Grantee: New York

Grant: B-13-DS-36-0001

July 1, 2014 thru September 30, 2014 Performance Report

Grant Number:
B-13-DS-36-0001

Obligation Date:

Award Date:

Grantee Name:
New York

Contract End Date:

Review by HUD:
Rejected - Await for Modification

Grant Award Amount:
$3,810,960,000.00

Grant Status:
Active

QPR Contact:
No QPR Contact Found

LOCCS Authorized Amount:
$1,443,000,000.00

Estimated PI/RL Funds:

Total Budget:
$3,810,960,000.00

Disasters:

Declaration Number

FEMA-4085-NY

Narratives

Disaster Damage:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy caused unprecedented damage to the State of New York, exposing the risks coastal and river communities face in future storm events. 157,165 housing units were damaged, 300,000 businesses impacted, and 43,499 public infrastructure repairs and mitigation projects are needed due these three events. The total cost to repair damages is an estimated $15,045,010,000, and an estimated $5,424,920,000 is not covered by other federal programs or private insurance. Additionally, an estimated $2,562,010,000 in mitigation costs is needed to protect vulnerable areas from future storm events and ensure that public systems like power, clean water, roads and transportation are operational faster than what was experienced in 2012. This does not account for infrastructure needs not currently funded by federal programs. This figure is likely to be higher once more communities assess their needed resiliency projects. Superstorm Sandy left hundreds of thousands of households without power, sewage leaked into waterways, protected coastal barriers were washed ashore from storm surge, and businesses were shuttered for weeks due to closed roads and subways. The CDBG-DR Program will address mitigation needs in coordination with FEMA&rsquos HMGP Program, EPA grants and other sources of funding.
In total, there is an estimated $7,986,950,000 in eligible unmet needs to repair and mitigate damaged housing units, businesses and infrastructure, based on the HUD methodology. The State estimates at least an additional $7,755,252,000 that will be needed for recovery-related infrastructure projects. The State estimates that this additional $7.7 billion in unmet needs may not be eligible for CDBG-DR funding, but have been identified nonetheless by State agencies as an unmet recovery-related need. The State continues to assess these unmet needs for CDBG &ndashDR eligibility. Therefore, the unmet need is likely above $15,742,000,000. This excludes the housing and business needs of New York City.

Recovery Needs:

This section details the programs that are currently in place as well as new programs being implemented by the Governor&rsquos Office of Storm Recovery. Based on the State&rsquos revised unmet needs analysis for housing, economic development and infrastructure, programs and budgets have been adjusted herein based on the continuing recovery and rebuilding needs of communities. The State recognizes that there still will be additional unmet needs that are not fully addressed with this allocation. Overall, the Homeowner Programs which include the Owner-Occupied, Interim Mortgage Assistance and Buyout/Acquisition represent 85% of unmet needs and 88% of the allocation. Additionally, all rental programs represent 15% of the overall unmet needs and 12% of this allocation is dedicated to these programs. Therefore, unmet needs and program implementation will continue to be assessed as programs are implemented. The State is committed to both homeowners and renters and will work diligently in both programs address the needs of the community as they recover. The State will make adjustments as needed in further action plan amendments, as programs are implemented to ensure that to the extent feasible unmet needs of these communities are addressed.
Below is a breakdown of the percentage of funds allocated to each type of activity. While the State continues to have significant outstanding unmet needs, its current resources are allocated to address the priorities of the State&rsquos communities in repairing and hardening storm-damaged residential units, creating additional affordable housing, restarting businesses and rebuilding critical infrastructure throughout the state.
Housing
The housing programs were outlined in the initial Action Plan. This Action Plan Amendment makes some procedural and technical modifications to the housing programs to better reflect current implementation and policy updates.
The State initially allocated $838,000,000 to a slate of housing recovery programs including homeowner reimbursement and rebuilding, rental rehabilitation and homeowner acquisitions and buyouts. Based on the unmet needs analysis, this amendment increases the State&rsquos total allocation to support the housing programs already under way.
New York State, through its contractors, is directly administering the housing programs for all impacted counties with the exception of

Rockland County. Rockland County, as a subrecipient, supports program delivery by providing intake services to homeowners and owners of rental property.
In adherence to HUD&rsquos guidelines, the State will ensure that all reconstruction or repair of substantially damaged buildings must incorporate Green Building Standards for replacement and new construction of residential housing. Further, non-substantially damaged buildings must follow guidelines in the HUD Green Building Retrofit Checklist to the extent feasible.
In addition, all of its housing programs include an opportunity to rebuild in a more resilient manner through elevation and/or mitigation efforts where appropriate.
The State is committed to assisting the unmet needs of the Public Housing Authorities. As outlined in the unmet needs section of this amendment, the State along with the PHAs and FEMA are still in the process of assessing their unmet needs. As these needs are identified, the state has committed up to $10 million dollars asuon plan to assist these authorities. The State has identified areas in the Multi Family/Affordable Housing Fund; the State Housing Assistance Relief Program; the Community Reconstruction Program and the Non Federal Share Match Program under the infrastructure program which are available to address these needs.
New York Rising Housing Recovery Program
This program was approved in the State&rsquos initial Action Plan. The following documents programmatic changes being requested through this Amendment. This Amendment will serve to increase the amount of funding available to the program as well as provide additional clarity on the previously approved program.

Activity Type: Repair/replacement of residential owner-occupied structures

National Objective: Low and Moderate Income or Urgent Need

Geographic Eligibility: Disaster-declared counties outside of New York City

Eligible Activity: Sec. 105 (a) (4) (8) 42 U.S.C. 5305(a)(4)

Eligible Applicants:
This program is available to owners of one- and two-unit owner-occupied homes located outside of New York City with damage from any of the three named storms. These properties must be the owner&rsquos primary residence as defined by New York State including Condominiums, Co-Ops and Garden Apartments.

Use of Funds:
The New York Rising Housing Recovery Program includes the following components:

· Reimbursement: The program provides reimbursement for eligible costs incurred by homeowners for the repair of their home.
· Repair: The program pays for approved and eligible costs as the home is repaired.
· Reconstruction: When a home is substantially damaged, the program will pay for the eligible costs of reconstruction of the home.

The program covers costs for the repair or replacement of damage to real property (including mold remediation); replacement of disaster-impacted non-luxury residential appliances; and environmental health hazard mitigation costs related to the repair of disaster-impacted property. As outlined in the initial Action Plan, elevation is required for properties located in the 100-year floodplain and whose homes are substantially damaged. For homeowners not required to elevate, but interested in this protective measure, the program has implemented an optional elevation component within the program. In addition, the program will also offer optional mitigation measures which includes, but is not limited to, the following:

· Elevation of electrical systems and components,
· Securing of fuel tanks,
· Use of flood resistant building materials below base flood elevation (retrofits to be limited in scope to be cost effective),
·&mbp&m;s;apbs;ns; Installation of flood vents,
· Installation of backflow valves, and
· Installation of roof strapping.

Maximum Award:
Following the analysis of the needs of the affected communities and the availability of funding, the program has set the following cap amounts and allowances:

· Base Cap: The base cap amount for single-family repair and/or reconstruction coverage is $300,000.
· Low and Moderate Income Allowance: Homeowners who are identified to be low or moderate income (total household income is less than or equal to 80 percent of area median income) will qualify for an increase of $50,000 in the cap amount. ($300,000 Base + $50,000 LMI = $350,000 base cap).
· Elevation Allowance: Homeowners with damaged properties within the 100-year floodplain are eligible for up to a $50,000 increase in the base cap amount for elevation.
· Optional Mitigation Measures: Homeowners will be eligible for optional mitigation measures of up to $30,000 within the applicant&rsquos cap.

Eligibility Criteria:
· Homes must be the primary residence of the applicant.
· Applicant must have owned the home prior to the disaster event.
· Each applicant will go through the verification of benefits process and assistance shall be for unmet repair needsafteraccounting forallFederal, State, local and/or private sources of disaster-related assistance, including, but not limited to, homeowners and/or flood insurance proceeds per the Stafford Act.
· Household income will be required for reporting purposes, even for those households assisted under the national objective of urgent need.

Program changes and clarifications:
· In implementation, the New York Rising Housing Program combines the previously named Recreate New York Smart Home Repair and Reconstruction Program and the New York Smart Home Resilience Program into one program.
· The program is delivered on a first come, first serve basis. However, the State is committed to providing any additional assistance needed for processing applications of all homeowners including LMI, those with a disability, elderly households, households currently enrolled in DHAP and those with limited English proficiency.
· The State has initiated a robust final inspection process which will ensure homes are completed to program standards, and therefore are not requiring homeowners to own their home after the rehabilitation is complete. All of the requirements are outlined in thepra&amuosantageemntwhchis executed by all owners.

As part of administering this program, the State will provide funding assistance to service providers whose resources are needed to recover housing. Municipalities are being given funding to expand code enforcement capacity to expedite rebuilding activities. Additionally, the State is funding legal services for low and moderate income applicants to assist homeowners in overcoming legal obstacles to receiving necessary assistance to recover from losses incurred from the disasters.

Municipal Support Program
Eligible Activity: Public services 105(a)(8)
Budget: $6,000,000 (as part of the Home Repair and Reconstruction Program)
With the majority of the applicants to the New York State Rising Housing Recovery Program concentrated in specific counties, the State anticipates that municipalities within these counties will have to bear costs that are directly tied to the rebuilding effort. The State believes local municipalities will require substantial assistance in their permitting offices in order to process and produce an increased number of inspections and permits. Therefore, New York State has developed a program as part of the Homeowner Program to provide grant funds to municipalities in damaged counties to reimburse them for costs including but not limited to salaries, permitting and inspections as they relate to applicable storm-damaged homes. The State has allocated funding to support the municipalities to help eliminate any impediments at a permitting level to ensure homeowners are in compliance with the program.

Legal Services
Eligible Activity:Public services 105(a)(8)
Budget: $4,500,000(as part of the Home Repair and Reconstruction Program)
The State has engaged in subrecipient agreements with both Hofstra University and New York Legal Assistance Group (NYLAG) to provide pro bono legal services to families in Nassau, Suffolk and other areas outside of New York City affected by the disasters.The provision of legal services are being provided to potential applicants of the housing programs that are residents impacted by Superstorm Sandy in order to eliminate barriers to their entry into the State&rsquos housing programs and to their rebuilding efforts.Both agencieswill place particular emphasis on outreach to immigrant communities, low-income communities and other vulnerable populations. The NYLAG Storm Response Unit staff speaks sixteen languages and has the ability to arrange for translators for additional languages if needed. Thisfunding allowsFunding allowed these entities to provide legal aid to homeowners navigating insurance claims processes, avoid fraud schemes, and make decisions regarding constructions contracts. The NYLAG Storm Response Unit currently has intake clinics in Long Island to meet with clients out in the field. Theysend staff to storm-related public outreach events as they occur. This includes including staffing the Disaster Recovery Fairs hosted by Nassau County in the effort to raise awareness of the New York Rising program and facilitate enrollment.

Interim Mortgage Assistance Program (IMA)

a;asp;gtstrng&;Aciviyype:Homeowner assistance

National Objective: Low and Moderate Income or Urgent Need

Geographic Eligibility: Disaster-declared counties outside of New York City
Eligible Activity: Sec. 105 (a) (8) 42 U.S.C. 5305(a)(8), as amended FR&ndash5696&ndashN&ndash01 (VI) (B) (30)

Program Description:
Because of damage from Hurricane Irene, Tropical Storm Lee and Superstorm Sandy, a substantial number of households have been and are currently unable to inhabit their primary residences. Many of these displaced families are struggling to pay a mortgage on the still damaged home while paying for interim housing costs. Homeowners continue to bear this financial burden.
In November 2013, HUD approved Action Plan Amendment No. 4 to allow the State to develop the Interim Mortgage Assistance program. Based upon analysis of current applicants, it is anticipated that approximately 4,000 households have been or will be both displaced and forced to pay the costs of their primary mortgage, or an equivalent housing cost such as property taxes, and simultaneously pay the costs for their temporary living residences. These homeowners have been living with an increased burden of the costs of daily life and their own recovery efforts. Many of these homeowners have already expended all of their FEMA resources, exhausted available mortgage forbearance and utilized whatever rental assistance was provided by their insurance companies. New York State wants to prevent families from going into default on their mortgage payments while they continue to rebuild. New York State has developed this program to help cover the short-term mortgage costs or equivalent housing costs so that homeowners do not lose their homes as a result of the storms.
This Action Plan Amendment modifies the program to include the following changes:
· The award was previously based on a formula that calculates the lesser of the monthly mortgage or the additional temporary housing payment.

- The award will now be calculated based the monthly mortgage amount of their primary residence damaged by the storm and proof of an additional housing payment (rental payment reciepit or hotel receipt) for that month.

- All other provisions of Action Plan Amendment No. 4 remain the same

The rationale for changing the calculation of the payments is to assist homeowners to avoid foreclosure while rebuilding their homes.

New York Rising Buyout Program
This program was approved in the State&rsquos initial Action Plan and is currently operational. This amendment provides clarity on the program description and increases the budget to meet demand for the program.

Activity Type: Voluntary Buyout or Acquisition of one- and two-unit homes

National Objective: Low and Moderate Income, Slum and Blight or Urgent Need

Geographic Eligibility: All applicants&rsquo damaged property must have been their primary residence at the tieoftamustbe loatein onef theaageddeclred counties. Additionally, the program may acquire vacant land within targeted areas. New York City&rsquos boroughs are included as eligible counties for the purposes of the Buyout program.

Eligible Activity: Sec. 105 (a) (1) (2) (4) (11) (24) 42 U.S.C. 5305(a) (1) (2) (4) (11) FR&ndash5696&ndashN&ndash01 (VI) (B) (31)
Program Description:

In accordance with the notice governing the use of these funds, properties purchased as a &ldquobuyout&rdquo will be maintained in perpetuity as coastal buffer zones, while properties purchased as &ldquoacquisitions&rdquo will be eligible for redevelopment in the future in a resilient manner to protect future occupants of this property. The post-purchase fate of most acquired properties will be determined by the State, in consultation with local officials, to ensure these properties best serve the future goals of the community. In some cases, the properties will remain undeveloped and be transformed into parks or other non-residential uses, while in most cases they will be redeveloped in a resilient manner. The final disposition plan will be further detailed by the State in the acquisition program guidelines, but disposition may include: the sale of property through a fair market value and competitive process; the conversion of the property into public green space; and/or the donation of property to an eligible recipient to carry out eligible activities.
The State will use the 2013 FHA loan limits as the ceiling for the purchase price for properties that participate in this program.

Buyouts
The New York Rising Buyout Program will include the purchase of eligible storm-impacted and substantially damaged properties inside the floodplain in storm-impacted areas. Substantially damaged is defined as damage equaling 50% of the pre-storm fair market value (FMV) of the property.
Certain highest risk areas in floodplains, determined to be among the most susceptible to future disasters and therefore, present a greater risk to people and property, will be identified by the State and its local partners as enhanced buyout areas (see below). The State will conduct purchases inside of the enhanced buyout areas as &ldquobuyouts,&rdquo as defined by HUD, whereby they will be eligible for purchase starting at 100% of the property&rsquos pre-storm FMV, plus available incentive(s) as outlined below.
Enhanced Buyout Areas
Enhanced Buyouts in select pre-defined targeted buyout areas, which will be determined by the program will include an incentive(s) ranging from 5%-15% on top of the pre-storm FMV of property acquired through the buyout program. Reconstruction may not occur on lots in these areas. Lots will be maintained as coastal buffer zones or other non-residential/commercial uses. This program may also include acquisition of vacant or undeveloped land in these targeted areas.
Incentives
5% -Relocation Incentive: The State will provide this incentive to residents who participate in a buyout inside an enhanced buyout area if they permanently relocate and provide evidence of the purchase a new primary residence within the same county in whichhest-dpropry is lcated.Residents oNew YorCiy wilbe elgible for this incentive if they permanently relocate and purchase a new primary residence anywhere within the five boroughs of the City. The rationale for such an incentive is to protect and preserve the community while, at the same time, facilitating the reclamation of land in high risk areas for natural protection against future damage.
In the event a homeowner who has received CDBR-DR funds for a buyout locates a residence outside the same county in which their storm-damaged property is located, but is within the state of New York, , that homeowner can file a hardship request to still receive the 5% relocation incentive. The state recognizes that the impact of the storm on some families has caused them to relocate based on extenuating circumstances not allowing them not to return to their pre storm county. The hardship will request that they receive the 5% relocation payment even in if the homeowner purchase the new home outside the county of origin. As noted in this section for the 5 boroughs of NYC relocation is eligible within all 5 boroughs, and all areas outside of NYC the relocation is limited to the county of origin.
The homeowners must submit as statement outlining the challenge for relocating within their county of origin. They must also sign a Declaration of Hardship form that documents the submission of a statement of hardship. All Declaration of Hardship Forms will be reviewed and approved by program staff on a case by case basis.
10% Enhanced Buyout Incentive: In an effort to relocate homeowners out of the high risk enhanced buyout areas&mdashto protect as many as possible from future disasters&mdashthe State will seek the maximum level of homeowner participation by offering this individual incentive so that as much land as possible within these areas can be returned to and reclaimed by nature. This level of incentive was selected in order to ensure that a sufficient incentive is available, as the number of properties involved will need to be significant in these areas to produce the intended outcome.
10% Group Buyout Incentive: In the rare areas in which the purchase of a group of properties together makes sense in order to re-purpose that area, the State believes that graduated incentives are an essential component to induce homeowners to sell their properties. Outside of the enhanced buyout areas, the State may, in rare circumstances, provide a 10% Group Buyout Incentive to certain very limited clusters of homeowners (i.e., two to ten consecutively located properties) whose properties are located inside the floodplain but not inside an identified enhanced buyout area. This incentive may be necessary in certain rare cases to facilitate the reclamation of a concentrated area of high risk properties and to avoid the patchwork effect of purchasing all but one or two properties inside such a cluster of properties.
Assistance shall be for property purchased after accounting for all federal, state, local and/or private sources of disaster-related assistance, including, but not limited to, homeowners and/or flood insurance proceeds.

Acquisitions
The State intends to conduct most purchases outside of the enhanced buyout areas as &ldquoacquisitions,&rdquo as defined by HUD, whereby purchaseffermst beiwithep-tom fairmarketalue ofhe property.&bsp;he Acuisitin Progrm will include the purchase of eligible

substantially damaged properties inside the 100 to 500-year floodplain in storm-impacted areas. For many homeowners there is a concern about being able to afford the costs of relocation to another equivalent housing situation which makes them reluctant to move out of harm&rsquos way.Such assistance is necessary to enable homeowners inside flood-prone areas who have sustained damage to their primary residence and are otherwise unable or unwilling to repair their home, to relocate to a safer, less flood-prone area within the county. Furthermore, this assistance recognizes the uncertainty of post-storm values of storm-damaged homes, relative to the high costs associated with relocation, therefore affording homeowners the maximum amount of assistance necessary to make this life-altering change.
In the current program, as approved in the initial Action Plan and Action Plan Amendment No. 3, the program provided for a relocation incentive to homeowners in the Acquisition Program that covered the difference between pre- and post-storm value. Under APA No. 6, the State will no longer provide the relocation incentive. In order to address the homeowners needs for relocation, the program will now provide homeownership assistance to ensure that homeowners can relocate into an equivalent circumstance.
For existing transactions where a contractual offer has been made under the Acquisition Program approved by HUD in Action Plan Amendment No. 3, the State will continue to follow this process. However, once APA No. 6 is approved and codified in an updated grant agreement from HUD, the State will take the necessary steps to transition to the changes in the program outlined below. All transactions moving forward will follow that process.
Homeownership assistance may include but is not limited to:

· Subsidized interest rates and mortgage principal amounts, includingmaking a grant to reduce the effective interest rate on the amountneeded by the purchaser to an affordable level. (The funds granted would have to be applied towards the purchase price.)

· Finance the cost of acquiring property already occupied by the household at terms needed to make the purchase affordable.

· Pay all or part of the premium (on behalf of the purchaser) for mortgage insurance required up-front by a private mortgagee. (This would include the cost for private mortgage insurance.)

· Pay any or all of the reasonable closing costs associated with the home purchase on behalf of the purchaser.

· Pay up to 100% of the down payment required by the mortgagee for the purchase on behalf of the purchaser.

· Additional relocation expenses such as moving or storage costs

· The state will also explore other options of assistance such as mortgage guarantees

All customary costsassocatewith tecuisitioofriatproprty, inluding apraisal,egal, survey, ttle prparatin and isurance,may be paid using this source of funds.
Demolition costs may also be paid using this source of funds.
Site work and property maintenance costs, including environmental remediation, grading and security, may also be paid using this source of funds.
Households earning less than 80% of the area median income will be prioritized in the order of processing applications for assistance.

New York Rising Rental Buildings Recovery Program
The State has re-categorized the rental housing programs outlined in its original Action Plan to simplify implementation. These programs will continue to effectively provide the same type of assistance to the same categories of rental properties and populations as originally proposed in the initial Action Plan. This amendment expands the scope of available assistance and increases the budget for the Rental Buildings Recovery Program.
Based on the public comments, as well as further review of the unmet needs analysis, received during the public comment period, the state has altered the rental housing budget to increase the New York Rising Rental Buildings Program by $25,000,000 to provide for the repair, development and mitigation of rental units within the impacted areas. While the state estimates there are still outstanding needs for affordable rental within the impacted communities, within the Multi-Family/Affordable Housing Fund, it is envisioned that the allocation of CDBG-DR funds dedicated to rental will be leveraged both by tax-exempt private activity bonds (PAB) and), 4% low income housing tax credits, 9% tax credits, and private financing.

Activity Type: Rental Rehabilitation/Reconstruction

National Objective: Low and Moderate Income, Urgent Need, or Slum and Blight

Geographic Eligibility: Disaster-declared counties outside of New York City

Eligible Activity: Sec. 105 (a) (1) (4) 42 U.S.C. 5305(a)(4) New Construction: FR-5696-N-01(VI)(B)(28)

Program Description:
The New York State Rental Housing Recovery Initiatives are now broken into two major Programs.

· The Small Rental Properties Program, is designed to assist storm-damaged Small Rental Properties. It contains two program components: The 1-4 Unit Rental Housing Recovery Program and the 5-7 Unit Rental Housing Recovery Program.

· TheMulti-Family/Affordable Housing Programis designed to assist larger rental housing properties, i.e. those with 8 or more units. This Program will support both the preservation (i.e. rehabilitation/mitigation) of governmentally assisted affordable housing developments that were damaged by one of the three covered storms, as well as the production of new affordable housing developments designed to help replace the rental units lost through the storms.

