ Unit Residential Properties is the jurisdictional area of Albany, Bronx, Broome, Chemung, Chenango, Clinton, Columbia, Delaware, Dutchess, Essex, Franklin, Fulton, Greene, Hamilton, Herkimer, Kings, Montgomery, Nassau, New York, Oneida, Orange, Otsego, Putnam, Queens, Rensselaer, Richmond, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, and Westchester Counties. Some municipalities within these counties have Local Waterfront Revitalization Plans (LWRPs). An individual project’s compliance with a municipality’s LWRP will be evaluated during the Tier 2 review process.

Construction activities related to the repair or reconstruction of 5+ Unit Residential Properties damaged by Superstorm Sandy, Hurricane Irene, and/or Tropical Storm Lee would be scattered throughout the above counties. The actual property addresses will not be verified until applications for assistance are reviewed and determined eligible for the program.

Without the NY Rising Housing Recovery Program for 5+ Unit Residential Properties, residents of storm-damaged communities would be unable to rehabilitate their homes to comply with housing construction standards within an acceptable period of time. If housing damages are not addressed until other funding sources become available, the damaged housing stock would remain vulnerable to structural deterioration and weathering. Owners of partially damaged residential buildings and units, as well as tenants, would make repairs as they are able, which would not necessarily be code-compliant or resilient to future weather extremes. Residents displaced from their damaged housing units would continue to seek shelter from family and friends, through various public agencies or non-profit organizations, and potentially relocate away from New York. Not only would structural conditions of damaged residential buildings continue to deteriorate, but property values may drop and blight conditions increase, all posing a continuing burden on the State and local tax base and services.

Preparer's Name: **Thomas J. King**



(Print)

(Sign)

Title: **Certifying Officer**

Agency: **NYS Homes and Community Renewal,
Governor’s Office of Storm Recovery**

Telephone Number: **(518) 486-7512**

Date: **June 11, 2014**

Attachment A

New York State Coastal Policy Consistency Analysis

New York State Coastal Policies	NY Rising Housing Recovery Program for 5+ Unit Residential Properties Consistency
DEVELOPMENT POLICIES	
Policy 1	
Restore, revitalize, and redevelop deteriorated and underutilized waterfront areas for commercial, industrial, cultural, recreational, and other compatible uses.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged structures. No new residential development or any commercial development is proposed. To the extent that this program is expected to rehabilitate existing development in waterfront properties, thereby revitalizing waterfront areas, the NY Rising Housing Recovery Program for 5+ Unit Residential Properties would be consistent with this policy.
Policy 2	
Facilitate the siting of water-dependent uses and facilities on or adjacent to coastal waters.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. No new residential development or any commercial development is proposed adjacent to coastal waters. As such, the policy is not applicable.
Policy 3	
Further develop the state's major ports of Albany, Buffalo, New York, Ogdensburg, and Oswego as centers of commerce and industry, and encourage the siting, in these port areas, including those under the jurisdiction of state public authorities, of land use and development which is essential to, or in support of, the waterborne transportation of cargo and people.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. No new residential development or any commercial development is proposed in the specified locations. As such, the policy is not applicable.
Policy 4	
Strengthen the economic base of smaller harbor areas by encouraging the development and enhancement of those traditional uses and activities which have provided such areas with their unique maritime identity.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties and would positively affect the economic base of smaller harbor areas by enhancing property values through such activities. Therefore the Proposed Action would be consistent with this policy.
Policy 5	
Encourage the location of development in areas where public services and facilities essential to such development are adequate.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. No new residential development or any commercial development is proposed. To the extent that the NY Rising Housing Recovery Program for 5+ Unit Residential Properties would retain existing development where public services and facilities are adequate, this program would be consistent with this policy.

<p>Policy 6</p> <p>Expedite permit procedures in order to facilitate the siting of development activities at suitable locations.</p>	<p>The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. No new residential development or any commercial development is proposed. To the extent that the NY Rising Housing Recovery Program for 5+ Unit Residential Properties would facilitate the acquisition of the appropriate permits for rehabilitation and reconstruction of residential properties, the program would be consistent with this policy.</p>
<p>FISH AND WILDLIFE POLICIES</p>	
<p>Policy 7</p> <p>Significant coastal fish and wildlife habitats will be protected, preserved, and where practical, restored so as to maintain their viability as habitats.</p>	<p>The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. As such, the proposed action is not likely to alter any of the biological, physical or chemical parameters beyond the tolerance range of the organisms occupying the fish and wildlife habitats, or otherwise significantly impair or destroy the viability of the habitat. Therefore, the program is consistent with Policy 7.</p>
<p>Policy 8</p> <p>Protect fish and wildlife resources in the coastal area from the introduction of hazardous wastes and other pollutants which bio-accumulate in the food chain or which cause significant sublethal or lethal effect on those resources.</p>	<p>The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The proposed action would not generate any hazardous materials; however there is the possibility that existing hazardous materials could be encountered. New York State Homes and Community Renewal (“HCR”) is charged with the overall inspection for hazardous materials (with the exception of commonly found household hazardous materials such as automotive batteries or used motor oil) for the Proposed Action. HCR in conjunction with designated agencies or consultants under HCR’s direction would provide qualified environmental professionals (QEP) to perform on-site visits as necessary; QEP are experienced persons with the skills to look for and recognize hazardous materials and their conditions generally as well as the most common types of residential contamination found within this geographic area. The reports generated by the QEPs with environmental assessment experience would be reviewed by HCR or consultant under HCR direction to confirm that all items on the checklist are appropriately addressed. Depending on the site-specific environmental assessment of the degree and extent of any hazards identified, the applicant may be responsible for the removal of such items before the rehabilitation, reconstruction and mitigation work can proceed. Therefore, the proposed action is consistent with Policy 8 in that it would protect fish and wildlife resources in the coastal area from the introduction of hazardous wastes and other pollutants which bio-accumulate in the food chain or which cause significant sublethal or lethal effect on those resources.</p>

Policy 9	
Expand recreational use of fish and wildlife resources in coastal areas by increasing access to existing resources, supplementing existing stocks, and developing new resources.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. No new residential development or any commercial development is proposed. As such, the policy is not applicable.
Policy 10	
Further develop commercial finfish, shellfish, and crustacean resources in the coastal area by encouraging the construction of new, or improvement of existing on-shore commercial fishing facilities, increasing marketing of the state's seafood products, maintaining adequate stocks, and expanding aquaculture facilities.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. No new residential development or any commercial development is proposed. As such, the policy is not applicable.
FLOODING AND EROSION HAZARDS POLICIES	
Policy 11	
Buildings and other structures will be sited in the coastal area so as to minimize damage to property and the endangering of human lives caused by flooding and erosion.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. All renovations and elevations will take place generally within the existing footprint of the structure, and no additional structures will be built upon each approved program parcel. The expansion of a structure will not exceed 20% of the original pre-storm footprint. In addition, flood-proofing housing structures during rehabilitation and reconstruction activities must meet the requirements of each community's floodplain ordinance. Consistent with Policy 11, the repair or reconstruction of these residential properties would minimize damage to property and risk to human lives caused by flooding and erosion.
Policy 12	
Activities or development in the coastal area will be undertaken so as to minimize damage to natural resources and property from flooding and erosion by protecting natural protective features including beaches, dunes, barrier islands and bluffs.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. All renovations and elevations will take place generally within the existing footprint of the structure, and no additional structures will be built upon each approved program parcel. The expansion of a structure will not exceed 20% of the original pre-storm footprint. No new residential development or any commercial development is proposed and therefore no natural protective features would be disturbed.
Policy 13	
The construction or reconstruction of erosion protection structures shall be undertaken only if they have a reasonable probability of controlling erosion for at least thirty years as demonstrated in design and construction standards and/or assured maintenance or replacement programs.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. This program will not construct or reconstruct any erosion protection structures and therefore the policy is not applicable.

<p>Policy 14</p> <p>Activities and development, including the construction or reconstruction of erosion protection structures, shall be undertaken so that there will be no measurable increase in erosion or flooding at the site of such activities or development, or at other locations.</p>	<p>The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. All renovations and elevations will take place generally within the existing footprint of the structure, and no additional structures will be built upon each approved program parcel. Elevation of housing structures would be done to the required ABFE plus freeboard as required by each community's municipality. Any expansion of a structure will not exceed 20% of the original pre-storm footprint. In addition, flood-proofing housing structures during rehabilitation and reconstruction activities must meet the requirements of each community's floodplain ordinance. As such, consistent with this policy, the proposed action would not measurably increase erosion or flooding at the site of such activities or development, or at other locations.</p>
<p>Policy 15</p> <p>Mining, excavation or dredging in coastal waters shall not significantly interfere with the natural coastal processes which supply beach materials to land adjacent to such waters and shall be undertaken in a manner which will not cause an increase in erosion of such land.</p>	<p>The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. These activities will not include any mining, excavation or dredging in coastal waters and are not anticipated to significantly interfere with the natural coastal processes. As such, the policy is not applicable.</p>
<p>Policy 16</p> <p>Public funds shall only be used for erosion protective structures where necessary to protect human life, and new development which requires a location within or adjacent to an erosion hazard area to be able to function, or existing development; and only where the public benefits outweigh the long term monetary and other costs including the potential for increasing erosion and adverse effects on natural protective features.</p>	<p>The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. All renovations and elevations will take place generally within the existing footprint of the structure, and no additional structures will be built upon each approved program parcel. The expansion of a structure will not exceed 20% of the original pre-storm footprint. In addition, flood-proofing housing structures during rehabilitation and reconstruction activities must meet the requirements of each community's floodplain ordinance. Any erosion protective structures would be used only where necessary to protect human life and existing development from increased erosion during the rehabilitation, reconstruction and mitigation of storm-damaged residential properties, consistent with Policy 16.</p>
<p>Policy 17</p> <p>Non-structural measures to minimize damage to natural resources and property from flooding and erosion shall be used whenever possible.</p>	<p>The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. Due to the nature of the program, all measures used to minimize damage to the affected properties, such as elevating the structure, are expected to be structural. Therefore, this policy is not applicable to the NY Rising Housing Recovery Program for 5+ Unit Residential Properties.</p>

GENERAL POLICY	
Policy 18	
To safeguard the vital economic, social and environmental interests of the state and of its citizens, proposed major actions in the coastal area must give full consideration to those interests, and to the safeguards which the state has established to protect valuable coastal resource areas.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The proposed action would not significantly impair valuable coastal waters or resources, or otherwise frustrate the achievement of the purposes of the safeguards the State has established to protect those waters and resources. By protecting existing residential structures, the proposed action would protect the character of established residential neighborhoods. As such, the proposed action is consistent with Policy 18.
PUBLIC ACCESS POLICIES	
Policy 19	
Protect, maintain, and increase the level and types of access to public water-related recreation resources and facilities.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. No new residential development or any commercial development is proposed. As such, Policy 19 is not applicable.
Policy 20	
Access to the publicly-owned foreshore and to lands immediately adjacent to the foreshore or the water's edge that are publicly-owned shall be provided and it shall be provided in a manner compatible with adjoining uses.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. No new residential development or any commercial development is proposed. As such, the policy is not applicable.
RECREATION POLICIES	
Policy 21	
Water-dependent and water-enhanced recreation will be encouraged and facilitated, and will be given priority over non-water-related used along the coast.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. No new residential development or any commercial development is proposed. As such, the policy is not applicable.
Policy 22	
Development, when located adjacent to the shore, will provide for water-related recreation, whenever such use is compatible with reasonably anticipated demand for such activities, and is compatible with the primary purpose of the development.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. No new residential development or any commercial development is proposed. As such, the policy is not applicable.

HISTORIC AND SCENIC RESOURCES POLICIES	
Policy 23	
Protect, enhance and restore structures, districts, areas or sites that are of significance in the history, architecture, archaeology or culture of the state, its communities, or the nation.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. It is possible that some of the residential structures may be of significance in the history, architecture, archaeology or culture of the state, its communities, or the nation. As such, the NY Rising Housing Recovery Program for 5+ Unit Residential Properties requires that all activities comply with Section 106 of the National Historic Preservation Act (NHPA) per the implementing regulations at 36 Code of Federal Regulations (CFR) Part 800. Compliance with Section 106 is achieved through the procedures in the Programmatic Agreement among FEMA, the NYS Historic Preservation Officer, the NYS Office of Emergency Management, the Delaware Nation, the Delaware Tribe of Indians, the Shinnecock Nation, the Stockbridge-Munsee Community Band of Mohicans, the NYC Landmarks Preservation Commission, and the Advisory Council On Historic Preservation. In the event that archeological deposits, including any Native American pottery, stone tools, bones, or human remains are uncovered on a specific site, the work on that site must be halted, and the applicant would take reasonable measures to avoid or minimize harm to the finds. All archeological findings would be secured and access to the sensitive area restricted. HCR would consult with the State Historic Preservation Officer (SHPO), Tribal Historic Preservation Officer (THPO), and Tribes, and work in sensitive areas cannot resume until consultation is completed and appropriate measures have been taken to ensure that the initiative is in compliance with the NHPA. Therefore, the proposed action is consistent with Policy 23.
Policy 24	
Prevent impairment of scenic resources of statewide significance.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. No new residential development or any commercial development is proposed. As such, the policy is not applicable.
Policy 25	
Protect, restore or enhance natural and man-made resources which are not identified as being of statewide significance, but which contribute to the overall scenic quality of the coastal area.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. Residential Properties are man-made structures which are not identified as being of statewide significant, but can contribute to the overall scenic quality of the coastal area. Therefore, the proposed action is consistent with Policy 25.

AGRICULTURAL LANDS POLICY	
Policy 26	
Conserve and protect agricultural lands in the state's coastal area.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. No new residential development or any commercial development is proposed on agricultural lands. As such, the policy is not applicable.
ENERGY AND ICE MANAGEMENT POLICIES	
Policy 27	
Decisions on the siting and construction of major energy facilities in the coastal area will be based on public energy needs, compatibility of such facilities with the environment, and the facility's need for a shorefront location.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. No energy facilities are proposed. As such, the policy is not applicable.
Policy 28	
Ice management practices shall not interfere with the production of hydroelectric power, damage significant fish and wildlife and their habitats, or increase shoreline erosion or flooding.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The program does not involve any change to ice management practices. As such, the policy is not applicable.
Policy 29	
Encourage the development of energy resources on the outer continental shelf, in Lake Erie and in other water bodies, and ensure the environmental safety of such activities.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The Program does not involve any energy resources on the outer continental shelf, in Lake Erie and in other water bodies. As such, the policy is not applicable.
WATER AND AIR RESOURCES POLICIES	
Policy 30	
Municipal, industrial, and commercial discharge of pollutants, including but not limited to, toxic and hazardous substances, into coastal waters will conform to state and national water quality standards.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The Program does not involve any municipal, industrial, and commercial discharge of pollutants. As such, the policy is not applicable.
Policy 31	
State coastal area policies and management objectives of approved local waterfront revitalization programs will be considered while reviewing coastal water classifications and while modifying water quality standards; however, those waters already overburdened with contaminants will be recognized as being a development constraint.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The Program does not involve any changes to coastal water classifications or water quality standards. As such, the policy is not applicable.
Policy 32	
Encourage the use of alternative or innovative sanitary waste systems in small communities where the costs of conventional facilities are unreasonably high, given the size of the existing tax base of these communities.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. This program does not involve any changes to sanitary waste systems. As such, the policy is not applicable.

<p>Policy 33</p> <p>Best management practices will be used to ensure the control of stormwater runoff and combined sewer overflows draining into coastal waters.</p>	<p>The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. Under this program, all sites would be evaluated for the need to comply with stormwater permitting requirements, including NYSDEC general permits or local Municipal Separate Storm Sewer Systems (MS4) permits. If multiple adjacent sites are involved, the sites would be aggregated for construction stormwater compliance. As such, the proposed action is consistent with Policy 33.</p>
<p>Policy 34</p> <p>Discharge of waste materials into coastal waters from vessels subject to state jurisdiction will be limited so as to protect significant fish and wildlife habitats, recreational areas and water supply areas.</p>	<p>The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The Program does not involve any discharge of waste materials into coastal waters from vessels. As such, the policy is not applicable.</p>
<p>Policy 35</p> <p>Dredging and filling in coastal waters and disposal of dredged material will be undertaken in a manner that meets existing State permit requirements, and protects significant fish and wildlife habitats, scenic resources, natural protective features, important agricultural lands, and wetlands.</p>	<p>The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The Program does not involve dredging and filling in coastal waters, nor the disposal of dredged material. As such, the policy is not applicable.</p>
<p>Policy 36</p> <p>Activities related to the shipment and storage of petroleum and other hazardous materials will be conducted in a manner that will prevent or at least minimize spills into coastal waters; all practicable efforts will be undertaken to expedite the cleanup of such discharges; and restitution for damages will be required when these spills occur.</p>	<p>The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The Program does not involve activities related to the shipment and storage of petroleum and other hazardous materials. As such, the policy is not applicable.</p>
<p>Policy 37</p> <p>Best management practices will be utilized to minimize the non-point discharge of excess nutrients, organics and eroded soils into coastal waters.</p>	<p>The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The Program does not include activities that will lead to non-point discharge of excess nutrients, organics, and eroded soils into coastal waters. As such, the policy is not applicable.</p>
<p>Policy 38</p> <p>The quality and quantity of surface water and groundwater supplies will be conserved and protected, particularly where such waters constitute the primary or sole source of water supply.</p>	<p>The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. Under this Program, all sites would be evaluated for the need to comply with stormwater permitting requirements, including NYSDEC general permits or local Municipal Separate Storm Sewer Systems (MS4) permits. If multiple adjacent sites are involved, the sites would be aggregated for construction stormwater compliance. As such, the proposed action is consistent with Policy 38.</p>

Policy 39	
The transport, storage, treatment and disposal of solid wastes, particularly hazardous wastes, within coastal areas will be conducted in such a manner so as to protect groundwater and surface water supplies, significant fish and wildlife habitats, recreation areas, important agricultural land, and scenic resources.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The Program does not involve the transport, storage, treatment and disposal of solid wastes, particularly hazardous wastes, within coastal areas. As such, the policy is not applicable.
Policy 40	
Effluent discharged from major steam electric generating and industrial facilities into coastal waters will not be unduly injurious to fish and wildlife and shall conform to state water quality standards.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The Program does not involve effluent discharged from major steam electric generating and industrial facilities into coastal waters. As such, the policy is not applicable.
Policy 41	
Land use or development in the coastal area will not cause national or state air quality standards to be violated.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The Program will not cause national or state air quality standards to be violated. As such, the proposed action is consistent with this policy.
Policy 42	
Coastal management policies will be considered if the state reclassifies land areas pursuant to the prevention of significant deterioration regulations of the federal clean air act.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The Program does not involve the reclassification of land area. As such, the policy is not applicable.
Policy 43	
Land use or development in the coastal area must not cause the generation of significant amounts of acid rain precursors: nitrates and sulfates.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The Program will not cause the generation of significant amounts of acid rain precursors. As such, the policy is not applicable.
WETLANDS POLICY	
Policy 44	
Preserve and protect tidal and freshwater wetlands and preserve the benefits derived from these areas.	The NY Rising Housing Recovery Program for 5+ Unit Residential Properties would provide funds for rehabilitation, reconstruction, and mitigation of storm-damaged residential properties. The Program would not alter tidal or freshwater wetlands. As such, the proposed action is consistent with this policy.
Sources: State Coastal Policies, New York State Department of State Coastal Management Program. http://www.dos.ny.gov/opd/programs/pdfs/CoastalPolicies.pdf	

Appendix M:

NY Natural Heritage Program Consultation

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION
Division of Fish, Wildlife & Marine Resources
New York Natural Heritage Program
625 Broadway, 5th Floor, Albany, New York 12233-4757
Phone: (518) 402-8935 • **Fax:** (518) 402-8925
Website: www.dec.ny.gov



Joe Martens
Commissioner

September 4, 2013

Heather M. Spitzberg, Esq.
Director, Environmental Analysis Unit
NYS Homes and Community Renewal
38-40 State Street
Hampton Plaza
Albany, NY 12207

Dear Ms. Spitzberg:

We have received your request of August 27, 2013, regarding the reconstruction and rehabilitation of storm-damaged 1-4 unit homes and 5+ unit homes, the economic development programs, and the buyout/acquisition of storm-damaged homes, in counties throughout New York State, as part of the U.S. Department of Housing and Urban Development Community Development Block Grant – Disaster Recovery Housing Assistance Program, administered by New York State Homes and Community Renewal.

The New York Natural Heritage database has no records of state or federal endangered, threatened, or rare species which are found in existing residential buildings in New York State. Regarding the rehabilitation and reconstruction of existing residential buildings, given that no new construction of buildings will occur under this program, we therefore do not identify any potential impacts to endangered, threatened, and/or rare species or their habitats by the proposed rehabilitation activities in the counties listed in the Attachment B you provided.

If, at any such time, an activity under this project proposes to include any new construction of buildings; any construction or widening of roads or driveways; or any clearing or disturbance of land more than 75 feet from the footprint of a building being rehabilitated or reconstructed; then a specific project site screening should be conducted.

Regarding buyout/acquisition, the buyout or acquisition of a property in itself will not impact endangered, threatened, and/or rare species or their habitats. For any acquired properties where new construction is being considered or proposed, a specific project site screening should be conducted to identify any potential impacts on endangered, threatened, and/or rare species.

Maintaining a property in an undeveloped state may benefit some species by making additional habitat available. If it is desirable to determine whether there are any currently documented endangered, threatened, and/or rare species in the vicinity of a property that may benefit from additional available habitat, a specific project site screening should be conducted. Such a screening is encouraged when the buyout/acquired property includes a relatively large amount of undeveloped acreage beyond the footprint of any existing or recently existing structures.

The impact, if any, of economic development programs on endangered, threatened, and/or rare species will depend on the particular nature and details of the work proposed for each specific project. Projects that involve new construction or ground disturbance at previously undeveloped sites should have a specific project site screening conducted.

Sincerely,

A handwritten signature in black ink that reads "Nick Conrad". The signature is written in a cursive, slightly slanted style.

Nicholas B. Conrad
Information Resources Coordinator
NY Natural Heritage Program

[Type text]



ANDREW M. CUOMO
GOVERNOR

DARRYL C. TOWNS
COMMISSIONER/CEO

NEW YORK STATE
DIVISION OF HOUSING
& COMMUNITY
RENEWAL

August 27, 2013

HOUSING
TRUST FUND
CORPORATION

STATE OF
NEW YORK MORTGAGE
AGENCY

NEW YORK STATE
HOUSING FINANCE
AGENCY

NEW YORK STATE
AFFORDABLE HOUSING
CORPORATION

STATE OF
NEW YORK MUNICIPAL
BOND BANK AGENCY

TOBACCO SETTLEMENT
FINANCING
CORPORATION

Nicholas Conrad
New York State Department of Environmental Conservation
Division of Fish, Wildlife & Marine Resources
New York Natural Heritage Program- Information Services
625 Broadway, 5th Floor
Albany, New York 12233-4757

Re: Natural Heritage Compliance Process Request for U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant-Disaster Recovery (CDBG-DR) Housing Assistance Program, administered by New York State Homes and Community Renewal (NYSHCR): Program Update and Introduction of Economic Development, Rehabilitation of 5+ Unit Homes, Rehabilitation of 1-4 Unit Homes, Reimbursement, and Buy-Out/Acquisition for all Affected Counties.

Dear Mr. Conrad:

NYSHCR is providing this letter to the New York Department of Environmental Conservation (DEC) Natural Heritage Program (NYNHP) to introduce the aforementioned actions of the overall CDBG-DR Program. Since submittal of the previous coordination letters to NYNHP (dated July 18, 2013 and August 5, 2013), these actions have been identified as a priority for the Program, and NYSHCR is proceeding with preparation of the Environmental Review Records (ERR) for all of these actions. Program descriptions are included in **Attachment A**.

As outlined in the *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery* (Action Plan), the vast majority of the storm-damaged properties are located in Nassau, Suffolk, Kings, and Queens Counties. A list of affected counties included in the CDBG-DR program is included in **Attachment B**.