The initial Action Plan provided for rehabilitation/reconstruction and mitigation assistance for one-o two-nit rntal proeris throughhe Nw Yrk Ring Husing Prgram servng homeownrs. Owner-ocupiedropertiewith twounits (thse with one homeowner unit and one rental unit) will continue to be assisted through the homeowner program. However, single-family rental properties and two-family rental properties will now be addressed within a component of the rental program specifically designed to assist small rental properties with between one and four units. At the same time, the original Small Multi-Family Repair and Reconstruction Program and the Small Multi-Family Mitigation Program were both designed to

assist three- to seven-unit properties, have now been broken into two separate components as follows: properties with five- to seven-units will be served through a new 5-7 unit program component while properties with 3 to 4 units will be served along with 1-2 unit rental properties through the 1-4 Unit Rental Housing Recovery Program mentioned above.
In addition, the State has determined that it is more efficient and cost effective to provide assistance for Rehabilitation/Reconstruction and assistance for Mitigation in a coordinated fashion through the same program component rather than through separate initiatives as originally outlined. Consequently, the newly formed 1-4 Unit Rental Recovery Program and 5-7 Unit Rental Recovery Program will provide owners with assistance for both repair/reconstruction and elevation/mitigation.
The original Action Plan also outlined a program to assist larger multi-family rental properties, i.e. those with 8 or more units. This program was designed to support the mitigation and rehabilitation of storm-damaged projects that primarily serve low- and moderate-income tenants. The State will now be addressing the category of large multi-family properties more comprehensively through itsMulti-Family Affordable Housing Program,which will support both the preservation of existing multi-family affordable properties as originally conceived, and the production of new affordable housing units in areas that lost significant numbers of rental housing units due to one of the three named storms.
In keeping with HUD guidance in the March 5, 2013, Federal Register, all assisted projects will adhere to the applicable Green Building Standards for reconstruction and rehabilitation.
Detailed Description of the State&rsquos Current Rental Housing Initiatives

The Small Rental Properties Program: This has two program components: The 1-4 Unit Rental Housing Recovery Program and the 5-7 Unit Rental Housing Recovery Program.
The 1-4 Unit and the 5-7 Unit Rental Housing Recovery Programs are designed to restore small residential rental properties that were damaged by one of the three named storms. These programs will be available toowners of damaged small residential rental properties located outside of New York City. While they are designed to serve different size properties, these two initiatives will utilize similar program structures and policies. Both programs will operate under the following guidelines:
· The program will cover costs for reimbursement of eligible repair/replacement costs; the repair/replacement of damaged real property; replacement of disaster-impactedon-luxuryresidetial aplincesand enviromntalealthhazarmtigatin costs rlated to te repair ofdisaster-impactedproperty
middot;&nbp;&nbs The programs will also cover costs (including elevation) to mitigate future damage for those properties that are located within a 100-year floodplain.
· Assistance will be for unmet repair/reconstruction and elevation/mitigation needs after accounting for all federal, state, local and/or private sources of disaster-related assistance, including, but not limited to, SBA awards, property owners&rsquo and/or flood insurance proceeds.
· Assistance for repair and elevation funding will be capped at the lesser of a specified dollar amount to be determined by New York State, or the actual unmet repair, and elevation need as described above. To direct sufficient levels of assistance to those most in need, a higher overall dollar cap amount may be applied to those households owned by low or moderate- income households and/or those properties serving LMI renter households, where the need is justified.
· Household income will be required for all tenants in affordable units for reporting purposes even for those projects assisted under the national objective of urgent need.
· Priority will be given to owners of buildings where a minimum of 51% of the units are occupied by or will be occupied by low- and moderate-income persons.

The Multi-Family/Affordable Housing Program: This program will support both the preservation of governmentally-assisted affordable housing developments that were damaged by Hurricane Irene, Tropical Storm Lee or Superstorm Sandy, as well as the development of new affordable housing to address the rental housing shortage created by the storms and help revitalize hard hit communities. Assistance will be limited to projects located in storm damaged counties outside of New York City.
Preservation assistance will be targeted to those storm damaged projects thatserve low and moderate income residents, including special needs and other vulnerable populations. Pursuant to HUD&rsquos directive outlined in HUD&rsquos November 25, 2013, Federal Notice, preservation assistance through the fund will focus on rehabilitating and retrofitting those governmentally assisted housing projects that have continuing and pressing unmet needs. In keeping with HUD&rsquos directive, assistance will be targeted to public housing and other affordable housing developments assisted through government programs (including public housing, Low Income Housing Tax Credit, Section 8, McKinney Homeless Housing, and New York State&rsquos own affordable housing programs) where future affordability is assured through long-term contracts. As the State outlined in introduction to this housing section, when needs are identified by Public Housing Authorities, the Multi- Family/Affordable Housing Program will be one of the tools used to meet the up to $10 million dollars commitment made in the first action plan.
Preservation assistance offered through the Multi-Family/Affordable Housing Fund will operate under the following guidelines:
&midot;&nbp;&bsp;&ns;&nsp;&nsp;&bs;&nbs Iwill suppot reimburseent of eligile repair/replacemnt costs;the repairreplacementof damaed rental properties with eight or more units; replace disaster-impacted non-luxury residential appliances; and cover environmental health hazard mitigation costs related to the repair of disaster-impacted property.
· When practical and warranted, it will also cover the cost of mitigating future damage (including elevation when practicable and cost effective) for properties located within a 100-year floodplain. Assistance shall be for &ldquounmet&rdquo rehabilitation and mitigation needs after accounting for all federal, state, local and/or private sources of disaster-related assistance, including, but not limited to, property owners&rsquo and/or flood insurance proceeds.
· Assistance for rehabilitation and mitigation activities will be capped at the lesser of a specified dollar amount to be determined by New York State, or the unmet repair, rehabilitation and mitigation need as described above.
· To direct sufficient levels of assistance to those most in need, especially low to moderate income and minority households, a higher overall dollar cap amount may be applied to those properties that serve special needs or other hard to house groups or provide a significant number of units designated for LMI households.

In addition to supporting the preservation of existing developments, the Multi-Family/Affordable Housing Programwill offer assistance for the development of selected affordable housing projects that will help to alleviate the shortage of affordable housing that was created or exacerbated by the loss of rental units through one of the three named storms, and support the overall recovery in areas that were impacted by the storms. CDBG-DR assistance provided through the Program will generally be limited to assisting affordable housing units. However, mixed income developments will be eligible for assistance if developers are able to leverage other funding to support the non-LMI units.

The State recognizes that in some instances hard hit communities and the tenants of New York State may be best served through the development of new, more sustainable units designed to replace some of the lost rental units that were either located in unsuitable sites or were antiquated in their design. This initiative will work to create new rental housing units through a variety of means, including the substantial rehabilitation of uninhabitable rental properties and the conversion of non-residential structures as well as new construction. The program may also &ldquoproduce&rdquo new rental units through the rehabilitation of partially occupied properties that have a significant number of vacant, uninhabitable units.
Assistance will be awarded through a process that is outlined inthe Multi-Family/Affordable Housing Programpolicies and procedures. This process will consider, among other things, the following factors:

· Potential impact on addressing affordable rental housing shortages created or exacerbated by the storms.
· &bsp&nbs;&nsp; &syp;ns;&bsp Extent twhich the prject serves te homeless or nearomeless, spcial needsopulations, ad otherulnerable groups that are traditionally hard to house.
· Extent to which the project delivers dwellings that are stronger, safer, and more disaster resilient.
· Extent to which the project meets other design criteria established by the State.

The Multi-Family/Affordable Housing Development Initiative will operate under the following guidelines:
· It will support the costs of developing rental housing units including the construction, reconstruction, or rehabilitation of quality rental units in multi-family developments of eight or more units (projects involving eight or more small buildings on a single property are eligible).
· When practical and warranted, it will also cover costs (including elevation) to mitigate future damage for properties that are being rehabilitated. (Note: elevation of the structure and application of storm hardening features are considered part of the cost of construction for new construction and are consequently eligible expenses).
· All projects will be subject to the duplication of benefits (DOB) provisions of the Stafford Act. Consequently, to the extent that the program does select a project that sustained damage through one of the covered storms and did receive other forms of assistance as a result, the State may not duplicate any earlier assistance received by the owner.
· Development assistance will be capped at the lesser of a specified dollar amount to be determined by New York State, or the development funding gap. To direct sufficient levels of assistance to those most in need, especially low to moderate income and minority households, a higher overall dollar cap amount may be applied to those properties that serve special needs or other hard to house groups or provide a significant number of units designated for LMI households.

Sandy Housing Assistance Relief Program
This new program will address unmet needs for vulnerable populations impacted by the disasters.

Activity Type: Rental Assistance and rental housing rehabilitation

National Objective: Low and Moderate Income

Location Description: Disaster-declared counties outside of New York City

Program Description:

The impact of Hurricane Irene, Tropical Storm Lee and Superstorm Sandy on the most vulnerable populations cannot be overlooked. Many households, especially rental households, that evacuated or whose homes were damaged by the storms were near the edge of sustainability prior to the storms.Therefore, the Governor&rsquos Office of Storm Recovery is committed to assisting vulnerable populations by providing renters with opportunities for rental assistance and assistance covering utiityaymentorinvesting iteeairnd reslince ofublic housng units damagd during the sorms. This program wll not dupliate other sorces of rentalassistanc.

Eligible Activities:

CDBG-DR funds under SHARP include but are not limited to the following:
· Rent/utility payments, deposits and arrears, moving and storage expenses, and emergency hotel housing, as necessary.
· Assistance to public housing units for damage repairs as a result of the storms
· Additional CDBG-DR eligible assistance as needed to those entities and service providers who assist vulnerable populations.

Eligible Applicants: The eligible applicants for the CDBG-DR programs will be homeless or at-risk individuals and families whose household income is 80% or less of Area Median Income (AMI), who were: displaced by Hurricane Irene, Tropical Storm Lee and Superstorm Sandy and are at risk of homelessness. For the purposes of rental assistance provided under this program, homeless is defined as a household which is unable to secure permanent and stable housing without special assistance. In addition, Public Housing Authorities and landlords who own affordable housing units are also eligible for this program. Additional information on the eligible applicants and criteria will be available in future Action Plan Amendments and program guidelines.

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New York Rising Economic Developmentand Revitalization
The State&rsquos Economic Development programs were approved in the State&rsquos initial Action Plan and are currently operational. This Amendment adds an eligible activity, Economic Revitalization and reallocates a portion of the budget under this program for the same activities to be conducted under the New York Rising Community Reconstruction Program to provide local communities funding to implement community-driven economic development projects.

The State is currently implementing a series of economic development programs to support the continued recovery of its communities including:
· The Small Business Grant and Loan Program provides small businesses the financial support needed to stabilize their business operations. To date, the Small Business Grant and Loan Program has awarded 412 grants for a total of $6,500,000. The State is committed to the recovery of small businesses and intends to use this second allocation to continue that assistance.

· The Coastal and Seasonal Tourism Industry Programs, which are being implemented in tandem with the Small Business Grant and Loan program, targets resources to these heavily impacted industries.

· The Tourism Marketing Program provides critical promotion of impacted communities, many of which rely on tourism dollars as part of their economy.

· The Business Mentor NY Program plans and provides mentorship support to small businesses to give businesses the tools to contnue trecoverand grw
&nbs;p>/p></>his AmendmentIso activates thEconomic Revitaization as an eligiblactivity to icrease the poential touppot the resurgence of the economy at the local and state level. For the purposes of the programs detailed herein, economic revitalization is not limited to activities that are &lsquo&lsquospecial economic development&rsquo&rsquo activities under the HCD Act, or to activities that create or retain jobs. For CDBG-DR purposes, Economic Revitalization can include any activity that demonstrably restores and improves some aspect of the local economy; the activity may address job losses, or negative impacts to tax revenues or businesses. All Economic Revitalization activitiesmust address an economic impact(s) caused by the disaster (e.g., loss of jobs, loss of public revenue).

Activity Type: Economic Revitalization and planning

National Objective: Low and Moderate Income, Urgent Need, or Slum and Blight

Geographic Eligibility: All damaged declared counties

Eligible Activity:
Economic Development Sec. 105(a)(2), (8),(14), (15), (17), (21), (22) 42 U.S.C. 5305(a)(14) (15) (17) (22); Economic RevitalizationFR&ndash5696&ndashN&ndash01 (VI) (D); Tourism FR&ndash5710&ndashN&ndash01 (ii) (3); Planning Sec.105(a) 12 or 24 CFR 507.205

Program Description:
This program will enable a broad spectrum of activities to support the varied needs of communities recovering from the disaster. Current economic development efforts are limited to small business grants, loans and mentorship planning activities. By expanding assistance to include a comprehensive range of economic development activities, the State and local governments will have the opportunity to address economic impacts of the disaster in such a way that aligns with the long-term economic development goals of impacted communities. Additional activities supporting the business sector may include: small business technical assistance, commercial redevelopment or enhancement, development of public facilities related to economic development, industry cultivation and/or preservation, workforce training or development, planning for economic growth and other activities to catalyze the state&rsquos economic recovery. Eligible activities may also include infrastructure development for economic purposes as well as mitigation, resiliency and green building efforts to protect, strengthen and increase efficiency of such investments. It is through this comprehensive approach to revitalization that the State will be able to support its communities as they rebuild and grow.

Eligible Applicants:
Eligible applicants can include local governments and nonprofits as well as the State.

Eligible Activities:
Economic Revitalization efforts enable a multi-pronged approach to ensure the businesses in New York&rsquos most impacted areas are provided the support they require, including:
· Coordination of priority projects and key economic revitalization needs identified within the Community Reconstruction Plan
· Planning related to business mentoring programs and evaluation of economic develpmet priorities
. Financiasupport to impacted communities for economic revitalization efforts including, but not limited to:
· Financial and technical assistance to microenterprise, small and medium-sized businesses
· Prioritized economic revitalization assistance to impacted LMI communities
· Workforce training in key economic growth sectors
· Development of high-growth industry clusters
· Revitalization and preservation of key legacy sectors such as agriculture, aquaculture, fisheries
· Enhancement of recreational and cultural venues and organizations to increase job opportunities and increase local tax revenues
· Rebuilding and expansion of infrastructure to attract and retain businesses and improve job access
· Rebuilding and development to mitigate and increase resiliency for future impacts
· Conducting planning activities to develop comprehensive revitalization and development plans
· Enhancement of public facilities promoting economic development, including but not limited to: streetscapes, lighting, sidewalks, other physical improvements to commercial areas, and other activities for transformative projects such as property acquisition, demolition, site preparation and infrastructure repair and installation

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New York Rising Community Reconstruction Program (NYRCR)

Through its ground up planning process, the New York Community Reconstruction Program has identified numerous infrastructure, housing and economic development initiatives which will be implemented through this program. The revised budget shifts funds from the New York Rising Economic Development Program into the New York Rising Community Reconstruction Program to cover community-driven projects. The cross-cutting projects preliminarily identified through the community reconstruction plans include housing and economic development projects, but most have been initially identified as public facilities and public works infrastructure projects. This move depicts a continued

commitment by the State to meet the unmet development needs of its communities.

Activity Name: New York Rising Community Reconstruction Program (NYRCR)

Type: Infrastructure, Housing, Economic Development, Planning

National Objective: Low and Moderate Income, Urgent Need, or Slum and Blight

Geographic Eligibility: Disaster-declared counties including New York City

Eligible Activit: </gt;
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rogram Description/strong>
As approved in theinitial Actin Plan, thestate allocatd $25,000,000 to support planning efforts of 45 committees that represent 102 storm-damaged communities across the state. In January 2014, the State made available an additional $7,000,000 for planning efforts for an additional 22 communities which are either being added into the Phase 1 planning process or will start a new, Phase 2, planning process.
The communities participating in the NYRCR program were selected principally using FEMA Individual Assistance (IA) Full Value Loss (FVL) claims obtained in March 2013, as well as community populations measured in the 2010 census. The State based its original selection of communities on an initial analysis of the most impacted communities from the three named events. The initial planning process began with 45 communities. As new data became available the State updated its analysis if the most damaged communities. The result was the addition of 22 communities to the portfolio of the NYRCR program. This approach allowed New York State to identify both the overall hardest hit communities as well as smaller communities that suffered disproportionate damage and would not necessarily have qualified under total damage estimates because of their size.
Inboth Phase I and Phase II of the planning process, each community is led by a planning committee consisting of a cross-section of local civic, business and nonprofit leaders who participate on a voluntary basis. The CDBG-DR funds are used to hire teams of professional planning consultants to support these communities in their planning efforts. As part of the planning process, committees are required to hold public engagement meetings to gather input from communities.To date, the NYRCR program has held at least 400 meetings and public hearings throughout the communities and will continue this robust outreach in Phase II.
Upon completion of the planning process, each community will present a reconstruction plan to the State. Once the reconstruction plans are submitted, the Governor&rsquos Office of Storm Recovery will then work to ensure implementation of a number of projects included in the plans that are deemed eligible for CDBG-DR funding. The State anticipates final plans will also include projects that are not CDBG-DR eligible. There is a commitment by the State to continue to work with the committees to look for alternative funding sources for these projects.
Under this second allocation, the State will increase the NYRCR budget to more than $650 million dollars of CDBG-DR funds to support the implementation of community-developed resiliency projects as a result of the planning process.The State will only fund projects that address a recovery need arising from the disaster(s),eet aDBG ntional objective and constitute an eligible CDBG activi.Adiionly,ase-side of $24,000,000 ofthese funds will bemade available to Pase 1 communities through a competitive process for the most innovative practices in categories such as public engagement, green infrastructure, and protection of vulnerable populations. It is estimated that eight Phase One awards will be made through the competitive fund. For Phase Two, the Governor&rsquos Office of Storm Recovery will set-aside $3,500,000 for the competitive process outlined above.

Implementation Approach:
As the committees draft ther final recontruction plas, they will basked to identify &ldquoPriority Projects&rdquo where CDBG-DR dollars are intended to be the full or partial source of funding for the project. The Governor&rsquos Office of Storm Recovery will review and provide guidance on proposed CDBG-DR projects. In an effort to develop resilient, cost effective and successful projects for implementation, the Governor&rsquos Office of Storm Recovery is also partnering with the Department of State to engage the Governor&rsquos Regional Economic Development Council State Agency Resource Teams (SARTs) to provide additional review of projects and guidance to the committees.
After the final submission of the NYRCR reconstruction plans, the Governor&rsquos Office of Storm Recovery will begin the implementation process. The State will conduct a formal review of CDBG-DR eligible eligibility for project. The State will also conduct an initial feasibility analysis of the projects. Further, the State will seek to work with the most local unit of government of community-based organization which has the capacity to implement projects. In addition the state may engage state agencies, units of local government, public benefit corporations and local nonprofit organizations in the implementation of these projects where it will be useful. The State may also group like projects and projects which share regional boundaries to create a reasonable and cost effective implementation process when applicable. The State will further outline the implementation process as well as the selection process for the entities who will implement these projects in the New York Rising Community Reconstruction program policies and procedures.
Eligible Applicants:
The State intends to engage both units of local government and local nonprofit organizations, as well as appropriate state agencies, authorities and public benefit corporations, to carry out these projects.
Eligible Activities:
To the extent activities are disaster recovery related and part of the Community Reconstruction plans submitted to the State, eligible activities for this program include, but are not limited to the following:
· Acquisition of real property, public facilities and improvements, clearance, rehabilitation, reconstruction, and construction of buildings;
· Removal of architectural barriers to access by the elderly and handicapped;
· Disposition of real property, including costs associated with maintenance and transfer of acquired properties;
· &nbp;nbsp; Provision of public services, schsobraiing
pgt;· nbsp; &nbp; Inrastructure projects including but not limited to payment of the non-federal share of other federal matching grant programs;
· Relocation associated with projects that utilize one or more of the other eligible activities listed here;
· Activities carried out through nonprofits;
· Assistance to neighborhod-based organizations, locl developmentorporations, ad nonprofits seving the development needs of communities; and
· Energy efficiency/conservation programs.

Economic Revitalization activities, as listed in the above Economic Development section, may also be utilized within the implementation of the Community Reconstruction Program.

New York Rising Infrastructure Program
The State&rsquos Infrastructure Program has been previously approved. The program below provides a reorganization of how the State will deliver these program components. It also increases the budget for the program to address identified unmet needs that must be addressed to rebuild and repair impacted infrastructure and to make New York&rsquos storm-impacted infrastructure assets more resilient to future storms events.

Activity Type: Public Infrastructure and Facilities and Local Government Support

National Objective: Low and Moderate Income or Urgent Need
Eligible Activities: Public facilities 105(a)(2); Code Enforcement 105(a)(3); Clearance 105(a)(4); Public services 105(a)(8); Non-federal share 105(a)(9) Energy Use Strategies 105(a)(16);42 U.S.C. 5305(a)(2);105(a) 12 or 24 CFR 507.205
Geographic Eligibility: Disaster-declared counties
Program Description:
The State&rsquos Infrastructure Program, as approved in the initial Action Plan, supported the use of CDBG-DR funds to cover state and local governments&rsquo share of the match required by federal programs on recovery projects as well as rebuilding or mitigating critical infrastructure systems. This Action Plan Amendment continues these activities but provides a new structure for program implementation. The Action Plan Amendment also increases the budget for infrastructure by $430 million, to a total of more than $700 million to support match payments and infrastructure rebuilding and mitigation. The State&rsquos CDBG-DR resources will not be used to cover match payments for projects within New York City, with the exception of projects that may be state-owned and either located in-part or in-whole within New York City. The State will, however, cover infrastructure projects in New York City communities that are part of the New York Rising Community Reconstruction Program outlined in the previous section. In addition, the State will only fund projects that address a recovery need arising from the disaster(s), meet a CDBG national objective and constitute an eligible CDBG activity.
New York&rsquos infrastructure assets are still in the early phases of recoverfromHuricane Irene, Tropical Storm Lee and Superstorm Sandy. Acrostdisastergion,EA proeworksheets are still beig developed for a widrange of projectswith costs ranging from less than $20,000 for small repairs, up to estimates that exceed $750 million for a single large project. Similarly, the full impact and cost to repair New York&rsquos storm-impacted transit and transportation systems, which drive both regional and national economies is still being assessed by other federal agencies.
The increase in budget was based on an updated unmet needs analysis as well as consultation with state agency partner, local and county government officials, other pulic entities, ad community leaers. The unmet neds analysis confirmed what the State identified immediately after Superstorm Sandy; the resources required to rebuild damaged infrastructure and mitigate against future storms, far exceeds available resources.
As the State continues to work with federal partners to access available repair and mitigation funds, it will focus on repairing, replacing and increasing resiliency of recovery-related activities to publically-owned critical infrastructure assets in the energy, transportation, water and waste-water sectors. The State will also support projects that restore, enhance and make more resilient the region&rsquos natural resource assets which provide a natural line of defense to safeguard communities against future disasters. In all infrastructure efforts, the State will support green infrastructure alternatives. Currently, the State has committed to address storm-related recovery costs for the Bay Park Waste-water Treatment Facility in Nassau County once the assessment of damage is completed through the FEMA Public Assistance program.The State also intends to support the proposed ocean outfall pipe for this facility if engineering and other technical studies indicate that this project is warranted.The State continues to work with FEMA and other federal partners to assess the outstanding needs of other large infrastructure projects such as the Long Island Power Authority (LIPA), other water and waste-water facilities, transportation hubs and transit networks.

The State will undertake planning related to infrastructure programs including resiliancy studies, individual project plans, environmental assessments, program design, strategies and action programs to implement plans, development of policies and procedures, and evaluation of the progress of projects in meeting goals and objectives.
Planning activities that relate to specific programs could be considered program delivery costs, but in some cases the State will identify them as program planning costs.
As previously mentioned, the State will conduct a risk analysis of &lsquocovered&rsquo infrastructure following HUD&rsquos guidance in the Federal Register notice.Currently, the State has identified projects that meet this threshold, the Bay Park Waste-water Treatment facility and LIPA&rsquos storm-impacted energy system. The State also anticipates paying the non-federal share match to repair a series of bridges which will be considered as a &lsquoCovered Project.&rsquo
The Stateincluded Bay Park and other infrastructure projects that are part of the non-federal share program to ensure transparency in the amendment process. The Long Island Power Authority (LIPA) and Nassau County Bay Park Wastewater treatment facility restoration projects rank in terms of dollars as among the largest single awards in FEMA history. These projects are utilizing a new Sandy specific FEMA Alternative Pilot Program process. Currently, FEMA has yet to provide to the State the finalized plan for what repair, restoration and mitigation will be covered. However, even without a final plan from FEMA and as required by the November 18th notice, the State continues to take an active anled roe among the grantee states in participating in the Federal Iter-ency Task FocResilinGroup taddes the covered project revsions. If any projects deemed a covered poject, the State will seek HUD approval for these and all covered projects.

The Infrastructure Program is organized into three sub-programs. A brief overview of these components are below. Further program details are provided in the program policies and procedures.

Non-federal Share &ldquoMatch&rdquo Program
This component is designed to assist eligible public entities to pay the non-federal share, or &ldquomatch,&rdquo for federal disaster recovery programsnd was approved ithe initial Actin Plan. FEMArsquo;s Public Assistance Program requires the State to pay a 10% fee to match 90% of the investment on projects from the agency. Currently, nearly 3,000 projects have been approved by FEMA with over 1,000 eligible applicants. Many of these applicants have few options to cover the match requirement. CDBG-DR is the only federal funding source approved to cover the non-federal match costs. The number of projects eligible for PA continues to increase as FEMA continues to refine the estimated damage and repair costs. Total FEMA PA program costs are expected to approach over $73,000,000,000.

LIPA is a public authority and thus eligible for the FEMA PA program. As with other public entities, the State will assist in providing the non-federal share match for CDBG-DR eligible activities under its program as part of the FEMA PA program. Currently, the State only intends to address the PA claims and match requirements. FEMA PA validates, as part of the program, that as insurance proceeds are calculated the program will reduce the match award payment accordingly. The State, through its review of PA worksheets and supporting documentation, will ensure that duplication of benefits does not occur. If it is determined that part of any assistance provided to LIPA is a covered project as identified by the notice, then it will be submitted to HUD for approval.

Through these programs, the State is playing close attention to applicants who provide services to vulnerable populations and who may need assistance with meeting the match obligation such as Public Housing Authorities. As the State continues to engage in conversations with the PHAs to estimate their unmet need and availability of FEMA assistance, the State will use the Public Assistance Program to assist the PHAs if needed. The State is committed to ensuring that the PHA&rsquos needs are met as the population they serve is a priority for the State.
Federal Programs Eligible, under the State&rsquos Match Program, for reimbursements include:

- EPA Programs &ndash State Revolving Fund Program & Drinking Water and Waste-water Treatment,
- FEMA Hazard Mitigation Grant Program,
- FEMA Public Assistance Program including 406 Mitigation measures,
- FEMA Mission Assignment Program, Direct Federal Assistance
- FEMA Individual Assistance Program, specific sections
- USDOT Programs - FHWA-ER & FTA-ER programs,
- USACE Program, and
- USDA Natural Resource Conservation Service &ndash disaster recovery program NRCS ERS.

Sectors that may receive fns are

- Local and County Governments
- Stae Agencienbsp;
- blicchools- (K-12)
-

irst Responders - Entites not covered by a uit of local government

- Critical Infrastructure Facilities
- Public Housing Authorities

The State will work with state agencies, local governments and other potential recipients of match funds to determine their eligibility for this component of the program.
Local Government Public Infrastructure Program
This program isdesigned to meet additional recovery needs of heavily impacted local governments, school districts and other public entities tht play critical roes in local communties. To be eligibe for the program, the public entity must have been directly impacted by one of the named storms and have had a significant and acute funding gap. It is anticipated that the majority of large infrastructure costs will be covered within the FEMA PA program, and the above Match program. However, the State has identified communities where outstanding gaps in funding to cover essential public services and infrastructure remain. Funds may also be used to repair, rebuild, enhance, or mitigate a facility as long as there is not a duplication of benefit.The State will only fund projects that address a recovery need arising from the disaster, meet a CDBG national objective and constitute an eligible CDBG activity.The Governor&rsquos Office of Storm Recovery is still undergoing a system wide analysis of unmet need at the local level and reserves the right to assist entities that meet the program guidelines.
The State will also work with local governments to assist the continued repair and mitigation of public facilities and services. Additionally, the Governor&rsquos Office of Storm Recovery is aware that many local school districts and local governments face a strain on their capacity to provide essential services. To meet these needs the State will consider development of a financing program that would address loss to key public services resulting from the disasters.

Critical Infrastructure Program
Three sectors will be covered through the Critical Infrastructure component (Transportation, Energy and Water and Waste-water).
Transportation Infrastructure
The Critical Infrastructure program will ensure that New York&rsquos transit entities and facilities with eligible storm related recovery needs are assisted through the FEMA PA program, the Hazard Mitigation Grant Program, the Federal Transit Authority Program (FTA-ER) and/ or the Federal Highway Administration (FHWA) &ndash Emergency Relief program. As per the Federal Notice, the Governor&rsquos Office of Storm Recovery will work closely with the State of New Jersey and New York City to ensure that repair and rebuilding costs incurred by the Port Authority of New York and New Jersey (PANYNJ) and the Metropolitan Transit Authority (MTA) are met whether through CDBG-DR, another federal source of funds, or through the agencies&rsquo capital plans.
Energy Infrastructure
Superstorm Sandy made landfall on Long Island and crippled the region&rsquos largest public energy system, LIPA. LIPA provides service to over 90% of Long Island residents. Sections of Long Island were without power for weeks. The lack of power and physical damagighlihted to the State and federal authorities that, in addiion to rebuildngand repairing LIPAIrsquosystem fromuerstrmSandy, additionalesiliency measures wereneeded so that future events did not add more repair and rebuilding costs. As a public entity, LIPA is eligible for federal programs, including FEMA&rsquos PA program. However, due to the level of damage incurred, over $1 billion, Long Island residents would face significant rate increases to implement the changes necessary to restore and protect the LIPA system and to cover the match payment for recovery. As a result, the State through the critical infrastructure program, will assist LIPA by addressing specificrecovery needs so tat these rebuildingrepair and mitigatin costs are not passed onto consumers.
Water and Waste-water Treatment Facilities
Water and waste-water treatment facilities, such as the Bay Park Waste-water Treatment Facility, are generally located in low- lying areas and

thus were heavily impacted by Superstorm Sandy. Total estimates to repair the full slate of storm-impacted facilities in New York State may exceed $1 billion. The recovery, repair and resilience of these treatment facilities is a priority for the Governor&rsquos Office of Storm Recovery. The eligible FEMA PA assistance claims, including the amount of 406 mitigation measures to be applied to these low-lying facilities, is still being determined. Repair and/or replacement estimates from these programs are increasing. Depending on the final eligibility determinations by FEMA and or EPA, the Governor&rsquos Office of Storm Recovery may, depending on the availability of funds, allocate CDBG-DR funds to assist with gap funding for the non-federal share of some of these projects.

Natural Resource Green Infrastructure Program

This program is designed to promote the State&rsquos commitment to green infrastructure, meet recommendations made by the Hurricane Sandy Rebuilding Task Force and embrace HUD&rsquos recommendation that grantees incorporate natural resiliency measures into infrastructure projects. This program will use funds to address the recovery and rebuilding needs of state agencies and units of local government who pursue projects that are natural resource based and or incorporate &ldquogreen infrastructure&rdquo methods in project design. Projects must primarily use a &ldquogreen&rdquo method to address a critical infrastructure or community resiliency need, i.e. protect sections of housing stock, public infrastructure, or business assets. Examples of projects that may be developed include: restoring, developing, and or enhancing natural barrier dune systems, wetland habitats, near shore vegetation and forest canopies; creating living shorelines; and restoring man-made or natural beach environments.

Resilience Performance Standards

The State is committed to implementing resiliency performance standards for all infrastructure projects.While in the planning stages for its projects, the State has and will continue to consider how requirements related to flood-proofing, wind-resistance and other mitigation efforts associated with rebuilding more resilient structures and communities can be achieved. Working withthe New York State Office of Emergency Management (State OEM), the state will utilize the mitigation principles of FEMA&rsquos Hazard Mitigation Program to develop its resiliency measures.NYS RISE,on behalf of theState, isdeveloping a setof performance standards thatthe State will use to measure resiliency within a project. These include:

- Robustness (ability to absorb and withstand disturbances and crises)
- Redundancy (excess capacity and back-up systems, which enable maintenance of the core functionality in an event of disturbance)
- Resourcefulness (ability to adapt to crises and respond flexibly)
- Response (ability to mobilize quickly in the face of crises)
- Recovery (ability to regain a degree of normality after a crisis).

Once this index is compiled, the State will review the standards for appropriateness and feasibility of implementation. With input from NYS RISE, private stakeholders, and public agencies including the federal agency partners, affected state agencies and units of local government, the State will determine a set of performance standards and implement them where appropriate.

Overall	This Report Period	To Date
Total Projected Budget from All Sources	N/A	$1,419,190,539.03
Total Budget	$153,207,518.83	$1,419,190,539.03
Total Obligated	$159,458,960.96	$866,830,899.97
Total Funds Drawdown	$174,659,165.13	$861,560,060.09
Program Funds Drawdown	$174,659,165.13	$861,560,060.09
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$144,423,586.91	$802,956,374.01
Match Contributed	$0.00	$0.00

Progress Toward Required Numeric Targets

Requirement	Required	To Date
Overall Benefit Percentage (Projected)		39.63%
Overall Benefit Percentage (Actual)		41.86%
Minimum Non-Federal Match	$0.00	$0.00
Limit on Public Services	$571,644,000.00	$9,936,306.23
Limit on Admin/Planning	$762,192,000.00	$42,312,799.06
Limit on State Admin	$190,548,000.00	$23,935,209.79

Progress Toward Activity Type Targets

Progress Toward National Objective Targets

National Objective	Target	Actual
Low/Mod	$1,905,480,000.00	$514,212,196.29

Overall Progress Narrative:

In Q3-2014, the State continued its recovery efforts across the agency. Action Plan Amendment Seven was submitted to HUD as a non-substantial amendment on September 15, 2013, and resubmitted on October 6, 2014. This Amendment updated the State&rsquos Certifications as required by the November 18, 2013, Federal Register. It also made modification to the Economic Development and Infrastructure programs to include planning as an additional eligible activity.
&bullThe APA adds planning as an eligible activity for the Business Mentoring program which provides technical assistance support to small businesses as part of the Economic Development portfolio.
&bullThe APA adds planning as an eligible activity for the Infrastructure programs to cover activities required in infrastructure projects including but not limited to individual project plans, environmental assessments, strategies and action programs to implement plans, and evaluation of the progress of projects in meeting goals and objectives.

In August, HUD came to the State for their standard twice a year Monitoring Visit. During this monitoring visit, HUD focused primarily on the Housing Programs including the Single Family Housing Program and the Interim Mortgage Assistance Programs. In addition to the monitoring visit, HUD also provided some Technical Assistance to the State.

The state is committed to increase staff as it ramps up in program implementation. At the end of Q3-2014 the Governor&rsquos Office of Storm Recovery (GOSR) totaled 107 employees working all throughout the state, and hiring will continue to meet the needs of the recovery programs.
The State&rsquos initial Action Plan, approved in April 2013, focused primarily on addressing the immediate housing and business assistance needs in the communities affected by recent storms and assisting county and local governments to cover both their emergency expenses and the matching funds necessary to repair and mitigate key infrastructure projects. The State also worked with storm-damaged communities to begin comprehensive community-based planning. The State has currently amended the action plan six times since initial approval.
Action Plan Amendment One, a substantial amendment, allocated up to $50 million to aid local governments in continuing to provide basic services to their residents in light of the decreased revenue as a result of lost property tax revenue and property abandonment. The State is still finalizing the details of this program and will work with HUD prior to any final programmatic decisions.
Action Plan Amendment Two allowed for the State to set aside $5 million dollars to cover the 25% non-Federal

Program, which was enacted by law and offers assistance to small businesses in implementing the capacity for back-up power in future emergencies and severe weather events.
Action Plan Amendment Three, a non-substantial amendment clarified many programmatic items in the following programs: Housing, Buyout, Small Business, Coastal Fishing, Seasonal Tourism, Business Assistance Program and Tourism Promotion Marketing programs.
Action Plan Amendment Four, a substantial amendment, introduced the Interim Mortgage Assistance Program (IMA) and allocated up to $80 million dollars to assist displaced families who are struggling to pay the mortgage on the still damaged home which they are rehabilitating while paying for interim housing costs.
Action Plan Amendment Five, a substantial amendment, allocated $2.7 million dollars to facilitate coordination among local research centers engaged in Sandy-related work and storm resilience through an inter-disciplinary research and planning effort, conducted through a consortium of New York State higher education institutions can add tremendous value to the state&rsquos recovery efforts and improve resilience. The consortium serves as a statewide anchor for policymakers, experts and emergency responders, providing comprehensive analysis to inform critical decisions before, during and after extreme weather events. The consortium will function as an institution for knowledge development of storm-hazards risk management; provide expertise to aid agencies in providing and quantifying resilience in ecosystem and infrastructure design, operation, and investment; and develop platforms for transforming predictions into adaptive measures.
Action Plan Amendment Six, a substantial amendment, guided the distribution of the entire $3,810,960,000 of CDBG-DR funding to meet the remaining unmet housing, economic development, community planning, and infrastructure needs of impacted communities. Action Plan Amendment six added funding to existing programs underway. It also prioritizes repairs to, and mitigation of critical infrastructure, and the implementation of community-driven plans that will improve resilience and drive economic growth.
Action Plan Six included an adjusted unmet needs analysis that accounts for the remaining needs to repair and replace damaged housing, economic development and infrastructure; a Comprehensive Risk Analysis, which provides a methodology for analyzing large-scale infrastructure projects, in accordance with HUD Notice; clarifications and budget adjustments of the New York Rising Housing Programs; adjustment to the budget for the Economic Development Program; increases the budget for the implementation of the New York Rising Community Reconstruction Program (NYRCR); and increases the budget for projects under the State&rsquos Infrastructure Program.
The State continues to make progress across all program lines and ensure that it is serving the individuals, small businesses and communities impacted by Sandy, Irene and Lee.
Detailed Q3-2014 programmatic updates can be found in the appropriate program narratives in this QPR.

Project Summary

Project #, Project Title	This Report Period	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
9999, Restricted Balance	$0.00	$270,960,000.00	$0.00
NY03MFH-R1, C. Multi-Family Housing Mitigation	$0.00	$0.00	$0.00
R1-Admin, H. Administration R1	$7,031,199.04	$18,209,039.89	$18,209,039.89
R1-Buyout, B. Recreate Home Buyout	$70,599,461.43	$213,036,557.23	$212,837,416.42
R1-ComRecon, G. Community Reconstruction Rnd. 1	$0.00	$5,000,000.00	$5,000,000.00
R1-Housing, A. Recreate NY Smart Home Repair &	$38,802,599.23	$304,598,611.40	$304,511,855.34
R1-INFRA, Local Government and Critical Infrastructure Program -	$9,927.75	$119,819.80	$119,819.80
R1-Match, F. Non-Federal Share Match Program	$0.00	$49,724,119.69	$49,724,119.69
R1-SmBusiness, D. Small Business Program Rnd. 1	$5,029,906.88	$26,853,303.39	$26,853,303.39
R1-TMC, E. Tourism Marketing Campaign	$0.00	$22,458,548.60	$22,458,548.60
R2-Admin, H. Administration R 2	$1,230,445.44	$31,790,960.11	$5,726,169.90
R2-Buyout, B. Recreate Home Buyout Rnd. 2	$404,816.21	$126,216,558.72	$18,177,560.52
R2-ComRecon, G. Community Reconstruction Rnd. 2	$3,009,909.86	$20,000,000.00	$13,377,589.27

R2-Housing, A. Recreate NY Smart Home Repair &	$45,604,679.25	$457,000,000.00	$177,265,337.89
R2-IMA, I. IMA Rnd. 2	$2,838,109.13	$20,000,000.00	$6,556,740.47
R2-INFRA, Local Government and Critical Infrastructure Program -	$92,602.91	$4,860,000.00	$92,602.91
R2-Match, F. Non-Federal Share Match Program Rnd. 2	$0.00	$10,000,000.00	$0.00
R2-Rental, Rental Properties Program R2	$5,508.00	$30,000,000.00	$649,956.00
R2-SmBusiness, D. Small Business Program Rnd 2	$0.00	$23,000,000.00	$0.00
R2-TMC, Tourism Marketing Campaign R2	$0.00	$0.00	$0.00

Activities

Project # / Title: R1-Admin / H. Administration R1

Grantee Activity Number:	**R1-Admin**
Activity Title:	**General Administration R1**

Activitiy Category:
Administration

Activity Status:
Under Way

Project Number:
R1-Admin

Project Title:
H. Administration R1

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
N/A

Completed Activity Actual End Date:

National Objective:
N/A

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$18,209,039.89
Total Budget	($6,790,960.11)	$18,209,039.89
Total Obligated	$1,891,026.58	$18,209,039.89
Total Funds Drawdown	$7,031,199.04	$18,209,039.89
Program Funds Drawdown	$7,031,199.04	$18,209,039.89
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$16,307,462.61
New York State	$0.00	$16,307,462.61
Match Contributed	$0.00	$0.00

Activity Description:

State and local adminitration of the CDBG-DR funds.

Location Description:

Administrative activities being undertaken throughout the areas impacted by Hurricanes Sandy, Irene and Tropical Storm Lee.

Activity Progress Narrative:

The Governor&rsquos Office of Storm Recovery (GOSR) of the Housing Trust Fund Corporation has continued to build and support the necessary staff resources to administer the CDBG-DR program for New York State. GOSR maintains a staff, office space, and intake center facilities in New York City (Lower Manhattan and Staten Island), Albany, and multiple locations throughout Long Island, including Farmingdale and Melville to carry out its community development activities. GOSR&rsquos Activities include general management, oversight, monitoring, and coordination of planning activities and programs. During Q3-

2014, funds were provided for legal, integrity monitoring, and other advisory services related to the design and implementation of the housing programs and small business programs. Additionally, GOSR expenditures included payments for payroll, taxes and insurance, travel, monitoring and other related costs. To date, GOSR has hired approximately 100 staff involved in programmatic and operational work. GOSR continues to focus on building its capacity around the implementation of the housing and small business programs, as well as in other key areas such as monitoring and compliance, legal and finance. The budget for R1 has been exhausted and this activity will be marked closed/completed. The corresponding R2 activity funds will be used for any further expenditure.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Activity Supporting Documents

Document Name: PL_113_2_Contract_Reporting_Template_rev20141030.xlsx

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Project # / Title: R1-Buyout / B. Recreate Home Buyout

Grantee Activity Number:	**R1-BOH-LMI**
Activity Title:	**Buyout R1 - LMI**

Activitiy Category:
Acquisition - buyout of residential properties

Activity Status:
Under Way

Project Number:
R1-Buyout

Project Title:
B. Recreate Home Buyout

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Direct Benefit (Households)

Completed Activity Actual End Date:

National Objective:

Responsible Organization:

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$74,781,775.28
Total Budget	$22,530,653.54	$74,781,775.28
Total Obligated	$38,351,511.57	$74,781,775.28
Total Funds Drawdown	$26,002,593.94	$74,781,775.28
Program Funds Drawdown	$26,002,593.94	$74,781,775.28
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$45,959,096.52	$74,781,775.28
New York State	$45,959,096.52	$74,781,775.28
Match Contributed	$0.00	$0.00

Activity Description:

Voluntary buyout/acquisition of properties in high hazard areas.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee with particular emphasis on Nassau, Suffolk, and parts of Staten Island.