We would like to work with the NYNHP to develop an agreed-upon compliance process so NYSHCR, as the Responsible Entity (RE), can accurately determine compliance at the NEPA Tier 2 and SEQR reviews. NYSHCR is taking a holistic approach to classify actions similar in nature, across all affected counties. NYSHCR is requesting whether a standardized species habitat matrix table (sorted by county) maps, and/or GIS data, may be adopted as the compliance tool for

environmental review. Each parcel incorporated into the CDBG-DR Program will be subject to a site specific Natural Heritage Resource review during the Tier 2 review. In previous discussions between ProSource and NYNHP, a resources matrix table and/or GIS data layer, sorted by county, was considered but was not adopted for the CDBG-DR Program.

NYSHCR is requesting a program comprehensive response letter from the NYNHP, covering all actions, that can be included in all Tier 1 ERRs to document that coordination with NYNHP is being completed, and that program compliance will be completed during Tier 2 site specific review. As soon as reasonably possible, we would like to work with NYNHP to develop a suitable tool for Tier 2 compliance.

If you have question or require additional information regarding this request, please contact me at (518) 486-3379 or hspitzberg@nyshcr.org. Thank you for your time and consideration.

Sincerely,



Heather M. Spitzberg, Esq.
Director, Environmental Analysis Unit
NYS Homes and Community Renewal
38-40 State Street
Hampton Plaza
Albany, NY 12207

cc. Robin Keegan, NYSHCR
Chris Leo, NYSHCR

Attachment A - Program Descriptions
Attachment B- List of Affected Counties Included in the CDBG-DR Program

Attachment A- Program Descriptions

The *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery* (Action Plan), issued April 25, 2013, is used as the overall reference for these programs and is incorporated by reference into these project descriptions. The *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery*, dated April 2013 (Federal Register Docket # FR-5696-N-01) may be referenced at: <http://www.nyshcr.org/Publications/CDBGActionPlan.pdf>.

Buyout/Acquisition

An overview of each activity under the program covered by this document is provided below. This program applies to 1- and 2-unit residential properties.

Voluntary Buyouts and Acquisitions of One- and Two-unit Homes

The Recreate NY Home Buyout Program will include the purchase of eligible substantially damaged properties (>50% of the pre-storm value of the property) inside the floodplain in storm-impacted areas.

Certain highest risk areas in the floodplains, determined to be among the most susceptible to future disasters and that therefore present a greater risk to people and property, will be identified by the State and its local partners for the purposes of this program as enhanced buyout areas (see below). The State will conduct purchases inside of the enhanced buyout areas as “buyouts,” as defined by HUD, whereby they will be eligible for purchase starting at 100% of the property’s pre-storm fair market value (FMV), plus available incentive(s) as outlined below.

The State intends to conduct most purchases outside of the enhanced buyout areas as “acquisitions,” as defined by HUD, whereby purchase offers must begin with the post-storm FMV of the property.

In accordance with the notice governing the use of these funds, properties purchased as a “buyout” will be maintained in perpetuity as coastal buffer zones, while properties purchased as “acquisitions” will be eligible for redevelopment in the future in a resilient manner to protect future occupants of this property. The post-purchase fate of most acquired properties will be determined by the State in consultation with local officials to ensure that the properties best serve the future goals of the community. In some cases, the properties will remain undeveloped and be transformed into parks or other non-residential uses, while in most cases they will be redeveloped in a resilient manner.

Enhanced Buyout Areas

Enhanced buyouts in select pre-defined targeted buyout areas, which will be determined in consultation with county and local governments, will include an incentive(s) ranging from 5%-15% on top of the pre-storm FMV of property acquired through the buyout program. Reconstruction may not occur on lots in these areas. Lots will be maintained as coastal buffer

zones or other non-residential/commercial uses; there may also be acquisition of vacant or undeveloped land in these targeted areas.

Program Activities

Summarized in the sections below are the physical program activities:

Buyout

Following purchase of the property, the land would remain open space in perpetuity. As applicable, structures on the properties would be removed. The Housing Trust Fund Corporation would hold title to the property until the property is transferred to another owner, but will retain restrictions on use.

Acquisition

Following purchase of the property, the land may be developed in a resilient manner. The Housing Trust Fund Corporation would hold title to the property until the property is transferred to another owner for development.

Rehabilitation 1-4 Units and 5+ Units

In response to the damage caused by Hurricane Sandy and other natural disasters that occurred across the nation in 2011, 2012, and 2013, homeowners of disaster-declared counties who sustained damage will be invited to submit an application for assistance. The Recreate New York Smart Homes Project activities to be funded by CDBG-DR have been designed to provide assistance for repairing damaged property, reconstructing damaged homes, and mitigating damaged properties for future resilience.

The specific actions include rehabilitation and reconstruction of moderate, major, and substantial storm-damaged structures and elevation to the required advisory base flood elevation plus freeboard as required by each community's floodplain ordinance as further described in the Floodplain Management Document. It is anticipated that the renovations and elevations will take place within the existing footprint of the structure (unless elevation is not technically feasible without alteration of the footprint), and no additional structures will be built upon each approved project parcel. Under this program, the expansion of the structure may not exceed 20% of the original pre storm footprint. The structure must remain 1-4 units in size after rehabilitation or reconstruction.

Wherever allowable by local building codes and any other law and/or regulation, homeowners will be allowed to choose whether to repair the original home, or rebuild (reconstruct) a new home in its place. However, only funding for the more cost-effective option will be provided.

Reimbursement

The program may also provide reimbursements for homeowners of one- to four-unit buildings that have started or completed Hurricane Sandy-related rehabilitation work with personal resources, subject to restrictions in line with applicable laws, regulations, and the program requirements (e.g., eligibility criteria, grant restrictions). Reimbursement is contingent on compliance with Recreate NY 1-4 Unit Rehabilitation requirements discussed above

Economic Development Program Description

The applicable programs from the Action Plan are:

- Small Business Grant Program
- Small Business Loan Program
- Coastal Fishing Industry Program
- Seasonal Tourism Industry Program

An overview of each program covered by this document is provided below.

Small Business Grant Program

This program will provide funding grants to eligible businesses for purchase or repair of equipment, renovation of damaged or destroyed facilities, mitigation actions to protect businesses from future storms, and the following activities identified in Section 4 of the Action Plan:

- Purchase of equipment, materials, inventory, furniture, fixtures
- Employee training
- Acquisition of real property
- Working capital
- Construction, rehabilitation, reconstruction of buildings)
- Engineering, architectural, and/or design costs
- Infrastructure directly associated with economic development activities
- Technical assistance
- Any other eligible business related activity (requires State consultation and approval)
- Marketing and outreach to solicit applications from businesses eligible for any of the State's proposed programs

Small Business Loan Program

This program will provide loans to eligible businesses for purchase or repair of equipment, renovation of damaged or destroyed facilities, mitigation actions to protect businesses from future storms, and other activities identified in Section 4 of the Action Plan.

Coastal Fishing Industry Program

This program will provide funding to businesses and individuals qualified as a Coastal Fishing Industry. Details of this program are included on page 50 of the Action Plan.

Seasonal Tourism Industry Program

This program will provide funding to eligible seasonal tourism businesses. Details of this program are also included on page 50 of the Action Plan.

Appendix N:

**FEMA Prototype Historic Preservation
Programmatic Agreement**

**PROGRAMMATIC AGREEMENT AMONG
THE FEDERAL EMERGENCY MANAGEMENT AGENCY,
THE NEW YORK STATE HISTORIC PRESERVATION OFFICE,
THE NEW YORK STATE OFFICE OF EMERGENCY MANAGEMENT,
THE DELAWARE NATION,
THE DELAWARE TRIBE OF INDIANS,
THE SHINNECOCK NATION,
THE STOCKBRIDGE-MUNSEE COMMUNITY BAND OF MOHICANS,
THE NEW YORK CITY LANDMARKS PRESERVATION COMMISSION,
AND THE
ADVISORY COUNCIL ON HISTORIC PRESERVATION,
AS A RESULT OF HURRICANE SANDY**

WHEREAS, the mission of the Federal Emergency Management Agency (FEMA) of the Department of Homeland Security is to support our citizens and first responders to ensure that as a nation we work together to build, sustain, and improve our capability to prepare for, protect against, respond to, recover from, and mitigate all hazards; and

WHEREAS, as a result of Hurricane Sandy (DR-4085-NY) (Disaster Declaration), FEMA proposes to administer Federal disaster assistance programs set forth in Appendix A (Programs), pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act, Pub. L. No. 93-288 (1974) (codified as amended at 42 U.S.C. § 5121 *et seq.*) (Stafford Act); the National Flood Insurance Act of 1968, Pub. L. No. 90-448 (1968) (as amended); the Flood Disaster Protection Act of 1973, Pub. L. No. 93-234 (1973) (as amended); the National Flood Insurance Reform Act of 1994, Pub. L. No. 103-325 (1994) (as amended); and implementing regulations contained in Title 44 of the Code of Federal Regulations (CFR).

WHEREAS, FEMA has determined that implementation of its Programs may result in Undertakings (as defined by 16 U.S.C. § 470w and 36 CFR § 800.16(y)) that may affect properties listed in or eligible for listing in the National Register of Historic Places (National Register) pursuant to 36 CFR Part 60 (historic properties), and FEMA has consulted with the New York State Historic Preservation Officer (SHPO), the New York State Office of Emergency Management (OEM), the New York City Landmarks Preservation Commission (LPC), the Delaware Nation, the Delaware Tribe of Indians, the Shinnecock Nation, the Stockbridge-Munsee Community Band of Mohicans (Participating Tribe[s]) and the Advisory Council on Historic Preservation (ACHP) pursuant to Section 106 of the National Historic Preservation Act (NHPA), Pub. L. No. 89-665 (1966) (codified as amended at 16 U.S.C. § 470f) and Section 110 of NHPA (codified as amended at 16 U.S.C. §470h-2), and the Section 106 implementing regulations at 36 CFR Part 800; and

WHEREAS, FEMA, ACHP, and SHPO have determined that FEMA's Section 106 requirements can be more effectively and efficiently implemented and delays to the delivery of FEMA

assistance minimized if a programmatic approach is used to stipulate roles and responsibilities, exempt certain Undertakings from Section 106 review, establish protocols for consultation, facilitate identification and evaluation of historic properties, and streamline the assessment and resolution of adverse effects; and

WHEREAS, in order to implement its Programs, FEMA will provide assistance to the State of New York that may provide monies and other assistance to eligible subgrantees, and as such, the New York Office of Emergency Management (OEM) that is typically responsible for administering funds provided under these Programs, has participated in this consultation, and has been invited to enter into this Agreement as an invited signatory party; and

WHEREAS, LPC is the agency responsible for identifying and designating New York City's landmarks and historic districts, regulates changes to designated buildings, and by law, issues permits under the LPC law (Charter of the City of New York §§ 3020 et seq. and the Administrative Code of the City of New York §§25-301 et seq.) for LPC designated properties in the City of New York, and therefore any FEMA Undertaking affecting such a property shall require an LPC permit prior to commencement of work or demolitions, LPC has participated in this consultation and has been invited to enter into this Agreement as a concurring party; and

WHEREAS, FEMA has determined that its Programs may result in Undertakings with the potential to affect historic properties having religious and cultural significance to Indian Tribes, including sites that may contain human remains and/or associated cultural items; and

WHEREAS, FEMA recognizes that the Participating Tribe(s) may have sites of religious and cultural significance on or off Tribal lands, and in meeting its Federal trust responsibility, FEMA has engaged in government-to-government consultation with the Participating Tribe(s), and pursuant to 36 CFR § 800.2 (c)(2)(ii)(E) has invited the Participating Tribe(s) to enter into an agreement that specifies how FEMA and the Participating Tribe(s) will carry out Section 106 responsibilities, including the confidentiality of information. The agreement may grant the Participating Tribe(s) additional rights to participate or concur in FEMA decisions in the Section 106 review process beyond the ones outlined in 36 CFR Part 800; and

WHEREAS, notwithstanding the aforementioned invitation to enter into an agreement, FEMA has invited the Participating Tribe(s) to enter into this Agreement each as an invited signatory party to fulfill the requirements of Section 106; and

WHEREAS, FEMA may invite additional Tribes that may have sites of religious and cultural significance to enter into the terms of this Agreement; and

WHEREAS, FEMA may perform direct Undertakings in order to implement its Programs; and

WHEREAS, in anticipation or in the immediate aftermath of the Disaster Declaration, impacted communities in the State of New York and/or affected Tribe(s) may conduct critical preparedness and response and recovery activities to safeguard public health and safety and to restore vital community services and functions. Some of these activities may become Undertakings requiring

Section 106 review subject to the terms of this Agreement, and FEMA shall coordinate the appropriate review as warranted; and

NOW, THEREFORE, FEMA, ACHP, SHPO, (as the signatories) OEM, Participating Tribe(s) (invited signatories), and LPC(concurring party) agree that the Programs in the State of New York shall be administered in accordance with the following Stipulations to satisfy FEMA's Section 106 responsibilities for all resulting Undertakings and effectively integrate historic preservation compliance considerations into the delivery of FEMA assistance. FEMA will not authorize implementation of an individual Undertaking until Section 106 review of the project is completed pursuant to this Agreement.

STIPULATIONS

To the extent of its legal authority, and in coordination with the other signatories, FEMA will require that the following measures be implemented:

I. GENERAL

A. Applicability

1. This Agreement applies immediately for this Disaster Declaration after execution by all signatory parties and will remain in effect for the duration of the period that the Disaster Declaration remains open for FEMA funding.
2. For FEMA undertakings that also are within the jurisdiction of the Federal Communications Commission's (FCC) and within the scope of its Section 106 Programmatic Agreements for communication facilities, FEMA defers Section 106 review in accordance with the ACHP Program Comment of October 23, 2009. The approval of funding for the FEMA Undertaking will be conditioned on the compliance of the subgrantee with FCC's applicable Section 106 review, including any required consultation with affected Tribe(s). FEMA will notify the SHPO when it applies the ACHP Program Comment to an Undertaking.
3. Other Federal agencies providing financial assistance for the type of Program activities covered under the terms of this Agreement may, with the concurrence of ACHP, FEMA, SHPO satisfy their Section 106 responsibilities by accepting and complying with the terms of this Agreement. "Other Federal Agencies" may include municipalities providing funds and acting as the Responsible Entity pursuant to 24 CFR Part 58. In such situations, the Federal Agency shall notify FEMA, SHPO and ACHP and other consulting parties to the PA, including participating tribes in writing of their intent to use this Agreement to achieve compliance with Section 106 requirements, and consult with those agencies regarding its section 106 compliance. Resumes of staff that meet the Secretary's Professional Qualifications and will review Tier II projects will be included with the notification. The Federal agency may utilize this Agreement to satisfying its Section 106 responsibilities by executing the

Addendum included in Appendix D. The Agreement will be effective for the Federal agency on the date the Addendum is executed by SHPO, FEMA and ACHP.

4. This Agreement may apply to Undertakings involving multiple Federal agencies and where some or all of the Federal agencies involved in the Undertaking may designate FEMA as the lead Federal agency pursuant to 36 CFR § 800.2(a)(2) with appropriate notification to the ACHP. FEMA will act on the collective behalf of the agencies to fulfill all Section 106 responsibilities. Federal agencies that do not designate FEMA as the lead Federal agency will be responsible for doing a separate consultation pursuant to Section 106 and 36 CFR Part 800.
5. As a result of the Disaster Declaration, State, Tribal and local governments may lack the capability to perform or to contract for emergency work, and instead request that the work be accomplished by a Federal agency. Through a mission assignment (MA), FEMA may direct appropriate Federal agencies to perform the work. This Agreement will apply to such Federal assistance undertaken by or funded by FEMA pursuant to Titles IV and V of the Stafford Act and 44 CFR Part 206.
6. If SHPO has reviewed and approved an Undertaking submitted by a subgrantee that was the result of damage from Hurricane Sandy before FEMA has established an Undertaking for that same project, and FEMA confirms that the scope and effect [as defined by 36 CFR § 800.16(i)] of the Undertaking as reviewed by the SHPO has not changed, and SHPO/Tribal concurrence is documented, FEMA shall document these findings to the project files in order to confirm that the requirements of Section 106 have been satisfied.
7. If another Federal program or Federal agency has reviewed and approved an Undertaking under Section 106 of the NHPA within the past five years, FEMA has no further requirement for Section 106 review provided that it confirms that the scope and effect [as defined by 36 CFR § 800.16(i)] of the Undertaking as reviewed by the previous agency has not changed, and SHPO/Tribal concurrence is documented. FEMA shall document these findings to the project files in order to confirm that the requirements of Section 106 have been satisfied.
8. Should FEMA, in consultation with SHPO and Participating Tribe(s), determine that the previous Section 106 review was insufficient or involved interagency disagreements on eligibility, effect, or mitigation, FEMA shall conduct additional Section 106 review in accordance with the terms of this Agreement.
9. Pursuant to 44 CFR § 206.110(m), assistance to individuals and households provided under 44 CFR Part 206, Subpart D and Section 408 of the Stafford Act, including funding for owner occupied home repair and replacement, content replacement, personal property, transportation and healthcare expenses, is exempt from the provisions of Section 106. For ground disturbing activities, and construction related to 44 CFR §§ 206.117(b)(1)(ii) (temporary housing), 206.117(b)(3) (replacement

housing), 206.117(b)(4) (permanent housing construction), and 206.117(c)(1)(vi) (privately owned access routes), FEMA will conduct Section 106 review.

10. FEMA has determined that the following types of activities have limited or no potential to affect historic properties and FEMA has no further Section 106 responsibilities, pursuant to 36 CFR § 800.3(a)(1):

- b. Administrative actions such as personnel actions, travel, procurement of services, supplies (including vehicles and equipment) for the support of day-to-day and emergency operational activities, and the temporary storage of goods provided storage occurs within existing facilities or on previously disturbed soils.
- c. Preparation, revision, and adoption of regulations, directives, manuals, and other guidance documents.
- c. Granting of variances, and actions to enforce Federal, State, or local codes, standards or regulations.
- d. Monitoring, data gathering, and reporting in support of emergency and disaster planning, response and recovery, and hazard activities.
- e. Research and development of hazard warning systems, hazard mitigation plans, codes and standards, and education/public awareness programs.
- f. Assistance provided for planning, studies, design and engineering costs that involve no commitment of resources other than staffing and associated funding.
- g. Assistance provided for training, management and administration, exercises, and mobile/portable equipment purchases; with the exception of potential ground-disturbing activities and modification of existing structures.
- h. Community Disaster Loans for funding to perform governmental functions for any eligible jurisdiction in a designated disaster area that has suffered a substantial loss of tax and other revenue.
- i. Acquisition or lease of existing facilities where planned uses conform to past use or local land use requirements.
- j. Funding the administrative action of acquiring properties in buyout projects e.g., surveys, legal fees, non-destructive abatement activities), excluding the real estate transaction and demolition. Per Item III.D, OEM shall advise its subgrantees that they may jeopardize Federal funding if work is performed without all required local, State and Federal licenses, permits or approvals, including the completion of the Section 106 process.

- k. Reimbursement of a subgrantee's insurance deductible, when the deductible is the total FEMA eligible cost for the project.
 - l. Labor, equipment and materials used to provide security in the Disaster Declaration area, including lease, rental, purchase or repair of equipment or vehicles and payment for staff and contract labor.
 - m. Application of pesticides to reduce adverse public health effects, including aerial and truck-mounted spraying.
 - n. Unemployment assistance.
 - o. Distribution of food coupons.
 - p. Legal services.
 - q. Crisis counseling.
11. The terms of this Agreement will not apply to Undertakings on Tribal (reservation lands) unless the affected Tribe(s) have concurred in writing.
12. Any FEMA Programs authorized by the United States Congress in the future may be included in this Agreement in accordance with Stipulation IV.A, Amendments. Any change in the FEMA name, Programs, or organizational structure will not affect this Agreement.

B. Roles and Responsibilities of FEMA, SHPO, OEM, and LPC

1. FEMA:

- a. FEMA will use Federal, Tribal, State, subgrantee, or contractor staff whose qualifications meet the Secretary of the Interior's (Secretary's) Professional Qualifications Standards (Professional Qualifications) set forth in the Federal Register at 48 Fed. Reg. 44716-01 (September 29, 1983), as amended (Qualified), in completing identification and evaluation of historic properties and in making determinations of effects. FEMA will review any National Register eligibility determination and make its own findings of effect resulting from the performance of these activities prior to submitting such determinations to the SHPO and Participating Tribe(s).
- i. FEMA acknowledges that Tribe(s) possess special expertise in assessing the National Register eligibility of properties with religious and/or cultural significance to them. Tribal leaders and, as appropriate, their representatives shall decide who meets qualifications/standards as defined by their Tribe(s) for review of undertakings affecting properties with religious and/or cultural significance to Tribe(s).

- b. FEMA will coordinate with the LPC to help LPC ensure that subgrantees apply for permits.
 - i. If an Undertaking as defined by 36 CFR § 800.5 has the potential to adversely affect an LPC designated property or one calendared for designation, then FEMA will provide LPC with the same documentation that is provided to SHPO and Participating Tribe(s).
 - ii. When LPC notifies FEMA that an Undertaking is subject to further LPC review and permitting, FEMA EHP may approve the project and will notify the Grantee that the subgrantee is responsible for obtaining a permit from LPC.
 - iii. FEMA will request LPC to be a consulting party on any Memorandum of Agreement (MOA) that is written to resolve adverse effects to buildings that are LPC designated or proposed landmarks.
- c. FEMA alone shall conduct all project consultation with participating Tribes. In accordance with 36 CFR § 800.2(c)(4), FEMA may authorize the Grantee, or a subgrantee through the Grantee, to initiate the Section 106 process with the SHPO and other consulting parties, assist in identifying other consulting parties with a demonstrated interest in the Undertaking, and prepare any necessary analyses and documentation, but FEMA will remain legally responsible for determinations of National Register eligibility and findings of effect recommended by the authorized party. FEMA shall follow the process set forth in Stipulation I.B.1.a, FEMA Roles and Responsibilities, above and notify the SHPO in writing when a Grantee or subgrantee has been authorized to initiate consultation on FEMA's behalf.
- d. Prior to authorizing the release of funds for individual undertakings requiring grant conditions pursuant to this Agreement, FEMA will inform OEM of all stipulations and conditions and ensure that they are understood so they can be adequately conveyed to subgrantees. FEMA will work in partnership with OEM to provide subgrantees with guidance on in-kind repair pursuant to The Secretary of the Interior's Standards for the Treatment of Historic Properties 1995 (Standards), 36 CFR Part 68, or the most updated version, and techniques to avoid or minimize adverse effects to historic properties.
- e. FEMA shall provide the signatories and invited signatories with bi-annual reports for the previous six months by July 1st and December 31st of each year that this Agreement is in effect. This annual report will summarize the actions taken to implement the terms of this Agreement, statistics on Undertakings reviewed, and recommend any actions or revisions to be considered, including updates to the appendices.
- f. FEMA will confer bi-annually and as necessary with signatories and invited signatories to this Agreement within 30 days after issuance of the annual report, to review the report and/or discuss issues and concerns in greater detail.

- g. FEMA shall convene the an initial scoping meeting with the signatories and invited signatories as soon as practicable following the Disaster Declaration and provide specific points of contact and other pertinent information about the Disaster Declaration.
- h. FEMA shall ensure that all documentation resulting from Undertakings reviewed pursuant to this Agreement shall be consistent with applicable SHPO and Tribal guidelines and the confidentiality provisions of 36 CFR § 800.11(c).

2. SHPO:

- a. SHPO shall review FEMA's determination of the Areas of Potential Effect (APE), National Register eligibility determinations, and FEMA's effect findings and provide comments within timeframes required by this Agreement.
- b. Upon request, the SHPO will provide FEMA/and or its designee(s) with available information about historic properties (such as access to online systems or site files, GIS data, survey information, geographic areas of concern). Such data sharing may be memorialized in an agreement. Only Qualified FEMA staff and/or its designee(s) shall be afforded access to protected cultural resources information.
- c. The SHPO will identify staff or consultants to assist FEMA staff with its Section 106 responsibilities, and identify, in coordination with FEMA, specific activities that SHPO may perform for specific undertakings as agreed in writing with FEMA.
- d. As requested, SHPO staff will be available as a resource and for consultation through site visits, written requests, telephone conversations or electronic media. In those instances where consultation with SHPO has occurred, a written notice (via e-mail or regular mail) will be sent to SHPO to confirm any decisions that were reached.
- e. FEMA and the SHPO may agree to delegate some or all of the SHPO's responsibilities under this Agreement to supplementary SHPO staff assigned to FEMA-DR-4085-NY that are physically located in FEMA's Joint Field Office or SHPO offices in order to help expedite project review or other responsibilities under this Agreement. FEMA, SHPO and OEM will consult about the selection of the supplementary SHPO staff, the scope of responsibilities delegated, and the implementing procedures related to the actions and decisions delegated. FEMA and SHPO shall formally document their agreement regarding the supplementary SHPO staff.
- f. The SHPO shall participate in an initial scoping meeting for the Disaster Declaration.

- g. The SHPO may assist local jurisdictions or OEM with advance planning efforts to consider historic properties related to their preparedness, homeland security, response, recovery, and mitigation programs, for which FEMA funding may be requested.
- h. The SHPO will coordinate with FEMA, to identify consulting parties, including any communities, organizations, or individuals that may have an interest in a specific Undertaking and its effects on historic properties.

3. LPC

- a. LPC will review FEMA Undertakings that have the potential to adversely affect an LPC designated property or one calendared for designation using FEMA consultation documents that are provided to SHPO and Participating Tribe(s) so that LPC may notify FEMA whether or not an LPC property may be affected by the Undertaking and will require a LPC permit.
- b. LPC understands that if it does not respond to FEMA's submittal of Undertakings to them within the timeframes outlined in Stipulation I.E, i.e. within 4 days under emergency conditions, 15 days for IA and PA Undertakings and 30 days for HMGP Undertakings, FEMA will assume that none of the Undertakings are subject to LPC review and permitting and will proceed with the Undertaking.

4. OEM:

- a. OEM shall ensure that its subgrantees understand and acknowledge conditions and potential requirements that may be placed upon Undertakings as a result of Section 106 consultation and the provisions of this Agreement.
- b. Subgrantee government and private non-profit agencies are advised in OEM applicant briefings and program materials that FEMA funding may be jeopardized unless all local, State and Federal permits, licenses and approvals are received. NYC LPC reviews and permits were discussed in briefings held for NYC agencies and private non-profits. The official notice to a subgrantee that an Undertaking is subject to further LPC review will be the project approval document specifying the project scope and limits, and containing all conditions and caveats, including an approved Project Worksheet (PW) for a Public Assistance project, and an approved Application for an HMGP project.
- c. OEM will participate in an initial scoping meeting for the Disaster Declaration.
- d. OEM shall ensure that subgrantees understand that failure to comply with the terms of this Agreement and any project-specific conditions could jeopardize FEMA funding.

- e. OEM will notify FEMA as soon as possible of any proposed change to the approved scope of work and direct the subgrantee not to implement the changes to the proposed scope of work until any additional review required by this Agreement is complete.
- f. OEM shall ensure that its subgrantees are made aware that in the event of an unexpected discovery involving an Undertaking that has affected a previously unidentified historic property, human remains, or affected a known historic property in an unanticipated manner, the subgrantee will comply with Stipulation III.B, Unexpected Discoveries.
- g. When issued as a FEMA condition, OEM shall ensure that in its subgrant agreements, any scope of work involving ground disturbance, and resultant contracts to execute said work, provide for the protection of and notification protocols for unexpected discoveries of cultural material and human remains.
- h. If the Tribe assumes the role of Grantee for projects on Tribal lands, it will assume the same responsibilities as outlined in Stipulation I.B.3 of this Agreement, Roles and Responsibilities of the Signatories.

C. Tribal Consultation

1. For Tribes that have assumed the responsibilities of the SHPO through appointment of a Tribal Historic Preservation Officer (THPO) per Section 101 of the NHPA, FEMA shall consult with the THPO in lieu of the SHPO for undertakings occurring on or affecting tribal lands.
2. Where no Tribal-specific consultation agreements or protocols are in place, FEMA shall consult with affected Tribe(s) in accordance with 36 CFR Part 800. In determining who the affected Tribe(s) may be, FEMA will first establish that an Undertaking has the potential to affect historic properties with religious or cultural importance. FEMA may consult with the SHPO, affected Tribe(s), any State Tribal Agency, and access the National Park Service (NPS) Native American Consultation Database to identify Tribal geographic interests.
3. FEMA shall ensure that its consultations with other consulting parties shall not include the dissemination of information, when advised of data sensitivity by the affected Tribe(s), that might risk harm to an American Indian site or property of religious or cultural significance or that might impede the use of such a site by the affected Tribe(s) in accordance with Section 304 of the NHPA and other applicable laws. Information provided is exempt from public knowledge and disclosure under the Freedom of Information Act (FOIA) by both Section 304 of the NHPA and Section 9 of the Archaeological Resources Protection Act (ARPA) (16 U.S.C. §470aa – 470mm).

D. Public Participation

1. FEMA recognizes that the views of the public are essential to informed decision making throughout the Section 106 review process. FEMA will notify the public of proposed Undertakings in a manner that reflects the nature, complexity, and effect(s) of the Undertaking, the likely public interest given FEMA's specific involvement, and any confidentiality concerns of affected Tribe(s), and private individuals and businesses.
2. FEMA will consult with OEM, the subgrantee, SHPO, and Participating Tribe(s), to determine if there are individuals or organizations with a demonstrated interest in historic properties that should be made aware of an Undertaking. If such parties are identified or identify themselves to FEMA, FEMA will provide them with information regarding the Undertaking and its effect on historic properties, consistent with the confidentiality provisions of 36 CFR § 800.11(c).
3. In accordance with the outreach strategy developed for an Undertaking in consultation with the SHPO and Participating Tribe(s), for involving the public, FEMA will identify the appropriate stages for seeking public input during the Section 106 process.
4. FEMA will consider all views provided by the public regarding an Undertaking and will consider all written requests of individuals and organizations to participate as consulting parties, and in consultation with the SHPO and Participating Tribe(s), determine which should be consulting parties. FEMA will invite any individual or organization that will assume a specific role or responsibility outlined in a Section 106 agreement document to participate as an invited signatory party in that agreement document.
5. FEMA also may provide public notices and the opportunity for public comment or participation in an Undertaking through the public participation process of the National Environmental Policy Act (NEPA) and its implementing regulations set out at 44 CFR Part 10, and/or Executive Orders 11988 and 11990 relating to floodplains and wetlands as set out in 44 CFR Part 9, and Executive Order 12898, Environmental Justice, provided such notices specifically reference Section 106 as a basis for public involvement.

E. Timeframes

All time designations will be in calendar days unless otherwise stipulated. If any signatory or invited signatory does not object to FEMA's determination related to a proposed action within an agreed upon timeframe, FEMA may proceed to the next step in the review process as described in Stipulation II, Project Review. Due to the varied nature of Undertakings, the individual response times to FEMA's requests for comment/concurrence will vary.

1. Under emergency conditions, the SHPO and Participating Tribe(s) will respond to any FEMA request for comments within three (3) days after receipt, unless FEMA determines the nature of the emergency action warrants a shorter time period.
2. For Undertakings associated with the Individual Assistance (IA) and Public Assistance (PA) programs, the review time shall be a maximum of fifteen (15) days for delineation of the Area of Potential Effect (APE), determinations of National Register eligibility and findings of effect.
3. For the Hazard Mitigation Grant Program (HMGP), the response time for each request for concurrence shall be a maximum of thirty (30) days.

II. PROJECT REVIEW

A. Programmatic Allowances

1. If FEMA determines an Undertaking conforms to one or more of the allowances in Appendix B of this Agreement, FEMA will complete the Section 106 review process by documenting this determination in the project file, without SHPO and Tribal review or notification.
2. If the Undertaking involves a National Historic Landmark (NHL), FEMA shall notify the SHPO and Participating Tribe(s) and the NHL Program Manager in the NPS Northeast Regional Office that the Undertaking conforms to one or more allowances. FEMA will provide information about the proposed scope of work for the Undertaking and the allowance(s) enabling FEMA's determination.
3. If an Undertaking is not composed entirely of an allowance listed in Appendix B, FEMA will conduct Section 106 review for the entire Undertaking.
4. For an Undertaking that FEMA determines does not meet the allowance criteria, FEMA shall complete the Section 106 review process in accordance with Stipulation II.C, Standard Project Review, as applicable.
5. Allowances may be revised and new allowances may be added to this Agreement in accordance with Stipulation IV.A.3, Amendments.

B. Expedited Review for Emergency Undertakings

1. As part of the Disaster Declaration process, FEMA will define the time interval during which the disaster causing incident occurs (the incident period, as defined in 44 CFR § 206.32(f)). FEMA may approve Federal assistance and/or funding for emergency work (as defined in 44 CFR § 206.201(b)) that occurs during the incident period, including work already completed, in response to an immediate threat to human health and safety or improved property. FEMA will conduct expedited review of emergency Undertakings from October 27, 2012, the beginning of the incident period, until January 27, 2013.
2. Should FEMA determine that it is necessary to extend the expedited review period beyond January 27, 2012, FEMA will request in writing, prior to the expiration of the expedited review period, an extension of the period of applicability in 30-day increments in accordance with 36 CFR § 800.12(d).
3. For all emergency Undertakings, FEMA will determine the following:
 - a. If the Undertaking is an immediate rescue and salvage operations conducted in response to an event to preserve life and property, FEMA has no Section 106 review responsibilities in accordance with 36 CFR § 800.12(d); or
 - b. If the Undertaking meets one or more of the Allowances in Appendix B of this Agreement, FEMA will complete the Section 106 review process pursuant to Stipulation II.A.1, Programmatic Allowances.
 - c. If FEMA determines that the emergency Undertaking will adversely affect a historic property during this expedited review period, to the extent practicable FEMA may propose treatment measures that would address adverse effects during implementation, and request the comments of the SHPO and/or the affected Tribe(s) within 3 days of receipt of this information unless FEMA determines the nature of the emergency warrants a shorter time period. FEMA may elect to consult with the SHPO and/or the affected Tribe(s) regarding the emergency Undertaking at any point before or during the implementation of an emergency Undertaking if FEMA determines circumstances are appropriate for expedited consultation.
 - d. FEMA may provide this information through written requests, telephone conversations, meetings, or electronic media. In all cases, FEMA will clarify that an “expedited Undertaking review” is being requested.
 - e. FEMA will take into account any timely comments provided by SHPO and/or the affected Tribe(s) and notify the parties of how their comments were taken into consideration by FEMA, OEM, and subgrantee.

- f. Should the SHPO and/or Participating Tribes not comment within 7 days, FEMA may fund the emergency Undertaking based on the available information. This will complete the Section 106 review for the Undertaking.

C. Emergency Demolition and Debris Removal of Privately-Owned Properties

FEMA may need to carry out debris removal activities involving the demolition and removal of buildings and structures that are damaged beyond repair or that are completely collapsed and/or disassembled by the actions of the declared event and therefore must be removed for health and safety reasons. Damage to historic properties by the effects of natural disasters to such a degree that demolition is required for health and safety reasons is not an adverse effect as defined under Section 106 of NHPA. However, FEMA is required by the NHPA to determine if its specific actions in response to disasters will cause adverse effects to any historic properties. After FEMA Public Assistance Program (PA) determines a property initially eligible for demolition, FEMA EHP will review these projects using the following expedited emergency process outlined below.

1. FEMA EHP will evaluate all properties proposed for demolition to determine if they are listed in the National Register or have previously been determined to be eligible for the National Register. If a property has not been previously evaluated for National Register eligibility, FEMA will make a determination whether or not the property is eligible for the National Register. Historic properties include both those above the ground (buildings and structures) and below the ground (archaeological sites and artifacts).
2. FEMA's evaluation will include a data base/GIS review of SHPO information to identify previously-identified historic properties, field review and photography, and additional research of properties that are more than 45 years of age, including archaeological analysis if necessary.
3. FEMA EHP will evaluate all properties proposed for demolition to determine if they are LPC designated or calendared for designation. This will include a database review at [http://geo.nycenet/doitt/nycgovmap/](http://geo.nycenet.doitt/nycgovmap/) for designated properties and <http://a810-bisweb.nyc.gov/bisweb/bsqpm01.jsp> (New York City Buildings Department) for calendared properties.
4. FEMA will conduct an analysis of effects for any historic property identified for demolition or for any demolition that will affect other historic properties within an Area of Potential Effects (APE) and will determine if the project will result in adverse effects. The APE shall include properties within the view shed of the Undertaking that are LPC designated or calendared. The APE for historic properties that are not located within a designated National Register or LPC historic district, or within a geographic area that is eligible as a National Register district, will be the building footprint. For all others, FEMA will determine a project-specific APE. FEMA will also provide information to the SHPO for these properties that describes the specific nature of the damage to each property

5. FEMA will document its findings concerning each property that is proposed for demolition and will submit a report to the SHPO and other consulting parties, as appropriate that includes two (2) photographs of each property (more if associated resources are present) and text that briefly but adequately explains FEMA's determination of National Register eligibility and effects. These reports will be submitted via the SHPO's dedicated electronic mail account at femarecovery@parks.ny.gov.
6. SHPO will review the reports, provide its concurrence or ask for more information via electronic mail within three (3) business days. If SHPO does not concur with FEMA's finding for any property, both agencies will conduct further consultation as soon as possible to clarify FEMA's determinations or to resolve any disagreements.
7. To the extent practicable, demolition of every structure will be carried out following low impact protocols – limiting disturbance to the footprint of the existing structure, limiting the use of heavy equipment on the property, pushing all foundation materials into the building basement and emphasizing that the contractors make reasonable efforts to avoid or minimize harm to any archaeological deposits. In addition, FEMA's PA Program does not fund the removal of slabs, further ensuring that these undertakings will likely have minimal impact on archaeological resources. In most instances FEMA will make the determination that no historic properties will be affected by the demolition.
8. In cases where a demolition site is considered to be archaeologically sensitive monitoring will be required by an archaeologist who meets the Secretary's Professional Qualifications Standards. This determination will be made on a case-by case-basis by FEMA in consultation with the SHPO and other consulting parties. Eligibility determinations, assessment of effects and resolution of adverse effects will be made subsequent to identification of an archeological property. Uprooted trees and exposed stumps will be removed in accordance with the Stump Removal Policy in Appendix E.
9. Demolition of eligible historic buildings/structures may be adverse and may require development of a Memorandum of Agreement (MOA) to mitigate any adverse effects. If FEMA determines that any property demolition is an Undertaking that will result in adverse effects, it will enter into consultation with the SHPO and other appropriate consulting parties to develop an MOA to mitigate the adverse effects as required under Section 106. FEMA may choose to identify, in consultation with the SHPO, standard treatment measures to mitigate adverse effects to multiple properties.

10. This agreement only pertains to the residential structure itself and does not include the demolition or removal of any other infrastructure on these properties, including sidewalks, driveways, pools, retaining walls or similar structures that would not be eligible for FEMA Public Assistance funding.

D. Standard Project Review

For Undertakings not exempt from further Section 106 review, FEMA will ensure that the following standard project review steps are implemented. In the interest of streamlining, FEMA may combine some of these steps during consultation.

1. Consulting Parties: FEMA will consult as appropriate with the SHPO and affected Tribe(s) to identify any other parties that meet the criteria to be consulting parties and invite them to participate in the Section 106 review process. FEMA may invite others to participate as consulting parties as the Section 106 review proceeds.
2. Area of Potential Effects: For standing structures, qualified staff shall define the APE as the individual structure when the proposed Undertaking is limited to the repair or rehabilitation (as defined in 36 CFR § 68.3(b)(6) and 36 CFR § 68.2(b)) of a structure located outside of a National Register listed or eligible historic district. For all other undertakings, qualified staff will determine the APE in consultation with the SHPO and Participating Tribes. FEMA may also consider information provided by other parties, such as local governments, LPC, local preservation advocacy organizations, and the public, when establishing the APE.
3. Identification and Evaluation: Qualified staff shall determine, in consultation with the SHPO and Participating Tribes if the APE contains historic properties, including archaeological sites or properties of religious or cultural significance, that are listed in or potentially eligible for the National Register, or LPC designated and calendared properties. This may include the review of preliminary documentation collected by OEM or the subgrantee in coordination with the SHPO.
 - a. Archaeological Properties, FEMA may consult with the SHPO to determine the level of effort and methodology necessary to identify and define the limits of archaeological properties. For historic properties of religious and cultural significance to Participating Tribe(s), FEMA shall consult with the Tribe(s) to identify geographic areas where properties may be affected by an Undertaking in order so that FEMA may determine the necessary level of effort required to avoid or protect any such properties. FEMA may also consult with LPC regarding identification and treatment of archaeological properties.
 - b. National Historic Landmarks: When FEMA determines an Undertaking has the potential to affect an NHL, FEMA shall notify the Secretary through the NHL Program Manager in the NPS Northeast Regional Office in addition to the SHPO and Participating Tribe(s).

- c. Determinations of Eligibility: FEMA shall review or determine National Register eligibility based on identification and evaluation efforts, and consult with SHPO and Participating Tribe(s) regarding these determinations. Should the SHPO or Participating Tribe(s) disagree with the determination of eligibility, FEMA may elect to either continue consultation, treat the property as eligible for the National Register, or to obtain a determination of eligibility from the Keeper of the National Register in accordance with 36 CFR § 63.2(d)-(e) and 36 CFR § 800.4(c)(2).
- d. Findings of No Historic Properties Affected: FEMA shall make a finding of “no historic properties affected” if no historic properties are present in the APE; the Undertaking is designed to avoid historic properties, including archaeological sites or properties of religious or cultural significance to Participating Tribe(s); or the Undertaking does not affect the character defining features of a historic property.
 - i. FEMA shall notify the SHPO, Participating Tribes(s), and any other consulting parties of this finding and provide supporting documentation in accordance with 36 CFR § 800.11(d) and applicable documentation standards. Unless the SHPO or Participating Tribe(s) objects to the finding pursuant to the appropriate timeframe outlined in Stipulation I.E.2 or I.E.3, Timeframes, FEMA shall complete the Section 106 review.
 - ii. If the SHPO or Participating Tribe(s) objects to a finding of “no historic properties affected”, FEMA may elect to consult with the objecting party to resolve the disagreement. If the objection is resolved, FEMA may proceed with the action in accordance with the resolution. FEMA also may elect to reconsider effects on the historic property by applying the criteria of adverse effect pursuant to Stipulation II.D.4, Application of the Criteria of Adverse Effect. If FEMA is unable to resolve the disagreement, it will forward the finding and supporting documentation to the ACHP and request that the ACHP review FEMA’s finding in accordance with 36 CFR § 800.4(d)(1)(iv)(A) through 36 CFR § 800.4(d)(1)(iv)(C). FEMA will consider the ACHP’s recommendation in making its final determination.
- 4. Application of the Criteria of Adverse Effect: If FEMA finds an Undertaking may affect identified historic properties in the APE, including properties of religious or cultural significance to Participating Tribe(s), or if a consulting party objects to the finding of “no historic properties affected,” FEMA will apply the criteria of adverse effect to historic properties within the APE(s), taking into account the views of the consulting parties and public concerning effects in accordance with 36 CFR § 800.5(a).
 - a. If FEMA determines that an Undertaking does not meet the adverse effect criteria or, for a standing structure, that the Undertaking meets the *Standards*, FEMA shall propose a finding of “no adverse effect” in accordance with 36 CFR § 800.5(b).

- b. FEMA shall notify the SHPO, Participating Tribe(s), and all other consulting parties of its finding and provide supporting documentation pursuant to 36 CFR §800.11(e) and applicable documentation standards. Unless a consulting party objects within the appropriate timeframe, FEMA will proceed with its “no adverse effect” determination and complete the Section 106 review.
 - c. If FEMA finds the Undertaking may have an adverse effect, FEMA shall request through OEM that the subgrantee revise the scope of work to substantially conform to the Standards for standing structures, or avoid or minimize adverse effects for archaeological properties, in consultation with the SHPO, Participating Tribe(s), and any other consulting parties. If the subgrantee modifies the scope of work to address the adverse effect, FEMA shall notify the consulting parties, and provide supporting documentation. Unless a consulting party makes a timely objection, FEMA shall proceed with its “no adverse effect” determination and complete the Section 106 review.
 - d. If a consulting party objects to a finding of “no adverse effect,” FEMA will elect to consult with the objecting party to resolve the disagreement. If the objection is resolved, FEMA will proceed with the undertaking in accordance with the resolution, or;
 - e. If the objection cannot be resolved, FEMA will forward its findings and supporting documentation to the ACHP and request that the ACHP review the findings in accordance with 36 CFR. § 800.5(c)(3)(i-ii). FEMA will consider the ACHP’s comments in making its final determination, or;
 - f. If an Undertaking cannot be modified to avoid adverse effects FEMA will initiate consultation to resolve the adverse effect(s) in accordance with Stipulation II.D.5, Resolution of Adverse Effects.
5. Resolution of Adverse Effects: If FEMA determines that an Undertaking will adversely affect a historic property, it shall resolve the effects of the Undertaking in consultation with the SHPO, OEM, participating Tribes, subgrantee, ACHP, if participating, and any other consulting parties, by one of the following methods depending upon the nature and scale of the adverse effect as well as the determination of the historic property’s significance on a local, state or national level:
- a. Abbreviated Consultation Process: After taking into consideration the nature of the historic properties affected and the severity of the adverse effect(s), FEMA may propose to resolve the adverse effect(s) of the Undertaking through the application of Treatment Measures outlined in Appendix C as negotiated with the SHPO, OEM, and Participating Tribe(s). FEMA will not propose use the Abbreviated Consultation Process if the Undertaking may affect an NHL. The application of these Treatment Measures will not require the execution of a Memorandum of Agreement (MOA) or Programmatic Agreement.

1. FEMA will notify the consulting parties in writing of its proposed use of a specific Treatment Measure, or combination of Treatment Measures with the intent of expediting the resolution of adverse effects and provide documentation as required by 36 CFR §800.11(e) and subject to the confidentiality provisions of 36 CFR §800.11(c), as well as provide the ACHP with an adverse effect notice in accordance with 36 CFR §800.6(a)(1) and notify them of FEMA's intent to apply the Treatment Measure(s). Unless a consulting party or the ACHP objects within fifteen (15) days of receipt of FEMA's proposal, FEMA will proceed with the use of Treatment Measure(s) and will complete Section 106 review.
 2. If any of the consulting parties or the ACHP objects within the 15 day review and comment period to the resolution of adverse effects through the application of the Abbreviated Consultation Process, FEMA shall consult further with the consulting parties to explore options for resolution of the adverse effect(s). If consultation is not successful after an additional 15 day period, FEMA shall request that the ACHP arbitrate the consultation and help identify a final resolution of the adverse effect(s). If no consensus is reached, FEMA shall resolve the adverse effect(s) using procedures outlined below in Stipulation II.E.5.b, Memorandum of Agreement.
 3. Because funding and implementation details of Treatment Measure(s) for specific Undertakings may vary by program, FEMA will provide written notice to the consulting parties within sixty (60) days of the completion of the Treatment Measure(s). This written notice will serve as confirmation that the Treatment Measure(s) for a specific Undertaking have been implemented. FEMA will also include information pertaining to the completion of Treatment Measures in the annual report pursuant to Stipulation I.B.1,d, FEMA Roles and Responsibilities.
- b. Memorandum of Agreement (MOA): If the Abbreviated Consultation Process is determined infeasible or is objected to by any of the consulting parties, FEMA, in consultation with the other consulting parties, will develop an MOA in accordance with 36 CFR § 800.6(c) to stipulate treatment measures to avoid, minimize, and/or mitigate adverse effects on historic properties. If the ACHP was not previously notified of the adverse effect, FEMA will provide the documentation outlined in 36 CFR §800.11(e), and the ACHP will have 15 days to review the undertaking and determine if its participation is necessary to complete the consultation process. The MOA may also include feasible treatment measures that serve an equal or greater public benefit in promoting the preservation of historic properties in lieu of more traditional treatment measures. Should the execution of an MOA not be appropriate given the nature and significance of historic properties, scale of adverse effects, or include one or more complex Undertakings, FEMA shall resolve the adverse effects using the procedures outlined below in Stipulation II.E.5.c, Programmatic Agreement.

- c. **Programmatic Agreement:** FEMA, the SHPO, OEM, Participating Tribe(s), the ACHP, as appropriate, and any other consulting party may consult to develop a Programmatic Agreement in accordance with 36 CFR § 800.14(b) to identify programmatic conditions or treatment measures to govern the resolution of potential or anticipated adverse effects from certain complex project situations for an Undertaking or for multiple but similar Undertakings by a single subgrantee.
- d. **Objections:** Should any signatory, invited signatory, consulting party, or member of the public object within the timeframes established by this Agreement to any plans, specifications, or actions pursuant to resolving an adverse effect, FEMA shall consult further with the objecting party to seek resolution. If FEMA determines the objection cannot be resolved, FEMA shall address in accordance with Stipulation IV.B, Dispute Resolution.
- e. **National Historic Landmarks:** When FEMA determines an Undertaking will adversely affect an NHL, FEMA also will notify and invite the Secretary and ACHP to participate in consultation, pursuant to 36 CFR § 800.10. When the ACHP participates in consultation related to an NHL, the ACHP will report the outcome of the consultation to the Secretary and the FEMA Administrator.