Activity Progress Narrative:

In Q3-2014, the State acquired 37 parcels, bringing the cumulative to 90 parcels, located in the most impacted counties; Richmond, Suffolk, and Nassau. The program has 2 major activities; buyout and acquisition. The 37 properties acquired in Q3-2014 contain 39 housing units; 37 owner occupied households and 2 renter households.
>Certain highest risk areas in the floodplains, determined to be among the most susceptible to future disasters, will be identified by the State and its local partners as &ldquobuyout areas&rdquo. All activities undertaken will be pursuant to &ldquobuyout program&rdquo policy and procedures. Under the program the State will conduct purchases inside of the buyout areas as &ldquobuyouts&rdquo, as defined by the State, FEMA, and HUD, whereby they will be eligible for purchase starting at 100% of the property&rsquos pre-storm fair market value (FMV).
>The State utilized Department of State analysis where available and the thresholds defined for Extreme and High Risk Zones to determine what areas are most susceptible to significant impact caused by future flood events.
>Location in a defined risk zone alone is insufficient for defining &ldquobuyout areas&rdquo. The following selection criteria are used to evaluate potential buyout areas.
>&bull Areas will have a history of flooding and/or damage caused by extreme weather events.
>&bull Areas will have multiple contiguous parcels in the flood plain that can evidence similar damage and where homeowners have collectively voiced interest in relocation from the floodplain.
>o This interest must be documented in some way that will allow the State to identify the individual parcels, and perform an analysis of the number and location of the parcels.
>&bull The State and the areas respective municipal officials (local/county) will have a mutual understanding of the benefit of permanently removing residents/homes from the floodplain permanently, and converting the site to a coastal buffer zone.
>Properties purchased as a &ldquobuyout&rdquo will be maintained as open space/transformed into coastal buffer zones, parks or other non-residential uses passive recreational uses.
>The State intends to conduct most purchases outside of the enhanced buyout areas as &ldquoacquisitions&rdquo, as defined by HUD, whereby purchase offers must begin with the post-storm fair market value of the property. In these instances, however, the State proposes to supplement this post-storm FMV with added homeowner incentives. Such incentives are necessary to allow homeowners inside flood-prone areas that have sustained damage to their primary residence and are otherwise unable/unwilling to repair their home, and seek to relocate to a safer, less flood-prone area, the ability to do so.
>Assistance to property owners shall be for property purchased after accounting for all Federal, State, local and/or private sources of disaster-related assistance.
>All customary costs associated with the acquisition of private property, including appraisal, legal, survey, title preparation and insurance, may be paid for by the State. Demolition, site work and property maintenance costs, including environmental

remediation, grading and security, may also be paid for under this program.
>During the quarter, the budget for this Round 1 activity was increased, and funds originally drawn down as Round 2 expenditures were reclassified as Round 1 expenditures. Expenditure amounts in the quarter exceed funds draw down as a result.
>The budget for R1 has been exhausted and this activity will be marked closed/completed. The corresponding R2 activity funds will be used for any further expenditure. The projections for this activity were also adjusted to reflect the updated values based on funds in the Round 1 and Round 2 budgets.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	26	90/123
# of Parcels acquired voluntarily	90	90/123

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	33	93/126
# of Singlefamily Units	33	93/126

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	35	-5	29	59/72	34/46	93/126	100.00
# Owner Households	35	-8	26	59/72	31/43	90/123	100.00
# Renter Households	0	3	3	0/0	3/3	3/3	100.00

Activity Locations

Address	City	County	State	Zip	Status / Accept

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R1-BOH-UN
Activity Title:	Buyout R1 - UN

Activitiy Category:
Acquisition - buyout of residential properties

Activity Status:
Under Way

Project Number:
R1-Buyout

Project Title:
B. Recreate Home Buyout

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Direct Benefit (Households)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$138,254,781.95
Total Budget	$46,752,787.74	$138,254,781.95
Total Obligated	$74,774,780.53	$138,254,781.95
Total Funds Drawdown	$44,596,867.49	$138,055,641.14
Program Funds Drawdown	$44,596,867.49	$138,055,641.14
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$87,704,699.78	$138,055,641.14
New York State	$87,704,699.78	$138,055,641.14
Match Contributed	$0.00	$0.00

Activity Description:

Voluntary buyout of single-family properties.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee particularly in areas of Nassau, Suffolk Counties and Staten Island.

Activity Progress Narrative:

In Q3-2014, the State has acquired 84 parcels, bringing the cumulative to 186, located in the most impacted counties; Richmond, Suffolk, and Nassau. The program has 2 major activities; buyout and acquisition. The 37 properties acquired in Q3-2014 contain 90 housing units; 84 owner occupied households and 6 renter households.
>Certain highest risk areas in the floodplains, determined to be among the most susceptible to future disasters, will be identified by the State and its local partners as &ldquobuyout areas&rdquo. All activities undertaken will be pursuant to &ldquobuyout program&rdquo policy and procedures. Under the program the State will conduct purchases inside of the buyout areas as &ldquobuyouts&rdquo, as defined by the State, FEMA, and HUD, whereby they will be eligible for purchase starting at 100% of the property&rsquos pre-storm fair market value (FMV).
>The State utilized Department of State analysis where available and the thresholds defined for Extreme and High Risk Zones to determine what areas are most susceptible to significant impact caused by future flood events.
>Location in a defined risk zone alone is insufficient for defining &ldquobuyout areas&rdquo. The following selection criteria are used to evaluate potential buyout areas.

>&bull Areas will have a history of flooding and/or damage caused by extreme weather events.
>&bull Areas will have multiple contiguous parcels in the flood plain that can evidence similar damage and where homeowners have collectively voiced interest in relocation from the floodplain.
>o This interest must be documented in some way that will allow the State to identify the individual parcels, and perform an analysis of the number and location of the parcels.
>&bull The State and the areas respective municipal officials (local/county) will have a mutual understanding of the benefit of permanently removing residents/homes from the floodplain permanently, and converting the site to a coastal buffer zone.
>Properties purchased as a &ldquobuyout&rdquo will be maintained as open space/transformed into coastal buffer zones, parks or other non-residential uses passive recreational uses.
>The State intends to conduct most purchases outside of the enhanced buyout areas as &ldquoacquisitions&rdquo, as defined by HUD, whereby purchase offers must begin with the post-storm fair market value of the property. In these instances, however, the State proposes to supplement this post-storm FMV with added homeowner incentives. Such incentives are necessary to allow homeowners inside flood-prone areas that have sustained damage to their primary residence and are otherwise unable/unwilling to repair their home, and seek to relocate to a safer, less flood-prone area, the ability to do so.
>Assistance to property owners shall be for property purchased after accounting for all Federal, State, local and/or private sources of disaster-related assistance.
>All customary costs associated with the acquisition of private property, including appraisal, legal, survey, title preparation and insurance, may be paid for by the State. Demolition, site work and property maintenance costs, including environmental remediation, grading and security, may also be paid for under this program.
>During the quarter, the budget for this Round 1 activity was increased, and funds originally drawn down as Round 2 expenditures were reclassified as Round 1 expenditures. Expenditure amounts in the quarter exceed funds draw down as a result.
>The budget for R1 has been nearly exhausted. The corresponding R2 activity funds will be used for most further expenditure. The projections for this activity were also adjusted to reflect the updated values based on funds in the Round 1 and Round 2 budgets.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	58	186/250
# of Parcels acquired voluntarily	58	186/250

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	68	192/256
# of Singlefamily Units	68	192/256

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	0	0	64	0/0	0/0	192/256	0.00
# Owner Households	0	0	58	0/0	0/0	186/250	0.00
# Renter Households	0	0	6	0/0	0/0	6/6	0.00

Activity Locations

Address	City	County	State	Zip	Status / Accept

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R1-ComRecon / G. Community Reconstruction Rnd. 1

Grantee Activity Number: R1-CR-Plan

Activity Title: Community Reconstruction Planning R1

Activitiy Category:
Planning

Activity Status:
Under Way

Project Number:
R1-ComRecon

Project Title:
G. Community Reconstruction Rnd. 1

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Area Benefit (Survey)

Completed Activity Actual End Date:

National Objective:
N/A

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$5,000,000.00
Total Budget	$0.00	$5,000,000.00
Total Obligated	$0.00	$5,000,000.00
Total Funds Drawdown	$0.00	$5,000,000.00
Program Funds Drawdown	$0.00	$5,000,000.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$5,000,000.00
New York State	$0.00	$5,000,000.00
Match Contributed	$0.00	$0.00

Activity Description:

The State will contract with 9 planning/consulting firms to provide planning assistance to 43 communities in the affected areas develop long-term recovery and resiliency plans which will lead to future CDBG-DR projects.

Location Description:

New York State will be undertaking planning activities in and with communities located in the impacted areas. Individual and groups of communities are awarded in the three general areas: Long Island, New York City, and Upstate. All communities are located in Counties eligible for DR assistance through Sandy, Irene or Lee disaster designations.

Activity Progress Narrative:

The NY Rising Community Reconstruction (NYRCR) Program is an eight-month community-based planning process to empower the State&rsquos most impacted communities with the technical expertise needed to develop thorough and implementable reconstruction plans to build physically, socially, and economically resilient and sustainable communities.
>The State allocated between $3 million and $25 million each in CDBG-DR funding to 124 communities to implement eligible projects identified in NYRCR Community Reconstruction Plans. Sixty-one NYRCR Planning Committees, each comprising one or more of the 124 communities, were assembled. Forty-five Planning Committees began their work in the summer of 2013 and completed the planning process in April 2014. An additional 16 Planning Committees entered into the NYRCR Program in the spring of 2014 and began meeting in July. Planning Committees are composed of local residents, business owners, and civic leaders. The Planning Committees were supported by staff from the Governor&rsquos Office of Storm Recovery (GOSR) and consultants from planning firms that specialize in engineering, flood mitigation solutions, green infrastructure, and more. The planning process ensured that Committees engaged in an inventory of storm damage, asset inventory, consideration of community needs and opportunities, development of recovery and resiliency strategies, and proposal of projects and actions which advance those strategies.
>The NYRCR Program sets a new standard for community participation in recovery and resiliency planning. More than 650 New Yorkers represent their communities by serving on Planning Committees. To date, over 600 Planning Committee Meetings have been held. All meetings were open to the public. An additional 200-plus Public Engagement Events have attracted thousands of community members, who provided feedback on the NYRCR planning process and proposals. The NYRCR Program&rsquos outreach has included communities that are traditionally underrepresented, such as immigrant populations and students. All planning materials are posted on the NYRCR Program&rsquos website, providing several ways for community members and the public to submit feedback on materials in progress.
>Round I of the planning process drew from financial rounds 1 and 2. Round I planning concluded when each of the 45 original Planning Committees submitted their final NYRCR Plans to the State. Round 1 of funding ($5 million) covered the initial planning costs and round 2 ($20 million) led to the completion of the NYRCR Plans in Round I of the Program. In Q3-2014, GOSR has initiated the implementation of projects proposed by the 45 Round I Planning Committees in their NYRCR Plans. GOSR is assessing the eligibility, feasibility, and efficacy of proposed projects. GOSR is working to develop local partnerships with potential subrecipients to implement recovery and resiliency projects, and anticipates entering into subrecipient agreements with more than 50 different entities by early November.
>Simultaneously, GOSR is working with the 16 Round II Planning Committees to finish their NYRCR Plans. In Q3-2014, all Round II Committees have met at least seven times, hosting two public engagement events for their communities to learn about the program and submitted input on community assets, needs and opportunities, as well as strategies. These Committees are currently drafting a list of recovery and resiliency projects for inclusion in their NYRCR Plans.
>The budget for R1 has been exhausted and this activity will be marked closed/completed. The remaining corresponding R2 activity funds will be used for any further planning expenditure. All funds spent were for planning activities in previous quarters.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Plans or Planning Products	0	0/43

Beneficiaries Performance Measures

	Beneficiaries - Area Benefit Survey Method			
	Low	Mod	Total Low/Mod%	
# of Persons	0	0	0	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R1-Housing / A. Recreate NY Smart Home Repair &

Grantee Activity Number: R1-HSG-LMI
Activity Title: Recreate NY Smart Home Repair R1 - LMI

Activitiy Category:
Rehabilitation/reconstruction of residential structures

Activity Status:
Under Way

Project Number:
R1-Housing

Project Title:
A. Recreate NY Smart Home Repair & Reconstruction

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Direct Benefit (Households)

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$143,658,615.92
Total Budget	$56,658,615.92	$143,658,615.92
Total Obligated	$56,658,615.92	$143,658,615.92
Total Funds Drawdown	$17,998,230.81	$143,643,389.91
Program Funds Drawdown	$17,998,230.81	$143,643,389.91
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$56,643,389.91	$143,643,389.91
New York State	$56,643,389.91	$143,643,389.91
Match Contributed	$0.00	$0.00

Activity Description:

Rehabilitaiton or reconstruction of owner-occupied single-family housing.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee, with the majority located in Nassau and Suffolk Counties.

Activity Progress Narrative:

During Q3-2014, the Governor&rsquos Office of Storm Recovery (GOSR) Homeownership Program did not issue any final payments utilizing Round 1 funds. The number of unique LMI applicants that received final Round 1 payment since the Program&rsquos inception is 177. The number of unique LMI applicants that received their initial payment from Round 1, since the Program&rsquos inception, is 1,720. Beneficiary numbers are somewhat less than those reported in the Q2-2014 QPR due to various reasons, including some checks being returned or voided after issuance; and the fact that some applicants &ldquoopted in&rdquo for optional resiliency measures, and will therefore receive additional payments and are no longer considered as received final payment.
During the quarter, the budget for this Round 1 activity was increased, and funds originally drawn down as Round 2 expenditures were reclassified as Round 1 expenditures. Expenditure amounts in the quarter exceed funds draw down as a result. In addition, first payments from Round 1 funds increased since last quarter. This program continues to be implemented within the projected end date timeframe.
The projections for this activity were also adjusted to reflect the updated values based on funds in the Round 1 and Round 2 budgets and to account for beneficiaries only being reported upon final and not initial payment.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	-22	177/177
# of Substantially Rehabilitated Units	0	6/6
# of Elevated Structures	0	3/3

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	-22	177/177
# of Singlefamily Units	-22	177/177

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	-16	-6	-22	96/96	81/81	177/177	100.00
# Owner Households	-16	-6	-22	96/96	81/81	177/177	100.00
# Renter Households	0	0	0	0/0	0/0	0/0	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R1-HSG-Plan
Activity Title:	Housing Planning R1

Activitiy Category:
Planning

Activity Status:
Under Way

Project Number:
R1-Housing

Project Title:
A. Recreate NY Smart Home Repair & Reconstruction

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
N/A

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$0.00
Total Budget	$0.00	$0.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Planning for rehabilitation or reconstruction of owner occupied single-family housing.

Location Description:

Areas impacted by Hurricane Sandy and Irene and Tropical Storm Lee with the majority located in Nassau and Suffolk Counties.

Activity Progress Narrative:

This activity has no budget and will be removed. All housing planning activities will be expended from the corresponding R2 planning activity.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Plans or Planning Products	0	0/1

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R1-HSG-UN
Activity Title:	Recreate NY Smart Home Repair R1 - UN

Activitiy Category:
Rehabilitation/reconstruction of residential structures

Activity Status:
Under Way

Project Number:
R1-Housing

Project Title:
A. Recreate NY Smart Home Repair & Reconstruction

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Direct Benefit (Households)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$160,939,995.48
Total Budget	$73,939,995.48	$160,939,995.48
Total Obligated	$73,939,995.48	$160,939,995.48
Total Funds Drawdown	$20,804,368.42	$160,868,465.43
Program Funds Drawdown	$20,804,368.42	$160,868,465.43
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$73,868,465.43	$160,868,465.43
New York State	$73,868,465.43	$160,868,465.43
Match Contributed	$0.00	$0.00

Activity Description:

Repair and reconstruciton of single-family owner-occupied homes.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee, with the priority areas being Nassau and Suffolk Counties.

Activity Progress Narrative:

During Q3-2014, the Governor&rsquos Office of Storm Recovery (GOSR) Homeownership Program issued final payment from Round 1 to one Urgent Need applicant. The number of unique UN applicants that received final Round 1 payment since the Program&rsquos inception is 289, and the number of properties totaled 287; 287 households were owner-occupied and 2 were renter occupied. The number of unique UN applicants that received their initial payments form Round 1, since the Program&rsquos inception, is 2,231. The State issued final payments to two (2) beneficiaries with a tenant occupying a unit within a two-family owner-occupied property. Final payment numbers are somewhat less than those reported in the Q2-2014 QPR due to various reasons, including some checks being returned or voided after issuance; and the fact that some applicants &ldquoopted in&rdquo for optional resiliency measures, and will therefore receive additional payments.
During the quarter, the budget for this Round 1 activity was increased, and funds originally drawn down as Round 2 expenditures were reclassified as Round 1 expenditures. Expenditure amounts in the quarter exceed funds draw down as a result. In addition, first payments from Round 1 funds increased since last quarter. This program continues to be implemented within the projected end date timeframe.

>The projections for this activity were also adjusted to reflect the updated values based on funds in the Round 1 and Round 2 budgets and to account for beneficiaries only being reported upon final and not initial payment.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	-37	287/287
# of Substantially Rehabilitated Units	-2	8/8
# of Elevated Structures	-3	8/8

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	-35	289/289
# of Singlefamily Units	-35	289/289

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	0	0	-35	0/0	0/2	289/289	0.00
# Owner Households	0	0	-37	0/0	0/0	287/287	0.00
# Renter Households	0	0	2	0/0	0/2	2/2	0.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R1-INFRA / Local Government and Critical Infrastructure

Grantee Activity Number:	**R1-INFRA-Moses-UN**
Activity Title:	**Robert Moses State Park R1 - UN**

Activitiy Category:
Rehabilitation/reconstruction of a public improvement

Activity Status:
Under Way

Project Number:
R1-INFRA

Project Title:
Local Government and Critical Infrastructure Program - R1

Projected Start Date:
05/14/2013

Projected End Date:
05/16/2015

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State - by and through OGS and OPRHP

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$119,819.80
Total Budget	($20,380,180.20)	$119,819.80
Total Obligated	$9,927.75	$119,819.80
Total Funds Drawdown	$9,927.75	$119,819.80
Program Funds Drawdown	$9,927.75	$119,819.80
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$109,892.05
New York State - by and through OGS and OPRHP	$0.00	$109,892.05
Match Contributed	$0.00	$0.00

Activity Description:

To date, two projects have been undertaken by the State of New York to restore the over 1.2 million cubic yards of sand Superstorm Sandy took from Robert Moses State Park&rsquos beaches and dunes. The first project, which is the subject of this reimbursement, is the Robert Moses State Park Emergency Beach Renourishment Project, which was completed in May 2013. The second project, known as the Captree State Boat Channel Dredging and Beach Stabilization Project, builds on the prior Project to provide longer term stabilization of the Park infrastructure and adjoining beach.
When the state initially chose performance metrics for Robert Moses it chose the number of linear miles. However as the project has progressed the state has determined that reporting the number of cubic yards is a more accurate measure of performance.

Location Description:

The Park is an 875 acre State park located on the southern coast of Long Island on the western end of the barrier island known as Fire Island. The Park extends from Democrat Point on the west to the Fire Island National Seashore on the east, and is bounded by the Atlantic Ocean on the south side and Great South Bay and Fire Island Inlet on its north side.

Activity Progress Narrative:

Robert Moses State Park suffered direct storm related damage as a result of Sandy. Located along the Atlantic Ocean on Long Island, the state park provides recreational value to over 31m visitors annually, who primarily come from New York and Long Island communities. The park also through its miles of beaches and other natural features provides a critical first line of natural defense that protects critical infrastructure and Communities in the area. Sandy significantly impacted the parks natural feature removing 1.2 million cubic yards of sand from the beaches, significantly jeopardizing roads and communities in the area. This project restores a portion of the beach area that was damaged by replenishing sand that was moved and lost during Sandy. During the quarter, the state&rsquos contractor dredged and placed 225,000 CY of sand, replenishing sections of the beach while protecting important fish and bird species. The Costs for work performed are expected to be submitted in the next quarter.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear miles of Public	0	0/0

Beneficiaries Performance Measures

	Beneficiaries - Area Benefit Census Method			
	Low	Mod	Total	Low/Mod%
# of Persons	307996	278308	1379700	42.50

LMI%:	42.5

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R1-Match / F. Non-Federal Share Match Program

Grantee Activity Number:	**R1-Match-DR-LMI**
Activity Title:	**Debris Removal R1 - LMI**

Activitiy Category:
Debris removal

Activity Status:
Under Way

Project Number:
R1-Match

Project Title:
F. Non-Federal Share Match Program

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$3,963,183.23

Total Budget	($6,036,816.77)	$3,963,183.23
Total Obligated	$0.00	$3,963,183.23
Total Funds Drawdown	$0.00	$3,963,183.23
Program Funds Drawdown	$0.00	$3,963,183.23
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$3,963,183.23
New York State	$0.00	$3,963,183.23
Match Contributed	$0.00	$0.00

Activity Description:

These activities provide the non-federal share payment to address the immediate post storm debris removal needs undertaken by public entities that are eligible for FEMA Public Assistance Grants in the PA Program. These activities were undertaken in low to moderate income census blocks or benefit persons of low to moderate income. Each activity has a Project worksheet(s) which supports the draw. This includes the entity, location, type and quantity of debris in addition to location of where work was performed.

The State devised a mechanism to report on detailed activity on non-federal share match payments made for this Debri Removal.

The FEMA PA program provides disaster recovery funds to repair and rebuild directly damaged public infrastructure to units of local government (UGLG). CDBG-DR Match payments are provided to these UGLG for multiple projects. The State consolidated these multiple units of local government to a count of these entities because individual projects are expected to exceed 20,000 for 1500 UGLS. Although the State consolidated reporting (of Public Facilities/Properties), it is providing other data that shows the identity of each UGLG and the specific FEMA PA project associated with the CDBG-DR funds committed to that project as &ldquolocal match&rdquo . The State determined the HUD national objective LMI, from the latitude &ndash longitude of the FEMA project work location overlaid onto HUD census block shape files. This information was then used to identify the portion of the work that benefited [LMI/UN] populations.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy resulted in storm related debris in Presidentially declared counties across New York. Specific geographic locations of the debris are determined and documented in FEMA Public Assistance Category A - Debris Removal project worksheets.

Activity Progress Narrative:

During the Q3-2014, the state continued to analyze and review FEMA PA project worksheets to determine their eligibility for non-federal share, &ldquolocal match&rdquo program for debris removal activities. The program provides the local match for storm impacted units of local government in New York who are eligible for federally funded disaster recovery programs from FEMA Public Assistance Program. In total over 800 applicants could be eligible for the program with over 1600 specific project worksheets requiring a non-federal share payment. The program did not make any payments during the quarter but as noted continued to review project worksheets. The program while referencing HUD&rsquos non-federal share category documents activity through one of following three eligibility categories that were agreed to with HUD; Debris Removal, Public Facilities or Public Services. The state uses both urgent need, UN, and benefits to persons of low to moderate income, LMI for each of these activities and determines the work based on the latitude and longitude of the work location. Details on the non-federal share program for Q3-2014 are summarized below along with a description of activity within this activity type.
>The state has analyzed and reviewed a potential $974,407.09 worth of non-federal share payments corresponding to Debris Removal- LMI. As part of this effort, the state has continued to classify identified Irene- Lee project worksheets as either LMI or UN. In addition, the state has continued to build physical and electronic files corresponding to project worksheets identified as eligible for non-federal share payments, while simultaneously enhancing existing physical files for which payments have previously been made. The state has worked with FEMA and the Department of Homeland Security and Emergency Services (DHSES) to ensure the proper reimbursement of funds paid to PA applicants.
>The quarterly report identifies 20 governmental entities benefiting from reimbursement to bring the inception-to-date measure up to date. The total number of governmental entities is 40 but is expected to increase dramatically when documentation is collected and eligibility reviews are completed during the fall of 2014.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Businesses	0	0/0

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R1-Match-DR-UN
Activity Title:	Debris Removal R1 - UN

Activitiy Category:
Debris removal

Activity Status:
Under Way

Project Number:
R1-Match

Project Title:
F. Non-Federal Share Match Program

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Area Benefit (Survey)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$14,677,267.43
Total Budget	($15,322,732.57)	$14,677,267.43
Total Obligated	$0.00	$14,677,267.43
Total Funds Drawdown	$0.00	$14,677,267.43
Program Funds Drawdown	$0.00	$14,677,267.43
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$14,677,267.43
New York State	$0.00	$14,677,267.43
Match Contributed	$0.00	$0.00

Activity Description:

These activities provide the non-federal share payment to address the immediate post storm debris removal needs undertaken by public entities that are eligible for FEMA Public Assistance Grants in the PA Program. These activities were undertaken in low to moderate income census blocks or benefit persons of low to moderate income. Each activity has a Project worksheet(s) which supports the draw. This includes the entity, location, type and quantity of debris in addition to location of where work was performed.