III. OTHER CONSIDERATIONS

- A. **Changes to an Approved Scope of Work:** OEM is required to notify FEMA and will require its subgrantees to notify it immediately when there are proposed changes to an approved scope of work for an Undertaking. When notified by OEM of any proposed substantive change to the approved scope of work for an Undertaking, FEMA may authorize the OEM or subgrantee to proceed with the change once the required review is completed.
- B. **Unexpected Discoveries:** Upon notification by a subgrantee of an unexpected discovery in accordance with Stipulation I.B.3.d, OEM Roles and Responsibilities, OEM will immediately notify FEMA and require the subgrantee to:
 - 1. Stop construction activities in the vicinity of the discovery; and,
 - 2. Notify the local law enforcement office and coroner/medical examiner if human remains are discovered, in accordance with applicable New York State statute(s);
 - 3. Take all reasonable measures to avoid or minimize harm to the property until FEMA has completed consultation with the SHPO, Participating Tribe(s), and any other consulting parties. Upon notification by OEM of a discovery, FEMA will immediately notify the SHPO, Participating Tribe(s), and any other consulting parties that may have an interest in the discovery, and consult to evaluate the discovery for National Register eligibility.
 - 4. FEMA will consult with the consulting parties in accordance with the review process outlined in Stipulation II, Project Review, to develop a mutually agreeable action plan

with timeframes to identify the discovery, take into account the effects of the Undertaking, resolve adverse effects if necessary, and ensure compliance with applicable Federal and State statutes.

5. In cases where discovered human remains are determined to be American Indian, FEMA shall consult with the appropriate Tribal representatives and SHPO. In addition, FEMA shall follow the guidelines outlined in the ACHP's *Policy Statement Regarding the Treatment of Burial Sites, Human Remains, and Funerary Objects* (2007).
6. FEMA will coordinate with OEM and the subgrantee regarding any needed modification to the scope of work for the Undertaking necessary to implement recommendations of the consultation and facilitate proceeding with the Undertaking.

C. Curation

1. FEMA and OEM shall ensure that all records and materials (collections) produced during the course of an archaeological survey, testing, and any data recovery operations for the implementation of its Undertakings are curated at a facility, preferably in-state, that meets the standards of, and in accordance with the applicable provisions of 36 CFR Part 79, "Curation of Federally Owned and Administered Archaeological Collections," and applicable State law and guidelines.
2. In cases where the survey, testing, or data recovery are conducted on private land, any recovered collections remain the property of the land owner and FEMA will return the collections to them with the assistance of the SHPO. In such instances, FEMA and OEM, in coordination with the SHPO or Participating Tribe(s), shall encourage land owners to donate the collection(s) to an appropriate public or Tribal entity. In cases where the property owner declines to accept responsibility for the collection(s) and wishes to transfer ownership of the collection(s) to a public or Tribal entity, FEMA and OEM will ensure curation of the collection(s) in accordance with Stipulation III.C.1 above.

D. Anticipatory Actions and After the Fact Review

1. OEM shall advise its subgrantees that they may jeopardize Federal funding if work is performed without all required local, State and Federal licenses, permits or approvals, including the completion of the Section 106 process. FEMA also shall document this requirement in its Record of Environmental Consideration, as applicable, as well as all project approval documents specifying the project scope and limits, and containing all conditions and caveats, including an approved Project Worksheet (PW) for a Public Assistance project, and an approved Application for an HMGP project.
2. In accordance with Section 110(k) of the NHPA, FEMA shall not grant assistance to a subgrantee who, with intent to avoid the requirements of this Agreement or Section 106 of the NHPA, has intentionally significantly and adversely affected a historic

property to which the assistance would relate, or having legal power to prevent it, allowed an adverse effect to occur. However, if after consultation with the SHPO, Participating Tribes(s), and ACHP, FEMA determines that extraordinary circumstances justify granting assistance despite the adverse effect created or permitted by the subgrantee, FEMA shall complete consultation for the Undertaking pursuant to the terms of this Agreement.

3. In circumstances where FEMA determines a subgrantee has initiated an Undertaking without willful intent to avoid the requirements of this Agreement or Section 106 of NHPA, FEMA will determine if the Undertaking would have required Section 106 review in accordance with Stipulation II.D, Standard Project Review.
4. If FEMA determines no Section 106 review or consultation with SHPO and Participating Tribe(s) would have been required pursuant to Stipulation II.D, Standard Project Review, FEMA will document this determination to the project files and consider the project Section 106 compliant.
5. If FEMA determines the Undertaking would have required Section 106 review, FEMA will coordinate with SHPO and Participating Tribe(s) to determine if consultation is feasible.
 - a. If after coordination with the SHPO and affected Tribes, FEMA determines that consultation is feasible, FEMA will review the Undertaking in accordance with Stipulation II.D, Standard Project Review.
 - b. If after coordination with the SHPO and Participating Tribe(s), FEMA determines that review is infeasible, FEMA will document that the project is noncompliant with Section 106, and the FEMA program then will make a funding eligibility decision.
6. FEMA will ensure that all Undertakings considered for after the fact review in accordance with this stipulation are included in the bi-annual reports.

IV. IMPLEMENTATION OF AGREEMENT

A. Amendments

1. If any signatory or invited signatory to the terms of the Agreement determines that the Agreement cannot be fulfilled, or that an amendment to the terms of this agreement must be made, the signatories and the invited signatories will consult for no more than 30 days to seek amendment of the Agreement.
2. This Agreement may be amended only upon the written consensus of the signatories. This Stipulation does not apply to amendments made to Appendices A, B, and C pursuant to Stipulation IV.A.3, Amendments, below.

3. Appendix A (FEMA Programs), Appendix B (Programmatic Allowances) and Appendix C (Treatment Measures) may be amended at the request of FEMA, a signatory party, or an invited signatory party in the following manner:
 - a. FEMA, on its own behalf or on behalf of another signatory or invited signatory, shall notify all signatory and invited signatory parties to this Agreement of the intent to add to or modify the current Appendix or Appendices and shall provide a draft of the updated Appendix or Appendices to all signatory and invited signatory parties.
 - b. If no signatory or invited signatory object in writing within 15 days of receipt of FEMA's proposed addition or modification, FEMA will date and sign the amended Appendix and provide a copy of the amended Appendix to all signatory and invited signatory parties.

B. Dispute Resolution

1. Should any signatory or invited signatory to this Agreement object in writing within 30 days to the terms of this Agreement, FEMA will consult with the objecting party for not more than 30 days to resolve the objection.
2. If the objection is resolved within 30 days, FEMA shall proceed in accordance with the resolution.
3. If FEMA determines within 30 days that the objection cannot be resolved, FEMA will forward to ACHP all documentation relevant to the objection, including FEMA's proposed resolution. Within 30 days of receipt, ACHP will:
 - a. Concur in FEMA's proposed resolution; or
 - b. Provide FEMA with recommendations, which FEMA will take into account in reaching a final decision regarding the objection; or
 - c. Notify FEMA that the objection will be referred for comment in accordance with 36 CFR § 800.7(a)(4), and proceed to do so. FEMA will take the resulting comment into account.
4. FEMA will take into account any ACHP recommendations or comments, and any comments from the other signatories and invited signatories, in reaching a final decision regarding the objection in accordance with 36 CFR § 800.7(c)(4). The signatories will continue to implement all other terms of this Agreement that are not subject to objection.
5. Should ACHP not respond within 30 days, FEMA may assume ACHP has no comment and proceed with its proposed resolution to the objection.

6. FEMA will provide the signatories and invited signatories with its final written decision regarding any objection brought forth pursuant to this Stipulation.
7. FEMA may authorize any disputed action to proceed, after making its final decision.
8. At any time while this Agreement is in effect, should a member of the public object in writing to implementation of its terms, FEMA will notify the other signatories and invited signatories in writing and take the objection into consideration. FEMA will consult with the objecting party and, if that party so requests, the other signatories and invited signatories, for not more than 21 days. In reaching its decision regarding the objection, FEMA will take into consideration all comments from these parties. Within 15 days after closure of this consultation period, FEMA will provide the other parties with its final decision in writing. FEMA's decision will be final.
9. Any dispute regarding National Register eligibility that is not resolved pursuant to this Stipulation will be resolved in accordance with Stipulation II.D.3.c, Determinations of Eligibility.

C. Severability and Termination

1. In the event any provision of this Agreement shall be deemed contrary to, or in violation of, any applicable existing law or regulation of the United States of America and/or the State New York, only the conflicting provision(s) shall be deemed null and void, and the remaining provisions of the Agreement shall remain in effect.
2. FEMA, the SHPO, OEM, or Participating Tribe(s) may terminate this Agreement by providing 30 days' written notice to the other signatory and invited signatory parties, provided that the parties consult during this period to seek amendments or other actions that would prevent termination. If this Agreement is terminated, FEMA will comply with 36 CFR Part 800. Upon such determination, FEMA will provide all other signatories and invited signatories with written notice of the termination of this Agreement.
3. A Participating Tribe may notify the other signatories and invited signatories that it is fully withdrawing from participation in the Agreement. Following such a withdrawal, FEMA will review undertakings that may affect historic properties of religious and cultural significance to the Tribe in accordance with 36 CFR §§ 800.3 through 800.7 or an applicable alternative under 36 CFR § 800.14. Withdrawal from this Agreement by a Participating Tribe does not terminate the Agreement. A Tribe that has withdrawn from the Agreement may at any time that this Agreement remains in effect notify FEMA, OEM, and SHPO in writing that it has rescinded its notice withdrawing from participation in the Agreement.
4. This Agreement may be terminated by the implementation of a subsequent Agreement that explicitly terminates or supersedes this Agreement, or by FEMA's implementation of Alternate Procedures, pursuant to 36 CFR § 800.14(a).

D. Duration and Extension

1. Unless terminated in accordance with Stipulation IV.C.2 or IV.C.4, Severability and Termination, this Agreement shall remain in effect until FEMA, in consultation with all other signatories, determines that all undertakings related to 4085-DR-NY have been completed.
2. If another federally-declared disaster occurs within the State of New York while this PA is effective, the signatories and invited signatories will consult to determine whether it would be appropriate to extend the PA. If the parties agree that the extension of this PA is an acceptable mechanism for reviewing undertakings as a result of the new disaster, its duration shall be extended pursuant to Stipulation IV.A.

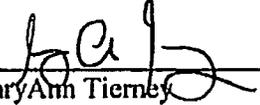
E. Execution and Implementation

1. This Agreement may be implemented in counterparts, with a separate page for each signatory, invited signatory and concurring party and will become effective on the date of the final signature, and will become effective on the date of signature by FEMA, SHPO, and ACHP. FEMA will ensure that each signatory, invited signatory and concurring party is provided with a complete copy.
2. Execution and implementation of this Agreement evidence that FEMA has afforded ACHP a reasonable opportunity to comment on FEMA's administration of all referenced Programs, and that FEMA has satisfied its Section 106 responsibilities for all individual Undertakings of the Programs.

**PROGRAMMATIC AGREEMENT AMONG
THE FEDERAL EMERGENCY MANAGEMENT AGENCY,
THE NEW YORK STATE HISTORIC PRESERVATION OFFICER,
THE NEW YORK STATE OFFICE OF EMERGENCY MANAGEMENT,
THE DELAWARE NATION,
THE DELAWARE TRIBE OF INDIANS,
THE SHINNECOCK NATION,
THE STOCKBRIDGE-MUNSEE COMMUNITY BAND OF MOHICANS,
THE NEW YORK CITY LANDMARKS PRESERVATION COMMISSION,
AND THE
ADVISORY COUNCIL ON HISTORIC PRESERVATION,
AS A RESULT OF HURRICANE SANDY**

Signatory:

FEDERAL EMERGENCY MANAGEMENT AGENCY

By:  _____ Date: 5/6/13

By: MaryAnn Tierney

Acting Regional Administrator, Region II

**PROGRAMMATIC AGREEMENT AMONG
THE FEDERAL EMERGENCY MANAGEMENT AGENCY,
THE NEW YORK STATE HISTORIC PRESERVATION OFFICER,
THE NEW YORK STATE OFFICE OF EMERGENCY MANAGEMENT,
THE DELAWARE NATION,
THE DELAWARE TRIBE OF INDIANS,
THE SHINNECOCK NATION,
THE STOCKBRIDGE-MUNSEE COMMUNITY BAND OF MOHICANS,
THE NEW YORK CITY LANDMARKS PRESERVATION COMMISSION,
AND THE
ADVISORY COUNCIL ON HISTORIC PRESERVATION,
AS A RESULT OF HURRICANE SANDY**

Signatory:

NEW YORK STATE HISTORIC PRESERVATION OFFICER

By: Ruth Pierpont Date: 5/3/13

By: Ruth Pierpont

New York Deputy Commissioner/New York Deputy State Historic Preservation Officer

PROGRAMMATIC AGREEMENT AMONG
THE FEDERAL EMERGENCY MANAGEMENT AGENCY,
THE NEW YORK STATE HISTORIC PRESERVATION OFFICER,
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THE DELAWARE NATION,
THE DELAWARE TRIBE OF INDIANS,
THE SHINNECOCK NATION,
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THE NEW YORK CITY LANDMARKS PRESERVATION COMMISSION,
AND THE
ADVISORY COUNCIL ON HISTORIC PRESERVATION,
AS A RESULT OF HURRICANE SANDY

Signatory:
ADVISORY COUNCIL ON HISTORIC PRESERVATION

By: John M. Fowler
John M. Fowler
Executive Director

Date: 5/10/13

**PROGRAMMATIC AGREEMENT AMONG
THE FEDERAL EMERGENCY MANAGEMENT AGENCY,
THE NEW YORK STATE HISTORIC PRESERVATION OFFICER,
THE NEW YORK STATE OFFICE OF EMERGENCY MANAGEMENT,
THE DELAWARE NATION,
THE DELAWARE TRIBE OF INDIANS,
THE SHINNECOCK NATION,
THE STOCKBRIDGE-MUNSEE COMMUNITY BAND OF MOHICANS,
THE NEW YORK CITY LANDMARKS PRESERVATION COMMISSION,
AND THE
ADVISORY COUNCIL ON HISTORIC PRESERVATION,
AS A RESULT OF HURRICANE SANDY**

Invited Signatory:

NEW YORK STATE OFFICE OF EMERGENCY MANAGEMENT

By: *Jerome M. Hauer*
Commissioner

Date: 9/1/13

**PROGRAMMATIC AGREEMENT AMONG
THE FEDERAL EMERGENCY MANAGEMENT AGENCY,
THE NEW YORK STATE HISTORIC PRESERVATION OFFICER,
THE NEW YORK STATE OFFICE OF EMERGENCY MANAGEMENT,
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THE SHINNECOCK NATION,
THE STOCKBRIDGE-MUNSEE COMMUNITY BAND OF MOHICANS,
THE NEW YORK CITY LANDMARKS PRESERVATION COMMISSION,
AND THE
ADVISORY COUNCIL ON HISTORIC PRESERVATION,
AS A RESULT OF HURRICANE SANDY**

Invited Signatory:

THE DELAWARE NATION

By: _____ Date: _____

C. J. Watkins
Vice President

**PROGRAMMATIC AGREEMENT AMONG
THE FEDERAL EMERGENCY MANAGEMENT AGENCY,
THE NEW YORK STATE HISTORIC PRESERVATION OFFICER,
THE NEW YORK STATE OFFICE OF EMERGENCY MANAGEMENT,
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THE NEW YORK CITY LANDMARKS PRESERVATION COMMISSION,
AND THE
ADVISORY COUNCIL ON HISTORIC PRESERVATION,
AS A RESULT OF HURRICANE SANDY**

Invited Signatory:
THE DELAWARE NATION

By: _____ Date: _____
Paula Pechonick
Chief

**PROGRAMMATIC AGREEMENT AMONG
THE FEDERAL EMERGENCY MANAGEMENT AGENCY,
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THE NEW YORK CITY LANDMARKS PRESERVATION COMMISSION,
AND THE
ADVISORY COUNCIL ON HISTORIC PRESERVATION,
AS A RESULT OF HURRICANE SANDY**

Invited Signatory:
THE SHINNECOCK NATION

_____ Date: _____
By: [name]
[title]

_____ Date: _____
By: [name]
[title]

**PROGRAMMATIC AGREEMENT AMONG
THE FEDERAL EMERGENCY MANAGEMENT AGENCY,
THE NEW YORK STATE HISTORIC PRESERVATION OFFICER,
THE NEW YORK STATE OFFICE OF EMERGENCY MANAGEMENT,
THE DELAWARE NATION,
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THE STOCKBRIDGE-MUNSEE COMMUNITY BAND OF MOHICANS,
THE NEW YORK CITY LANDMARKS PRESERVATION COMMISSION,
AND THE
ADVISORY COUNCIL ON HISTORIC PRESERVATION,
AS A RESULT OF HURRICANE SANDY**

Invited Signatory:

THE STOCKBRIDGE-MUNSEE COMMUNITY BAND OF MOHICANS

Date: _____

By: Robert Chicks
President of Tribal Council

**PROGRAMMATIC AGREEMENT AMONG
THE FEDERAL EMERGENCY MANAGEMENT AGENCY,
THE NEW YORK STATE HISTORIC PRESERVATION OFFICER,
THE NEW YORK STATE OFFICE OF EMERGENCY MANAGEMENT,
THE DELAWARE NATION,
THE DELAWARE TRIBE OF INDIANS,
THE SHINNECOCK NATION,
THE STOCKBRIDGE-MUNSEE COMMUNITY BAND OF MOHICANS,
THE NEW YORK CITY LANDMARKS PRESERVATION COMMISSION,
AND THE
ADVISORY COUNCIL ON HISTORIC PRESERVATION,
AS A RESULT OF HURRICANE SANDY**

Concurring Party:

NEW YORK CITY LANDMARKS PRESERVATION COMMISSION

Date: _____

By: Robert B. Tierney

Chair, The New York City Landmarks Preservation Commission

Appendix A

FEMA Program Summaries

This Appendix may be amended in accordance with Stipulation IV.A, Amendments.

Disaster Response and Recovery Programs

The following programs are authorized under Titles IV and V of the Stafford Act.

Public Assistance Program (PA)

This program assists States, Tribal and local governments, and certain types of private nonprofit organizations quickly respond to and recover from major disasters or emergencies declared by the President. Grants are provided for debris removal (Public Assistance Category A), emergency protective measures (Public Assistance Category B), and the repair, replacement, or restoration of disaster-damaged, publicly owned and certain private non-profit facilities (Public Assistance Categories C-G).

Individual Assistance Programs (IA)

These programs help ensure that individuals and families that have been affected by disasters have access to the full range of FEMA assistance including: crisis counseling (Section 416), disaster legal services (Section 415), essential assistance (Section 403), emergency sheltering assistance (Section 403), transportation (Section 419), funeral services, minor home repairs (Section 408), and temporary housing assistance (Section 408). It should be noted that other Federal agencies provide disaster assistance to individuals as well, such as the US Small Business Administration, Department of Agriculture, and Department of Labor and that this assistance is not subject to the terms of this agreement.

Fire Management Assistance Grant Program (FMAG)

The FMAG is available to State, Tribal, and local governments for the mitigation, management, and control of fires on publicly or privately owned lands. Eligible costs may include expenses for field camps, equipment use, repair and replacement, materials and supplies, and mobilization and demobilization activities.

Hazard Mitigation Grant Program (HMGP)

The HMGP provides grants to States, Territories, Tribes, and local governments to implement long-term hazard mitigation measures after a Disaster Declaration. Activities may include buyouts, retrofits, relocations, elevations, and minor flood control projects.

Appendix B

Programmatic Allowances

This list of Allowances enumerates FEMA funded activities that based on FEMA experience have no effect or limited effect on historic properties if implemented as specified in this Appendix and will not require review by the SHPO and Participating Tribe(s) pursuant to Stipulation II.A.1, Programmatic Allowances.

The allowances consist of two tiers – Tier I and Tier II. Staff may apply Tier I allowances without meeting any professional historic preservation qualification standards, while only staff meeting the applicable Secretary's Professional Qualifications Standards in accordance with Stipulation I.B.1.a of this Agreement may apply Tier II allowances.

When referenced in the allowances, "in-kind" shall mean that it is either the same or a similar material, and the result shall match all physical and visual aspects, including form, color, and workmanship. The in-kind repair provided for in both Tiers I and Tier II allowances in Appendix B should be limited to pre-existing architectural features and physical components of buildings and structures and in general should not be utilized when a building or structure has been substantially altered.

When referenced in the allowances, "previously disturbed soils" will refer to soils that are not likely to possess intact and distinct soil horizons and have the reduced likelihood of possessing archaeological artifacts, features, and phenomena within their original depositional contexts.

Tier I Allowances

- I. GROUND DISTURBING ACTIVITIES AND SITE WORK**, when proposed activities described below substantially conform to the original footprint and/or are performed in previously disturbed soils and the area proposed to be disturbed does not exceed the previous disturbance in depth or footprint, including the area where the activity is staged.

A. Debris and Snow Removal

1. Debris removal and collection, including removal of snow, uprooted trees, limbs and branches from public rights of way, public area and the transport and disposal of such waste to existing licensed waste facilities or landfills. Uprooted trees and exposed stumps must be removed in accordance with the stump removal policy in Appendix E. This includes the temporary establishment and expansion of non-hazardous debris staging, reduction, and disposal areas at licensed transfer stations, or existing hard-topped or graveled surfaces (e.g. parking lots, roads, athletic courts) but not the creation of new or temporary access roads.
2. Removal of debris from private property provided that buildings are not affected, ground disturbance is minimal and in-ground elements, such as driveways, walkways or swimming pools are left in place.
3. Chipping and disposal of woody debris by broadcasting within existing rights-of-way.
4. Sediment removal from man-made drainage facilities, including retention/detention basins, ponds, ditches, and canals, in order to restore the facility to its pre-disaster condition. The sediment may be used to repair eroded banks or disposed of at an existing licensed or permitted spoil site.
5. Dewatering flooded developed areas by pumping.

B. Temporary Structures and Housing

1. Installation of temporary structures for uses such as school classrooms, offices, or shelters for essential public service agencies, such as police, fire, rescue and medical care, as well as temporary housing for disaster personnel and victims, at the following types of locations:
 - a. Single units on private residential sites when all utilities are installed above ground or tie into pre-existing utility lines.
 - b. Existing multi-family units.
 - c. Existing RV/Mobile Home Parks and campgrounds with pre-existing utility hookups.
 - d. Paved areas, such as parking lots and paved areas at such facilities as conference centers, shopping malls, airports, business parks, military bases when all utilities are installed above ground or tie into pre-existing utility lines.
 - e. Sites that have been previously cleared and prepared for planned construction, such as land being developed for public housing, office buildings, city parks, ball fields, military bases, schools, etc. when all utilities are installed above-ground or tie into pre-existing utility lines.

- f. Areas previously filled to depths of at least six feet so that subsurface utilities can be installed.

C. Recreation and Landscaping

1. Installation of temporary removable barriers.
2. In-kind repairs or replacement, and minor upgrades/mitigation of bollards and associated protective barriers when in previously disturbed areas.

II. BUILDINGS

- A. Repair or retrofit of buildings less than 45 years old.
- B. Removal of water by physical or mechanical means.
- C. Installation of grab bars and other such minor interior modifications required for compliance with the Americans with Disabilities Act (ADA).
- D. Installation of security bars over windows on rear elevations.
- E. Sheltering and Temporary Essential Power (STEP) Pilot Program: The STEP program provides essential power to affected residents and thereby reduces the demand for other shelter options by allowing individuals to return to or remain in their home while awaiting major repairs. STEP accomplishes this by 3 measures:
 1. Residential Meter Repairs: Repairs to exterior weather head, service cable, and meter box.
 2. Temporary Essential Electric Measures: Repairs to restore temporary power to residences where the utility will not turn the power back on due to damages in order to restore a minimal amount of power to allow heat and/or hot water and some power to targeted appliances, including installation of a temporary power supply, outlet panels, and other equipment that will be removed when permanent repairs are made.
 3. Rapid Temporary Exterior Repairs: Securing broken windows, covering damaged exterior walls and patching or otherwise securing damaged exterior doors. These repairs utilize raw, unfinished materials for temporary emergency repairs, such as plywood secured with a padlock.

III. TRANSPORTATION FACILITIES, when proposed activities substantially conform to the original footprint and/or performed in previously disturbed soils, including any staging areas.

A. Roads and Roadways

1. Repair of roads to pre-disaster geometric design standards and conditions using in-kind materials, shoulders medians, clearances, curbs, and side slopes. This allowance does not include improvement to existing roadways and appurtenances.
2. Construction of temporary emergency access roads in previously disturbed soils to allow for passage of emergency vehicles.
3. Repairs to road slips and landslides that do not require grading of undisturbed soils on the up-hill side of the slip.
4. Re-establishment, armoring and/or upgrading of existing roadway ditches.
5. In-kind repair or replacement of traffic control devices such as traffic signs and signals, delineators, pavement markings, traffic surveillance systems.
6. Installation and removal of temporary traffic control devices, including pre-formed concrete barriers and fencings.
7. In-kind repair or replacement of roadway safety elements such as barriers, guardrails, and impact-attenuation devices. In the case of guardrails, the addition of safety end treatments is allowed.

B. Airports

1. In-kind repair or replacement of existing runway surfaces and features (e.g. asphalt, concrete, gravel, and dirt) and associated air transportation safety components and systems (e.g. lighting bars, beacons, signage and weather sensors).

C. Rail Systems

1. In-kind repair or replacement of safety components.
2. In-kind repair or replacement of existing track system and passenger loading areas.

Tier II Allowances

I. GROUND DISTURBING ACTIVITIES AND SITE WORK, when proposed activities described below substantially conform to the original footprint and/or are performed in previously disturbed soils, including the area where the activity is staged.

A. Footings, Foundations, Retaining Walls, Slopes, and Slope Stabilization Systems

1. In-kind repair, replacement, and reinforcement of footings, foundations, retaining walls, slopes, and slope stabilization systems (e.g., gabion baskets, crib walls, soldier pile and lag walls) if related ground disturbing activities are within the boundary of previously disturbed soils.

2. Installation of perimeter drainage (e.g. French drains) when performed in previously disturbed soils.

B. Recreation and Landscaping

1. In-kind repairs or replacement, and minor upgrades to recreational facilities and features (e.g. playgrounds, campgrounds, fire pits, dump stations and utility hook-ups, swimming pools, athletic fields and signage, batting cages, basketball courts, swing sets, pathways, simple wooden/wire stream crossings).
2. In-kind repair, replacements, and minor upgrades to landscaping elements (e.g., fencing, free standing walls, paving, planters, irrigation systems, lighting elements, signs, flag poles, ramps, steps).

C. Piers, Docks, Boardwalks, Boat Ramps, and Dune Crossovers

1. In-kind repair and replacement and minor upgrades to existing piers, docks, boardwalks, boat ramps and dune crossovers in areas of previously disturbed soils.

D. Cemeteries

1. Removal of woody debris such as branches, limbs, and uprooted trees from cemeteries, provided that heavy equipment and other machinery are not operated or staged on areas potentially containing human remains. Uprooted trees and exposed stumps must be removed in accordance with the stump removal policy in Appendix E. If this condition does not adequately protect human remains, then monitoring will be required by an archaeologist who meets the Secretary's Professional Qualifications Standards to oversee stump removal.

II. BUILDINGS

1. Interior Work: Floors, Walls, Stairs, Ceilings and Trim

1. In-kind repair and replacement of floors, walls, stairs, ceilings, and/or trim. The allowance does not apply to decorative finishes, including murals, glazed paint, gold leaf, or ornamental plaster.
2. Interior cleaning of surfaces using a weak solution of household bleach and water, mold remediation, or mold removal. The allowance applies to interior finishes, including plaster and wallboard, provided the cleaning is restricted to damaged areas and does not affect adjacent materials.
3. Non-destructive or concealed testing for hazardous materials (e.g., lead paint, asbestos) or for assessment of hidden damages.

B. Utilities and Mechanical, Electrical, and Security Systems

1. In-kind repair or replacement, or limited upgrading of interior utility systems, including mechanical (e.g., heating, ventilation, air conditioning), electrical, and plumbing systems. This allowance does not provide for the installation of new exposed ductwork.
2. Elevation of heating, ventilation, and air conditioning system (HVAC) and mechanical equipment as long as it is placed or located where it is not highly visible from the street.
3. Replacement or installation of interior fire detection, fire suppression, or security alarm systems. The allowance does not apply to surface mounted wiring, conduits, piping, etc., unless previously existing, provided that installation of the system hardware does not damage or cause the removal of character-defining architectural features and can be easily removed in the future.
4. Installation of building communication and surveillance security systems, such as cameras, closed-circuit television, alarm systems, and public address systems, provided that installation of the system hardware does not damage or cause the removal of character defining architectural features and can be easily removed in the future.
5. Installation of building access security devices, such as card readers, enhanced locks, and security scanners (e.g., metal detectors), provided the device does not damage or cause the removal of character-defining architectural features and can be removed in the future without impacts to significant architectural features.

C. Windows and Doors

1. In-kind repair of damaged or severely deteriorated windows and window frames,, shutters, storm shutters, doors and door frames, and associated hardware, where profiles, elevations, details and materials match those of the originals.
2. In-kind replacement of window panes. Clear plate, double, laminated or triple insulating glazing can be used, provided it does not result in altering the existing window material, tint, form, muntin profiles, or number of divided lights. This allowance does not apply to the replacement of existing intact archaic or decorative glass.
3. Replacement of exterior, utilitarian, non-character-defining metal doors and frames leading into non character-defining spaces with metal blast resistant doors and frames.

D. Exterior Walls, Cornices, Porches, and Foundations

1. In-kind repainting of surfaces, provided that destructive surface preparation treatments are not used, such as water blasting, sandblasting, power sanding and chemical cleaning.
2. In-kind repair of walls, porches, foundations, columns, cornices, siding, balustrades, stairs, dormers, brackets, trim, and their ancillary components or in-kind replacement of severely deteriorated or missing or lost features, as long as the replacement pieces match the original in detail and material. Any ground disturbance will be limited to previously disturbed soils.
3. In-kind repair or replacement of signs or awnings.
4. Installation of temporary stabilization bracing or shoring, provided such work does not result in additional damage.
5. Anchoring of walls to floor systems, provided the anchors are embedded and concealed from exterior view.
6. In-kind repair of concrete and masonry walls, columns, parapets, chimneys, or cornices or limited in-kind replacement of damaged components including comparable brick, and mortar that matches the color, strength, content, rake, and joint width.
7. Bracing and reinforcing of walls, chimneys and fireplaces, provided the bracing and reinforcing are either concealed from exterior view or reversible in the future.
8. Strengthening of foundations and the addition of foundation bolts, provided that visible new work is in-kind, including mortar that matches the color, content, strength, rake, and joint width where occurring.
9. Repairs to and in-kind replacement of elements of curtain wall assemblies or exterior cladding that is hung on the building structure, usually from floor to floor, and when the color, size reflectivity, materials, and visual patterns are unaltered.

E. Roofing

1. Installation of scaffolding, polyethylene sheeting, or tarps, provided such work will not result in additional damage or irreversible alterations to character defining features.
2. In-kind repair or replacement of roofing, of roofing, rafters, fascia, soffits, gutters, verge boards, leader boxes, downspouts, or other damaged roof system components.
3. Repairs to a flat roof cladding, including changes in roofing materials, where the repairs are not highly visible from the ground level.

F. Weatherproofing and Insulation

1. Caulking and weather-stripping to complement the color of adjacent surfaces or sealant materials.
2. In-kind repair or replacement of insulation systems, provided that existing interior plaster, woodwork, exterior siding, or exterior architectural detail is not altered.

G. Structural Retrofits

1. The installation of the following retrofits/upgrades, provided that such upgrades are not visible on the exterior: attic bracing, cross bracing on pier and post foundations; fasteners; collar ties; gussets; tie downs; strapping and anchoring of mechanical, electrical, and plumbing equipment; concealed anchoring of furniture; installation of plywood diaphragms beneath first floor joists, above top floor ceiling rafters, and on roofs; and automatic gas shut off valves.
2. Replacement, repair or installation of lightning rods.

III. TRANSPORTATION FACILITIES, when proposed activities substantially conform to the original footprint and/or performed in previously disturbed soils, including the area where the activity is staged.

A. Roads and Roadways

1. Repair of roads to pre-disaster geometric design standards and conditions using in-kind materials, shoulders, medians, clearances, curbs, and side slopes. This allowance permits minor improvement to meet current code and standards or hazard mitigation measures, such as those designed to harden exposed surfaces, including the application of gravel armoring to side slopes and ditches.
2. In kind repair to historic paving materials for roads and walkways.
3. In-kind repair or replacement, or minor upgrade of culvert systems and arches beneath roads or within associated drainage systems, including provision of headwalls, riprap and any modest increase in capacity for the purposes of hazard mitigation or to meet current codes and standards, provided that the work substantially conforms to the existing footprint. For stone or brick culverts or arches beneath roadways, this allowance only applies to in-kind repair.
4. In-kind repair or replacement of road lighting systems, including period lighting fixture styles.
5. In-kind repair or replacement of road appurtenances such as curbs, berms, fences, and sidewalks.

B. Bridges

1. Installation of a temporary (Bailey-type) bridge over an existing structure or at a previously disturbed location, such as a former bridge location, to allow passage of emergency vehicles.
2. In-kind repair or replacement of bridges and bridge components (e.g. abutments, wing walls, piers, decks, and fenders in previously disturbed soils).

IV. UTILITIES, COMMUNICATIONS SYSTEMS AND TOWERS, when proposed activities substantially conform to the original footprint and/or performed in previously disturbed soils, including the area where the activity is staged.

A. General

1. In-kind repair or replacement, or minor upgrading, small scale realignment, and elevation of utilities and associated features and structures within previously disturbed soils of rights-of-way or utility corridors.
2. Installation of new utilities and associated features within existing rights-of-way.
3. Directional boring of new/replacement service line and related appurtenances involving boring or silt trenches within previously disturbed soils of rights-of-way or utility corridors.
4. In-kind repair or replacement, or minor upgrade of water towers provided activities take place within previously disturbed soils. Ground-level facilities may be added or expanded in previously disturbed areas. This allowance does not apply to masonry water towers.

B. Generators and Utilities

1. In-kind repair or replacement, or minor upgrades elevation, and/or installation of generators, HVAC systems, and similar equipment provided activities occur within previously disturbed soils and any roof mounted equipment is not visible from the ground level.

C. Communication Equipment/Systems and Towers

1. Acquisition, installation, or operation of communication and security equipment/systems that use existing distribution systems, facilities, or existing infrastructure right-of-way.
2. The collocation of communication and security equipment on existing towers and buildings/structures less than 45 year in age, provided that the work does not increase existing tower height or footprint by more than 10% and occurs within previously disturbed soils.

3. Enhancement, repair or replacement of existing communication towers and antenna structures provided the work does not increase existing tower height or footprint by more than 10% and occurs within previously disturbed soils.
4. Installation of new temporary (not to exceed 12 months) communications towers and antenna structures provided that the work occurs does not require modification of buildings/structures older than 45 years and occurs within previously disturbed soils.
5. Installation of new communication towers, less than 200 feet tall, in previously developed urban complexes when the work does not require modification of buildings/structures older than 45 years, occurs within previously disturbed soils and is not within 500 feet of the boundaries of a historic property.

V. WATER RESOURCE MANAGEMENT AND CONTROLS, when proposed activities substantially conform to the original footprint and/or performed in previously disturbed soils, including the area where the activity is staged.

A. Canal Systems

1. In-kind repairs or replacement to canal systems and associated elements.

B. Breakwaters, Seawalls, Revetments, and Berms

1. In-kind repair or replacement of breakwaters, seawalls, and revetments, provided the work occurs in previously disturbed soils.

C. Dams, Levees, and Floodwalls

1. In-kind repair of dams, levees, floodwalls and related features, including spillways, tide gates, and fuse plugs, provided the work occurs in previously disturbed soils.

D. Fish Hatcheries

1. In-kind repair or replacement of fish hatcheries and fish ladders.

E. Waste-Water Treatment Lagoon Systems

1. In-kind repair or replacement, or minor upgrades of waste-water treatment lagoon systems.

VI. OTHER PROGRAM ACTIVITIES

A. Elevation, Demolition, and Reconstruction

1. Activities related to the elevation, demolition and/or reconstruction of buildings or structures less than 45 years of age so long as the proposed activities substantially

conform to the original footprint and/or are performed in previously disturbed soils including any staging area, and the buildings or structures are not located within or adjacent to a National Register or LPC historic district.

B. Safe Rooms

1. Installation of individual safe rooms within the property limits of a residence where the installation will occur within an existing structure or building that is less than 45 years of age and has been determined by FEMA not to be significant under Criterion G, or within previously disturbed soils.

Appendix C

Treatment Measures

[to be negotiated on a state-by-state basis]

The following Treatment Measures are suggested for the resolution of Adverse Effects:

If Undertakings result or will result in adverse effects, FEMA, the SHPO, OEM, and Participating Tribes(s), may develop a treatment measure plan that includes one or more of the following Treatment Measures, depending on the nature of historic properties affected and the severity of adverse effects. If an Undertaking will adversely affect a LPC designated or calendared properties, LPC may participate in development of a treatment measure plan. This Appendix may be amended in accordance with Stipulation IV.A.3 of this Agreement, Amendments.

A. Recordation Package

1. **Digital Photography Package:** Prior to project implementation, the designated responsible party shall oversee the successful delivery of a Digital Photography Package prepared by staff or contractors that meet the Secretary's Professional Qualifications for Architectural History, History, Architecture, or Historic Architecture, as appropriate. The Digital Photography Package will meet the standards cited in the National Park Service's *National Register of Historic Places Photographic Policy March 2010* or subsequent revisions (<http://www.nps.gov/nr/publications/bulletins/photopolicy/index.htm>).
 - a. The Digital Photography Package shall include a comprehensive collection of photographs of both interior and exterior views showing representative spaces and details of significant architectural features and typical building materials. Exterior photographs shall include full oblique and contextual images of each elevation. Exterior views shall be keyed to a site plan while interior views shall be keyed to a floor plan of the building/structure. The photographs shall be indexed according to the date photographed, site number, site name, site address, direction, frame number, subject matter and photographer's name recorded on the reverse side in pencil.
 - b. The Digital Photography Package shall include printed color copies of the digital photographs (on appropriate paper, per *NPS Photographic Policy*), a CD/DVD of the digital photographs, a completed state architectural inventory form, and a written site history of the historic property.
 - c. The designated responsible party shall submit the Digital Photography Package to the SHPO and Participating Tribe(s) for review and approval. Once approved by the SHPO and Participating Tribe(s), the designated responsible party shall submit full copies of the approved Digital Photography Package to _____ for permanent retention.

2. **35 mm Black and White Film Photography Package:** Prior to project implementation, the designated responsible party shall oversee the successful delivery of a 35 mm Black and White Film Photography Package prepared by staff or contractors that meet the Secretary's Professional Qualifications for Architectural History, History, Architecture, or Historic Architecture, as appropriate.
 - a. The 35 mm Black and White Film Photography Package shall include a comprehensive collection of photographs of both interior and exterior views showing representative spaces and details of significant architectural features and typical building materials. Exterior photographs shall include full oblique and contextual images of each elevation. Exterior views shall be keyed to a site plan while interior views shall be keyed to a floor plan of the building/structure. The photographs shall be indexed according to the date photographed, site number, site name, site address, direction, frame number, subject matter and photographer's name recorded on the reverse side in pencil.
 - b. The 35 mm Black and White Film Photography Package shall include one (1) full set of 35mm film black and white photographs printed on acid free paper, the corresponding 35mm film negatives in acid free sleeves, a completed state architectural inventory form, and a written site history of the historic property.
 - c. The designated responsible party shall submit the 35 mm Black and White Film Photography Package to the SHPO and Participating Tribe(s) for review and approval. Once approved by the SHPO and Participating Tribe(s), the designated responsible party shall submit full copies of the approved 35 mm Black and White Film Photography Package to _____ for permanent retention.
3. **Large Format Film Photography Package:** Prior to project implementation, the designated responsible party shall oversee the successful delivery of a Large Format Film Photography Package prepared by staff or contractors that meet the Secretary's Professional Qualifications for Architectural History, History, Architecture, or Historic Architecture, as appropriate.
 - a. The Large Format Film Photography Package shall include a comprehensive collection of photographs of both interior and exterior views showing representative spaces and details of significant architectural features and typical building materials. Exterior photographs shall include full oblique and contextual images of each elevation. Exterior views shall be keyed to a site plan while interior views shall be keyed to a floor plan of the building/structure. The photographs shall be indexed according to the date photographed, site number, site name, site address, direction, frame number, subject matter and photographer's name recorded on the reverse side in pencil.

- b. The Large Format Film Photography Package shall include one (1) full set of 4 x 5 or 5 x 7-inch photographs printed on acid free paper, the corresponding 4 x 5 or 5 x 7-inch negatives in acid free sleeves, a completed state architectural inventory form, and a written site history of the historic property.
- c. The designated responsible party shall submit the Large Format Film Photography Package to the SHPO and Participating Tribe(s) for review and approval. Once approved by the SHPO and affected Tribe(s), the designated responsible party shall submit full copies of the approved Large Format Film Photography Package to _____ for permanent retention.

B. Design Review by SHPO and Participating Tribe(s)

Prior to project implementation, FEMA, the Grantee, and subgrantee shall work with the SHPO and Participating Tribe(s) to develop a historically compatible design. Plans and specifications will, to the greatest extent feasible, preserve the basic character of a building. Primary emphasis shall be given to the major street elevations that are visible. Significant contributing features (e.g. trim, windows, doors, porches) will be repaired or replaced with either in-kind materials or materials that come as close as possible to the original materials in basic appearance. Aesthetic camouflaging treatments such as use of veneers, paints, texture compounds and other surface treatments and/or use of sympathetic infill panels and landscaping features will be employed to the greatest extent feasible. Final construction drawings used in the bidding process will be submitted to the SHPO and Participating Tribe(s) for review and comment prior to the award of a construction contract and the initiation of construction activities.

C. Tribal Treatment Plan

FEMA shall work with the Participating Tribe(s) to develop a plan for the protection and treatment of, including but not limited to, Native American remains, funerary objects, cultural and religious landscapes, ceremonial items, traditional gathering areas and cultural items, for known sites and in the event that any are discovered in conjunction with the Undertaking, including archaeological studies, excavation, geotechnical investigations, grading, and all ground-disturbing activity. The plan will also formalize procedures for Tribal monitoring during archaeological studies, grading, and ground disturbing activities for the Undertaking. No photography of Native Americans human remains or funerary objects will be allowed. No photography of Native Americans human remains or funerary objects will be allowed.

D. Public Interpretation

Prior to project implementation, FEMA, OEM, and the subgrantee will work with the SHPO and Participating Tribe(s) to design an educational interpretive plan. The plan may include signs, displays, educational pamphlets, websites, workshops and other similar mechanisms to educate the public on historic properties within the local community, state, or region. Once an interpretive plan has been agreed to by the parties, SHPO, Participating

Tribe(s), and the designated responsible party will continue to consult throughout implementation of the plan until all agreed upon actions have been completed by the designated responsible party.

E. Historical Context Statements and Narratives

Prior to project implementation, FEMA, OEM, and the subgrantee will work with the SHPO and Participating Tribe(s) to determine the topic and framework of a historic context statement or narrative the designated responsible party shall be responsible for completing. The statement or narrative may focus on an individual property, a historic district, a set of related properties, or relevant themes as identified in the statewide preservation plan. Once the topic of the historic context statement or narrative has been agreed to, the designated responsible party shall continue to coordinate with the SHPO and Participating Tribe(s) through the drafting of the document and delivery of a final product. The SHPO and Participating Tribe(s) shall have final approval over the end product. The designated responsible party will use staff or contractors that meet the Secretary's Professional Qualifications for the appropriate discipline.

F. Oral History Documentation

Prior to project implementation, FEMA, OEM, and the subgrantee will work with the SHPO and Participating Tribe(s) to identify oral history documentation needs and agree upon a topic and list of interview candidates. Once the parameters of the oral history project have been agreed upon, the designated responsible party shall continue to coordinate with the SHPO and Participating Tribe(s) through the data collection, drafting of the document, and delivery of a final product. The SHPO and Participating Tribe(s) shall have final approval over the end product. The designated responsible party will use staff or contractors that meet the Secretary's Professional Qualifications for the appropriate discipline.

G. Historic Property Inventory

Prior to project implementation, FEMA, OEM, and the subgrantee will work with the SHPO and Participating Tribe(s) to establish the appropriate level of effort to accomplish a historic property inventory. Efforts may be directed toward the resurvey of previously designated historic properties and/or districts which have undergone change or lack sufficient documentation, or the survey of new historic properties and/or districts that lack formal designation. Once the boundaries of the survey area have been agreed upon, the designated responsible party shall continue to coordinate with the SHPO and Participating Tribe(s) through the data collection process. The designated responsible party will use SHPO and Participating Tribe(s) standards for the survey of historic properties and SHPO and Participating Tribe(s) forms as appropriate. The designated responsible party will prepare a draft inventory report, according to SHPO and Participating Tribe(s) templates and guidelines, and work with the SHPO and Participating Tribe(s) until a final property inventory is approved. The designated responsible party will use staff or contractors that meet the Secretary's Professional Qualifications for the appropriate discipline.

H. National Register and National Historic Landmark Nominations

Prior to project implementation, FEMA, OEM, and the subgrantee will work with the SHPO, and Participating Tribe(s) to identify the individual properties that would benefit from a completed National Register or National Historic Landmark nomination form. Once the parties have agreed to a property, the designated responsible party shall continue to coordinate with the SHPO and Participating Tribe(s) through the drafting of the nomination form. The SHPO and Participating Tribe(s) will provide adequate guidance to the designated responsible party during the preparation of the nomination form and shall formally submit the final nomination to the Keeper for inclusion in the National Register. The designated responsible party will use staff or contractors that meet the Secretary's Professional Qualifications for the appropriate discipline.

I. Geo-References of Historic Maps and Aerial Photographs

Prior to project implementation, FEMA, OEM, and the subgrantee will work with the SHPO and Participating Tribe(s) to identify the historic maps and/or aerial photographs for scanning and geo-referencing. Once a list of maps and/or aerial photographs have been agreed upon, the designated responsible party shall continue to coordinate with the SHPO and Participating Tribe(s) through the scanning and geo-referencing process and shall submit drafts of paper maps and electronic files to them for review. The SHPO and Participating Tribe(s) shall have final approval on the quality of the documentation provided by the designated responsible party. The final deliverable shall include a paper copy of each scanned image, a geo-referenced copy of each scanned image, and the metadata relating to both the original creation of the paper maps and the digitization process.