The State devised a mechanism to report on detailed activity on non-federal share match payments made for this Debri Removal.

The FEMA PA program provides disaster recovery funds to repair and rebuild directly damaged public infrastructure to units of local government (UGLG). CDBG-DR Match payments are provided to these UGLG for multiple projects. The State consolidated these multiple units of local government to a count of these entities because individual projects are expected to exceed 20,000 for 1500 UGLS. Although the State consolidated reporting (of Public Facilities/Properties), it is providing other data that shows the identity of each UGLG and the specific FEMA PA project associated with the CDBG-DR funds committed to that project as &ldquolocal match&rdquo . The State determined the HUD national objective UN, from the latitude &ndash longitude of the FEMA project work location overlaid onto HUD census block shape files. This information was then used to identify the portion of the work that benefited [LMI/UN] populations.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy resulted in storm related debris in Presidentially declared counties across New York. Specific geographic locations of the debris are determined and documented in FEMA Public Assistance Category A - Debris Removal project worksheets.

Activity Progress Narrative:

During Q3-2014, the state continued to analyze and review FEMA PA project worksheets to determine their eligibility for non-federal share, &ldquolocal match&rdquo program for debris removal activities. Due to the nature of this activity, debris removal is one of the first post storm actions, so much of the activity for debris removal is expected to be classified as urgent need in nature. The program provides the local match for storm impacted units of local government in New York who are eligible for federally funded disaster recovery programs from FEMA Public Assistance Program. In total over 800 applicants could be eligible for the program with over 1600 specific project worksheets requiring a non-federal share payment. The program did not make any payments during the quarter but as noted continued to review project worksheets. The program while referencing HUD&rsquos non-federal share category documents activity through one of following three eligibility categories that were agreed to with HUD; Debris Removal, Public Facilities or Public Services. The state uses both urgent need, UN, and benefits to persons of low to moderate income, LMI for each of these activities and determines the work based on the latitude and longitude of the work location. Details on the non-federal share program for the quarter are summarized below along with a description of activity within this activity type.
>The state currently has analyzed and reviewed a potential $236,788.82 worth of non-federal share payments corresponding to Debris Removal- UN. As part of this effort, the state has continued to classify identified Irene- Lee project worksheets as either LMI or UN. In addition, the state has continued to build physical and electronic files corresponding to project worksheets identified as eligible for non-federal share payments, while simultaneously enhancing existing physical files for which payments have previously been made. The state has worked with FEMA and the Department of Homeland Security and Emergency Services (DHSES) to ensure the proper reimbursement of funds paid to PA applicants.
>The quarterly report identifies 49 governmental entities benefiting from reimbursement to bring the inception-to-date measure up to date. The total number is 80 but is expected to increase dramatically when documentation is collected and eligibility reviews are completed during the fall of 2014.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Businesses	0	0/0

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R1-Match-PF-LMI
Activity Title:	Public Facilities R1 - LMI

Activitiy Category:
Rehabilitation/reconstruction of public facilities

Activity Status:
Under Way

Project Number:
R1-Match

Project Title:
F. Non-Federal Share Match Program

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$4,081,289.07
Total Budget	($5,918,710.93)	$4,081,289.07
Total Obligated	$0.00	$4,081,289.07
Total Funds Drawdown	$0.00	$4,081,289.07
Program Funds Drawdown	$0.00	$4,081,289.07
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$4,081,289.07
New York State	$0.00	$4,081,289.07
Match Contributed	$0.00	$0.00

Activity Description:

Activities in this program, address the non federal share payment for public facility repair and rebuilding needs through FEMA's Public Assistance (PA) Program in Categories C-G in addition to other Federal infrastructure related recovery programs. These activities are for public facilities that benefit persons of low to moderate income. information on specific activities is maintained in program files.
The State devised a mechanism to report on detailed activity on non-federal share match payments made for this Public Facilities.
The FEMA PA program provides disaster recovery funds to repair and rebuild directly damaged public infrastructure to units of local government (UGLG). CDBG-DR Match payments are provided to these UGLG for multiple projects. The State consolidated these multiple units of local government to a count of these entities because individual projects are expected to exceed 20,000 for 1500 UGLS. Although the State consolidated reporting (of Public Facilities/Properties), it is providing other data that shows the identity of each UGLG and the specific FEMA PA project associated with the CDBG-DR funds committed to that project as &ldquolocal match&rdquo . The State determined the HUD national objective LMI, from the latitude &ndash longitude of the FEMA project work location overlaid onto HUD census block shape files. This information was then used to identify the portion of the work that benefited [LMI/UN] populations.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy damaged and destroyed a wide range of public buildings, facilities and structures in Presidentially declared counties across New York. The specific geographic location of each impacted public facility is geocoded and recorded in the project files.

Activity Progress Narrative:

>During Q3-2014, the state continued to analyze and review FEMA PA project worksheets to determine their eligibility for non-federal share, &ldquolocal match&rdquo program for public facility activities. The program provides the local match for storm impacted units of local government in New York who are eligible for federally funded disaster recovery programs from FEMA Public Assistance Program. In total over 1100 applicants could be eligible for the program with over 8600 specific project worksheets requiring a non-federal share payment. The program did not make any payments during the quarter but as noted continued to review project worksheets and collect required documentation from public assistance applicants. The program while referencing HUD&rsquos non-federal share category documents activity through one of following three eligibility categories that were agreed to with HUD; Debris Removal, Public Facilities or Public Services. The state uses both urgent need, UN, and benefits to persons of low to moderate income, LMI for each of these activities and determines the work based on the latitude and longitude of the work location. Details on the non-federal share program for the quarter are summarized below along with a description of activity within this activity type.
>The state currently has analyzed and reviewed a potential $57,232.84 worth of non-federal share payments corresponding to Public Facilities- LMI. As part of this effort, the state has continued to classify identified Irene- Lee project worksheets as either LMI or UN. In addition, the state has continued to build physical and electronic files corresponding to project worksheets identified as eligible for non-federal share payments, while simultaneously enhancing existing physical files for which payments have previously been made. The state has worked with FEMA and the Department of Homeland Security and Emergency Services (DHSES) to ensure the proper reimbursement of funds paid to PA applicants.
>The quarterly report identifies 65 governmental entities benefiting from reimbursement to bring the inception-to-date measure up to date. The total number of entities served is 127 but is expected to increase dramatically when documentation is collected and eligibility reviews are completed during the fall of 2014.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/0

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R1-Match-PF-UN
Activity Title:	Public Facilities R1 - UN

Activitiy Category:
Rehabilitation/reconstruction of public facilities

Activity Status:
Under Way

Project Number:
R1-Match

Project Title:
F. Non-Federal Share Match Program

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$17,066,073.73
Total Budget	($7,933,926.27)	$17,066,073.73
Total Obligated	$0.00	$17,066,073.73
Total Funds Drawdown	$0.00	$17,066,073.73
Program Funds Drawdown	$0.00	$17,066,073.73
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$17,066,073.73
New York State	$0.00	$17,066,073.73
Match Contributed	$0.00	$0.00

Activity Description:

Activities in this program, address the non federal share payment for public facility repair and rebuilding needs through FEMA's Public Assistance (PA) Program in Categories C-G in addition to other Federal infrastructure related recovery programs. These activities are for public facilities that are not in low to moderate income areas. Information on specific activities is maintained in program files.

The State devised a mechanism to report on detailed activity on non-federal share match payments made for this Public Facilities.

The FEMA PA program provides disaster recovery funds to repair and rebuild directly damaged public infrastructure to units of local government (UGLG). CDBG-DR Match payments are provided to these UGLG for multiple projects. The State consolidated these multiple units of local government to a count of these entities because individual projects are expected to exceed 20,000 for 1500 UGLS. Although the State consolidated reporting (of Public Facilities/Properties), it is providing other data that shows the identity of each UGLG and the specific FEMA PA project associated with the CDBG-DR funds committed to that project as &ldquolocal match&rdquo . The State determined the HUD national objective UN, from the latitude &ndash longitude of the FEMA project work location overlaid onto HUD census block shape files. This information was then used to identify the portion of the work that benefited [LMI/UN] populations.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy damaged and destroyed a wide range of public buildings, facilities and structures in Presidentially declared counties across New York. The specific geographic location of each impacted public facility is geocoded and recorded in the project files.

Activity Progress Narrative:

During Q3-2014, the state continued to analyze and review FEMA PA project worksheets to determine their eligibility for non-federal share, &ldquolocal match&rdquo program for public facility activities. The program provides the local match for storm impacted units of local government in New York who are eligible for federally funded disaster recovery programs from FEMA Public Assistance Program. In total over 1100 applicants could be eligible for the program with over 8600 specific project worksheets requiring a non-federal share payment. The program did not make any payments during the quarter but as noted continued to review project worksheets and collect required documentation from public assistance applicants across New York. The program while referencing HUD&rsquos non-federal share category documents activity through one of following three eligibility categories that were agreed to with HUD; Debris Removal, Public Facilities or Public Services. The state uses both urgent need, UN, and benefits to persons of low to moderate income, LMI for each of these activities and determines the work based on the latitude and longitude of the work location. Details on the non-federal share program for the quarter are summarized below along with a description of activity within this activity type.
>The state has analyzed and reviewed a potential $1,174,318 worth of non-federal share payments corresponding to Public Facilities- UN. As part of this effort, the state has continued to classify identified Irene- Lee project worksheets as either LMI or UN. In addition, the state has continued to build physical and electronic files corresponding to project worksheets identified as eligible for non-federal share payments, while simultaneously enhancing existing physical files for which payments have previously been made. The state has worked with FEMA and the Department of Homeland Security and Emergency Services (DHSES) to ensure the proper reimbursement of funds paid to PA applicants.
>The quarterly report identifies 65 governmental entities benefiting from reimbursement to bring the inception-to-date measure up to date. The total number is 127 but is expected to increase dramatically when documentation is collected and eligibility reviews are completed during the fall of 2014.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/0

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R1-Match-PS-LMI
Activity Title:	Public Services R1 - LMI

Activitiy Category:
Public services

Activity Status:
Under Way

Project Number:
R1-Match

Project Title:
F. Non-Federal Share Match Program

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$504,712.26
Total Budget	($2,495,287.74)	$504,712.26
Total Obligated	$0.00	$504,712.26
Total Funds Drawdown	$0.00	$504,712.26
Program Funds Drawdown	$0.00	$504,712.26
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$504,712.26
New York State	$0.00	$504,712.26
Match Contributed	$0.00	$0.00

Activity Description:

Activities in this program, address specific post disaster needs that public entities had to perform to protect the public and or provide services and the beneficiaries were of low to moderatre income. Program funds pay the non-Federal Share match payment for activities captured in FEMA's Public Assistance (PA) Program as Category B work in project worksheets. Activities may also include public services provided by the State through the FEMA Transitional Sheltering Assistance Program "TSA". These activities would have taken place within the 1st 90 days after the event. All activities have a Project worksheet(s) or supporting documentation in the file.

The State devised a mechanism to report on detailed activity on non-federal share match payments made for this Public Services.

The FEMA PA program provides disaster recovery funds to repair and rebuild directly damaged public infrastructure to units of local government (UGLG). CDBG-DR Match payments are provided to these UGLG for multiple projects. The State consolidated these multiple units of local government to a count of these entities because individual projects are expected to exceed 20,000 for 1500 UGLS. Although the State consolidated reporting (of Public Facilities/Properties), it is providing other data that shows the identity of each UGLG and the specific FEMA PA project associated with the CDBG-DR funds committed to that project as &ldquolocal match&rdquo . The State determined the HUD national objective LMI, from the latitude &ndash longitude of the FEMA project work location overlaid onto HUD census block shape files. This information was then used to identify the portion of the work that benefited [LMI/UN] populations.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy resulted in the need for the State to provide public services to assist residents who live in Presidentially declared counties across New York. The geographic location of each public service is provided in the project file.

Activity Progress Narrative:

During Q3-2014, the state continued to analyze and review FEMA PA project worksheets to determine their eligibility for non-federal share, &ldquolocal match&rdquo program for public service activities. The program provides the local match for storm impacted units of local government in New York who are eligible for federally funded disaster recovery programs from FEMA Public Assistance Program. In total over 1200 applicants could be eligible for the program with over 2500 specific project worksheets requiring a non-federal share payment. The program did not make any payments during the quarter but as noted continued to review project worksheets and collect documentation from applicants. The program while referencing HUD&rsquos non-federal share category documents activity through one of following three eligibility categories that were agreed to with HUD; Debris Removal, Public Facilities or Public Services. The state uses both urgent need, UN, and benefits to persons of low to moderate income, LMI for each of these activities and determines the work based on the latitude and longitude of the work location. Details on the non-federal share program for the quarter are summarized below along with a description of activity within this activity type.
>The state has analyzed and reviewed a potential $803,475.93 worth of non-federal share payments corresponding to Public Services- LMI. As part of this effort, the state has continued to classify identified Irene- Lee project worksheets as either LMI or UN. In addition, the state has continued to build physical and electronic files corresponding to project worksheets identified as eligible for non-federal share payments, while simultaneously enhancing existing physical files for which payments have previously been made. The state has worked with FEMA and the Department of Homeland Security and Emergency Services (DHSES) to ensure the proper reimbursement of funds paid to PA applicants.
>The quarterly report identifies 10 governmental entities benefiting from reimbursement to bring the inception-to-date measure up to date. The total number is 47. Due to the nature of this activity the number of entities is expected to increase when documentation is collected and eligibility reviews are completed during the fall of 2014

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/0

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R1-Match-PS-UN
Activity Title:	Public Services R1 - UN

Activitiy Category:
Public services

Activity Status:
Under Way

Project Number:
R1-Match

Project Title:
F. Non-Federal Share Match Program

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$9,431,593.97
Total Budget	($7,568,406.03)	$9,431,593.97
Total Obligated	$0.00	$9,431,593.97
Total Funds Drawdown	$0.00	$9,431,593.97
Program Funds Drawdown	$0.00	$9,431,593.97
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$9,431,593.97
New York State	$0.00	$9,431,593.97
Match Contributed	$0.00	$0.00

Activity Description:

Activities in this program, address specific post disaster needs that public entities had to perform to protect the public and or provide public services without regard to the income level of the recipient. Program funds pay the non-Federal Share match payment for activities captured in FEMA's Public Assistance (PA) Program as Category B work in project worksheets. Activities may also include public services provided by the State through the FEMA Transitional Sheltering Assistance Program "TSA". These activities would have taken place within the 1st 90 days after the event. All activities have a Project worksheet(s) or supporting documentation in the file.

The State devised a mechanism to report on detailed activity on non-federal share match payments made for this Public Services.

The FEMA PA program provides disaster recovery funds to repair and rebuild directly damaged public infrastructure to units of local government (UGLG). CDBG-DR Match payments are provided to these UGLG for multiple projects. The State consolidated these multiple units of local government to a count of these entities because individual projects are expected to exceed 20,000 for 1500 UGLS. Although the State consolidated reporting (of Public Facilities/Properties), it is providing other data that shows the identity of each UGLG and the specific FEMA PA project associated with the CDBG-DR funds committed to that project as &ldquolocal match&rdquo . The State determined the HUD national objective UN, from the latitude &ndash longitude of the FEMA project work location overlaid onto HUD census block shape files. This information was then used to identify the portion of the work that benefited [LMI/UN] populations.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy resulted in the need for the State to provide public services to assist residents in Presidentially declared counties across New York recover from these events. Specific geographic location of each public service will be provided in the project file.

Activity Progress Narrative:

During Q3-2014, the state continued to analyze and review FEMA PA project worksheets to determine their eligibility for non-federal share, &ldquolocal match&rdquo program for public service activities. The program provides the local match for storm impacted units of local government in New York who are eligible for federally funded disaster recovery programs from FEMA Public Assistance Program. In total over 1200 applicants could be eligible for the program with over 2500 specific project worksheets requiring a non-federal share payment. The program did not make any payments during the quarter but as noted continued to review project worksheets and collect documentation from applicants. Some public service activities are among the first actions taken following a storm , so a higher percentage of public service activity is expected to be classified as urgent need vs LMI. The program while referencing HUD&rsquos non-federal share category documents activity through one of following three eligibility categories that were agreed to with HUD; Debris Removal, Public Facilities or Public Services. The state uses both urgent need, UN, and benefits to persons of low to moderate income, LMI for each of these activities and determines the work based on the latitude and longitude of the work location. Details on the non-federal share program for the quarter are summarized below along with a description of activity within this activity type.
>The state has analyzed and reviewed a potential $144,333.08 worth of non-federal share payments corresponding to Public Services- UN. As part of this effort, the state has continued to classify identified Irene- Lee project worksheets as either LMI or UN. In addition, the state has continued to build physical and electronic files corresponding to project worksheets identified as eligible for non-federal share payments, while simultaneously enhancing existing physical files for which payments have previously been made. The state has worked with FEMA and the Department of Homeland Security and Emergency Services (DHSES) to ensure the proper reimbursement of funds paid to PA applicants.
>The quarterly report identifies 26 governmental entities benefiting from reimbursement to bring the inception-to-date measure up to date. The total number is 38. Due to the nature of this activity the number of entities is expected to increase when documentation is collected and eligibility reviews are completed during the fall of 2014.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/0

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R1-SmBusiness / D. Small Business Program Rnd. 1

Grantee Activity Number: R1-SBJ-LMI
Activity Title: Small Business Jobs R1 - LMI

Activitiy Category:
Econ. development or recovery activity that creates/retains jobs

Activity Status:
Under Way

Project Number:
R1-SmBusiness

Project Title:
D. Small Business Program Rnd. 1

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Direct Benefit (Persons)

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$10,635,548.05
Total Budget	($26,864,451.95)	$10,635,548.05
Total Obligated	$3,495,059.80	$10,635,548.05
Total Funds Drawdown	$3,495,059.80	$10,635,548.05
Program Funds Drawdown	$3,495,059.80	$10,635,548.05
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$3,495,059.80	$10,635,548.05
New York State	$3,495,059.80	$10,635,548.05
Match Contributed	$0.00	$0.00

Activity Description:

Grant and/or loan assistance to eligible businesses to cover working capital, machinery and equipment, furniture and fixtures, renovation and reconstruction activities. Not-for profits will be eligible to receive the same, except for working capital.

Location Description:

Hurricane Sandy: Nassau, Orange, Putnam, Rockland, Suffolk, Sullivan, Ulster, and Westchester counties.
Hurricane Irene: Albany, Clinton, Columbia, Delaware, Dutchess, Essex, Greene, Herkimer, Montgomery, Nassau, Orange, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, and Westchester.
Tropical Storm Lee: Broome, Chemung, Chenango, Delaware, Fulton, Herkimer, Oneida, Orange, Otsego, Schenectady, Schoharie, Tioga, and Ulster.

Activity Progress Narrative:

During Q3-2014, the program continued to process applications. Costs associated with this activity during this quarter included direct assistance, application intake, application reviews, and program design for businesses that retain jobs primarily for low to moderate income (LMI) individuals. Case Managers submitted 134 applications that qualify as LMI businesses to GOSR for review. Programmatic accomplishments achieved in Q3-2014 include 109 businesses that qualify as LMI businesses received

grant award letters and 73 businesses completed all of the required grant conditions and signed a grant agreement with the State. By the end of Q3, a total of 136 businesses that qualify as LMI businesses had received the full amount of their grant awards. The program remains open for new applications. The final determination of whether a business meets the national objective of LMI Jobs or Urgent Need is based on the demographics information provided by the business at the later stages of their application. The awards will assist businesses with meeting storm-related working capital needs, replacing lost inventory and equipment. The businesses included in this category will meet the national objective of retaining low to moderate income jobs.
>The 54 businesses that received their full assistance this quarter accounted for 77 impacted buildings.
>The budget for R1 has been exhausted and this activity will be marked closed/completed. The corresponding R2 activity funds will be used for any further expenditure. The projections for this activity were also adjusted to reflect the updated values based on funds in the Round 1 and Round 2 budgets.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of buildings (non-residential)	77	171/171
# of Businesses	54	136/136

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/0	0
# of Permanent Jobs Retained	437	71	578	1166/1166	193/193	1491/1491	91.15

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Persons	437	71	614	1166/1166	193/193	1527/1491	89.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R1-SBJ-UN
Activity Title:	Small Business Jobs R1 - UN

Activitiy Category:
Econ. development or recovery activity that creates/retains jobs

Activity Status:
Under Way

Project Number:
R1-SmBusiness

Project Title:
D. Small Business Program Rnd. 1

Projected Start Date:
05/14/2013

Projected End Date:
05/16/2015

Benefit Type:
Direct Benefit (Persons)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$9,970,871.29
Total Budget	($27,529,128.71)	$9,970,871.29
Total Obligated	$1,534,847.08	$9,970,871.29
Total Funds Drawdown	$1,534,847.08	$9,970,871.29
Program Funds Drawdown	$1,534,847.08	$9,970,871.29
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$1,534,847.08	$9,870,871.29
New York State	$1,534,847.08	$9,870,871.29
Match Contributed	$0.00	$0.00

Activity Description:

Grant and/or loan assistance to eligible businesses to cover working capital, machinery and equipment, furniture and fixtures, renovation and reconstruction activities. Not-for profits will be eligible to receive the same, except for working capital.

Location Description:

Hurricane Sandy: Nassau, Orange, Putnam, Rockland, Suffolk, Sullivan, Ulster, and Westchester counties.
Hurricane Irene: Albany, Clinton, Columbia, Delaware, Dutchess, Essex, Greene, Herkimer, Montgomery, Nassau, Orange, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, and Westchester.
Tropical Storm Lee: Broome, Chemung, Chenango, Delaware, Fulton, Herkimer, Oneida, Orange, Otsego, Schenectady, Schoharie, Tioga, and Ulster.

Activity Progress Narrative:

During Q3-2014, the program continued to implement the program based on the policy changes made in the prior quarter. Costs associated with this activity during this quarter included direct assistance, application intake, application reviews, and program design for providing assistance to businesses that retain jobs. Case Managers submitted 31 applications to GOSR for review. Programmatic accomplishments achieved in Q3-2014 include 13 businesses received grant award letters and 8 businesses completed all of the required grant conditions and signed a grant agreement with the State. By the end of Q3-2014, a total of 19 businesses had received the full amount of their grant awards. The program remains open for new applications. The final determination of whether a business meets the national objective of LMI Jobs or Urgent Need is based on the demographics information provided by the business at the later stages of their application. The awards will assist

businesses with meeting storm-related working capital needs, replacing lost inventory and equipment.
>The 8 businesses that received their full assistance this quarter accounted for 9 impacted buildings.
The budget for R1 has been exhausted and this activity will be marked closed/completed. The corresponding R2 activity funds will be used for any further expenditure. The projections for this activity were also adjusted to reflect the updated values based on funds in the Round 1 and Round 2 budgets.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of buildings (non-residential)	9	20/20
# of Businesses	8	19/19

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/0	0
# of Permanent Jobs Retained	4	7	39	8/8	10/9	71/71	25.35

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Persons	4	7	39	8/8	10/9	71/71	25.35

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R1-SBR-LMI
Activity Title:	Small Business Repair R1 - LMI

Activitiy Category:
Rehabilitation/reconstruction of other non-residential structures

Activity Status:
Under Way

Project Number:
R1-SmBusiness

Project Title:
D. Small Business Program Rnd. 1

Projected Start Date:
10/29/2012

Projected End Date:
06/26/2015

Benefit Type:
Direct Benefit (Persons)

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$2,436,341.94
Total Budget	$0.00	$2,436,341.94
Total Obligated	$0.00	$2,436,341.94
Total Funds Drawdown	$0.00	$2,436,341.94
Program Funds Drawdown	$0.00	$2,436,341.94
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$2,436,341.94
New York State	$0.00	$2,436,341.94
Match Contributed	$0.00	$0.00

Activity Description:

Grant and/or loan assistance to eligible businesses to cover working capital, machinery and equipment, furniture and fixtures, renovation and reconstruction activities. Not-for profits will be eligible to receive the same, except for working capital.

Location Description:

Hurricane Sandy: Nassau, Orange, Putnam, Rockland, Suffolk, Sullivan, Ulster, and Westchester counties.
Hurricane Irene: Albany, Clinton, Columbia, Delaware, Dutchess, Essex, Greene, Herkimer, Montgomery, Nassau, Orange, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, and Westchester.
Tropical Storm Lee: Broome, Chemung, Chenango, Delaware, Fulton, Herkimer, Oneida, Orange, Otsego, Schenectady, Schoharie, Tioga, and Ulster.

Activity Progress Narrative:

GOSR initially set up four activities for the Small Business program, with the intention of separating out awards that included construction/repair work from awards to businesses that did not include construction/repair work. As the program design has been amended such that an eligible business may receive funding for both construction and non-construction related activities, and in an effort to streamline reporting, State has reduced the number of reporting categories to two categories/national objectives that include all small business award recipients: SB-Jobs LMI and SB-Jobs UN. All beneficiaries are reported in SB-Jobs LMI (NY04SB-R1A).
The budget for R1 has been exhausted and this activity will be marked closed/completed.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of buildings (non-residential)	0	0/0
# of Businesses	0	0/0
# of Non-business Organizations	0	0/0

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Persons	0	0	0	0/0	0/0	0/0	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R1-SBR-UN
Activity Title:	Small Business Repair R1 - UN

Activitiy Category:
Rehabilitation/reconstruction of other non-residential structures

Activity Status:
Under Way

Project Number:
R1-SmBusiness

Project Title:
D. Small Business Program Rnd. 1

Projected Start Date:
10/29/2012

Projected End Date:
06/26/2015

Benefit Type:
Direct Benefit (Persons)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$3,810,542.11
Total Budget	$0.00	$3,810,542.11
Total Obligated	$0.00	$3,810,542.11
Total Funds Drawdown	$0.00	$3,810,542.11
Program Funds Drawdown	$0.00	$3,810,542.11
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$3,810,542.11
New York State	$0.00	$3,810,542.11
Match Contributed	$0.00	$0.00

Activity Description:

Grant and/or loan assistance to eligible businesses to cover working capital, machinery and equipment, furniture and fixtures, renovation and reconstruction activities. Not-for profits will be eligible to receive the same, except for working capital.

Location Description:

Hurricane Sandy: Nassau, Orange, Putnam, Rockland, Suffolk, Sullivan, Ulster, and Westchester counties.
Hurricane Irene: Albany, Clinton, Columbia, Delaware, Dutchess, Essex, Greene, Herkimer, Montgomery, Nassau, Orange, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, and Westchester.
Tropical Storm Lee: Broome, Chemung, Chenango, Delaware, Fulton, Herkimer, Oneida, Orange, Otsego, Schenectady, Schoharie, Tioga, and Ulster.

Activity Progress Narrative:

GOSR initially set up four activities for the Small Business program, with the intention of separating out awards that included construction/repair work from awards to businesses that did not include construction/repair work. As the program design has been amended such that an eligible business may receive funding for both construction and non-construction related activities, and in an effort to streamline reporting, State has reduced the number of reporting categories to two categories/national objectives that include all small business award recipients: SB-Jobs LMI and SB-Jobs UN. All beneficiaries are reported in SB-Jobs UN (NY04SB-R1B).
>The budget for R1 has been exhausted and this activity will be marked closed/completed.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of buildings (non-residential)	0	0/0
# of Businesses	0	0/0
# of Non-business Organizations	0	0/0
# of Elevated Structures	0	0/0

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Persons	0	0	0	0/0	0/0	0/0	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R1-TMC / E. Tourism Marketing Campaign

Grantee Activity Number:	**R1-TMC-LongBeach**
Activity Title:	**Long Beach Tourism Marketing Campaign R1**

Activitiy Category:
Tourism (Waiver Only)

Activity Status:
Under Way

Project Number:
R1-TMC

Project Title:
E. Tourism Marketing Campaign

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Area Benefit (Survey)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
City of Long Beach New York

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$500,000.00
Total Budget	$0.00	$500,000.00
Total Obligated	$0.00	$500,000.00
Total Funds Drawdown	$0.00	$500,000.00
Program Funds Drawdown	$0.00	$500,000.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$500,000.00
City of Long Beach New York	$0.00	$500,000.00
Match Contributed	$0.00	$0.00

Activity Description:

Marketing and Advertising for seasonal tourism in Long Beach.

Location Description:

City of Long Beach New York

Activity Progress Narrative:

All activities are complete for Long Island tourism. The Long Island tourism program provided assistance to the city of Long Beach to promote and revitalize the region as a viable tourist destination following the effects of Superstorm Sandy to the industry and businesses affiliated with the tourism industry, one of the region's critical industries.
>All funds have been expended in this category and the program will be closed out.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Posted Advertisements for	0	15/119
# of Distributed Materials	0	645421/150000
# of events held	0	3/50

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R1-TMC-State
Activity Title:	State Tourism Marketing Campaign R1

Activitiy Category:
Tourism (Waiver Only)

Activity Status:
Under Way

Project Number:
R1-TMC

Project Title:
E. Tourism Marketing Campaign

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Area Benefit (Survey)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$21,958,548.60
Total Budget	($7,541,451.40)	$21,958,548.60
Total Obligated	$0.00	$21,958,548.60
Total Funds Drawdown	$0.00	$21,958,548.60
Program Funds Drawdown	$0.00	$21,958,548.60
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$21,958,548.60
New York State	$0.00	$21,958,548.60
Match Contributed	$0.00	$0.00

Activity Description:

Marketing and advertising for seasonal tourism industry impacted by the storms.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee.

Activity Progress Narrative:

Through GOSR&rsquos partner State subrecipient, Empire State Development, the State ran additional ads during the summer months of Q3 in order to continue the promotion of the storm impacted areas of New York and to revive economic development activities related to the tourism industry. The State ran 8,822 advertising spots in Q3-2014. To date, the State has run over 108,000 postings across New York State and the United States in an effort to attract traveling tourists and to revitalize the tourism industry following the devastating impacts of Superstorm Sandy to the businesses and employees dependent on the revenues generated by local and national tourism.
>The budget for R1 has been exhausted and this activity will be marked closed/completed. The corresponding R2 activity funds will be used for any further expenditure. The projections for this activity were also adjusted to reflect the updated values based on funds in the Round 1 and Round 2 budgets.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Posted Advertisements for	-18211	81150/81150

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-Admin / H. Administration R 2

Grantee Activity Number:	**R2-Admin**
Activity Title:	**General Administration R2**

Activitiy Category:
Administration

Activity Status:
Under Way

Project Number:
R2-Admin

Project Title:
H. Administration R 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
N/A

Completed Activity Actual End Date:

National Objective:
N/A

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$31,790,960.11

Total Budget	$6,790,960.11	$31,790,960.11
Total Obligated	$5,726,169.90	$5,726,169.90
Total Funds Drawdown	$1,230,445.44	$5,726,169.90
Program Funds Drawdown	$1,230,445.44	$5,726,169.90
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Administration for the disaster recovery activities .

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee.

Activity Progress Narrative:

The Governor&rsquos Office of Storm Recovery (GOSR) of the Housing Trust Fund Corporation has continued to build and support the necessary staff resources to administer the CDBG-DR program for New York State. GOSR maintains a staff, office space, and intake center facilities in New York City (Lower Manhattan and Staten Island), Albany, and multiple locations throughout Long Island, including Farmingdale and Melville to carry out its community development activities. GOSR&rsquos Activities include general management, oversight, monitoring, and coordination of planning activities and programs. During Q3-2014, funds were provided for legal, integrity monitoring, and other advisory services related to the design and implementation of the housing programs and small business programs. Additionally, GOSR expenditures included payments for payroll, taxes and insurance, travel, monitoring and other related costs. To date, GOSR has hired approximately 100 staff involved in programmatic and operational work. GOSR continues to focus on building its capacity around the implementation of the housing and small business programs, as well as in other key areas such as monitoring and compliance, legal and finance.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-Buyout / B. Recreate Home Buyout Rnd. 2

Grantee Activity Number:	**R2-BOH-LMI**
Activity Title:	**Buyout R2 - LMI**

Activitiy Category:
Acquisition - buyout of residential properties

Activity Status:
Under Way

Project Number:
R2-Buyout

Project Title:
B. Recreate Home Buyout Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Direct Benefit (Households)

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$49,999,346.46
Total Budget	$23,269,346.46	$49,999,346.46
Total Obligated	($19,267,488.72)	$7,244,126.39
Total Funds Drawdown	$121,868.05	$6,676,980.58
Program Funds Drawdown	$121,868.05	$6,676,980.58
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	($19,834,634.53)	$6,676,980.58
New York State	($19,834,634.53)	$6,676,980.58
Match Contributed	$0.00	$0.00

Activity Description:

Voluntary buyout/acquisition of properties in high hazard areas.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee with particular emphasis on Nassau, Suffolk Counties and parts of Staten Island.

Activity Progress Narrative:

In Q3-2014, the State has acquired 6 parcels, bringing the total to 52. With the total LMI Round 2 budget of $49,999,346.46, we project to acquire a total of 125 parcels. Acquired parcels are located in the most impacted counties; Richmond, Suffolk, and Nassau. The program has 2 major activities; buyout and acquisition. The 6 properties acquired in Q3-2014 contain 6 housing units; 6 owner occupied households and 0 renter households.
>Certain highest risk areas in the floodplains, determined to be among the most susceptible to future disasters, will be identified by the State and its local partners as &ldquobuyout areas&rdquo. All activities undertaken will be pursuant to &ldquobuyout

program&rdquo policy and procedures. Under the program the State will conduct purchases inside of the buyout areas as &ldquobuyouts&rdquo, as defined by the State, FEMA, and HUD, whereby they will be eligible for purchase starting at 100% of the property&rsquos pre-storm fair market value (FMV).
>The State has utilized Department of State analysis where available and the thresholds defined for Extreme and High Risk Zones to determine what areas are most susceptible to significant impact caused by future flood events.
>Location in a defined risk zone alone is insufficient for defining &ldquobuyout areas&rdquo. The following selection criteria are used to evaluate potential buyout areas.
>&bull Areas will have a history of flooding and/or damage caused by extreme weather events.
>&bull Areas will have multiple contiguous parcels in the flood plain that can evidence similar damage and where homeowners have collectively voiced interest in relocation from the floodplain.
>o This interest must be documented in some way that will allow the State to identify the individual parcels, and perform an analysis of the number and location of the parcels.
>&bull The State and the areas respective municipal officials (local/county) will have a mutual understanding of the benefit of permanently removing residents/homes from the floodplain permanently, and converting the site to a coastal buffer zone.
>Properties purchased as a &ldquobuyout&rdquo will be maintained as open space or transformed into coastal buffer zones, parks or other non-residential uses passive recreational uses.
>The State intends to conduct most purchases outside of the enhanced buyout areas as &ldquoacquisitions&rdquo, as defined by HUD, whereby purchase offers must begin with the post-storm fair market value of the property. In these instances, however, the State proposes to supplement this post-storm FMV with added homeowner incentives. Such incentives are necessary to allow homeowners inside flood-prone areas that have sustained damage to their primary residence and are otherwise unable or unwilling to repair their home, and seek to relocate to a safer, less flood-prone area, the ability to do so.
>Assistance to property owners shall be for property purchased after accounting for all Federal, State, local and/or private sources of disaster-related assistance, including, but not limited to, homeowners and/or flood insurance proceeds.
>All customary costs associated with the acquisition of private property, including appraisal, legal, survey, title preparation and insurance, may be paid for by the State. Demolition, site work and property maintenance costs, including environmental remediation, grading and security, may also be paid for under this program.
>During the quarter, the budget for this Round 2 activity was decreased, and funds originally drawn down as Round 2 expenditures were reclassified as Round 1 expenditures. Expenditure amounts in the quarter are reported as negative amounts as a result.
>The projections for this activity were adjusted to reflect the updated funds in the Round 1 and Round 2 budgets.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	-10	52/125
# of Parcels acquired voluntarily	-10	52/125

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	-8	52/128
# of Singlefamily Units	-8	52/128

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	9	-19	-10	33/73	19/55	52/128	100.00
# Owner Households	9	-19	-10	33/73	19/52	52/125	100.00
# Renter Households	0	0	0	0/0	0/3	0/3	0

Activity Locations

Address	City	County	State	Zip	Status / Accept

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-BOH-UN
Activity Title:	Buyout R2 - UN

Activitiy Category:
Acquisition - buyout of residential properties

Activity Status:
Under Way

Project Number:
R2-Buyout

Project Title:
B. Recreate Home Buyout Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Direct Benefit (Households)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$76,217,212.26
Total Budget	$21,947,212.26	$76,217,212.26
Total Obligated	($38,933,176.80)	$15,051,349.02
Total Funds Drawdown	$282,948.16	$11,500,579.94
Program Funds Drawdown	$282,948.16	$11,500,579.94
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	($42,483,945.88)	$11,500,579.94
New York State	($42,483,945.88)	$11,500,579.94
Match Contributed	$0.00	$0.00

Activity Description:

Voluntary buyout/acquisition of properties in high hazard areas.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee with particular emphasis on Nassau, Suffolk counties and parts of Staten Island.

Activity Progress Narrative:

In Q3-2014, the State has acquired 7 parcels, bringing the cumulative total to 109. With the total Urgent Need Round 2 budget of $76,217,212.26, we project to acquire a total of 191 parcels. Acquired parcels are located in the most impacted counties; Richmond, Suffolk, and Nassau. The program has 2 major activities; buyout and acquisition. The 7 properties acquired in Q3-2014 contain 7 housing units; 7 owner occupied households and 0 renter households.
>Certain highest risk areas in the floodplains, determined to be among the most susceptible to future disasters, will be identified by the State and its local partners as &ldquobuyout areas&rdquo. All activities undertaken will be pursuant to &ldquobuyout program&rdquo policy and procedures. Under the program the State will conduct purchases inside of the buyout areas as &ldquobuyouts&rdquo, as defined by the State, FEMA, and HUD, whereby they will be eligible for purchase starting at 100% of the property&rsquos pre-storm fair market value (FMV).
>The State has utilized Department of State analysis where available and the thresholds defined for Extreme and High Risk Zones to determine what areas are most susceptible to significant impact caused by future flood events.
>Location in a defined risk zone alone is insufficient for defining &ldquobuyout areas&rdquo. The following selection criteria are

used to evaluate potential buyout areas.
>&bull Areas will have a history of flooding and/or damage caused by extreme weather events.
>&bull Areas will have multiple contiguous parcels in the flood plain that can evidence similar damage and where homeowners have collectively voiced interest in relocation from the floodplain.
>o This interest must be documented in some way that will allow the State to identify the individual parcels, and perform an analysis of the number and location of the parcels.
>&bull The State and the areas respective municipal officials (local/county) will have a mutual understanding of the benefit of permanently removing residents/homes from the floodplain permanently, and converting the site to a coastal buffer zone.
>Properties purchased as a &ldquobuyout&rdquo will be maintained as open space or transformed into coastal buffer zones, parks or other non-residential uses passive recreational uses.
>The State intends to conduct most purchases outside of the enhanced buyout areas as &ldquoacquisitions&rdquo, as defined by HUD, whereby purchase offers must begin with the post-storm fair market value of the property. In these instances, however, the State proposes to supplement this post-storm FMV with added homeowner incentives. Such incentives are necessary to allow homeowners inside flood-prone areas that have sustained damage to their primary residence and are otherwise unable or unwilling to repair their home, and seek to relocate to a safer, less flood-prone area, the ability to do so.
>Assistance to property owners shall be for property purchased after accounting for all Federal, State, local and/or private sources of disaster-related assistance, including, but not limited to, homeowners and/or flood insurance proceeds.
>All customary costs associated with the acquisition of private property, including appraisal, legal, survey, title preparation and insurance, may be paid for by the State. Demolition, site work and property maintenance costs, including environmental remediation, grading and security, may also be paid for under this program.
>During the quarter, the budget for this Round 2 activity was decreased, and funds originally drawn down as Round 2 expenditures were reclassified as Round 1 expenditures. Expenditure amounts in the quarter are reported as negative amounts as a result.
>The projections for this activity were adjusted to reflect the updated funds in the Round 1 and Round 2 budgets.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	-29	101/191
# of Parcels acquired voluntarily	-14	101/191

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	-11	109/197
# of Singlefamily Units	-11	109/197

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	0	0	-21	0/0	0/0	109/197	0.00
# Owner Households	0	0	-29	0/0	0/0	101/191	0.00
# Renter Households	0	0	8	0/0	0/0	8/6	0.00

Activity Locations

Address	City	County	State	Zip	Status / Accept

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-ComRecon / G. Community Reconstruction Rnd. 2

Grantee Activity Number: R2-CR-Plan

Activity Title: Community Reconstruction Planning R2

Activitiy Category:
Planning

Activity Status:
Under Way

Project Number:
R2-ComRecon

Project Title:
G. Community Reconstruction Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Survey)

Completed Activity Actual End Date:

National Objective:
N/A

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$20,000,000.00
Total Budget	$0.00	$20,000,000.00
Total Obligated	$3,009,909.86	$13,377,589.27
Total Funds Drawdown	$3,009,909.86	$13,377,589.27
Program Funds Drawdown	$3,009,909.86	$13,377,589.27
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$3,009,909.86	$13,377,589.27
New York State	$3,009,909.86	$13,377,589.27
Match Contributed	$0.00	$0.00

Activity Description:

The State will contract with 9 planning/consulting firmst to provide planning assistance to communities in the affected areas in their efforts to developlong-term recovery and resiliency plans which will lead to futuer CDBG-DR projects.

Location Description:

New York State will be undertaking planning activities in and with communites located in the impacted areas. Individuals and groups of communities are awarded in the three general areas: Long Island, New York City, and Upstate. All communities are located in Counties eligible for DR assistance through Sandy, Irene or Lee disaster declarations.

Activity Progress Narrative:

The NY Rising Community Reconstruction (NYRCR) Program is an eight-month community-based planning process to empower the State&rsquos most impacted communities with the technical expertise needed to develop thorough and implementable reconstruction plans to build physically, socially, and economically resilient and sustainable communities.
The State allocated between $3 million and $25 million each in CDBG-DR funding to 124 communities to implement eligible projects identified in NYRCR Community Reconstruction Plans. Sixty-one NYRCR Planning Committees, each comprising one or more of the 124 communities, were assembled. Forty-five Planning Committees began their work in the summer of 2013 and completed the planning process in April 2014. An additional 16 Planning Committees entered into the NYRCR Program in the spring of 2014. Planning Committees are composed of local residents, business owners, and civic leaders. The Planning Committees were supported by staff from the Governor&rsquos Office of Storm Recovery (GOSR) and consultants from planning firms that specialize in engineering, flood mitigation solutions, green infrastructure, and more. The planning process ensured that Committees engaged in an inventory of storm damage, asset inventory, consideration of community needs and opportunities, development of recovery and resiliency strategies, and proposal of projects and actions which advance those strategies.
The NYRCR Program sets a new standard for community participation in recovery and resiliency planning. More than 650 New Yorkers represent their communities by serving on Planning Committees. To date, over 600 Planning Committee Meetings have been held. All meetings were open to the public. An additional 200-plus Public Engagement Events have attracted thousands of community members, who provided feedback on the NYRCR planning process and proposals. The NYRCR Program&rsquos outreach has included communities that are traditionally underrepresented, such as immigrant populations and students. All planning materials are posted on the NYRCR Program&rsquos website, providing several ways for community members and the public to submit feedback on materials in progress.
Round I of the planning process drew from financial rounds 1 and 2 within the quarterly performance report. Round I planning concluded when each of the 45 original Planning Committees submitted their final NYRCR Plans to the State. Round 1 of funding ($5 million) covered the initial planning costs and round 2 ($20 million) led to the completion of the NYRCR Plans in Round I of the Program. In Q3-2014, GOSR initiated the implementation of projects proposed by the 45 Round I Planning Committees in their NYRCR Plans. GOSR is assessing the eligibility, feasibility, and efficacy of proposed projects. GOSR is working to develop local partnerships with potential subrecipients to implement recovery and resiliency projects, and anticipates entering into subrecipient agreements with more than 50 different entities by early November.
Simultaneously, GOSR is working with the 16 Round II Planning Committees to finish their NYRCR Plans. In Q3-2014, all Round II Committees have met at least seven times, hosting two public engagement events for their communities to learn about the program and submitted input on community assets, needs and opportunities, as well as strategies. These Committees are currently drafting a list of recovery and resiliency projects for inclusion in their NYRCR Plans.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Plans or Planning Products	45	45/56

Beneficiaries Performance Measures

	Beneficiaries - Area Benefit Survey Method			
	Low	Mod	Total Low/Mod%	
# of Persons	0	0	0	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-Housing / A. Recreate NY Smart Home Repair &

Grantee Activity Number: R2-HSG-LMI
Activity Title: Recreate NY Smart Home Repair R2 - LMI

Activitiy Category:
Rehabilitation/reconstruction of residential structures

Activity Status:
Under Way

Project Number:
R2-Housing

Project Title:
A. Recreate NY Smart Home Repair & Reconstruction Rnd.

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Direct Benefit (Households)

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$143,991,384.08
Total Budget	($5,000,000.00)	$143,991,384.08
Total Obligated	($18,746,163.40)	$94,399,897.74
Total Funds Drawdown	$19,879,429.76	$94,346,128.67
Program Funds Drawdown	$19,879,429.76	$94,346,128.67
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	($20,286,293.76)	$70,984,455.35
New York State	($20,286,293.76)	$70,984,455.35
Match Contributed	$0.00	$0.00

Activity Description:

Rehabilitation or reconstruction of owner-occupied single-family housing.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropic Storm Lee with the majority located in Nassau and Suffolk Counties.