APPENDIX D

**TO THE PROGRAMMATIC AGREEMENT AMONG
THE FEDERAL EMERGENCY MANAGEMENT AGENCY,
THE NEW YORK STATE HISTORIC PRESERVATION OFFICER,
THE NEW YORK STATE OFFICE OF EMERGENCY MANAGEMENT,
THE DELAWARE NATION,
THE DELAWARE TRIBE OF INDIANS,
THE SHINNECOCK NATION,
THE STOCKBRIDGE-MUNSEE COMMUNITY BAND OF MOHICANS,
THE NEW YORK CITY LANDMARKS PRESERVATION COMMISSION,
AND THE ADVISORY COUNCIL ON HISTORIC PRESERVATION,
AS A RESULT OF HURRICANE SANDY**

WHEREAS, as a result of Hurricane Sandy (DR-4085-NY) (Disaster Declaration), the Federal Emergency Management Agency (FEMA) of the Department of Homeland Security, pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act, Pub. L. No. 93-288 (1974) (codified as amended at 42 U.S.C. § 5121 *et seq.*) (Stafford Act); the National Flood Insurance Act of 1968, Pub. L. No. 90-448 (1968) (as amended); the Flood Disaster Protection Act of 1973, Pub. L. No. 93-234 (1973) (as amended); the National Flood Insurance Reform Act of 1994, Pub. L. No. 103-325 (1994) (as amended); and implementing regulations contained in Title 44 of the Code of Federal Regulations (C.F.R.), proposes to provide assistance through the New York State Office of Emergency Management (OEM); and

WHEREAS, FEMA consulted with OEM, the New York State Historic Preservation Officer (SHPO), the Advisory Council on Historic Preservation (ACHP) and the New York City Landmarks Preservation Commission (LPC) to develop and execute a Programmatic Agreement (Agreement) for its disaster recovery activities, executed on May 9, 2013; and

WHEREAS, under the Disaster Relief Appropriations Act of 2013 (Public Law 113-2, January 29, 2013), the U.S. Department of Housing and Urban Development (HUD) has allocated funds for disaster recovery activities to New York State and New York City, each of which is executing a separate Appendix D Addendum to the Agreement; and

WHEREAS, New York State Homes and Community Renewal (NYS HCR) as the Responsible Entity for New York state activities outside New York City has assumed HUD's environmental responsibilities and is responsible for environmental review, decision-making and action, pursuant to Section 104(g) of the Housing and Community Development Act of 1974 and 24 CFR Part 58, and proposes to administer Community Development Block Grant – Disaster Recovery (CDBG-DR) funds pursuant to the Disaster Relief Appropriations Act of 2013 (Public Law 113-2, January 29, 2013); and

WHEREAS, the CDBG-DR funds will support activities that fall within the scope of programs authorized under the terms of this Agreement and Appendix A (Program activities); and

WHEREAS, to efficiently and expeditiously deliver disaster recovery assistance to those affected by Hurricane Sandy, there is an opportunity to coordinate and align Section 106 reviews of disaster recovery projects that may have multiple funding sources; and

WHEREAS, Stipulation I.A.3. of this Agreement allows other Federal agencies to fulfill their Section 106 responsibilities for those types of undertakings addressed in this Agreement by fully accepting all the terms of the Agreement and executing this Addendum; and

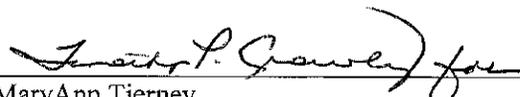
WHEREAS, NYS HCR will ensure that staff who meet the Secretary's Professional Qualification Standard will review Tier II projects and will provide resumes of such staff to the signatories to this Addendum;

NOW, THEREFORE, NYS HCR agrees to assume the federal agency role and accept the terms and conditions of the Agreement, as appropriate under HUD's authorizing legislation and regulations, and thereby take into account the effect of its undertakings and satisfy its Section 106 responsibilities for the CDBG-DR program for activities in New York state outside New York City.

EXECUTION AND IMPLEMENTATION of this Addendum to the Agreement evidences that New York State Homes and Community Renewal (NYS HCR) has taken into account the effects of its undertakings on historic properties, and that through the execution of this Addendum and implementation of the Agreement, NYS HCR will satisfy its responsibilities under Section 106 of the National Historic Preservation Act and its implementing regulations for the referenced CDBG-DR program for activities in New York state outside New York City.

SIGNATORY PARTIES:

FEDERAL EMERGENCY MANAGEMENT AGENCY

By: 
By MaryAnn Tierney
Acting Regional Administrator, Region II

Date: 7/25/13

NEW YORK STATE HISTORIC PRESERVATION OFFICER

By: Ruth Pierpont Date: 8/1/13
By: Ruth Pierpont
New York Deputy Commissioner/New York Deputy State Historic Preservation Officer

NEW YORK STATE HOMES AND COMMUNITY RENEWAL

By: [Signature] Date: _____
By: Darryl C. Towns
Commissioner/CEO

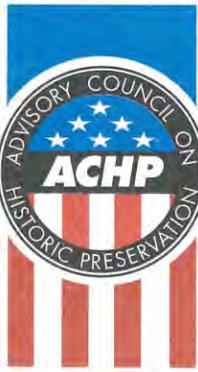
ADVISORY COUNCIL ON HISTORIC PRESERVATION

By: John M. Fowler Date: 8/6/13
By: John M. Fowler
Executive Director

Appendix E

Stump Removal Guidance

Removal of stumps will be accomplished by attaching a chain to the stump and a piece of heavy equipment which will then pull the unexposed portion of the stump from the ground. If this method is not practicable, then the bucket of the machine will be used to grab and pull the stump out. Additional excavation in the surrounding soil will be avoided whenever possible and minimized when it is necessary. Void spaces will be backfilled with fill soil and any original loose native soil from the rootball when possible. Locations for proposed stump removal that are proposed to occur in areas with known archeological sites will undergo further evaluation and consultation. An archeologist will be present during the removal of rootballs within or adjacent to previously recorded archeological sites or when there are unexpected discoveries. If any potential archeological resources are discovered, work will immediately cease, and the Subgrantee or contractor will notify the Grantee and FEMA.



Preserving America's Heritage

August 6, 2013

Mr. Matthew Nelson
President
New York State Office of Community Renewal
38-40 State Street
Albany, NY 12207

Ref: *Addendum to the Programmatic Agreement for Disaster Response Activities as a Result of Hurricane Sandy in the City of New York*

Dear Mr. Nelson:

Enclosed is a copy of the executed Appendix D for the referenced programmatic agreement. By carrying out the terms of the agreement, you will fulfill your responsibilities under Section 106 of the National Historic Preservation Act and the regulations of the Advisory Council on Historic Preservation, "Protection of Historic Properties" (36 CFR Part 800). The original agreement will remain on file in our office.

We commend the New York State Office of Community Renewal for working closely with the New York State Historic Preservation Officer, the U.S. Department of Housing and Urban Development and the Federal Emergency Management Agency to develop and execute this addendum which will administer Hurricane Sandy response activities using Community Development Block Grant-Disaster Recovery funds.

We are available to provide any assistance you may need while implementing the agreement. If you have any questions, please contact Ms. Jaime Loichinger at (202) 606-8529, or via e-mail at jloichinger@achp.gov.

Sincerely,

for

Charlene Dwin Vaughn, AICP
Assistant Director
Federal Permitting, Licensing, and Assistance Section
Office of Federal Agency Programs

ADVISORY COUNCIL ON HISTORIC PRESERVATION

1100 Pennsylvania Avenue NW, Suite 803 • Washington, DC 20004
Phone: 202-606-8503 • Fax: 202-606-8647 • achp@achp.gov • www.achp.gov

Appendix O:

**HUD Noise Abatement and
Explosive Operations Email**

From: Schopp, Danielle L [<mailto:Danielle.L.Schopp@hud.gov>]
Sent: Monday, March 18, 2013 12:32 PM
To: Sullivan, Neil
Cc: Potter, James M; Rivera, Nelson A; Furda, Michael R; Fretwell, Therese J; Sanders, Jerimiah J
Subject: RE: Noise and above ground storage tanks - New Jersey

Neil,

As discussed, noise is not applicable for a disaster recovery program including reconstruction and rehabilitation that meets the requirement at 24 CFR 51.101(a)(3). In addition, ASD requirements do not apply because the definition for HUD assisted projects at 24 CFR Part 51.201 is predicated on whether the HUD project increases the number of people exposed to hazardous operations; therefore, the environmental review for grants to elevate, rehabilitate, or reconstruct housing that existed prior to the disaster where the number of dwelling units is not increased is not required to apply 24 CFR Part 51 Subpart C. Mike Furda, as the FEO for New Jersey, is available for questions, follow up or additional guidance.

Thanks,

Danielle

Danielle Schopp, JD, MPA
Director, Office of Environment and Energy
Department of Housing and Urban Development
451 7th Street SW, Room 7250
Washington, DC 20410
phone (202) 402-4442
fax (202) 708-3363
<http://portal.hud.gov/portal/page/portal/HUD/topics/environment>



Please consider the environment before printing this e-mail

From: Sullivan, Neil [<mailto:Neil.Sullivan@icfi.com>]
Sent: Monday, March 18, 2013 10:26 AM
To: Schopp, Danielle L
Subject: Noise and above ground storage tanks - New Jersey
Danielle,

Just to follow up on our call on Thursday, NJ DEP requested that I e-mail you and confirm that there is no need to conduct a noise analysis for rehab and reconstruction projects (as defined by HUD) for 1-4 unit homes (the subject of NJ's first Tier 1 EA). Your comment that the analysis is unnecessary is based on the citation below at 24 CFR Part 51.101(a)(3). Can you please confirm that NJ can just cite the highlighted text below and avoid doing noise and AST analysis for both rehab and reconstruction projects?

Thanks

Neil

24 CFR Part 51.101(a)(3)

HUD support for new construction. HUD assistance for the construction of new noise sensitive uses is prohibited generally for projects with unacceptable noise exposures and is discouraged for projects with normally unacceptable noise exposure. (Standards of acceptability are contained in § 51.103(c).) This policy applies to all HUD programs providing assistance, subsidy or insurance for housing, manufactured home parks, nursing homes, hospitals, and all programs providing assistance or insurance for land

development, redevelopment or any other provision of facilities and services which are directed to making land available for housing or noise sensitive development. The policy does not apply to research demonstration projects which do not result in new construction or reconstruction, flood insurance, interstate land sales registration, or any action or emergency assistance under disaster assistance provisions or appropriations which are provided to save lives, protect property, protect public health and safety, remove debris and wreckage, or assistance that has the effect of restoring facilities substantially as they existed prior to the disaster.

NEIL SULLIVAN | Senior Manager | 703.218.2533 (o) | Neil.Sullivan@icfi.com | icfi.com
ICF INTERNATIONAL | 9300 Lee Highway, Fairfax, VA 22031 | 703.975.8853 (m)

Appendix P:

NMFS Consultation Letters



UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
NATIONAL MARINE FISHERIES SERVICE
NORTHEAST REGION
55 Great Republic Drive
Gloucester, MA 01930-2276

APR 16 2014

Heather M. Spitzberg
NYS Homes and Community Renewal
38-40 State Street
Hampton Plaza
Albany, NY 112207

Re: CDBG-DR Programmatic Consultation

Dear Ms. Spitzberg,

We received your letter dated April 1, 2014 regarding the program update and introduction of the NY Rising and NYC Build It Back Acquisition for Redevelopment Program. As we understand these activities, they are upland activities that will occur above the high water mark and do not involve work in waterways. We also understand that appropriate best management practices will be required by other permits and employed to avoid any discharge into waterways and wetlands during any work.

While there are Endangered Species Act (ESA) listed species under National Marine Fisheries Service (NMFS) jurisdiction in New York, all of these species are aquatic and limited to oceans and rivers. Activities not affecting waterways or wetlands do not appear to have the potential to impact NMFS listed species and their habitats. ESA Section 7 consultation is required when a proposed Federal action may affect a listed species. Because no NMFS listed species will be exposed to any effects of the proposed activities for the NY Rising and NYC Build It Back Acquisition for Redevelopment Program, no further coordination regarding endangered species is necessary. Additionally, no consultation or coordination pursuant to the Fish and Wildlife Protection Act or the Essential Fish Habitat provisions of the Magnuson-Stevens Act regarding impacts is necessary.

Your letter also requests documentation that programmatic consultation with us is underway for the NY Rising and NYC Build It Back Acquisition for Redevelopment Program and that guidance will be received for the process to be followed for Section 7 and EFH compliance at the site-specific Tier 2 review. Please note that the guidance provided to you on March 14, 2014 was intended for your use during the site-specific Tier 2 review process for the NY Rising Program. While the NYC Build It Back Acquisition for Redevelopment Program does not appear to involve any work in waterways (e.g. through pile driving, dredging, disposal), the guidance provided on March 14 could also be used by you to determine if additional coordination or consultation would be required for any of the projects being considered. The guidance provided for endangered species requires that the lead action agency, or their designated non-Federal representative, determine if a proposed action may affect a listed species. The agency should use the information on these listed species, including distribution maps, that



is available on our website (<http://www.nero.noaa.gov/protected/section7/listing/index.html>). If you determine that listed species will not be exposed to any effects of a proposed activity, no additional coordination with us is necessary. For any activities that may affect a listed species, section 7 consultation is required.

As noted in the March 14 letter, we expect the projects that will require additional coordination would be any that result in negative impacts to submerged aquatic vegetation (SAV), shellfish resources or involve use of an impact hammer. Impacts to species such as the sea turtles and sturgeon in shallow waters adjacent to the shoreline are more likely from farfield effects such as increased turbidity (due to sediment disturbance) and noise. Measures that can be implemented to minimize the potential exposure of these species to these stressors include the use of turbidity or silt curtains, construction at low tide when water is absent from the area, and use of noise mitigating machinery (such as vibratory pile drivers). Avoidance of the May-October time period would also reduce the likelihood of impacts to listed species.

Essential Fish Habitat

EFH has been designated within the proposed project area by the New England and Mid-Atlantic Fishery Management Councils. Additional information on EFH designations and the EFH consultation process can be found at <http://www.nero.noaa.gov/habitat/#>. Programs occurring along the shoreline and adjacent to nearshore coastal waters will likely require federal authorizations by the US Army Corps of Engineers (ACOE) pursuant to Section 10 of the Rivers and Harbors Act and Section 404 of the Clean Water Act, potentially through the Nationwide permit program. One aspect of the conditions for these authorizations is to identify and implement measures which would avoid and minimize adverse effects to EFH and other trust resources, therefore avoiding the need for additional consultation with us. In order to avoid and minimize impacts to EFH, we encourage you to design any shoreline structures in accordance with these regional conditions. Activities that do not meet these regional conditions will require additional EFH consultation with our office.

Conclusion

This guidance applies to all present and potential projects under both the state NY Rising Recovery Program and the NYC Build It Back Program, including bulkhead repair activities, housing rehabilitation for homes of all sizes, reimbursement for costs incurred, demolition, redevelopment activities, economic development, and infrastructure activities, and will assist us in determining if additional consultation is necessary.

We look forward to continuing to work with you on your CDBG-DR Programs. Should you have any questions regarding the ESA comments, please contact Julie Crocker in our Protected Resources Division (978-282-8480 or Julie.Crocker@noaa.gov). For questions regarding EFH, please contact Christopher Boelke at 978-281-9131 or Christopher.boelke@noaa.gov

Sincerely,



Mary A. Colligan
Assistant Regional Administrator
for Protected Resources

EC: Crocker, Murray-Brown – F/NER3
Boelke – F/NER4
Steffen – ProSource Technologies LLC



ANDREW M. CUOMO
GOVERNOR

DARRYL C. TOWNS
COMMISSIONER/CEO

NEW YORK STATE
DIVISION OF HOUSING
& COMMUNITY
RENEWAL

September 19, 2013

HOUSING
TRUST FUND
CORPORATION

STATE OF
NEW YORK MORTGAGE
AGENCY

NEW YORK STATE
HOUSING FINANCE
AGENCY

NEW YORK STATE
AFFORDABLE HOUSING
CORPORATION

STATE OF
NEW YORK MUNICIPAL
BOND BANK AGENCY

TOBACCO SETTLEMENT
FINANCING
CORPORATION

Ms. Mary Colligan
Assistant Regional Administrator
National Marine Fisheries Service-Northeast Region
55 Great Republic Drive
Gloucester, Massachusetts 01930-2276

Re: Section 7 Review for U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant-Disaster Recovery (CDBG-DR) Housing Assistance Program, administered by New York State Homes and Community Renewal (NYSHCR): Program Update, and Introduction of Economic Development, Rehabilitation of 5+ Unit Homes, Rehabilitation of 1-4 Unit Homes Reimbursement and Buy-Out/Acquisition for all Affected Counties.

Dear Ms. Colligan:

On July 19, 2013 New York State Homes and Community Renewal (NYSHCR) submitted a Program Introduction letter regarding the U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant – Disaster Recovery (CDBG-DR) Housing Assistance Program (Program). A response letter acknowledging the Program, and providing Endangered Species Act (ESA) coverage (approval) for the activities defined in the July 19 letter, was provided by the National Marine Fisheries Service-Northeast Region (NMFS), dated July 31, 2013.

The purpose of this letter is to inform NMFS of the additional actions of the CDBG-DR program that were not specified in the initial letter to NMFS (Reimbursement, Economic Development, etc.). Since submittal of the July 19, 2013 letter, these actions have been identified as a priority for the Program, and NYSHCR is proceeding with preparation of the Environmental Review Records (ERR) for all of these actions. NYSHCR is requesting a brief letter from NMFS providing Section 7 clearance for the updated activities described in this letter, providing coverage for the entire program (all actions in all affected counties in the CDBG-DR program).

Program Overview

The CDBG-DR Program is large-scale and encompasses several Counties throughout New York. Program descriptions are included in **Attachment A**, and a brief overview is included below. A list of affected counties included in the CDBG-DR program, as currently defined, is included in **Attachment B**. Specific Federal Actions related to the program include:

1. Rehabilitation and repair of 1-4 unit homes, 5+ unit buildings, and commercial properties. Rehabilitated properties within a floodplain will require raising foundations above the base flood elevation.
2. Reimbursement (incorporated as part of the Rehabilitation program). Qualifying participants may get reimbursed for expenses associated with disaster recovery, which were incurred before the CDBG-DR program funds were ready.
3. Buy-out of storm-damaged properties. The State will buy eligible properties and will result in demolition and return to green space for perpetuity.
4. Acquisition of damaged properties. The State will buy eligible properties, with options for future land use.
5. Economic Development- Eligible entities may receive funding for general economic recovery and improvement of the local economy.

The *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery*, dated April 2013 (Federal Register Docket # FR-5696-N-01) is available for review at <http://www.nyshcr.org/Publications/CDBGActionPlan.pdf>.

NYSHCR is requesting a program comprehensive response letter from NMFS, covering all actions, that can be included in all Tier 1 ERRs to document that coordination with NMFS has been completed. NYSHCR understands that if the CDBG-DR program identifies the potential for actions to directly occur within marine environments (channels, bays, etc.), or if the actions have the potential to directly affect surface water quality, then coordination with NMFS should be initiated for the specified action/project to ensure listed species are not adversely affected.

If you have question or require additional information regarding this request, please contact me at (518) 486-3379 or hspitzberg@nyshcr.org. Thank you for your time and consideration.

Sincerely,



Heather M. Spitzberg, Esq.
NEPA Certifying Officer
NYS Homes and Community Renewal
38-40 State Street
Hampton Plaza
Albany, NY 12207

cc. Seth Diamond, NYSHCR

Attachment A - Program Descriptions

Attachment B- List of Affected and Actions for Counties Included in the CDBG-DR Program

Attachment A- Program Descriptions

The *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery* (Action Plan), issued April 25, 2013, is used as the overall reference for these programs and is incorporated by reference into these project descriptions. The *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery*, dated April 2013 (Federal Register Docket # FR-5696-N-01) may be referenced at: <http://www.nyshcr.org/Publications/CDBGActionPlan.pdf>.

Buyout/Acquisition

An overview of each activity under the program covered by this document is provided below. This program applies to 1- and 2-unit residential properties.

Voluntary Buyouts and Acquisitions of One- and Two-unit Homes

The Recreate NY Home Buyout Program will include the purchase of eligible substantially damaged properties (>50% of the pre-storm value of the property) inside the floodplain in storm-impacted areas.

Certain highest risk areas in the floodplains, determined to be among the most susceptible to future disasters and that therefore present a greater risk to people and property, will be identified by the State and its local partners for the purposes of this program as enhanced buyout areas (see below). The State will conduct purchases inside of the enhanced buyout areas as “buyouts,” as defined by HUD, whereby they will be eligible for purchase starting at 100% of the property’s pre-storm fair market value (FMV), plus available incentive(s) as outlined below.

The State intends to conduct most purchases outside of the enhanced buyout areas as “acquisitions,” as defined by HUD, whereby purchase offers must begin with the post-storm FMV of the property.

In accordance with the notice governing the use of these funds, properties purchased as a “buyout” will be maintained in perpetuity as coastal buffer zones, while properties purchased as “acquisitions” will be eligible for redevelopment in the future in a resilient manner to protect future occupants of this property. The post-purchase fate of most acquired properties will be determined by the State in consultation with local officials to ensure that the properties best serve the future goals of the community. In some cases, the properties will remain undeveloped and be transformed into parks or other non-residential uses, while in most cases they will be redeveloped in a resilient manner.

Enhanced Buyout Areas

Enhanced buyouts in select pre-defined targeted buyout areas, which will be determined in consultation with county and local governments, will include an incentive(s) ranging from 5%-15% on top of the pre-storm FMV of property acquired through the buyout program. Reconstruction may not occur on lots in these areas. Lots will be maintained as coastal buffer zones or other non-residential/commercial uses; there may also be acquisition of vacant or undeveloped land in these targeted areas.

Program Activities

Summarized in the sections below are the physical program activities:

Buyout

Following purchase of the property, the land would remain open space in perpetuity. As applicable, structures on the properties would be removed, the land would be backfilled and graded, and the soil would be revegetated using locally appropriate, native plant species. The Housing Trust Fund Corporation would hold title to the property until the property is transferred to another owner, but will retain restrictions on use.

Acquisition

Following purchase of the property, the land may be developed in a resilient manner. The Housing Trust Fund Corporation would hold title to the property until the property is transferred to another owner for development. The acquisitions may occur in areas outside of designated floodplains.

Rehabilitation 1-4 Units and 5+ Units

In response to the damage caused by Hurricane Sandy and other natural disasters that occurred across the nation in 2011, 2012, and 2013, homeowners of disaster-declared counties who sustained damage will be invited to submit an application for assistance. The Recreate New York Smart Homes Project activities to be funded by CDBG-DR have been designed to provide assistance for repairing damaged property, reconstructing damaged homes, and mitigating damaged properties for future resilience.

The specific actions include rehabilitation and reconstruction of moderate, major, and substantial storm-damaged structures and elevation to the required advisory base flood elevation plus freeboard as required by each community's floodplain ordinance as further described in the Floodplain Management Document. It is anticipated that the renovations and elevations will take place within the existing footprint of the structure (unless elevation is not technically feasible without alteration of the footprint), and no additional structures will be built upon each approved project parcel. Under this program, the expansion of the structure may not exceed 20% of the original pre storm footprint. The structure must remain 1-4 units in size after rehabilitation or reconstruction.

Wherever allowable by local building codes and any other law and/or regulation, homeowners will be allowed to choose whether to repair the original home, or rebuild (reconstruct) a new home in its place. However, only funding for the more cost-effective option will be provided.

Reimbursement

The program may also provide reimbursements for homeowners of one- to four-unit buildings that have started or completed Hurricane Sandy-related rehabilitation work with personal resources, subject to restrictions in line with applicable laws, regulations, and the program requirements (e.g., eligibility criteria, grant restrictions). Reimbursement is contingent on compliance with Recreate NY 1-4 Unit Rehabilitation requirements discussed above.

As we understand these activities, they are upland activities that will occur above the high water mark and do not involve work in waterways. We also understand that appropriate best management practices will be required by other permits and employed to avoid any discharge into waterways and wetlands during any work. While there are ESA listed species under NMFS jurisdiction in New York, all of these species are aquatic and limited to oceans and rivers. Activities not affecting waterways or wetlands do not appear to have the potential to impact NMFS listed species and their habitats. ESA Section 7 consultation is required when a proposed Federal action may affect a listed species. Because no NMFS listed species will be exposed to any effects of the proposed activities, no section 7 consultation is necessary. Although we do not anticipate it, if there is a project considered for funding that introduces impacts to waterways (e.g. through pile driving, dredging, disposal), then we recommend you provide information on that activity to us so we can determine if a consultation is necessary.

We appreciate the opportunity to learn about this program early in its development and for early consideration of potential impacts to NMFS ESA-listed species. If you have any questions or require further coordination, please contact me at 978-281-9116, or Mary.A.Colligan@noaa.gov.

Sincerely,


Mary Colligan
Assistant Regional Administrator
for Protected Resources

Economic Development Program Description

The applicable programs from the Action Plan are:

- Small Business Grant Program
- Small Business Loan Program
- Coastal Fishing Industry Program
- Seasonal Tourism Industry Program

An overview of each program covered by this document is provided below.

Small Business Grant Program

This program will provide funding grants to eligible businesses for renovation of damaged or destroyed facilities, mitigation actions to protect businesses from future storms, and the following activities identified in Section 4 of the Action Plan:

- Construction, rehabilitation, reconstruction of buildings
- Infrastructure directly associated with economic development activities
- Any other eligible business related activity (requires State consultation and approval)

Funding is available to small businesses, as defined by the Small Business Administration (SBA), and non-profit organizations in one of New York State's designated disaster areas. Priority will be given to damaged businesses with 100 or fewer employees. Priority also will be given to businesses that meet a low- and moderate-income benefit, whether by qualifying as a low- or moderate-income microenterprise owner or by creating or retaining jobs primarily for low- and moderate-income persons.

Small Business Loan Program

This program will provide loans to eligible businesses for renovation of damaged or destroyed facilities, mitigation actions to protect businesses from future storms, and other activities identified in Section 4 of the Action Plan. Funding is available to small businesses, as defined by the SBA, and non-profit organizations in one of New York State's designated disaster areas. Priority will be given to damaged businesses with 100 or fewer employees. Priority also will be given to businesses that meet a low- and moderate-income benefit, whether by qualifying as a low or moderate-income microenterprise owner or by creating or retaining jobs primarily for low and moderate-income persons.

Coastal Fishing Industry Program

This program will provide funding to businesses and individuals qualified as a Coastal Fishing Industry. This program will provide loans to eligible businesses for renovation of damaged or destroyed facilities, mitigation actions to protect businesses from future storms, and other activities identified in Section 4 of the Action Plan. Funding is available to small businesses, as defined by the SBA, in one of New York State's designated disaster areas. Priority will be given to damaged businesses with 100 or fewer employees. Priority also will be given to businesses that meet a low- and moderate-income benefit, whether by qualifying as a low- or moderate income microenterprise owner or by creating or retaining jobs primarily for low- and moderate income persons.

Seasonal Tourism Industry Program

This program will provide funding to eligible seasonal tourism businesses. This program will provide loans to eligible businesses for renovation of damaged or destroyed facilities, mitigation actions to protect businesses from future storms, and other activities identified in Section 4 of the Action Plan. Funding is available to small businesses, as defined by the SBA, in one of New York State's designated disaster areas. Priority will be given to damaged businesses with 100 or fewer employees. Priority also will be given to businesses that meet a low- and moderate income benefit, whether by qualifying as a low- or moderate-income microenterprise owner or by creating or retaining jobs primarily for low- and moderate-income persons.

Attachment B: List of Affected and Actions for Counties Included in the CDBG-DR Program								9/19/2013		
County	Impacted by:			Potential Programs				Total	total	Overall
	Irene	Lee	Sandy	BO/Acq	Rehab 1 - 4	Rehab 5+	Economic	Documents	started	total
Albany	x				1	1	1	3	0	3
Bronx	x		x	1				1	0	1
Broome		x			1	1	1	3	0	3
Chemung		x			1	1	1	3	0	3
Chenango		x			1	1	1	3	0	3
Clinton	x				1	1	1	3	0	3
Columbia	x				1	1	1	3	0	3
Delaware	x	x			1	1	1	3	0	3
Dutchess	x				1	1	1	3	0	3
Essex	x				1	1	1	3	0	3
Greene	x				1	1	1	3	0	3
Fulton		x			1	1	1	3	0	3
Herkimer	x	x			1	1	1	3	0	3
Kings	x		x	1				1	0	1
Montgomery	x				1	1	1	3	0	3
Nassau	x		x	1	1	1	1	4	3	1
New York			x	1				1	0	1
Oneida		x			1	1	1	3	0	3
Orange	x	x	x	1	1	1	1	4	0	4
Otsego	x	x			1	1	1	3	0	3
Putnam	x		x	1	1	1	1	4	0	4
Queens	x		x	1				1	0	1
Rensselaer	x				1	1	1	3	0	3
Richmond	x		x	1				1	0	1
Rockland	x		x	1	1	1	1	4	3	1
Saratoga	x				1	1	1	3	0	3
Schenectady	x	x			1	1	1	3	0	3
Schoharie	x	x			1	1	1	3	0	3
Suffolk	x		x	1	1	1	1	4	3	1
Sullivan	x		x	1	1	1	1	4	0	4
Tioga		x			1	1	1	3	0	3
Ulster	x	x	x	1	1	1	1	4	0	4
Warren	x				1	1	1	3	0	3
Washington	x				1	1	1	3	0	3
Westchester	x		x	1	1	1	1	4	1	3
TOTALS				13	30	30	30	103	10	93

Appendix Q:

USFWS Consultation Letters



United States Department of the Interior

FISH AND WILDLIFE SERVICE

3817 Luker Road
Cortland, NY 13045



September 25, 2013

Ms. Heather M. Spitzberg
NEPA Certifying Officer
NYS Home and Community Renewal
38-40 State Street, Hampton Plaza
Albany, NY 12207

Dear Ms. Spitzberg:

This is the U.S. Fish and Wildlife Service's (Service) response to your correspondence dated August 26, 2013, concerning early coordination for Endangered Species Act (ESA) (87 Stat. 884, as amended; 16 U.S.C. 1531 *et seq.*) review of the U.S. Department of Housing and Urban Development's (HUD) Community Development Block Grant-Disaster Recovery Program, administered by the New York State Homes and Community Renewal Program (NYSHCR). Specifically, your letter introduced additional program elements including economic development, rehabilitation of 5+ unit homes, rehabilitation of 1-4 unit homes reimbursement, and buy-out/acquisitions for inclusion in the ESA consultation.

The Service has contributed to NYSHCR's initial request for ESA consultation including participation in teleconferences, providing written correspondence dated August 6, 2013, acknowledging NYSHCR's consultation request, as well as providing NYSHCR with Google Earth kml and Geographical Information System (GIS) files on the location of federally-listed species including the piping plover (*Charadrius melodus*; threatened), roseate tern (*Sterna dougallii dougallii*; endangered), seabeach amaranth (*Amaranthus pumilus*; threatened), and sandplain gerardia (*Agalinus acuta*; endangered) breeding and growing areas for Nassau, Suffolk, Queens, and Kings County, New York. We are developing polygons showing habitat utilized by red knot (*Calidris canutus rufa*), a candidate for protection under the ESA.

The purpose of this letter is to acknowledge NYSHCR additional program elements as noted above and to provide preliminary comments on NYHCR ESA determination worksheet that was provided at the end of your August 26, 2013, letter.

Service Comments on NYSHCR Endangered Species Act Determination Worksheet

Bullet 1, First paragraph, second sentence: It is correct that the Service provided and will continue to update and inform NYSHCR about endangered species areas in their program area,

but please note that pursuant to section 7 of the ESA, it is the responsibility of the action agency, in this case HUD or its non-federal designee, to determine whether a proposed activity may affect listed species.

The piping plover, seabeach amaranth, and roseate tern utilize the coastal barrier island habitats along the south shore of Long Island, with only a couple of bay side breeding areas located on the mainland shoreline in middle to eastern Suffolk County. Sandplain gerardia is an upland grassland species found in discrete locations in Nassau and Suffolk Counties. The piping plover also utilizes Long Island Sound beaches along the north shore of Long Island from Manhasset Bay to Orient Point, and shorelines within the Peconic Bay system. Seabeach amaranth and sandplain gerardia growing areas and roseate tern breeding areas are not found along the north shore of Long Island. However, seabeach amaranth's range is similar to that of the piping plover on the Atlantic Ocean beaches. Several small colonies of roseate terns can be found within the Peconic Bay system, with the largest colony occurring on Great Gull Island.

First paragraph, sentence 3: NYSHCR did not provide a justification for using a 200 feet (ft) buffer zone for analyzing project impacts to listed species. We agree that this may be appropriate and reasonable in the case of listed plant species for certain types of program activities. However, we would advise against the use of 200 ft buffer zones for piping plover and roseate terns, as program activities may result in adverse effects under this scenario. Therefore, we recommend that HUD undertake further consultation with the Service for projects within a 1000 meter (m) of plover and tern breeding areas, as both species have the ability to move around the landscape in search of feeding, breeding, and sheltering areas.

Bullet 2, First paragraph: See comment above regarding the Service recommendation to increase the buffer zone to 1000 m.

Bullet 2, sub-bullet 2, paragraph 1, sentence 1: Please describe "area of concern for the species."

In conducting its analysis, NYSHCR should evaluate the potential impacts of its program considering direct and indirect effects on listed species. In most cases, direct effects, such as species' disturbance due to re-construction activities, can be avoided by undertaking activities outside of the breeding and growing season. For piping plover and roseate tern, this period is from April 1 to September 1. The seabeach amaranth and sandplain gerardia season extends from May 1 to November 1. Indirect effects, such as man-induced changes in habitat or land use which result in adverse effects to listed species, would require further consultation with the Service.

If you have any questions or require further information, please have your staff contact Steve Papa of the Long Island Field Office at (631) 286-0485 ext. 2120.

Sincerely,

for Patricia Cole
David A. Stilwell
Field Supervisor



ANDREW M. CUOMO
GOVERNOR

DARRYL C. TOWNS
COMMISSIONER/CEO

August 26, 2013

NEW YORK STATE
DIVISION OF HOUSING
& COMMUNITY
RENEWAL

HOUSING
TRUST FUND
CORPORATION

STATE OF
NEW YORK MORTGAGE
AGENCY

NEW YORK STATE
HOUSING FINANCE
AGENCY

NEW YORK STATE
AFFORDABLE HOUSING
CORPORATION

STATE OF
NEW YORK MUNICIPAL
BOND BANK AGENCY

TOBACCO SETTLEMENT
FINANCING
CORPORATION

Ms. Patricia Cole
Deputy Field Supervisor
New York Field Office
U.S. Fish and Wildlife Service
3817 Luker Road
Cortland, New York 13045

Re: Early Coordination for Section 7 Review for U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant-Disaster Recovery (CDBG-DR) Housing Assistance Program, administered by New York State Homes and Community Renewal (NYSHCR): Program Update, and Introduction of Economic Development, Rehabilitation of 5+ Unit Homes, Rehabilitation of 1-4 Unit Homes Reimbursement and Buy-Out/Acquisition for all Affected Counties.

Dear Ms. Cole:

On July 18, 2013 New York State Homes and Community Renewal (NYSHCR) submitted a Program Introduction letter regarding the U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant – Disaster Recovery (CDBG-DR) Housing Assistance Program (Program). A response letter acknowledging the Program, and providing Endangered Species Act (ESA) information, was provided by the U.S. Fish and Wildlife Service-New York Field Office (USFWS), dated August 7, 2013.

NYSHCR is providing this letter to USFWS to introduce the aforementioned actions of the overall CDBG-DR Program. Since submittal of the July 18, 2013 letter, these actions have been identified as a priority for the Program, and NYSHCR is proceeding with preparation of the Environmental Review Records (ERR) for all of these actions. Program descriptions, which constitute the actions, are included in **Attachment A**.

As outlined in the *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery* (Action Plan), the vast majority of the storm-damaged properties are located in Nassau, Suffolk, Kings, and Queens Counties. A list of affected counties included in the CDBG-DR program and the specific programs (and associated ERRs) planned for each of these counties is included in **Attachment B**.

The primary purpose of this letter is to inform the USFWS that NYSHCR would like to work with the USFWS to develop an agreed-upon compliance process so that NYSHCR, as the Responsible Entity (RE), can accurately determine Section 7 compliance at the Tier 2 level of review. NYSHCR is requesting whether a standardized species habitat matrix table (sorted by county), maps, and/or GIS data, may be adopted as the compliance tool for Tier 2 reviews.

A draft Excel table and a draft Tier 2 Site-Specific Checklist (Wildlife Section) are included in **Attachment C**, for consideration. The USFWS website is the source of the information in the table. In previous discussions between ProSource and USFWS, a species matrix table, sorted by county, was considered but was not adopted for the CDBG-DR Program.

NYSHCR is requesting a program comprehensive response letter from USFWS, covering all actions, that can be included in all Tier 1 ERRs to document that coordination with USFWS is being completed, and that Compliance with Section 7 will be completed during Tier 2 site specific review. As soon as reasonably possible, we would like to work with USFWS to develop a suitable tool for Tier 2 compliance. NYSHCR has begun Tier 2 site-specific reviews for the Program and an approved process needs to be ready for implementation.

If you have question or require additional information regarding this request, please contact me at (518) 486-3379 or hspitzberg@nyshcr.org. Thank you for your time and consideration.

Sincerely,

A handwritten signature in blue ink that reads "Heather Spitzberg". The signature is written in a cursive style.

Heather M. Spitzberg, Esq.
NEPA Certifying Officer
NYS Homes and Community Renewal
38-40 State Street
Hampton Plaza
Albany, NY 12207

cc. Chris Leo, NYHCR
Steve Papa, USFWS

Attachment A - Program Descriptions
Attachment B- List of Affected and Actions for Counties Included in the CDBG-DR Program
Attachment C- Species Matrix Table for Affected NY Counties

Attachment A- Program Descriptions

The *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery* (Action Plan), issued April 25, 2013, is used as the overall reference for these programs and is incorporated by reference into these project descriptions. The *State of New York Action Plan for Community Development Block Grant Program Disaster Recovery*, dated April 2013 (Federal Register Docket # FR-5696-N-01) may be referenced at: <http://www.nyshcr.org/Publications/CDBGActionPlan.pdf>.

Buyout/Acquisition

An overview of each activity under the program covered by this document is provided below. This program applies to 1- and 2-unit residential properties.

Voluntary Buyouts and Acquisitions of One- and Two-unit Homes

The Recreate NY Home Buyout Program will include the purchase of eligible substantially damaged properties (>50% of the pre-storm value of the property) inside the floodplain in storm-impacted areas.

Certain highest risk areas in the floodplains, determined to be among the most susceptible to future disasters and that therefore present a greater risk to people and property, will be identified by the State and its local partners for the purposes of this program as enhanced buyout areas (see below). The State will conduct purchases inside of the enhanced buyout areas as “buyouts,” as defined by HUD, whereby they will be eligible for purchase starting at 100% of the property’s pre-storm fair market value (FMV), plus available incentive(s) as outlined below.

The State intends to conduct most purchases outside of the enhanced buyout areas as “acquisitions,” as defined by HUD, whereby purchase offers must begin with the post-storm FMV of the property.

In accordance with the notice governing the use of these funds, properties purchased as a “buyout” will be maintained in perpetuity as coastal buffer zones, while properties purchased as “acquisitions” will be eligible for redevelopment in the future in a resilient manner to protect future occupants of this property. The post-purchase fate of most acquired properties will be determined by the State in consultation with local officials to ensure that the properties best serve the future goals of the community. In some cases, the properties will remain undeveloped and be transformed into parks or other non-residential uses, while in most cases they will be redeveloped in a resilient manner.

Enhanced Buyout Areas

Enhanced buyouts in select pre-defined targeted buyout areas, which will be determined in consultation with county and local governments, will include an incentive(s) ranging from 5%-15% on top of the pre-storm FMV of property acquired through the buyout program. Reconstruction may not occur on lots in these areas. Lots will be maintained as coastal buffer

zones or other non-residential/commercial uses; there may also be acquisition of vacant or undeveloped land in these targeted areas.

Program Activities

Summarized in the sections below are the physical program activities:

Buyout

Following purchase of the property, the land would remain open space in perpetuity. As applicable, structures on the properties would be removed. The Housing Trust Fund Corporation would hold title to the property until the property is transferred to another owner, but will retain restrictions on use.

Acquisition

Following purchase of the property, the land may be developed in a resilient manner. The Housing Trust Fund Corporation would hold title to the property until the property is transferred to another owner for development.

Rehabilitation 1-4 Units and 5+ Units

In response to the damage caused by Hurricane Sandy and other natural disasters that occurred across the nation in 2011, 2012, and 2013, homeowners of disaster-declared counties who sustained damage will be invited to submit an application for assistance. The Recreate New York Smart Homes Project activities to be funded by CDBG-DR have been designed to provide assistance for repairing damaged property, reconstructing damaged homes, and mitigating damaged properties for future resilience.

The specific actions include rehabilitation and reconstruction of moderate, major, and substantial storm-damaged structures and elevation to the required advisory base flood elevation plus freeboard as required by each community's floodplain ordinance as further described in the Floodplain Management Document. It is anticipated that the renovations and elevations will take place within the existing footprint of the structure (unless elevation is not technically feasible without alteration of the footprint), and no additional structures will be built upon each approved project parcel. Under this program, the expansion of the structure may not exceed 20% of the original pre storm footprint. The structure must remain 1-4 units in size after rehabilitation or reconstruction.

Wherever allowable by local building codes and any other law and/or regulation, homeowners will be allowed to choose whether to repair the original home, or rebuild (reconstruct) a new home in its place. However, only funding for the more cost-effective option will be provided.

Reimbursement

The program may also provide reimbursements for homeowners of one- to four-unit buildings that have started or completed Hurricane Sandy-related rehabilitation work with personal resources, subject to restrictions in line with applicable laws, regulations, and the program requirements (e.g., eligibility criteria, grant restrictions). Reimbursement is contingent on compliance with Recreate NY 1-4 Unit Rehabilitation requirements discussed above

Economic Development Program Description

The applicable programs from the Action Plan are:

- Small Business Grant Program
- Small Business Loan Program
- Coastal Fishing Industry Program
- Seasonal Tourism Industry Program

An overview of each program covered by this document is provided below.

Small Business Grant Program

This program will provide funding grants to eligible businesses for purchase or repair of equipment, renovation of damaged or destroyed facilities, mitigation actions to protect businesses from future storms, and the following activities identified in Section 4 of the Action Plan:

- Purchase of equipment, materials, inventory, furniture, fixtures
- Employee training
- Acquisition of real property
- Working capital
- Construction, rehabilitation, reconstruction of buildings)
- Engineering, architectural, and/or design costs
- Infrastructure directly associated with economic development activities
- Technical assistance
- Any other eligible business related activity (requires State consultation and approval)
- Marketing and outreach to solicit applications from businesses eligible for any of the State's proposed programs

Small Business Loan Program

This program will provide loans to eligible businesses for purchase or repair of equipment, renovation of damaged or destroyed facilities, mitigation actions to protect businesses from future storms, and other activities identified in Section 4 of the Action Plan.

Coastal Fishing Industry Program

This program will provide funding to businesses and individuals qualified as a Coastal Fishing Industry. Details of this program are included on page 50 of the Action Plan.

Seasonal Tourism Industry Program

This program will provide funding to eligible seasonal tourism businesses. Details of this program are also included on page 50 of the Action Plan.

Common Name	Scientific Name	Status	Distribution	Storm-Affected Counties
				Albany
FISHES				
Sturgeon, Shortnose*	<i>Acipenser brevirostrum</i>	E	Hudson River & Atlantic Coastal Rivers	X
REPTILES				
Turtle, bog	<i>Clemmys [=Glyptemys] muhlenbergii</i>	T		X
Turtle, green*	<i>Chelonia mydas</i>	T	Oceanic summer visitor coastal waters	
Turtle, hawksbill*	<i>Eretmochelys imbricata</i>	E	Oceanic summer visitor coastal waters	
Turtle, leatherback*	<i>Dermochelys coriacea</i>	E	Oceanic summer resident coastal waters	
Turtle, loggerhead*	<i>Caretta caretta</i>	T	Oceanic summer resident coastal waters	
Turtle, Atlantic ridley*	<i>Lepidochelys kempii</i>	E	Oceanic summer resident coastal waters	
BIRDS				
Eagle, bald	<i>Haliaeetus leucocephalus</i>	T		X
Plover, piping (Atlantic Coast Population)	<i>Charadrius melodus</i>	T	Coastal sites (Atlantic Coast)	
Tern, roseate	<i>Sterna dougallii dougallii</i>	E	Southeastern coastal portions of state	
MAMMALS				
Bat, Indiana	<i>Myotis sodalis</i>	E		X
Cougar, eastern	<i>Felis concolor couguar</i>	E	Probably extinct	X
MOLLUSKS				
Mussel, dwarf wedge	<i>Alasmidonta heterodon</i>	E	lower Neversink River	
BUTTERFLIES				
Butterfly, Karner blue	<i>Lycaeides melissa samuelis</i>	E		X
PLANTS				
Monkshood, northern wild	<i>Aconitum noveboracense</i>	T		
Pogonia, small whorled	<i>Isotria medeoloides</i>	T		X
Swamp pink	<i>Helonias bullata</i>	T	Staten Island - presumed extirpated	
Gerardia, sandplain	<i>Agalinis acuta</i>	E		
Amaranth, seabeach	<i>Amaranthus pumilus</i>	T	Atlantic coastal plain beaches	
E=endangered T=threatened P=proposed C=candidate				Bold = m
* = Except for sea turtle nesting habitat, principal responsibility for these species is vested with NMFS				

Appendix R:

Herkimer County Sample Floodplain Management Plan and Public Notices

**EARLY NOTICE AND PUBLIC REVIEW OF A PROPOSED ACTIVITY
IN A 100-YEAR FLOODPLAIN – HERKIMER COUNTY, NY**

New York State Homes and Community Renewal
Hampton Plaza
38-40 State Street
Albany, New York 12207
(518) 473-4732

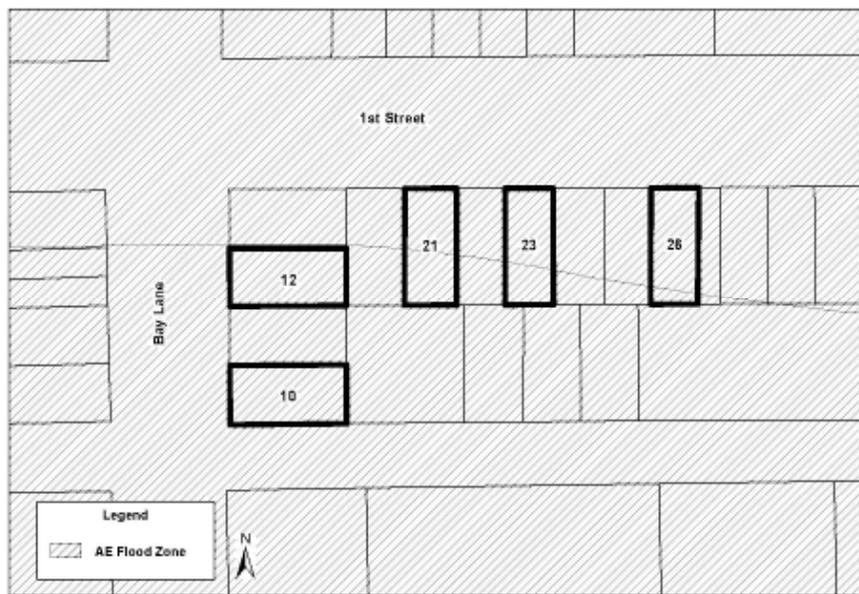
NOTIFICATION OF ACTIVITY IN A FLOODPLAIN

This is to give notice that the New York State (NYS) Homes and Community Renewal (HCR) has conducted an evaluation as required by Executive Order 11988, in accordance with HUD regulations at 24 CFR 55.20 Subpart C, Procedures for Making Determinations on Floodplain Management, to determine the potential effect that its activity in the floodplain will have on the human environment for Community Development Block Grant – Disaster Recovery (CDBG-DR) under Title I of the Housing and Community Development Act of 1974 (PL 93-383). In accordance with the regulations, a Floodplain Management Compliance Document has been prepared for the following properties that are part of the CDBG-DR NY Rising Housing Recovery Program for 5+ Unit Residential Properties in Herkimer County.

New York State has been allocated \$1.7 Billion of CDBG-DR funds for acquisition, reconstruction, improvement, financing, and use of existing properties in the most impacted municipalities and counties. This funding reflects activity under the first allocation of funds. A significant portion of the current allocation will be used for the renovation of some of the worst damaged properties, including those that are the subject of this Notice. It is HCR's expectation that with additional unmet needs assessment the number of housing units in this and other areas to be assisted additional funding will further increase. Additional areas will be the subject of additional notices, as needs arise.

The properties shown below are subject to this public notice:

Block	Lot	Address	Lot Acreage	Lot Acreage Withing 100Yr Flood Zone
5	10	1 Bay Lane	1.1	1.1
5	12	3 Bay Lane	1.11	1.11
5	21	302 First Street	0.09	0.09
5	23	306 First Street	0.09	0.09
5	26	312 First Street	0.12	0.12



There are approximately 159,800 acres in the floodplain in Herkimer County. The tidal floodplains in the State are depicted on two maps: the advisory mapping developed by FEMA, which can be viewed by selecting “FEMA’s Interactive ABFE Map” link at www.region2coastal.com/sandy/abfe and the FEMA Base Flood Elevation Map link at www.msc.fema.gov.

Owners of 5+ unit residential properties that sustained substantial damage will be issued grant awards. These awards will assist in the rehabilitation of damaged homes. In order to receive a grant award the damaged structure must be elevated if technically feasible. The height of elevations will be based on current building ordinances and estimated 100 year flood data.