Activity Progress Narrative:

During Q3-2014, the Governor&rsquos Office of Storm Recovery (GOSR) Homeownership Program continued to issue both Reimbursement and initial Repair payments to applicants. In Q3, the Program issued final payment from Round 2 to two (2) unique LMI applicants, bringing the number of unique applicants issued a final payment from Round 2, since the Program&rsquos inception, to 162. In Q3, the program issued initial payment from Round 2 to 152 unique LMI applicants, bringing the total number of unique LMI applicants issued initial payment from Round 2 since the Program&rsquos inception to 1,550. Cumulative final payment and initial payment numbers are somewhat less than those reported in the Q2-2014 QPR due to various reasons, including some checks being returned or voided after issuance; and the fact that some applicants &ldquoopted in&rdquo for optional resiliency measures, and will therefore receive additional payments. The State, in Q3-2014 has set and continues to monitor the implementation of this program against the 3,773 final payments projected.
In recognition of the fact that LMI applicants are less likely to receive private insurance benefits, the Program allows them a higher Award Cap than non-LMI (Unmet Needs) applicants. The cap is set at $300,000 for Unmet Needs applicants and set at $350,000 for LMI applicants. In Q3, the Program continued the process of notifying all eligible applicants about funding for Optional Items that may be available to them. Approximately 1,570 applicants expressed the intention to implement one or more Optional Items. In Q3 the Program began to disburse funding for design services for these optional items. Major program delivery expenses in Q3 included: ongoing case management; and, damage and environmental assessment and clearance inspections by DASNY&rsquos construction-management sub-contractors which was comprised of 517 damage assessment inspections and 4,121 environmental inspections. The Program also began conducting Final Site Visits to projects that have completed construction. In Q3, the program also deployed an embedded team of 10 construction technical assistants in the case management centers, to work one on one with applicants.
>During Q3-2014, the GOSR Co-op and Condos program began accepting applications developed the program infrastructure needed to issue the first set of payments in Q4. As of September 30th, there were 16 active applications submitted by co-ops and condo applications seeking assistance for damages to their common elements, 277 applications from individuals with damages to their unit, and 301 applications submitted by unit owners who did not experience direct damage, but who are applying to support the eligibility of the co-op or condo association. Of the applications from individuals, 294 self-reported as LMI.
>During the quarter, the budget for this Round 2 activity was decreased, and funds originally drawn down as Round 2 expenditures were reclassified as Round 1 expenditures. Expenditure amounts in the quarter are reported as negative as a result. Inception to Date expenditures are less than Inception to date funds drawdown because a number of check payments were held.
>The projections for this activity were adjusted to reflect the updated values based on funds in the Round 1 and Round 2 budgets and to account for beneficiaries only being reported upon final and not initial payment.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	-1	162/3773
# of Substantially Rehabilitated Units	1	25/905
# of Elevated Structures	0	25/755

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	-1	162/3810
# of Singlefamily Units	-1	162/3810

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	-3	2	-1	69/1146	93/2654	162/3810	100.00
# Owner Households	-3	2	-1	69/1132	93/2641	162/3773	100.00
# Renter Households	0	0	0	0/14	0/13	0/37	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-HSG-Plan
Activity Title:	Housing Planning R2

Activitiy Category:
Planning

Activity Status:
Under Way

Project Number:
R2-Housing

Project Title:
A. Recreate NY Smart Home Repair & Reconstruction Rnd.

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
N/A

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$11,000,000.00
Total Budget	$10,000,000.00	$11,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Planning for the rehabilittion or reconstruction of owner occupied single-family housing.

Location Description:

Areas impacted by Hurricane Sandy and Irene and Tropical Storm Lee with majority located in Nassau and Suffolk counties.

Activity Progress Narrative:

This activity has just been created in the system and there have not been any draws or expenditures made against this activity.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Plans or Planning Products	0	0/1

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-HSG-UN
Activity Title:	Recreate NY Smart Home Repair R2 - UN

Activitiy Category:
Rehabilitation/reconstruction of residential structures

Activity Status:
Under Way

Project Number:
R2-Housing

Project Title:
A. Recreate NY Smart Home Repair & Reconstruction Rnd.

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Direct Benefit (Households)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$172,410,004.52
Total Budget	($5,000,000.00)	$172,410,004.52
Total Obligated	($27,312,457.71)	$82,986,733.19
Total Funds Drawdown	$25,725,249.49	$82,919,209.22
Program Funds Drawdown	$25,725,249.49	$82,919,209.22
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	($48,025,116.43)	$56,161,161.95
New York State	($48,025,116.43)	$56,161,161.95
Match Contributed	$0.00	$0.00

Activity Description:

Rehabilitation or reconstruction of owner-occupied single-family housing.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropic Storm Lee with the majority located in Nassau and Suffolk Counties.

Activity Progress Narrative:

During Q3-2014, the State Homeownership Program continued to issue both Reimbursement and initial Repair payments to applicants. In Q3, the Program issued final payment from Round 2 to four (4) unique Urgent Need applicants, bringing the number of unique UN applicants issued a final payment from Round 2, since the Program&rsquos inception, to 295. In Q3, the program issued initial payment from Round 2 to 213 unique UN applicants, bringing the total number of unique UN applicants issued initial payment from Round 2 since the Program&rsquos inception to 1,979. Cumulative final payment and initial payment numbers are somewhat less than those reported in the Q2 QPR due to various reasons, including some checks being returned or voided after issuance; and the fact that some applicants &ldquoopted in&rdquo for optional resiliency measures, and will therefore receive additional payments. The State, in Q3-2014 has set and continues to monitor the implementation of this program against the 4,279 final payments projected.
>In Q3, the Program continued the process of notifying eligible applicants about funding for Optional Items that may be available to them. In Q3 the Program began to disburse funding for design services for these optional items.
During Q3-2014, the GOSR Co-op and Condos program began accepting applications and laid the groundwork and fleshed out the program infrastructure to prepare for issuance of the first set of payments, expected to follow in Q4 of 2014. There were 16

active applications submitted by co-ops and condo applications seeking assistance for damages to their common elements, 277 applications from individuals with damages to their unit, and 301 applications submitted by unit owners who did not experience direct damage, but who are applying to support the eligibility of the co-op or condo association. Of the applications from individuals, 360 self-reported urgent need, using income charts provided in the application.
During the quarter, the budget for this Round 2 activity was decreased, and funds originally drawn down as Round 2 expenditures were reclassified as Round 1 expenditures. Expenditure amounts in the quarter are reported as negative as a result. Inception to Date expenditures are less than Inception to date funds drawdown because a number of check payments were held.
The projections for this activity were adjusted to reflect the updated values based on funds in the Round 1 and Round 2 budgets and to account for beneficiaries only being reported upon final and not initial payment.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	-9	295/4279
# of Substantially Rehabilitated Units	4	73/1455
# of Elevated Structures	5	89/1198

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	-9	295/4323
# of Singlefamily Units	-9	295/4323

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	0	0	-9	0/21	0/23	295/4323	0.00
# Owner Households	0	0	-9	0/0	0/0	295/4279	0.00
# Renter Households	0	0	0	0/21	0/23	0/44	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-IMA / I. IMA Rnd. 2

Grantee Activity Number: **R2-IMA-LMI**
Activity Title: **Recreate NY IMA Program R2 - LMI**

Activitiy Category:

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

R2-IMA

Project Title:

I. IMA Rnd. 2

Projected Start Date:

01/13/2014

Projected End Date:

01/12/2016

Benefit Type:

Direct Benefit (Households)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$5,000,000.00
Total Budget	$0.00	$5,000,000.00
Total Obligated	$549,075.01	$1,640,259.88
Total Funds Drawdown	$352,091.00	$1,443,275.87
Program Funds Drawdown	$352,091.00	$1,443,275.87
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$352,091.00	$1,438,360.09
New York State	$352,091.00	$1,438,360.09
Match Contributed	$0.00	$0.00

Activity Description:

Assistance to displaced homeowners to help them pay the lesser of their monthly mortggage or rental payment.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee with the majority located in Nassau and Suffolk Counties.

Activity Progress Narrative:

During Q3-2014, GOSR is reporting on final payments disbursed as well as cumulative payments disbursed. The details of beneficiaries are outlined below.
From program inception to the end of the third quarter, the Interim Mortgage Assistance (IMA) Program issued reoccurring monthly payments to 413 applicants, 105 of whom are LMI.
For many displaced homeowners, funds received from the Federal Emergency Management Agency (FEMA) ended during the third quarter 2014. The IMA program provided temporary monthly mortgage assistance to 78 LMI applicants who remain displaced after depleting the rental assistance funds they received from FEMA. In addition, the IMA Program received applications from 44 participants in FEMA&rsquos Disaster Housing Assistance Program (DHAP), many of whose DHAP participation ended during Q3-2014. The IMA program provided assistance to 13 of these applicants, 3 of whom are LMI, who were unable to return home after their DHAP ended. The rest of the DHAP participants were able to return to their habitable home.
In Q3-2014, GOSR disbursed elevation funds to applicants, many of whom received assistance from the IMA program while

they were displaced during the elevation of their home. The IMA Program provided them the financial assistance they needed to support the additional housing costs incurred as a result of not being able to live in their home during the elevation portion of the construction.
Some IMA participants have now completed the construction of their primary residence and have been able to return home. The IMA program has issued final payment to these applicants and is working to close out their files. From program inception to the end of Q3-2014, the IMA Program has issued final payment to 32 applicants, 6 of whom are LMI. During the third quarter, GOSR refined the process for determining when an applicant has returned to their primary residence. GOSR now reports on final payments only for applicants who confirmed that they returned home as opposed to those who requested a final home inspection. Applicants sometimes request final inspections before the construction of their home has been completed. As a result, the performance metrics and beneficiary data are different than the activity that has occurred in Q3-2014 to adjust and correct the cumulative data to match the new policy to determine final payment.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	4	6/147
Activity funds eligible for DREF (Ike	0	0/0

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	-2	6	4	0/47	6/100	6/147	100.00
# Owner Households	-2	6	4	0/47	6/100	6/147	100.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-IMA-UN
Activity Title:	Recreate NY IMA Program R2 - UN

Activitiy Category:
Rehabilitation/reconstruction of residential structures

Activity Status:
Under Way

Project Number:
R2-IMA

Project Title:
I. IMA Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Direct Benefit (Households)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$15,000,000.00
Total Budget	$0.00	$15,000,000.00
Total Obligated	$3,034,769.20	$5,662,215.67
Total Funds Drawdown	$2,486,018.13	$5,113,464.60
Program Funds Drawdown	$2,486,018.13	$5,113,464.60
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$2,486,018.13	$5,114,648.73
New York State	$2,486,018.13	$5,114,648.73
Match Contributed	$0.00	$0.00

Activity Description:

Assistance to displaced homeowners to help them pay the lesser of their monthly mortgage or rental payment costs.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee with the majority located in Nassau and Suffolk Counties.

Activity Progress Narrative:

For Q3-2014, GOSR is reporting on final payments disbursed as well as cumulative payments disbursed. The details of beneficiaries are outlined below.
From program inception to the end of Q3-2014, the Interim Mortgage Assistance (IMA) Program issued reoccurring monthly payments to 413 applicants, 308 of whom are UN.
For many displaced homeowners, funds received from the Federal Emergency Management Agency (FEMA) ended during Q3-2014. The IMA program provided temporary monthly mortgage assistance to 340 UN applicants who remain displaced after depleting the rental assistance funds they received from FEMA. In addition, the IMA Program received applications from 44 participants in FEMA&rsquos Disaster Housing Assistance Program (DHAP), many of whose DHAP participation ended during Q3-2014. The IMA program was able to provide assistance to 13 of these applicants, 10 of whom are UN, and who continued to be displaced after their DHAP ended.
In Q3-2014, the IMA Program continued to assist applicants facing additional housing costs while displaced. During Q3-2014, GOSR disbursed elevation funds to applicants, many of whom received assistance from the IMA program while they were

displaced during the elevation of their home. The IMA Program provided them the financial assistance they needed to support the additional housing costs incurred as a result of not being able to live in their home during the elevation portion of the construction.
Some IMA participants have now completed the construction of their primary residence and have been able to return home. The IMA program has issued final payment to these applicants and is working to close out their files. From program inception to the end of Q3-2014, the IMA Program has issued final payment to 32 applicants, 26 of whom are UN. During the third quarter, GOSR refined the process for determining when an applicant has returned to their primary residence. GOSR now reports only for applicants who confirmed that they returned home as opposed to those who requested a final home inspection. Applicants sometimes request final inspections before the construction of their home has been completed. As a result, the performance metrics and beneficiary data are different than the activity that has occurred in Q3-2014 to adjust and correct the cumulative data to match the new policy to determine final payment.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	12	24/441
Activity funds eligible for DREF (Ike	0	0/0

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	0	0	12	0/0	0/0	24/441	0.00
# Owner Households	0	0	12	0/0	0/0	24/441	0.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-INFRA / Local Government and Critical Infrastructure

Grantee Activity Number: **R2-INFRA-Clemente - LMI**
Activity Title: **Roberto Clemente State Park R2 - LMI**

Activitiy Category:
Rehabilitation/reconstruction of public facilities

Activity Status:
Under Way

Project Number:

Project Title:

R2-INFRA

Local Government and Critical Infrastructure Program - R2

Projected Start Date:

05/16/2013

Projected End Date:

05/14/2015

Benefit Type:

Area Benefit (Survey)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$2,160,000.00
Total Budget	$0.00	$2,160,000.00
Total Obligated	$92,602.91	$92,602.91
Total Funds Drawdown	$92,602.91	$92,602.91
Program Funds Drawdown	$92,602.91	$92,602.91
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Project management, including staff, development of design criteria for proposed improvements, and design implementation.

Location Description:

Roberto Clemente State Park, a 25-acre urban park serving 1.3 million visitors annually, is located in the Morris Heights neighborhood of the Bronx with 3,700 linear feet of waterfront along the Harlem River in Bronx County, New York. Owned and operated by the State of New York Office of Parks, Recreation and Historic Preservation (OPRHP/Parks), this Bronx County park was directly impacted by Superstorm Sandy.

Activity Progress Narrative:

This activity has just been created in the system and there have not been any draws or expenditures made against this activity. The project located at Roberto Clemente State Park in the Bronx NY will repair and rebuild structures that were directly impacted by Superstorm Sandy. The park directly adjacent to a multifamily housing unit and provides natural resiliency measures for transportation and energy infrastructure is expected to meet the national objective of benefits to persons of low to moderate income. Planning for the project has been initiated and in upcoming quarter construction related activity is expected to commence.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Public Facilities	0	0/1

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-INFRA-Moses-UN
Activity Title:	Robert Moses State Park R2 - UN

Activitiy Category:
Rehabilitation/reconstruction of a public improvement

Activity Status:
Under Way

Project Number:
R2-INFRA

Project Title:
Local Government and Critical Infrastructure Program - R2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State - by and through OGS and OPRHP

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$20,380,180.20
Total Budget	$0.00	$20,380,180.20
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State - by and through OGS and OPRHP	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

To date, two projects have been undertaken by the State of New York to restore the over 1.2 million cubic yards of sand Superstorm Sandy took from Robert Moses State Park&rsquos beaches and dunes.
>The first project, which is the subject of this reimbursement, is the Robert Moses State Park Emergency Beach Renourishment Project, which was completed in May 2013.
The second project, known as the Captree State Boat Channel Dredging and Beach Stabilization Project, builds on the prior Project to provide longer term stabilization of the Park infrastructure and adjoining beach.
When the state initially chose performance metrics for Robert Moses it chose the number of linear miles. However as the project has progressed the state has determined that reporting the number of cubic yards is a more accurate measure of performance.

Location Description:

The Park is an 875 acre State park located on the southern coast of Long Island on the western end of the barrier island known as Fire Island.
The Park extends from Democrat Point on the west to the Fire Island National Seashore on the east, and is bounded by the Atlantic Ocean on the south side and Great South Bay and Fire Island Inlet on its north side.

Activity Progress Narrative:

This was a new activity created that ties to the work shown in Infra &ndash Rob Moses R1. GOSR expects to submit costs for emergency restoration work performed at Robert Moses in the upcoming quarter.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear miles of Public	0	0/7

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-INFRA-Plan
Activity Title:	Local Govt & Critical Infrastructure Planning

Activitiy Category:
Planning

Activity Status:
Under Way

Project Number:
R2-INFRA

Project Title:
Local Government and Critical Infrastructure Program - R2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
N/A

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$10,000,000.00
Total Budget	$0.00	$10,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Planning activities related to the identification and development of specific infrastructure projects to be funded through New York State&rsquos CDBG Disaster Recovery funds. Planning activities include the gathering of information about critical infrastructure needs, assessment of potential projects, program design, scope development, development of program management policies, processes and procedures, environmental review, and efforts to evaluate New York State&rsquos progress in implementing these projects. The planning activities relate to specific programs and projects which may be implemented by subrecipients, but the planning is being caried out by New York State. The planning could be considered a program delivery cost, but in this case, it is being classified as a program planning cost.Entitlement program regulations allow charging such costs under 24 CFR 570.205. States may adopt this approach from the Entitlement program.

Location Description:

Planning for work that will be done in New York State in impacted counties by Hurricane Irene, Tropical Storm Lee, and SuperStorm Sandy.

Activity Progress Narrative:

This is a new activity that did not have any payment activity but corresponds with planning activities that need to be undertaken in NY related to implementation of the Local Government and Critical Infrastructure program. It is expected that in the next quarter planning costs used to support match activity will be allocated to this activity.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-INFRA-Rise
Activity Title:	Resiliency Institute Planning R2

Activitiy Category:
Planning

Activity Status:
Under Way

Project Number:
R2-INFRA

Project Title:
Local Government and Critical Infrastructure Program - R2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
N/A

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$2,700,000.00
Total Budget	$2,700,000.00	$2,700,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

The New York State Resiliency Institute for Storms & Emergencies (RISE) is an &ldquoapplied think tank&rdquo led by New York University and Stony Brook University. RISE facilitates coordination among local research centers engaged in Sandy-related work and storm resilience through an inter-disciplinary research and planning effort, conducted through a consortium of New York State higher education institutions. The consortium functions as an institution for knowledge development of storm-hazards risk management; provides expertise to state agencies engaged in promoting recovery and resilience; and develops platforms for transforming predictions into adaptive measures.

Location Description:

Research activities will take place primarily on the campuses of the Stony Brook University in Stony Brook, Long Island, New York and the New York University/Polytechnic Institute in Brooklyn, New York. Additional research activities take place in facilities of partner organizations including Columbia University in New York, New York and Cornell University in Ithaca, New York. Research focuses on areas of New York State impacted by coastal and riverine flooding caused by Sandy, Irene and Lee and other extreme weather events.

Activity Progress Narrative:

The Resiliency Institute of Storms and Emergencies (RISE) was created to serve as an action-oriented think tank bringing together leading academic researchers and research centers in New York focused on climate change, resilience, and emergency preparedness. RISE participants include Stony Brook University (SUNY), NYU-Polytechnic, Cornell, Columbia, CUNY, and Brookhaven National Labs. Stony Brook and NYU-Poly are lead entities for the consortium. It harnesses capacities of New York higher education to increase the State&rsquos capacity to plan and implement recovery and resilience measures

Minghua Zhang, a Nobel-prize winning climate scientist, is actively engaged in the research as a co-director of the overall effort. Fletcher &ldquoBud&rdquo Griffis, Professor of Construction Engineering and Management in the Department of Civil Engineering, leads the effort for NYU-Poly.
GOSR executed a subrecipient agreement with RISE to provide up to $2.7m to seed the collaborative and support specific research. Additional funds were provided by the Energy Facilities Corporation. RISE also applied for funding from the U.S. Department of Homeland Security Center for Coastal Resilience.
Since inception, NYS RISE has organized the consortium, formed teams of researchers in Work Units, and pursued 14 ongoing or planned research efforts grouped in four areas: 1) Storm and Environmental Risks Under Climate Change, 2) Critical Facilities Vulnerability and Resiliency (including threats to tourism and fisheries), 3) Cascading Dynamics of Flooding (on transportation networks, electrical power generation and distribution, wastewater systems, and drinking water systems), and 4) Rapid Response Planning.
RISE produced a climate risk report for Suffolk and Nassau, including projections of future changes in temperature, precipitation, extreme weather events, and coastal flooding under sea level rise scenarios using the most current data from the International Panel on Climate Change (IPCC). This report mapped flood risk on Long Island coastal communities, including threats to Long Island Power Authority (LIPA) power substations, bridges, and critical wastewater treatment facilities. A similar climate risk report for CDBG-DR eligible Upstate counties is being developed.
RISE has developed draft reports and preliminary versions of analytical tools, including an inventory of critical facilities, assessment of cascading dynamics of flooding on critical systems, and assessment of hazard mitigation plans and emergency warning systems. RISE tools in development will allow long-lead-time storm forecasting for storm events, and integration of multiple monitoring systems tracking climate and storm data, and modeling flooding scenarios.
>
>RISE has participated in regional conversations on resilience, including presenting at a Resiliency Engineering Conference hosted by LIPA and the Executive Council of the Science and Resilience Institute at Jamaica Bay.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Plans or Planning Products	1	1/22

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-Match / F. Non-Federal Share Match Program Rnd. 2

Grantee Activity Number: R2-Match-DR-LMI

Activity Title: Debris Removal R2 - LMI

Activitiy Category:
Debris removal

Activity Status:
Under Way

Project Number:
R2-Match

Project Title:
F. Non-Federal Share Match Program Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$9,000,000.00
Total Budget	$0.00	$9,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

These activities provide the non-federal share payment to address the immediate post storm debris removal needs undertaken by public entities that are eligible for FEMA Public Assistance Grants in the PA Program. These activities were undertaken in low to moderate income census blocks or benefit persons of low to moderate income. Each activity has a Project worksheet(s) which supports the draw. This includes the entity, location, type and quantity of debris in addition to location of where work was performed.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy resulted in storm related debris in Presidentially declared counties across New York. Specific geographic locations of the debris are determined and documented in FEMA Public Assistance Category A - Debris Removal project worksheets.

Activity Progress Narrative:

This is a new activity that did not have any payment activity but corresponds with and has the same actions as Infra Debris Removal LMI shown above. This activity provides additional funds for debris removal actions discussed in Match Debris Removal R1 LMI. It is expected that in the next quarter some funds from this allocation will need to be used for eligibility review that are ongoing with GOSR that relate to debris removal from Irene , Lee and Sandy.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Businesses	0	0/31

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-Match-DR-UN
Activity Title:	Debris Removal R2 - UN

Activitiy Category:
Debris removal

Activity Status:
Under Way

Project Number:
R2-Match

Project Title:
F. Non-Federal Share Match Program Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$23,000,000.00
Total Budget	$0.00	$23,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

These activities provide the non-federal share payment to address the immediate post storm debris removal needs undertaken by public entities that are eligible for FEMA Public Assistance Grants in the PA Program. These activities were undertaken in low to moderate income census blocks or benefit persons of low to moderate income. Each activity has a Project worksheet(s) which supports the draw. This includes the entity, location, type and quantity of debris in addition to location of where work was performed.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy resulted in storm related debris in Presidentially declared counties across New York. Specific geographic locations of the debris are determined and documented in FEMA Public Assistance Category A - Debris Removal project worksheets.

Activity Progress Narrative:

This is a new activity that did not have any payment activity but corresponds with and has the same actions as Infra Debris Removal UN shown above. This activity R2 provides additional funds for debris removal UN actions discussed in Match Debris Removal R1 UN. It is expected that in the next quarter some funds from this allocation will need to be used for eligibility review that are ongoing with GOSR that relate to debris removal from Irene, Lee and Sandy.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Businesses	0	0/94

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-Match-LIPA-LMI
Activity Title:	Long Island Power Auth R2 - LMI

Activitiy Category:
Rehabilitation/reconstruction of public facilities

Activity Status:
Under Way

Project Number:
R2-Match

Project Title:
F. Non-Federal Share Match Program Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$20,000,000.00
Total Budget	$0.00	$20,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Activities will focus on providing the non-federal share or Local match for eligible storms including Superstorm Sandy, Hurricane Irene. To increase the resiliency of the LIPA grid GOSR may engage in a 100% funded CDBG-DR funded vegetative management system. The Total cost for this project will not exceed $143m

Location Description:

This project will fund activities in Nassau, Suffolk and Rockaway Peninsula in Queens which comprise the LIPA energy grid.

Activity Progress Narrative:

This activity has just been created in the system and there have not been any draws or expenditures made against this activity. The Long Island Power Authority, a state of New York Authority and public energy provider is the primary provider of electricity to Long Island residents. LIPA&rsquos utility system suffered direct and catastrophic storm related damage and was determined by FEMA to eligible to receive FEMA Public Assistance funding. Due to the geographic extent of the damage LIPA will meet a national objectives of Urgent need and benefits to persons of low to moderate income, LMI for each of these activities and determines the work based on the latitude and longitude of the work location.
>In 2014, the State of New York, FEMA and LIPA agreed to a capped settlement with the FEMA PA program that will provide LIPA with restoration and mitigation funds to repair the system that total $1.43b dollars. The State of New York committed to FEMA to provide the 10% local match for this project which totals $143m. GOSR is working to analyze eligibility for the project and working with HUD to provide required elements needed to meet the covered project criteria. It is expected that in the next quarter GOSR will begin to match payments on the LIPA project.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/1

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-Match-LIPA-UN
Activity Title:	Long Island Power Auth R2 - UN

Activitiy Category:
Rehabilitation/reconstruction of public facilities

Activity Status:
Under Way

Project Number:
R2-Match

Project Title:
F. Non-Federal Share Match Program Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$50,000,000.00
Total Budget	$0.00	$50,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Activities will focus on providing the non-federal share or Local match for eligible storms including Superstorm Sandy, Hurricane Irene. To increase the resiliency of the LIPA grid GOSR may engage in a 100% funded CDBG-DR funded vegetative management system. The Total cost for this project will not exceed $143m

Location Description:

This project will fund activities in Nassau, Suffolk and Rockaway Peninsula in Queens which comprise the LIPA energy grid.

Activity Progress Narrative:

This activity has just been created in the system and there have not been any draws or expenditures made against this activity. The Long Island Power Authority, a state of New York Authority and public energy provider is the primary provider of electricity to Long Island residents. LIPA&rsquos utility system suffered direct and catastrophic storm related damage and was determined by FEMA to eligible to receive FEMA Public Assistance funding. Due to the geographic extent of the damage LIPA will meet a national objectives of Urgent need and benefits to persons of low to moderate income, LMI for each of these activities and determines the work based on the latitude and longitude of the work location.
>In 2014, the State of New York, FEMA and LIPA agreed to a capped settlement with the FEMA PA program that will provide LIPA with restoration and mitigation funds to repair the system that total $1.43b dollars. The State of New York committed to FEMA to provide the 10% local match for this project which totals $143m. GOSR is working to analyze eligibility for the project and working with HUD to provide required elements needed to meet the covered project criteria. It is expected that in the next quarter GOSR will begin to match payments on the LIPA project.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/1

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-Match-PF-LMI
Activity Title:	Public Facilities R2 - LMI

Activitiy Category:
Rehabilitation/reconstruction of public facilities

Activity Status:
Under Way

Project Number:
R2-Match

Project Title:
F. Non-Federal Share Match Program Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$9,000,000.00
Total Budget	$0.00	$9,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Activities in this program, address the non-federal share payment for public facility repair and rebuilding needs through FEMA's Public Assistance (PA) Program in Categories C-G in addition to other Federal infrastructure related recovery programs. These activities are for public facilities that benefit persons of low to moderate income. Information on specific activities is maintained in program files.
The State devised a mechanism to report on detailed activity on non-federal share match payments made for this Public Facilities.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy damaged and destroyed a wide range of public buildings, facilities and structures in Presidentially declared counties across New York. The specific geographic location of each impacted public facility is geocoded and recorded in the project files.

Activity Progress Narrative:

This is a new activity that did not have any payment activity but corresponds with and has the same actions as Infra Facilities LMI shown above. This activity R2 provides additional funds for public facility actions discussed in Infra Facilities LMI. It is expected that in the next quarter some funds from this allocation will need to be used for eligibility review that are ongoing with GOSR that relate to public facility activity from Irene , Lee and Sandy.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/26

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-Match-PF-UN
Activity Title:	Public Facilities R2 - UN

Activitiy Category:
Rehabilitation/reconstruction of public facilities

Activity Status:
Under Way

Project Number:
R2-Match

Project Title:
F. Non-Federal Share Match Program Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$12,000,000.00
Total Budget	$0.00	$12,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Activities in this program, address the non-federal share payment for public facility repair and rebuilding needs through FEMA's Public Assistance (PA) Program in Categories C-G in addition to other Federal infrastructure related recovery programs. These activities are for public facilities that benefit persons of low to moderate income. Information on specific activities is maintained in program files.
The State devised a mechanism to report on detailed activity on non-federal share match payments made for these Public Facilities.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy damaged and destroyed a wide range of public buildings, facilities and structures in Presidentially declared counties across New York. The specific geographic location of each impacted public facility is geocoded and recorded in the project files.

Activity Progress Narrative:

This is a new activity that did not have any payment activity but corresponds with and has the same actions as Infra Facilities UN shown above. This activity R2 provides additional funds for public facility UN actions discussed in Infra Facilities UN. It is expected that in the next quarter funds from this allocation will need to be used for eligibility review that are ongoing with GOSR that relate to public facility activity from Irene , Lee and Sandy.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/27

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-Match-Plan
Activity Title:	Non-Federal Match Planning R2

Activitiy Category:
Planning

Activity Status:
Under Way

Project Number:
R2-Match

Project Title:
F. Non-Federal Share Match Program Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
N/A

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$20,000,000.00
Total Budget	$0.00	$20,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Planning activities related to the development of New York State&rsquos program through which CDBG funds will be used to provide the non-Federal match payment required by FEMA, Federal DOT, and other Federal Agency&rsquos grants to New York State. Planning activities include the gathering of information about needs, program design, scope development, development of program management policies, processes and procedures, environmental review, and efforts to evaluate New York State&rsquos progress in implementing this program. The planning activities relate to specific programs and projects which may be implemented by subrecipients, but the planning is being caried out by New York State. The planning could be considered a program delivery cost, but in this case, it is being classified as a program planning cost. Entitlement program regulations allow charging such costs under 24 CFR 570.205. States may adopt this approach from the Entitlement program.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy damaged and destroyed a wide range of public buildings, facilities and structures in Presidentially declared counties across New York. The specific geographic location of each impacted public facility is geocoded and recorded in the project files.

Activity Progress Narrative:

This is a new activity that did not have any payment activity but corresponds with planning activities that need to be undertaken in NY related to development of the local match program. It is expected that in the next quarter planning costs used to support match activity will be allocated to this activity.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-Match-PS-LMI
Activity Title:	Public Services R2 - LMI

Activitiy Category:
Public services

Activity Status:
Under Way

Project Number:
R2-Match

Project Title:
F. Non-Federal Share Match Program Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$4,000,000.00
Total Budget	$0.00	$4,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Activities in this program, address specific post disaster needs that public entities had to perform to protect the public and or provide services and the beneficiaries were of low to moderate income. Program funds pay the non-Federal Share match payment for activities captured in FEMA's Public Assistance (PA) Program as Category B work in project worksheets. Activities may also include public services provided by the State through the FEMA Transitional Sheltering Assistance Program "TSA". These activities would have taken place within the 1st 90 days after the event. All activities have a Project worksheet(s) or supporting documentation in the file.
>The State devised a mechanism to report on detailed activity on non-federal share match payments made for this Public Service.
The FEMA PA program provides disaster recovery funds to repair and rebuild directly damaged public infrastructure to units of local government (UGLG). CDBG-DR Match payments are provided to these UGLG for multiple projects. The State consolidated these multiple units of local government to a count of these entities because individual projects are expected to exceed 20,000 for 1500 UGLS. Although the State consolidated reporting (of Public Facilities/Properties), it is providing other data that shows the identity of each UGLG and the specific FEMA PA project associated with the CDBG-DR funds committed to that project as &ldquolocal match&rdquo . The State determined the HUD national objective LMI, from the latitude &ndash longitude of the FEMA project work location overlaid onto HUD census block shape files. This information was then used to identify the portion of the work that benefited LMI populations.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy resulted in the need for the State to provide public services to assist residents who live in Presidentially declared counties across New York. The geographic location of each public service is

provided in the project file.

Activity Progress Narrative:

This is a new activity that did not have any payment activity but corresponds with and has the same actions as Infra services LMI actions shown above. This activity R2 provides additional funds for public service LMI actions discussed in Infra services LMI. In the next quarter funds from this allocation maybe needed for eligibility review that are ongoing with GOSR that relate to public facility activity from Irene , Lee and Sandy.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/39

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-Match-PS-UN
Activity Title:	Public Services R2 - UN

Activitiy Category:
Public services

Activity Status:
Under Way

Project Number:
R2-Match

Project Title:
F. Non-Federal Share Match Program Rnd. 2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$11,000,000.00
Total Budget	$0.00	$11,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Activities in this program, address specific post disaster needs that public entities had to perform to protect the public and or provide services and the beneficiaries were of low to moderate income. Program funds pay the non-Federal Share match payment for activities captured in FEMA's Public Assistance (PA) Program as Category B work in project worksheets. Activities may also include public services provided by the State through the FEMA Transitional Sheltering Assistance Program "TSA". These activities would have taken place within the 1st 90 days after the event. All activities have a Project worksheet(s) or supporting documentation in the file.
>The State devised a mechanism to report on detailed activity on non-federal share match payments made for this Public Service.
The FEMA PA program provides disaster recovery funds to repair and rebuild directly damaged public infrastructure to units of local government (UGLG). CDBG-DR Match payments are provided to these UGLG for multiple projects. The State consolidated these multiple units of local government to a count of these entities because individual projects are expected to exceed 20,000 for 1500 UGLS. Although the State consolidated reporting (of Public Facilities/Properties), it is providing other data that shows the identity of each UGLG and the specific FEMA PA project associated with the CDBG-DR funds committed to that project as &ldquolocal match&rdquo . The State determined the HUD national objective for UN, from the latitude &ndash longitude of the FEMA project work location overlaid onto HUD census block shape files. This information was then used to identify the portion of the work that benefited UN populations.

Location Description:

Hurricane Irene, Tropical Storm Lee and Superstorm Sandy resulted in the need for the State to provide public services to assist residents who live in Presidentially declared counties across New York. The geographic location of each public service is

provided in the project file.

>

Activity Progress Narrative:

This is a new activity that did not have any payment activity but corresponds with and has the same actions as Infra services UN actions shown above. This activity R2 provides additional funds for public service UN actions discussed in Infra services UN. In the next quarter funds from this allocation maybe needed for eligibility review that are ongoing with GOSR that relate to public facility activity from Irene , Lee and Sandy.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Non-business Organizations	0	0/115

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-Rental / Rental Properties Program R2

Grantee Activity Number:	**R2-SRR-LMI**
Activity Title:	**Small Rental Repair R2 - LMI**

Activitiy Category:
Rehabilitation/reconstruction of residential structures

Activity Status:
Under Way

Project Number:
R2-Rental

Project Title:
Rental Properties Program R2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:

Completed Activity Actual End Date:

Direct Benefit (Households)

National Objective:

Low/Mod

Responsible Organization:

New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$15,000,000.00
Total Budget	$15,000,000.00	$15,000,000.00
Total Obligated	$324,978.00	$324,978.00
Total Funds Drawdown	$2,754.00	$324,978.00
Program Funds Drawdown	$2,754.00	$324,978.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Rehabilitation or reconstruction of renter occupied housing.

Location Description:

Areas impacted by Hurricane Sandy and Irene and Tropical Storm Lee with the majority located in Nassau and Suffolk counties.

Activity Progress Narrative:

During Q3-2014, the GOSR Rental Properties Program laid the groundwork and fleshed out the program infrastructure needed to prepare for issuance of the first set of payments, to follow in Q4 of 2014. During Q3-2014, the rental properties program developed program design, policies, procedures, and an online application system which serves as the programs system of record. Property owner-applicants get an increased award cap for renting to LMI tenants, $50,000 per unit. The program also established necessary operations functions including damage assessment inspections by LiRO, integrity monitoring by PWC, and a team of customer representatives through IEM/Horne. Ongoing training is provided in to all customer representatives and damage inspectors. As of September 30th, there were 838 active applications in the rental properties program of which 143 self-reported LMI. Customer representatives began working diligently with property owner-applicants to obtain tenant information, including for the 554 applications which did not select LMI/Urgent need status, which applies tenants. LMI/Urgent Need states as well as duplication of benefits will be verified by the Verification of Benefits team beginning in Q4.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	0	0/500
# of Substantially Rehabilitated Units	0	0/75
# of Elevated Structures	0	0/75

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	0	0/500

# of Multifamily Units	0	0/250
# of Singlefamily Units	0	0/250

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	0	0	0	0/100	0/400	0/500	0
# Renter Households	0	0	0	0/100	0/400	0/500	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-SRR-UN
Activity Title:	Small Rental Repair R2 - UN

Activitiy Category:
Rehabilitation/reconstruction of residential structures

Activity Status:
Under Way

Project Number:
R2-Rental

Project Title:
Rental Properties Program R2

Projected Start Date:
01/13/2014

Projected End Date:
01/12/2016

Benefit Type:
Direct Benefit (Households)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$15,000,000.00
Total Budget	$15,000,000.00	$15,000,000.00
Total Obligated	$324,978.00	$324,978.00
Total Funds Drawdown	$2,754.00	$324,978.00
Program Funds Drawdown	$2,754.00	$324,978.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Rehabilitation and reconstruction of renter occupied housing.

Location Description:

Areas impacted by Hurricane Sandy and Irene and Tropical Storm Lee with the majority of households located in Nassau and Suffolk counties.

Activity Progress Narrative:

During Q3-2014, the GOSR Rental Properties Program laid the groundwork and fleshed out the program infrastructure needed to prepare for issuance of the first set of payments, to follow in Q4 of 2014. During Q3-2014, the rental properties program developed program design, policies, procedures, and an online application system which serves as the programs system of record. The program also established necessary operations functions including damage assessment inspections by LiRO, integrity monitoring by PWC, and a team of customer representatives through IEM/Horne. Ongoing training is provided in to all customer representatives and damage inspectors. As of September 30th, there were 838 active applications in the rental properties program of which 141 self-reported urgent need. Customer representatives began working diligently with property owner-applicants to obtain tenant information, including for the 554 applications which did not select LMI/Urgent need status, which applies tenants. LMI/Urgent Need states as well as duplication of benefits will be verified by the Verification of Benefits team beginning in Q4.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Properties	0	0/500
# of Substantially Rehabilitated Units	0	0/75
# of Elevated Structures	0	0/75

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	0	0/500
# of Multifamily Units	0	0/250
# of Singlefamily Units	0	0/250

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	0	0	0	0/100	0/400	0/500	0
# Renter Households	0	0	0	0/100	0/400	0/500	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-SmBusiness / D. Small Business Program Rnd 2

Grantee Activity Number:	**R2-SB-Ment**
Activity Title:	**Small Business Mentoring R2**

Activitiy Category:
Planning

Activity Status:
Under Way

Project Number:
R2-SmBusiness

Project Title:
D. Small Business Program Rnd 2

Projected Start Date:
05/01/2013

Projected End Date:
05/01/2015

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
N/A

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$3,000,000.00
Total Budget	$3,000,000.00	$3,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

The Business Mentor NY Program is a pilot planning program that seeks to assess the impact of providing opportunities for New York State entrepreneurs and small businesses to overcome challenges and foster growth. The plan is being developed through a pilot web-based system that will connect storm impacted small businesses and entrepreneurs with larger, more established mentor businesses. The planning funds will not be used to fund mentors or technical assistance. Rather, the web-based system will provide the platform for facilitating the mentor/mentee connections that will result in the provision pro-bono services and /or technical assistance to storm impacted small businesses. The Empire State Development will provide quarterly reports and a final plan that details the results, outcomes, strengths and weaknesses of the pilot program and provides a suggested path for permanently implementing and maintaining the program.

Location Description:

Disaster impacted counties

Activity Progress Narrative:

In Q3-2014, the Program continued to administer the web-based system for small businesses located in storm-impacted communities to register for pro-bono mentorship and technical assistance services from volunteer mentor businesses. Also in Q3-2014, the Program continued to work to recruit volunteer mentor businesses and initiated outreach to encourage potential mentee businesses located in storm-impacted counties to register in the system. Although no funds have been expended in this quarter, any costs incurred under administrative spending will be allocated appropriately.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Plans or Planning Products	0	0/1

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-SBJ-LMI
Activity Title:	Small Business Jobs R2 - LMI

Activitiy Category:
Econ. development or recovery activity that creates/retains jobs

Activity Status:
Under Way

Project Number:
R2-SmBusiness

Project Title:
D. Small Business Program Rnd 2

Projected Start Date:
05/14/2014

Projected End Date:
05/12/2016

Benefit Type:
N/A

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$16,000,000.00
Total Budget	$0.00	$16,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Grant and/or loan assistance to eligible businesses to cover working capital, machinery and equipment, furniture and fixtures, renovation and reconstruction activities. Not-for profits will be eligible to receive the same, except for working capital.

Location Description:

Hurricane Sandy: Nassau, Orange, Putnam, Rockland, Suffolk, Sullivan, Ulster, and Westchester counties.

Hurricane Irene: Albany, Clinton, Columbia, Delaware, Dutchess, Essex, Greene, Herkimer, Montgomery, Nassau, Orange, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, and Westchester.

Tropical Storm Lee: Broome, Chemung, Chenango, Delaware, Fulton, Herkimer, Oneida, Orange, Otsego, Schenectady, Schoharie, Tioga, and Ulster.

Activity Progress Narrative:

This activity has just been created in the system and there have not been any draws or expenditures made against this activity. Please see Small Business Jobs Round 1 for information about the small business jobs program.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of buildings (non-residential)	0	0/321
# of Businesses	0	0/286

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Retained	0	0	0	0/447	0/74	0/572	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number:	R2-SBJ-UN
Activity Title:	Small Business Jobs R2 - UN

Activitiy Category:
Econ. development or recovery activity that creates/retains jobs

Activity Status:
Under Way

Project Number:
R2-SmBusiness

Project Title:
D. Small Business Program Rnd 2

Projected Start Date:
05/14/2014

Projected End Date:
05/12/2016

Benefit Type:
N/A

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$4,000,000.00
Total Budget	$0.00	$4,000,000.00
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Grant and/or loan assistance to eligible businesses to cover working capital, machinery and equipment, furniture and fixtures, renovation and reconstruction activities. Not-for profits will be eligible to receive the same, except for working capital.

Location Description:

Hurricane Sandy: Nassau, Orange, Putnam, Rockland, Suffolk, Sullivan, Ulster, and Westchester counties.

Hurricane Irene: Albany, Clinton, Columbia, Delaware, Dutchess, Essex, Greene, Herkimer, Montgomery, Nassau, Orange, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, and Westchester.

Tropical Storm Lee: Broome, Chemung, Chenango, Delaware, Fulton, Herkimer, Oneida, Orange, Otsego, Schenectady, Schoharie, Tioga, and Ulster.

Activity Progress Narrative:

SB Jobs R2 UN This activity has just been created in the system and there have not been any draws or expenditures made against this activity. Please see Small Business Jobs Round 1 for information about the small business jobs program.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of buildings (non-residential)	0	0/80
# of Businesses	0	0/72

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Retained	0	0	0	0/98	0/21	0/143	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-TMC / Tourism Marketing Campaign R2

Grantee Activity Number:	**R2-TMC-State**
Activity Title:	**State Tourism Marketing Campaign R2**

Activitiy Category:
Tourism (Waiver Only)

Activity Status:
Under Way

Project Number:
R2-TMC

Project Title:
Tourism Marketing Campaign R2

Projected Start Date:
05/16/2013

Projected End Date:
05/14/2015

Benefit Type:
Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
New York State

Overall	Jul 1 thru Sep 30, 2014	To Date
Total Projected Budget from All Sources	N/A	$7,541,451.40
Total Budget	$0.00	$7,541,451.40
Total Obligated	$0.00	$0.00
Total Funds Drawdown	$0.00	$0.00
Program Funds Drawdown	$0.00	$0.00
Program Income Drawdown	$0.00	$0.00
Program Income Received	$0.00	$0.00
Total Funds Expended	$0.00	$0.00
New York State	$0.00	$0.00
Match Contributed	$0.00	$0.00

Activity Description:

Marketing and advertising for seasonal tourism industry impacted by the storms.

Location Description:

Areas impacted by Hurricanes Sandy and Irene and Tropical Storm Lee.

Activity Progress Narrative:

Through GOSR&rsquos partner State subrecipient, Empire State Development, the State ran additional ads during the summer months of Q3 in order to continue the promotion of the storm impacted areas of New York and to revive economic development activities related to the tourism industry. The State ran 8,822 advertising spots in Q3-2014. To date, the State has run over 108,000 postings across New York State and the United States in an effort to attract traveling tourists and to revitalize the tourism industry following the devastating impacts of Superstorm Sandy to the businesses and employees dependent on the revenues generated by local and national tourism.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Posted Advertisements for	27033	27033/27050

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	