There are three primary purposes for this notice. First, people who may be affected by activities in floodplains and those who have an interest in the protection of the natural environment have an opportunity to express their concerns and provide information about these areas. Second, adequate public notice is an important public education tool. The dissemination of information about floodplains facilitates and enhances Federal efforts to reduce the risks associated with the occupancy and modification of these special areas. Third, as a matter of fairness, when the Federal government determines it will participate in actions taking place in floodplains, it must inform those who may be put at greater or continued risk.

FLOODPLAIN MANAGEMENT PLAN

A full copy of the Floodplain Management Plan (8-step process) can be viewed online at <http://www.nyshcr.org/Programs/NYS-HCR-CDBG-DR/EnvironmentalReview> or at the address listed below under public comments:

PUBLIC COMMENTS

Any individual, group, or agency may submit written comments on the floodplain management plan or a request for further information to Thomas King, Certifying Officer, Governor’s Office of Storm Recovery, 99 Washington Avenue, Suite 1010, Albany, NY 12231. Written comments may also be submitted via email to Thomas King at NYSCDBG_DR_ER@nyshcr.org. Please include “Herkimer County Floodplain Management Comments” in the subject line. All comments received by **Day, Month XX, 2014** will be considered by HCR.

Thomas King, Certifying Officer, Governor’s Office of Storm Recovery

Month XX, 2014

**FINAL NOTICE AND PUBLIC REVIEW OF A PROPOSED ACTIVITY
IN A 100-YEAR FLOODPLAIN – HERKIMER COUNTY, NY**

COMMUNITY DEVELOPMENT BLOCK GRANT – DISASTER RECOVERY PROGRAM

New York State Homes and Community Renewal
Hampton Plaza
38-40 State Street
Albany, New York 12207
(518) 473-4732

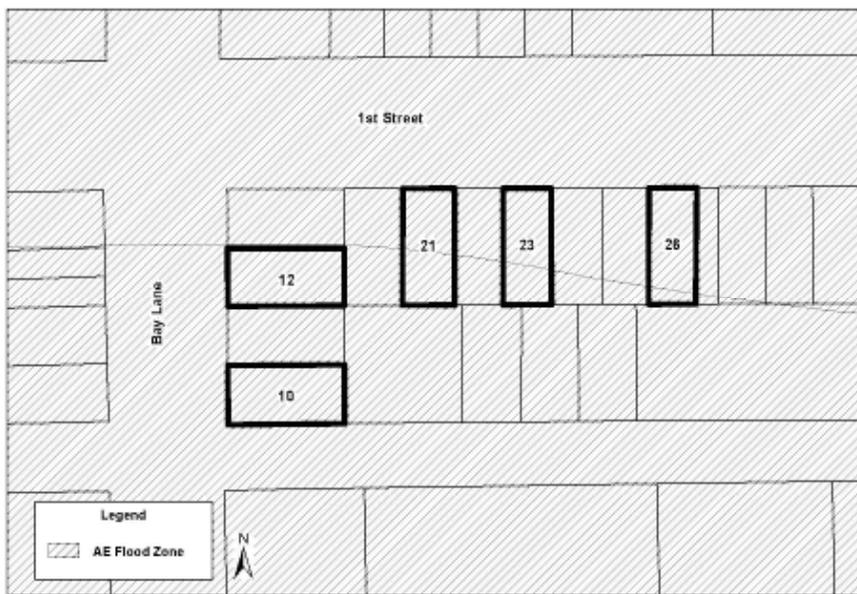
NOTIFICATION OF ACTIVITY IN A FLOODPLAIN

This is to give notice that the New York State (NYS) Homes and Community Renewal (HCR) has conducted an evaluation as required by Executive Order 11988, in accordance with HUD regulations at 24 CFR 55.20 Subpart C, Procedures for Making Determinations on Floodplain Management, to determine the potential effect that its activity in the floodplain will have on the human environment for Community Development Block Grant – Disaster Recovery (CDBG-DR) under Title I of the Housing and Community Development Act of 1974 (PL 93-383). In accordance with the regulations, a Floodplain Management Compliance Document has been prepared for the following properties that are part of the CDBG-DR NY Rising Housing Recovery Program for 5+ Unit Residential Properties in Herkimer County.

New York State has been allocated \$1.7 Billion of CDBG-DR funds for acquisition, reconstruction, improvement, financing, and use of existing properties in the most impacted municipalities and counties. This funding reflects activity under the first allocation of funds. A significant portion of the current allocation will be used for the renovation of some of the worst damaged properties, including those that are the subject of this Notice. It is HCR’s expectation that with additional unmet needs assessment the number of housing units in this and other areas to be assisted additional funding will further increase. Additional areas will be the subject of additional notices, as needs arise.

The properties shown below are subject to this public notice:

Block	Lot	Address	Lot Acreage	Lot Acreage Withing 100Yr Flood Zone
5	10	1 Bay Lane	1.1	1.1
5	12	3 Bay Lane	1.11	1.11
5	21	302 First Street	0.09	0.09
5	23	306 First Street	0.09	0.09
5	26	312 First Street	0.12	0.12



There are approximately 159,800 acres in the floodplain in Herkimer County. The tidal floodplains in the State are depicted on two maps: the advisory mapping developed by FEMA, which can be viewed by selecting “FEMA’s Interactive ABFE Map” link at www.region2coastal.com/sandy/abfe and the FEMA Base Flood Elevation Map link at www.msc.fema.gov.

Owners of 5+ unit residential properties that sustained substantial damage will be issued grant awards. These awards will assist in the rehabilitation of damaged homes. In order to receive a grant award the damaged structure must be elevated if technically feasible. The height of elevations will be based on current building ordinances and estimated 100 year flood data.

There are three primary purposes for this notice. First, people who may be affected by activities in floodplains and those who have an interest in the protection of the natural environment have an opportunity to express their concerns and provide information about these areas. Second, adequate public notice is an important public education tool. The dissemination of information about floodplains facilitates and enhances Federal efforts to reduce the risks associated with the occupancy and modification of these special areas. Third, as a matter of fairness, when the Federal government determines it will participate in actions taking place in floodplains, it must inform those who may be put at greater or continued risk.

ALTERNATIVES CONSIDERED

HCR has considered the following alternatives and mitigation measures to be taken to minimize adverse impacts and to restore and preserve natural and beneficial values of the floodplain, and has determined the best practicable alternative is the proposed action of housing repair of 5+ unit residential properties. The alternative actions considered are as follows: No Action, and Buyouts and Acquisitions, and Rehabilitation of residential properties. These alternatives will be discussed in turn.

No Action Alternative

Under the No Action alternative, the funding for the proposed rehabilitation of 5+ unit residential properties in Herkimer County would not be authorized. Under the No Action alternative, the damage caused to Herkimer County municipalities and neighborhoods would remain unabated. Owners and tenants may not be able to recover from the impacts of the storm events. There is potential that repairs would be limited, work would progress slowly or remain incomplete, create hazards, or work would not be completed to current building codes. Furthermore, the applicants would not be provided financial assistance to implement resiliency measures, leaving their properties more vulnerable to future flooding conditions. Therefore, the No Action alternative would neither address NYS’s need for safe, decent, and affordable housing, nor would it require homes within the floodplain to be elevated to the highest standard for flood protection.

Buyouts and Acquisitions

In certain limited areas with high flood risk, additional programs have been proposed for funding and are being assessed in forthcoming environmental assessments. Under the Buyout option, funds would be authorized to purchase severely damaged residential properties, demolish any structures, and restore the property to natural conditions. This would help restore large parts of the floodplain to their natural state. Under the Acquisition option, funding would be authorized to purchase damaged residential properties for the purpose of future resilient redevelopment. Both of these options would involve moving populations to lesser vulnerable areas away from the shore. This would result in a dramatic change and decline of the shore culture on Long Island, with associated local economies also being affected. Thus, the cost of the Buyout and/or Acquisition programs would be far greater than the cost of repairing and rebuilding in a more resilient manner in the existing developed parcel. By themselves, these options do not satisfy the purpose and need to provide safe and adequate housing for residents of these homes and retain neighborhood character. Therefore, these options have been eliminated from further consideration except in limited areas for certain counties.

Rehabilitation of Residential Properties (proposed action)

Under this alternative, homeowners would be able to apply for programs for financial assistance for the repair, rehabilitation, reimbursement of expenses, and mitigation of their properties that were damaged or destroyed by the storms. These assistance programs would include financial support and requirements for resiliency upgrades for the individual properties that would reduce the potential damage from future storms. This action will prevent residents from being displaced and retain neighborhood characteristics. Work will be completed to the most stringent building codes, including elevation and flood-proofing requirements for substantially damaged homes. Strict requirements for the disposal of debris are in place to prevent, to the extent possible, any negative impacts to the floodplain. The handling and disposal of demolition and construction debris, control of storm water runoff, and noise impacts resulting from the NY Rising Housing Recovery Program for 5+ Unit Residential Properties in Herkimer County will be in accordance with all local and state regulations as part of the acceptance of assistance funding.

The proposed Rehabilitation of Residential Properties action is necessary to maintain neighborhood characteristics, as the sites may be scattered and will be located within residential neighborhoods. As the properties will be repaired and improved through mitigation measures, the properties are also less likely to damage the floodplain with debris during future storm events.

FLOODPLAIN MANAGEMENT PLAN

A full copy of the Floodplain Management Plan (8-step process) can be viewed online at <http://www.nyshcr.org/Programs/NYS-HCR-CDBG-DR/EnvironmentalReview> or at the address listed below under public comments:

PUBLIC COMMENTS

Any individual, group, or agency may submit written comments on the floodplain management plan or a request for further information to Thomas King, Certifying Officer, Governor's Office of Storm Recovery, 99 Washington Avenue, Suite 1010, Albany, NY 12231. Written comments may also be submitted via email to Thomas King at NYSCDBG_DR_ER@nyshcr.org. Please include "Herkimer County Floodplain Management Comments" in the subject line. All comments received by **Day, Month XX, 2014** will be considered by HCR.

Thomas King, Certifying Officer, Governor's Office of Storm Recovery

Month XX, 2014

Appendix S:
**Combined Finding of No Significant Impact
and Notice of Intent to Request Release of Funds
(FONSI/NOIRROF)**



GOVERNOR'S OFFICE OF STORM RECOVERY

Andrew M. Cuomo
Governor

James Rubin
Executive Director



October 2, 2014

MEMORANDUM

RE: COMBINED NOTICE OF FINDING OF NO SIGNIFICANT IMPACT (FONSI) AND NOTICE OF INTENT TO REQUEST RELEASE OF FUNDS (NOIRROF) NY RISING HOUSING RECOVERY PROGRAM FOR 5+ UNIT RESIDENTIAL PROPERTIES: HERKIMER COUNTY, NY BY NEW YORK STATE HOUSING TRUST FUND CORPORATION

New York State Housing Trust Fund Corporation (HTFC)
38-40 State Street
Albany, NY 12207
(518) 486-7512

Name of Responsible Entity and Recipient: New York State Homes and Community Renewal (HCR) 38-40 State Street, Hampton Plaza, Albany, NY 12207, in cooperation with New York State Housing Trust Fund Corporation (HTFC), of the same address. Contact: Thomas King.

This combined notice satisfies two separate procedural requirements for project activities to be undertaken.

Per 24 CFR Part 58.33, the combined Notice of Finding of No Significant Impact and Notice of Intent to Request Release of Funds (FONSI/NOIRROF) would be published simultaneously with the submission of the Request for Release of Funds (RROF). The funds are needed on an emergency basis due to a declared disaster resulting from the impacts of Superstorm Sandy, which made landfall on October 29, 2012. As a result, the comment periods for the FONSI/NOIRROF and the RROF have been combined.

Project Description: HTFC intends to undertake the following project, funded by United States Department of Housing & Urban Development's (HUD) 2013 Community Development Block Grant—Disaster Recovery (CDBG-DR) funds under the NY Rising Housing Recovery Program for 5+ Unit Residential Properties in Herkimer County.

The activities associated with the NY Rising Housing Recovery Program for 5+ Unit Residential Properties in Herkimer County described herein would address unmet housing recovery needs of homeowners and tenants of rental properties, for which CDBG-DR funding shall be made available to Herkimer County residents who qualify. NY Rising Housing Recovery Program for 5+ Unit Residential Properties activities to be funded by CDBG-DR have been designed to provide assistance for repairing property, reconstructing homes, and mitigating properties for future resilience. This program is anticipated to provide permanent, sustainable housing solutions that allow these residents to return to their neighborhoods, where possible.

The program may also provide reimbursements for owners of five or more unit buildings and owners and renters of individual units in five or more unit buildings that have started or completed storm-related rehabilitation work with personal resources, subject to restrictions in line with applicable laws, regulations, and the program requirements.

This is the first tier of review in a 2-tiered process. The specific addresses of 5+ unit residential properties are not known at this time because the participating property owner identification process is ongoing. Therefore, under 24 CFR Part 58.15 (Tiering) and 24 CFR Part 58.32 (Project Aggregation), HTFC would use a tiered approach and once specific sites are determined, each site would be reviewed in compliance with the instructions established in the Tier 1 Review. No physical work would begin on properties until all levels of environmental review are complete and found to be in compliance. Tier 1 review addresses specific environmental factors for which compliance has been documented, regardless of specific site locations. It prevents repeating the same compliance factors on a Tier 2 site-specific basis, once all participating homeowners are known.

FINDING OF NO SIGNIFICANT IMPACT

A Tier 1 Environmental Review Record (ERR) was established and is maintained on file. The ERR is incorporated by reference into this FONSI. A full copy of the ERR, including a completed National Environmental Policy Act of 1969 (NEPA) Environmental Assessment Checklist, an Environmental Assessment Worksheet, and area map, is available for public inspection during normal business hours in accordance with 24 CFR Part 58 at the offices listed below or at <http://www.nyshcr.org/Programs/NYS-CDBG-DR/EnvironmentalReview.htm>.

HTFC has determined that the ERR for the project identified herein complies with the requirements of HUD environmental review regulations at 24 CFR Part 58, et al.

HTFC has also determined that the project described herein, as proposed, would have no significant adverse environmental or socioeconomic impacts, either direct, indirect, or cumulative. There would be no significant impact on the human environment, and the action would not negatively impact the quality of the environment. Therefore, HTFC has determined that the project, as proposed, is not an action that would result in a significant impact on the quality of the human environment. Moreover, the project does not require an Environmental Impact Statement under NEPA.

Public Review: Public viewing of the ERR is available on the internet and is also available in person Monday – Friday, 9:00 AM – 4:30 PM at the following addresses:

*New York State Housing Trust Fund Corporation
NYS Department of Homes and Community Renewal
38-40 State Street
Albany, NY 12207
Contact: Thomas King (518) 486-7512*

&

*Herkimer County Industrial Development Agency
320 North Prospect Street
Herkimer, NY 13350
Contact: Herkimer County Industrial Development Agency (315) 867-1373*

Further information may be requested at NYSCDBG_DR_ER@nyshcr.org or by calling HTFC at (518) 486-7512. This combined notice is being sent to individuals and groups known to be interested in these activities, local news media, appropriate local, state, and federal agencies, the regional office of U.S. Environmental Protection Agency having jurisdiction, and to the HUD Field Office, and is being published in newspapers of general circulation in the affected community.

Public Comments on FONSI/Findings and NOIRROF

Any individual, group, or agency may submit written comments on this project. Those wishing to comment should specify in their comments which distinct part(s) of this Combined Notice they intend their comments to address. Comments should be submitted via email, in the proper format, on or before October 17, 2014 at: NYSCDBG_DR_ER@nyshcr.org. Written comments may also be submitted at the following address, or by mail, in the proper format, to be received on or before October 17, 2014.

Thomas J. King, Esq.
Certifying Officer
Governor's Office of Storm Recovery
99 Washington Avenue, Suite 1010
Albany, NY 12231

If modifications result from public comment, these would be made prior to proceeding with the expenditure of funds.

REQUEST FOR RELEASE OF FUNDS

Written notice of environmental clearance would be required prior to the removal of any limitation on commitment of federal funds in accordance with regulations at 24 CFR Part 58.70.

On October 2, 2014, the HCR Certifying Officer would submit this request and certification to HUD for the release of CDBG-DR funds as authorized by related laws and policies for the purpose of implementing this part of the New York State CDBG-DR program.

HCR certifies to HUD that Thomas King, acting in capacity as Certifying Officer, consents to accept the jurisdiction of the U.S. federal courts if an action is brought to enforce responsibilities in relation to the environmental reviews, decision-making, and action, and that these responsibilities have been satisfied. Moreover, HCR certifies that a Tier 1 environmental review for the project described herein has been completed and that additional Tier 2 site-specific review would occur once the specific sites are identified. HUD's approval of the certification satisfies its responsibilities under NEPA and related laws and authorities, and allows HTFC/HCR to use CDBG-DR funds.

Objections to Release of Funds - HUD would consider objections to the RROF and/or FONSI certification for a period of fifteen days following the submission date or its actual receipt of the request (whichever is later). Potential objectors may contact HUD to verify the actual last day of the objection period.

The only permissible grounds for objections claiming a responsible entity's non-compliance with 24 CFR Part 58 are:

- (a) Certification was not executed by the responsible entity's Certifying Officer;
- (b) The responsible entity has omitted or failed to make a step, decision, or finding required by HUD regulations at 24 CFR Part 58;
- (c) The responsible entity has committed funds or incurred costs not authorized by 24 CFR Part 58 before release of funds and approval of environmental certification; or
- (d) Another federal agency acting pursuant to 40 CFR Part 1504 has submitted a written finding that the project is unsatisfactory from the standpoint of environmental quality.

Objections must be prepared and submitted in writing in accordance with the required procedures and format (24 CFR Part 58) and shall be addressed to:

*Tennille Smith Parker
Acting Director/Assistant Director, Disaster Recovery and Special Issues Division
Office of Block Grant Assistance
U.S. Department of Housing & Urban Development
451 7th Street SW
Washington, DC 20410
Phone: (202) 402-4649*

A handwritten signature in black ink, appearing to read "Thomas J. King". The signature is fluid and cursive, with a long horizontal stroke extending to the right.

Thomas King
HCR Certifying Officer
October 2, 2014

PUBLIC NOTICE

COMBINED NOTICE OF FINDING OF NO SIGNIFICANT IMPACT (FONSI) AND NOTICE OF INTENT TO REQUEST RELEASE OF FUNDS (NOIRROF) NY RISING HOUSING RECOVERY PROGRAM FOR 5+ UNIT RESIDENTIAL PROPERTIES: HERKIMER COUNTY, NY BY NEW YORK STATE HOUSING TRUST FUND CORPORATION

October 2, 2014

*New York State Housing Trust Fund Corporation (HTFC)
38-40 State Street
Albany, NY 12207
(518) 486-7512*

Name of Responsible Entity and Recipient: New York State Homes and Community Renewal (HCR) 38-40 State Street, Hampton Plaza, Albany, NY 12207, in cooperation with New York State Housing Trust Fund Corporation (HTFC), of the same address. Contact: Thomas King.

This combined notice satisfies two separate procedural requirements for project activities to be undertaken.

Per 24 CFR Part 58.33, the combined Notice of Finding of No Significant Impact and Notice of Intent to Request Release of Funds (FONSI/NOIRROF) would be published simultaneously with the submission of the Request for Release of Funds (RROF). The funds are needed on an emergency basis due to a declared disaster resulting from the impacts of Superstorm Sandy, which made landfall on October 29, 2012. As a result, the comment periods for the FONSI/NOIRROF and the RROF have been combined.

Project Description: HTFC intends to undertake the following project, funded by United States Department of Housing & Urban Development's (HUD) 2013 Community Development Block Grant—Disaster Recovery (CDBG-DR) funds under the NY Rising Housing Recovery Program for 5+ Unit Residential Properties in Herkimer County.

The activities associated with the NY Rising Housing Recovery Program for 5+ Unit Residential Properties in Herkimer County described herein would address unmet housing recovery needs of homeowners and tenants of rental properties, for which CDBG-DR funding shall be made available to Herkimer County residents who qualify. NY Rising Housing Recovery Program for 5+ Unit Residential Properties activities to be funded by CDBG-DR have been designed to provide assistance for repairing property, reconstructing homes, and mitigating properties for future resilience. This program is anticipated to provide permanent, sustainable housing solutions that allow these residents to return to their neighborhoods, where possible.

The program may also provide reimbursements for owners of five or more unit buildings and owners and renters of individual units in five or more unit buildings that have started or completed storm-related rehabilitation work with personal resources, subject to restrictions in line with applicable laws, regulations, and the program requirements.

This is the first tier of review in a 2-tiered process. The specific addresses of 5+ unit residential properties are not known at this time because the participating property owner identification process is ongoing. Therefore, under 24 CFR Part 58.15 (Tiering) and 24 CFR Part 58.32

(Project Aggregation), HTFC would use a tiered approach and once specific sites are determined, each site would be reviewed in compliance with the instructions established in the Tier 1 Review. No physical work would begin on properties until all levels of environmental review are complete and found to be in compliance. Tier 1 review addresses specific environmental factors for which compliance has been documented, regardless of specific site locations. It prevents repeating the same compliance factors on a Tier 2 site-specific basis, once all participating homeowners are known.

FINDING OF NO SIGNIFICANT IMPACT

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HTFC has determined that the ERR for the project identified herein complies with the requirements of HUD environmental review regulations at 24 CFR Part 58, et al.

HTFC has also determined that the project described herein, as proposed, would have no significant adverse environmental or socioeconomic impacts, either direct, indirect, or cumulative. There would be no significant impact on the human environment, and the action would not negatively impact the quality of the environment. Therefore, HTFC has determined that the project, as proposed, is not an action that would result in a significant impact on the quality of the human environment. Moreover, the project does not require an Environmental Impact Statement under NEPA.

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NYS Department of Homes and Community Renewal
38-40 State Street
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Contact: Thomas King (518) 486-7512*

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320 North Prospect Street
Herkimer, NY 13350
Contact: Herkimer County Industrial Development Agency (315) 867-1373*

Further information may be requested at NYSCDBG_DR_ER@nyshcr.org or by calling HTFC at (518) 486-7512. This combined notice is being sent to individuals and groups known to be interested in these activities, local news media, appropriate local, state, and federal agencies, the regional office of U.S. Environmental Protection Agency having jurisdiction, and to the HUD Field Office, and is being published in newspapers of general circulation in the affected community.

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Any individual, group, or agency may submit written comments on this project. Those wishing to comment should specify in their comments which distinct part(s) of this Combined Notice they intend their comments to address. Comments should be submitted via email, in the proper format, on or before October 17, 2014 at: [NYSCDBG DR ER@nyshcr.org](mailto:NYSCDBG_DR_ER@nyshcr.org). Written comments may also be submitted at the following address, or by mail, in the proper format, to be received on or before October 17, 2014.

*Thomas J. King, Esq.
Certifying Officer
Governor's Office of Storm Recovery
99 Washington Avenue, Suite 1010
Albany, NY 12231*

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REQUEST FOR RELEASE OF FUNDS

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On October 2, 2014, the HCR Certifying Officer would submit this request and certification to HUD for the release of CDBG-DR funds as authorized by related laws and policies for the purpose of implementing this part of the New York State CDBG-DR program.

HCR certifies to HUD that Thomas King, acting in capacity as Certifying Officer, consents to accept the jurisdiction of the U.S. federal courts if an action is brought to enforce responsibilities in relation to the environmental reviews, decision-making, and action, and that these responsibilities have been satisfied. Moreover, HCR certifies that a Tier 1 environmental review for the project described herein has been completed and that additional Tier 2 site-specific review would occur once the specific sites are identified. HUD's approval of the certification satisfies its responsibilities under NEPA and related laws and authorities, and allows HTFC/HCR to use CDBG-DR funds.

Objections to Release of Funds - HUD would consider objections to the RROF and/or FONSI certification for a period of fifteen days following the submission date or its actual receipt of the request (whichever is later). Potential objectors may contact HUD to verify the actual last day of the objection period.

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Objections must be prepared and submitted in writing in accordance with the required procedures and format (24 CFR Part 58) and shall be addressed to:

Tennille Smith Parker

Acting Director/Assistant Director, Disaster Recovery and Special Issues Division

Office of Block Grant Assistance

U.S. Department of Housing & Urban Development

451 7th Street SW

Washington, DC 20410

Phone: (202) 402-4649